

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ALLPRO ASSET MANAGEMENT LIMITED	BRT453	Licensed Corporation		4
Beta International Securities Limited	ABN652	Licensed Corporation	9	
Gain Miles Financial Planning Consultants Limited	ALI559	Licensed Corporation	9	
Golden Continent Asset Management Co., Limited	BTE516	Licensed Corporation	4	
Lazard Asset Management (Hong Kong) Limited	AQZ743	Licensed Corporation	9	
Man Investments (Hong Kong) Limited	BUD110	Licensed Corporation		3
Marshall Wace Asia Limited	ANM673	Licensed Corporation	2	
Pinsen International (Hong Kong) Limited	BTJ465	Licensed Corporation	9	
Qube Research & Technologies Hong Kong Limited	BJJ249	Licensed Corporation	1	
Summer Capital Management Company Limited	BIE095	Licensed Corporation		1
SunRiver International Securities Group Limited	BPT753	Licensed Corporation		9
Trend Up Investment (HK) Limited	BTV513	Licensed Corporation	1	
AU Cheuk Shing	BTS987	Licensed Representative		3
AU Ka Cheong Michael	BNH409	Licensed Representative		4
BAO Jiakun	BKM142	Licensed Representative		4, 9
BAO Yuhan	BRP860	Licensed Representative	6	
BISWAS Shubhomoy	ATJ132	Licensed Representative	2	4
BURBRIDGE Cathy Jane Tao	BUL062	Licensed Representative		7
CAI Jinru	BVM990	Licensed Representative	5	
CAI Keying	BUG548	Licensed Representative	6	
CAI Zhiwei	BOK250	Licensed Representative	1	
CHAN Ching Yeung	BKS757	Licensed Representative	9	
CHAN Christopher	AXG908	Licensed Representative	9	
CHAN Chun Keung	BSU733	Licensed Representative	9	
CHAN Ka Kin	BHS185	Licensed Representative		4, 9
CHAN Ka Yan	BJI435	Licensed Representative	4	
CHAN Kwun Siu, Frankie	ASD479	Licensed Representative		1, 4
CHAN Mo San Luka	BPE611	Licensed Representative		2
CHAN Pak Lok Caesar	BJR579	Licensed Representative	5	
CHAN Wai Han	APJ778	Licensed Representative		4
CHAN Wang Kin	ATX638	Licensed Representative	4, 9	2
CHAN Wang Kwan	AQN578	Licensed Representative		1, 2
CHAN Yik Lun	AOR284	Licensed Representative		1
CHAN Yin Chi	BDQ633	Licensed Representative	4	
CHAN Yue Yan	BUT974	Licensed Representative	4	
CHAN Yuk Ki	BAL576	Licensed Representative		9
CHEN Cheuk Yip Jason	BHY354	Licensed Representative		9
CHEN Enshi	BJK920	Licensed Representative	9	1, 2
CHEN Gong	BOB236	Licensed Representative		1
CHEN Ka Fai	BTA056	Licensed Representative	9	
CHEN Ruiqin	BRA100	Licensed Representative		2
CHEN Tingliang	BPW890	Licensed Representative	5	1
CHEN Zhenglin	BUE462	Licensed Representative	1	
CHENG Ho Cheung	BSQ700	Licensed Representative		1, 4
CHENG Ming Chun	BEU986	Licensed Representative	1, 2	9
CHENG Siu Kong, Conrad	AHD591	Licensed Representative	4	
CHEONG Tek Kong	AGP188	Licensed Representative	4	
CHEUNG Catherine Ka Man	AMN773	Licensed Representative		9
CHEUNG Chi Lok	AWC705	Licensed Representative		1, 4
CHEUNG Ho Wan	BFM417	Licensed Representative	6, 9	
CHEUNG Yee Shun, Wilson	AOJ215	Licensed Representative	4	
CHIANG Venant	AIB743	Licensed Representative	1	4, 9
CHIU Chi Cheung	AZV943	Licensed Representative		4, 5
CHIU Sai Ka	BLD324	Licensed Representative		2
CHOI Sae Joon	AWF683	Licensed Representative		9
CHOI Tsz Ming	AAA558	Licensed Representative		4, 9
CHOW Ho Sing	BBS501	Licensed Representative		4
CHOW Ka Man	AAY115	Licensed Representative		2
CHU Peter Pa-en	ALW236	Licensed Representative	1, 9	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHU Wang Kei, Sanford	BUI953	Licensed Representative		1, 4
CHUI Ting On	AVI585	Licensed Representative		4
CHUNG Wing Hang	BQV949	Licensed Representative		1
DENG Jinglin	BRS391	Licensed Representative		9
DENG Yuekai	BGE282	Licensed Representative	1, 2	9
DENG Yunyi	BBP845	Licensed Representative	1	
DENG Zhenquan	AWI748	Licensed Representative	5	
DI Xiangqi	BVL690	Licensed Representative	3	
DING Jian Yang	BSQ388	Licensed Representative		4
DOERING Michael Roger	ANQ240	Licensed Representative	2	7
FENG Yibiao	BHV159	Licensed Representative	1, 4	
FONG Sin Shing	BQC929	Licensed Representative	2, 5	9
FU Han	BFM817	Licensed Representative	5	
FU Ka Wan	AWL984	Licensed Representative	4	
FUNG Chun Kit	BPC683	Licensed Representative		3
GARRETT Adam Guy Orlebar	ALQ136	Licensed Representative		4
GUO Ziyu	BQS942	Licensed Representative	1	
HAN Xirui	BTZ282	Licensed Representative	4, 9	6
HARRIS Richard	AAK411	Licensed Representative		9
HO Ho Man	BUV931	Licensed Representative	3	
HU Yihao	BTH952	Licensed Representative		7
HUANG Hexiao	BLS753	Licensed Representative		2, 4
HUANG Jialin	BJI242	Licensed Representative	6	
HUANG Jiaqi	BMX516	Licensed Representative		1
HUANG Jiaxian	BUU931	Licensed Representative	4	
HUANG Keying	BUN435	Licensed Representative		7
HUANG Xiao	BUD634	Licensed Representative	4	
HUI Cassandra	BRK360	Licensed Representative	6	2
HUI Chun Piu	BFJ581	Licensed Representative	1	
HUI Chung Ho, Patrick	AIU425	Licensed Representative	9	
HUNG Gee Ying Angie	BAX116	Licensed Representative	4	3
IP Chun Yin	BTB958	Licensed Representative		4
JI Wenxiu	BPM773	Licensed Representative	2	
JIANG Ziqi	BHX700	Licensed Representative		4, 9
JING Jing	BDM055	Licensed Representative		5, 9
JUN Sung Wook	BGE392	Licensed Representative	4	
KAM Wing Sze, Zoe	AFQ041	Licensed Representative	9	1
KAN Kwok Shu, Albert	AAI603	Licensed Representative	1, 4	
KIM Inhye	BTM827	Licensed Representative	1, 4	2
KONG Chiu San, Raymond	AAH157	Licensed Representative		6
KONG Ming Fai	ADZ902	Licensed Representative	4	1
KONG Xiaohui	BSV054	Licensed Representative	4, 6	
KONG Yuk Chuen	AYW579	Licensed Representative		4
KUNG Mei Yan	BUO985	Licensed Representative		1
KWAN Ching	AQQ011	Licensed Representative		9
KWAN Ut Hoi	AQW340	Licensed Representative		1, 4
KWAN Wun Yu	BDC379	Licensed Representative	4, 9	1
KWOK Ho Yan	BFO812	Licensed Representative	4, 9	
KWOK Suet Ying Lily Vanessa	BAN067	Licensed Representative	9	
KWON Min Suk	BSH931	Licensed Representative	4	
LAI Kin Chung, Kenneth	ABP978	Licensed Representative		1
LAM Wai Chun	BBN869	Licensed Representative		5
LAU Chi Wo	AMI494	Licensed Representative		4
LAU Chi Yin	BND487	Licensed Representative		5, 9
LAU Kin Hung	BGV207	Licensed Representative	4, 6	2
LAU Kin Pui	BNZ898	Licensed Representative	4	
LAU On Hong	ACO909	Licensed Representative		2, 3
LAW Lok Tak	BKG275	Licensed Representative	4	
LEE Chak Yan, Charles	AQR637	Licensed Representative		4

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LEE Joseph Won	ANB457	Licensed Representative	2	
LEE Sze Chung, Henry	AWV262	Licensed Representative	2	
LEE Tsz Wai	AYT809	Licensed Representative		4
LEUNG Chi Chung	ADX923	Licensed Representative		4
LEUNG Ka Wing Kevin	BBQ200	Licensed Representative	2	
LEUNG Wing Ho	BFN867	Licensed Representative		6
LEUNG Yee Man	ANA342	Licensed Representative	9	
LEUNG Yun Fuk	AFC126	Licensed Representative		5
LEW Jeffrey Kuo-I	AXN211	Licensed Representative		4
LI Cheuk Yin, Clive	BAJ284	Licensed Representative	2	
LI Meiqi	BUL979	Licensed Representative	9	
LI Sheng	BTS718	Licensed Representative	4, 9	1, 6
LI Shuo	BCY584	Licensed Representative		2, 3
LI To Wai	AYD437	Licensed Representative	1	
LI Wanting	BTF361	Licensed Representative	4	
LI Xiangyu	BUV132	Licensed Representative		2
LI Xinyu	BUA301	Licensed Representative		4
LIANG Huilin	BST169	Licensed Representative	9	2, 5
LIANG Tazhang	BWA863	Licensed Representative	1, 4	
LIANG Xinyue	BPF033	Licensed Representative	1	
LIANG Yuxuan	BNX275	Licensed Representative		4
LIAO Zezhou	BMO987	Licensed Representative		6
LIAO Zichai	BVP573	Licensed Representative	1, 4	
LIN Guoyan	BGI925	Licensed Representative	4, 9	
LIN Long	BIC892	Licensed Representative	4	
LIN Si Yang	BUQ432	Licensed Representative	2	
LIN Xiaoxiang	BIY895	Licensed Representative	4	
LIU Caiping	BTC470	Licensed Representative	6	
LIU Duming	BRK575	Licensed Representative	2	
LIU Huijun	AZF111	Licensed Representative	6	1
LIU Jian	AZR740	Licensed Representative	9	1, 2
LIU Jiaxin	BSF852	Licensed Representative		4, 5
LIU Xiyuan	BNO403	Licensed Representative	4	2
LIU Zhenzhou	BRS101	Licensed Representative	9	
LO Ki Chun Jacob	BVK392	Licensed Representative	1, 9	6
LO Pak Ting	BIJ527	Licensed Representative	4	
LOW Audrey Ying Jun	BFI437	Licensed Representative	4	
LUK Ho On	AFZ014	Licensed Representative		4
LUO Chen	BKT275	Licensed Representative		6
MA Ming Chun	APD158	Licensed Representative		2, 5
MAK Hiu Yan	BPY320	Licensed Representative	1, 2, 7	
MAK Siu Hung	BTL339	Licensed Representative		4
MATTOLI Enrico Andrea	ASD757	Licensed Representative	9	
MC MAHON Ronan	AYA354	Licensed Representative	2	
MEI Yuwen	BUS225	Licensed Representative		5
NG Chun Wai	AMF277	Licensed Representative	9	1, 2
NG Ka Hing	ACU882	Licensed Representative	1	
NG Kin Wai	BIM923	Licensed Representative	4	
NG Wai Ho	AVL488	Licensed Representative		2
NGAN Po Yan	BKG873	Licensed Representative	2, 5	
OUYANG Huizi	BFR099	Licensed Representative	1	9
POON Chun Sun, Eric	AEQ238	Licensed Representative		9
QIN Ruicheng	BPS113	Licensed Representative	2, 5	
QIU Guochen	BVL099	Licensed Representative	9	4
ROTHLIN Michael Andreas	BCE496	Licensed Representative	1, 2, 4, 5	9
SHEA Shun Keung	AAG041	Licensed Representative		2
SHI Shaocong	BTB543	Licensed Representative		2, 4
SHI Yan	BRH467	Licensed Representative	1	
SINN Tak Yu	AFN202	Licensed Representative		4

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
SONG Heping	BVU970	Licensed Representative	1	
SONG Sijia	BIY897	Licensed Representative		1
SU Linxi	BUA411	Licensed Representative		4
SUN Ge	BNH009	Licensed Representative	1	
SUN Qi	BQV773	Licensed Representative		9
SUN Zhan	BSX069	Licensed Representative	4	
SUNG Min Su	ARO771	Licensed Representative		4, 9
TAM Ka Ho	BCC092	Licensed Representative	4, 9	1, 6
TAN Jie-Li Brina	BEV968	Licensed Representative		2, 5, 7
TANG Jiwei	BPE889	Licensed Representative	5	
TANG Lai Woon	BNO297	Licensed Representative	4	
TANG Yu Lam	AVG579	Licensed Representative	5	
TO Cheuk Hin	BUX170	Licensed Representative	2	
TO Wai Man	AEM387	Licensed Representative		9
TONG Ngat	AHN527	Licensed Representative		9
TONG Siu Cheung	AON938	Licensed Representative		1
TRAN Emile	BND346	Licensed Representative	4	2
TSE Shuk Yee Connie	ADK260	Licensed Representative		4
WANG Mengjie	BJR209	Licensed Representative	4, 9	
WANG Shaochen	BSP503	Licensed Representative	2	
WANG Ximei	BPX026	Licensed Representative	2, 5	
WEI Yi	BTG207	Licensed Representative		1
WEI YU	BVW024	Licensed Representative	4, 9	
WEN WEN	BUI477	Licensed Representative	2	
WEN Xi	BUO860	Licensed Representative		1
WONG Chi Tung, Sam	BFU597	Licensed Representative	4	
WONG Ching-Ching Jane	BQV954	Licensed Representative	4	
WONG Ka Ho	BJG194	Licensed Representative	3	
WONG Ka Ki	BOJ254	Licensed Representative	4	
WONG Ting Kwan	BGH355	Licensed Representative	3	
WONG Tsz Ho	BIB430	Licensed Representative	9	
WONG Ying Kit, Young	ADY999	Licensed Representative		4, 5
WOO Lai Man Mandy	BTZ237	Licensed Representative	9	1, 4
WU Jiayi	BON836	Licensed Representative		4
WU Lan	AXC526	Licensed Representative		5
WU Philip Han Wen	BOM514	Licensed Representative	9	1, 2
WU Yuen Sing	BRC218	Licensed Representative	5	
XIN Yanwen	BMV295	Licensed Representative	4	
XU Na	BCS522	Licensed Representative	1, 4	
XU Si	BVS220	Licensed Representative	6	
XU Stanford Shaozheng	BQD598	Licensed Representative		2
YAN Tao	BUX504	Licensed Representative		2, 9
YANG Hsin-Jung	AOC859	Licensed Representative		3
YANG Ke	BVA440	Licensed Representative	3	
YANG Ming, David	BPY524	Licensed Representative		4
YANG Zhifan	BGV223	Licensed Representative		9
YAO Chih Wei	BRB483	Licensed Representative	1, 4	
YAU Ka Hei	ARG523	Licensed Representative		2
YE Peixin	BUT454	Licensed Representative	4	6
YE Xiao	AUM476	Licensed Representative	1	
YEUNG CHEUK HON	BUC711	Licensed Representative	3	
YEUNG Fung Yee, Flora	ACE799	Licensed Representative		4
YEUNG Hok Huen, Runter	ADL028	Licensed Representative		2
YEUNG King Yip	BEY896	Licensed Representative		1
YEUNG Kwok Kei	BBB086	Licensed Representative		4
YIP Kin Wing	ALW656	Licensed Representative		3
YIP Lai Kuen	AQO194	Licensed Representative		4
YIP Wai Lun	ADW731	Licensed Representative		4
YU Hongtao	BOM317	Licensed Representative		9

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YU Jiahao	BTR488	Licensed Representative		4
YU Lien Wei	AXS905	Licensed Representative		1, 2, 4, 5
YU Wing Cheung	BFP964	Licensed Representative	1, 2	3
YU Xinyan	BRB788	Licensed Representative		2, 4
YUEN Pui Shan Theresa	BOJ252	Licensed Representative	4	
YUEN Wing Ho	BIC868	Licensed Representative		9
ZAMFIR Michael	BRF391	Licensed Representative	7	
ZHANG Baihan	BTX420	Licensed Representative		6
ZHANG Fan	AZE638	Licensed Representative		1
ZHANG Junming	BSY958	Licensed Representative	2, 7	
ZHANG Meng	AOB546	Licensed Representative	2, 5	
ZHANG Shengyao	BRP777	Licensed Representative	2	
ZHANG Tongxi	BVJ928	Licensed Representative		4, 9
ZHANG Weiqun	BCP791	Licensed Representative	5	
ZHAO Qing	BAF820	Licensed Representative		4, 6
ZHENG Ran	BJT498	Licensed Representative		9
ZHU Jiamin	BPX124	Licensed Representative	2	
ZHU Jingyi	BVV018	Licensed Representative	1	
ZHU Qi	BIH729	Licensed Representative	1	
ZHUANG Song	ATJ432	Licensed Representative	4	
ZHUO Yue	BQF288	Licensed Representative		2
ZOU Keith Ke	BIE434	Licensed Representative	4	
AU Chong Kit, Stanley	AAA969	Licensed Representative - Responsible Officer		9
AU Lai Chong	AKW747	Licensed Representative - Responsible Officer		9
AU-YEUNG Yan Lun	AIB802	Licensed Representative - Responsible Officer	1	
BAGSHAW Richard John Walter	ASK905	Licensed Representative - Responsible Officer		3
CHAN Hiu Fung	BJH153	Licensed Representative - Responsible Officer	9	
CHAN Kwok Fung, Dennis	AMK236	Licensed Representative - Responsible Officer	4	
CHAN Mofiz	AMI692	Licensed Representative - Responsible Officer	1	
CHAN Yui Lung	ABR835	Licensed Representative - Responsible Officer	9	
CHAU Wing Ka	AMT949	Licensed Representative - Responsible Officer	1	
CHENG Laurence Man Chiu	BPI066	Licensed Representative - Responsible Officer	4	
CHOW Kwun Hung	AEV862	Licensed Representative - Responsible Officer	2	
HARRIS Richard	AAK411	Licensed Representative - Responsible Officer	1	
HORNMARK Erik Taro	AUZ795	Licensed Representative - Responsible Officer	1	
HOU Yueyang	BAT984	Licensed Representative - Responsible Officer	4	
HUANG Liang	AXF094	Licensed Representative - Responsible Officer	1, 4	
IP Fai Kit	BBY803	Licensed Representative - Responsible Officer	9	
JI Lin	BTG446	Licensed Representative - Responsible Officer	4	
JIANG Ziqi	BHX700	Licensed Representative - Responsible Officer	1, 4, 9	
KWAN Kin Kwok	BEH928	Licensed Representative - Responsible Officer	9	
KWOK Chun Kong	APO661	Licensed Representative - Responsible Officer	9	
LAM Wai Hong, Edward	AFU656	Licensed Representative - Responsible Officer		2
LAN Qingwen	AWW400	Licensed Representative - Responsible Officer	4	
LAU Gar Hung Christopher	BDR387	Licensed Representative - Responsible Officer	9	
LEE Chak Yan, Charles	AQR637	Licensed Representative - Responsible Officer		9
LEUNG Ho Wing	BHR780	Licensed Representative - Responsible Officer		9
LEUNG Wai Kee	ANF250	Licensed Representative - Responsible Officer	9	
LIU Juan	BUC612	Licensed Representative - Responsible Officer		9
LIU Xiaomeng	BEN196	Licensed Representative - Responsible Officer	9	
LO Ying Kin	ATL664	Licensed Representative - Responsible Officer		3
MANSOUR Charles Marcus	BNH611	Licensed Representative - Responsible Officer	2	
NG Chun Tak, Kenneth	AOY069	Licensed Representative - Responsible Officer	2	
NG Wai Ho	AVL488	Licensed Representative - Responsible Officer	1, 4	
ROZENTULLER Alexander	BJT372	Licensed Representative - Responsible Officer	2	
SIU Mei Fung	AGM507	Licensed Representative - Responsible Officer	9	
SO Hin Pong	AAF507	Licensed Representative - Responsible Officer		2
SOO Chi Kit	AOI967	Licensed Representative - Responsible Officer	9	
STEEL Murray Joseph	AUA735	Licensed Representative - Responsible Officer	1	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
TONG Tsz Chun	ATS073	Licensed Representative - Responsible Officer	1	
TSOI Chin Pang	AUB775	Licensed Representative - Responsible Officer		3
WANG Jun	BEO183	Licensed Representative - Responsible Officer	4, 9	
WANG Yi Peng	BJR851	Licensed Representative - Responsible Officer		9
WONG Shu Sing	APD420	Licensed Representative - Responsible Officer	4, 9	
WU Feng	BVA703	Licensed Representative - Responsible Officer	1	
XIE Junxiong	BPV269	Licensed Representative - Responsible Officer		4
XIN Yin	BWC774	Licensed Representative - Responsible Officer	4	
YEUNG King Yip	BEY896	Licensed Representative - Responsible Officer	4	
YEUNG Wai Kin	BGI814	Licensed Representative - Responsible Officer	6	
ZHANG Zhiyu	AYQ807	Licensed Representative - Responsible Officer		1
ZHOU Haigen	BKB395	Licensed Representative - Responsible Officer	9	
GOTO Hiroyuki	ASU203	Licensed Representative (Provisional Licence)	2	
MA Wentao	BWO209	Licensed Representative (Provisional Licence)	2, 4	
SULTANA Maidah	BWM039	Licensed Representative (Provisional Licence)	2	