**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2026**

| CE Name | CE No. | Licence Type | Types of Regulated Acitivity Added | Types of Regulated Acitivity Removed |
|---|---|---|---|---|
| BNP PARIBAS ASSET MANAGEMENT APAC Limited | AAP809 | Licensed Corporation | | 2, 3 |
| Brevan Howard (Hong Kong) Limited | AKO483 | Licensed Corporation | 1, 2 | |
| HMOUNT Asset Management (HK) Limited | BKU365 | Licensed Corporation | 4 | |
| KR Securities (Hong Kong) Limited | BQG402 | Licensed Corporation | 1 | |
| Polen Capital HK Limited | ABA410 | Licensed Corporation | | 1 |
| ACQUILLA Avaneesh John | ARB905 | Licensed Representative | | 1, 4 |
| CAI Shaojun | BFL054 | Licensed Representative | 2, 5 | |
| CHAN Hing Wang | ABQ698 | Licensed Representative | | 4 |
| CHAN Ka Chun | BNK737 | Licensed Representative | | 4 |
| CHAN Kin Yung, Alexander | AXD986 | Licensed Representative | | 4 |
| CHAN Tsz Chun, Steve | AFU877 | Licensed Representative | | 4 |
| CHAN Wing Yin | BQL592 | Licensed Representative | 2, 4, 9 | |
| CHAN Yik Yan Charlotte | BSU998 | Licensed Representative | | 9 |
| CHAN Yuk Nam | AZL605 | Licensed Representative | | 2 |
| CHANG Hoi Yan, Vivian | AEE778 | Licensed Representative | | 1 |
| CHAO Evan | BKA233 | Licensed Representative | 1, 4 | 9 |
| CHEN James Tze Chia | BTG802 | Licensed Representative | 1 | |
| CHEN Jiahui | BVG011 | Licensed Representative | 4, 9 | |
| CHEN Yanping | BVS105 | Licensed Representative | | 6 |
| CHEN Yanru | BYB312 | Licensed Representative | 4, 5 | |
| CHEN Yansong | BVM728 | Licensed Representative | | 2, 5 |
| CHENG Wai Ho | AAC398 | Licensed Representative | | 6 |
| CHEUNG Christopher Ka Tsun | AFY937 | Licensed Representative | | 1, 4 |
| CHEUNG Chun Mei | BLL105 | Licensed Representative | 9 | 1 |
| CHEUNG Kit | AZV239 | Licensed Representative | 1, 4 | |
| CHEUNG Leo Chun Kit | BQL007 | Licensed Representative | 9 | 1 |
| CHEUNG Shu Ting | BVS550 | Licensed Representative | | 4 |
| CHIK Yiu Fai | ASD682 | Licensed Representative | | 1, 2, 5 |
| CHIN Ming Kit | BWB009 | Licensed Representative | 4 | 7 |
| CHIU Hok Hon | BEE264 | Licensed Representative | | 5 |
| CHONG Wing Hong | BKN190 | Licensed Representative | | 4 |
| CHOW Chun Man | BXN600 | Licensed Representative | | 2 |
| CHOW Oi Ki | BQE977 | Licensed Representative | 4 | |
| CHOY Wun Ling, Elsa | AGK895 | Licensed Representative | | 3 |
| CHUANG I-Chu | ANW396 | Licensed Representative | | 6 |
| CHUI Hing Cheung Ronald | BOJ266 | Licensed Representative | 2 | 4 |
| DONG Minzhuo | BOX021 | Licensed Representative | 4 | |
| FAN Yun-Chieh | BNO886 | Licensed Representative | 2, 5 | 9 |
| FER Benoit Francois | BAO462 | Licensed Representative | 9 | 1, 2 |
| FONG Ngan Alice | BTY223 | Licensed Representative | | 4 |
| FONG Wai Ying, Vivian Catherine | BBT305 | Licensed Representative | | 1 |
| FU Ming | ATI402 | Licensed Representative | | 1 |
| FUNAKI Maya | BEW206 | Licensed Representative | 9 | 1, 4 |
| FUNG Hin Wai | AUH265 | Licensed Representative | 9 | |
| GAN Bingxin | BYA696 | Licensed Representative | 4 | |
| GAN Siok Hong | ALF539 | Licensed Representative | 4 | |
| GERARD Coline Jeanine Lucie Marie | BVI840 | Licensed Representative | | 7 |
| GONG Kunkun | BRX736 | Licensed Representative | 2 | |
| GUPTA Ashutosh | ATW073 | Licensed Representative | 1 | |
| HAO Wei | BVC505 | Licensed Representative | 2 | |
| HE Jia | AOJ239 | Licensed Representative | 2, 9 | 4 |
| HO Ka Chun | BFE606 | Licensed Representative | 9 | |
| HSIAO Eugene Yow Seng | AOP006 | Licensed Representative | 9 | 4 |
| HU Baosheng | BNH298 | Licensed Representative | 9 | |
| HU Haotian | BSP513 | Licensed Representative | | 1, 4 |
| HU Zhao | BWF521 | Licensed Representative | 1 | |
| HUANG Chin Lun | BLO104 | Licensed Representative | 2 | 4 |
| HUANG Jie | BTT240 | Licensed Representative | 4 | 2 |
| HUANG Siyun | BSQ935 | Licensed Representative | | 1, 2, 4, 5 |

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**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2026**

| CE Name | CE No. | Licence Type | Types of Regulated Acitivity Added | Types of Regulated Acitivity Removed |
|---|---|---|---|---|
| HUI Siu Chit | BQE539 | Licensed Representative | 9 | |
| HUO Weiqi | BVF787 | Licensed Representative | 4, 5, 9 | 1 |
| IP Wai Cheuk, Raymond | AMB348 | Licensed Representative | 1, 9 | |
| JIANG Han | BGP856 | Licensed Representative | 4 | |
| JIANG Zhikun | BUB178 | Licensed Representative | | 1, 6 |
| KO Sung H | BNY625 | Licensed Representative | | 1 |
| KONG Huawei | BXN116 | Licensed Representative | 2 | |
| KONG Piao | BXO353 | Licensed Representative | 2, 5 | |
| KU Justin Cheng-Cheng | BTQ253 | Licensed Representative | | 6 |
| KWOK Chor Sing | AQJ206 | Licensed Representative | 4 | |
| KWOK Ka Yiu | AFG950 | Licensed Representative | | 1 |
| LAI Chi Ka | BRE645 | Licensed Representative | 1 | 5 |
| LAI Qiwen | BQV868 | Licensed Representative | 6 | 4 |
| LAM Pan | AQE969 | Licensed Representative | 4, 9 | |
| LAM Siu Mo | BOS468 | Licensed Representative | 4 | |
| LAM Wing Yan | BYQ470 | Licensed Representative | 2 | |
| LAU Chun Sing | AMF586 | Licensed Representative | 1 | |
| LAU Ka Ki | BXN328 | Licensed Representative | 3 | |
| LAU Muk Hoi | AUQ375 | Licensed Representative | | 4, 5 |
| LAU See Ho | BXZ804 | Licensed Representative | 3 | |
| LAU Sin Yu | BAF288 | Licensed Representative | | 4 |
| LAU Wai Keung | BRN964 | Licensed Representative | | 1 |
| LAU Wai Kit | APR878 | Licensed Representative | 9 | 1 |
| LAW Lok Yin | BVA923 | Licensed Representative | 4 | |
| LEE Hajeong | BWQ920 | Licensed Representative | 4 | 6 |
| LEE Juhyung | BTE965 | Licensed Representative | 4 | 6 |
| LEE Po Lun | BTY105 | Licensed Representative | | 4, 9 |
| LEE Suet Kau Jojo | BIQ720 | Licensed Representative | | 1 |
| LEI Yang | BWK893 | Licensed Representative | | 9 |
| LEUNG Chung Wing | BNC659 | Licensed Representative | | 4 |
| LEUNG Hiu Lam Kristie | BPY363 | Licensed Representative | 4 | |
| LEUNG Ka Yau | AEF848 | Licensed Representative | | 1 |
| LEUNG Ki Lung | BUY684 | Licensed Representative | | 2, 4, 9 |
| LI Cheng | BTW578 | Licensed Representative | 2 | |
| LI Chi Hong | AMJ927 | Licensed Representative | | 4 |
| LI Gang | BVF829 | Licensed Representative | 2 | 1, 6 |
| LI Jiaxin | BVS898 | Licensed Representative | 6 | 2 |
| LI Kin Sang | AOH898 | Licensed Representative | | 4, 9 |
| LI Mansha | BWT452 | Licensed Representative | 2 | |
| LI Yi | BNJ999 | Licensed Representative | 4 | |
| LI Yi | BUL434 | Licensed Representative | | 4 |
| LI Yunxiao | BVN073 | Licensed Representative | 6 | |
| LI Zehuan | BQO834 | Licensed Representative | 1 | 9 |
| LI Zhiyi | BSS153 | Licensed Representative | 1, 6 | 4 |
| LIAN Minwei | BFF356 | Licensed Representative | | 2 |
| LIAN Xinran | BUF989 | Licensed Representative | 1 | |
| LIAO Weicong | BOW492 | Licensed Representative | 1 | |
| LIAO Zichai | BVP573 | Licensed Representative | | 9 |
| LIN Hui | BWX573 | Licensed Representative | 9 | 1 |
| LIN Jinlin | BTK937 | Licensed Representative | 2 | 4, 9 |
| LIN Ke | BRS682 | Licensed Representative | 2, 5 | 1, 4, 6 |
| LIN Xiaorong | BNB435 | Licensed Representative | | 6 |
| LIT Ho Man, Vienna | APB993 | Licensed Representative | | 2 |
| LIU Chuangang | BXF324 | Licensed Representative | 9 | |
| LIU Pu Chun | BLN934 | Licensed Representative | 1 | |
| LIU Rongming | BXU164 | Licensed Representative | 1 | |
| LIU Xiaoyun | BVH897 | Licensed Representative | 4 | |
| LIU Xinyi | BYB264 | Licensed Representative | 1 | 4, 9 |
| LIU Yujie | BNZ883 | Licensed Representative | | 4 |

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**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2026**

| CE Name | CE No. | Licence Type | Types of Regulated Acitivity Added | Types of Regulated Acitivity Removed |
|---|---|---|---|---|
| LO Kwok Loong, Sammy | AAH920 | Licensed Representative | 1 | |
| LO Maverick Ho Yeung | BQS591 | Licensed Representative | 2 | |
| LU Heng | BTN010 | Licensed Representative | 1, 4 | |
| LU Xiaoxue | BRD933 | Licensed Representative | 4 | |
| LU Yang | BPJ266 | Licensed Representative | 1 | |
| LUK Ka Wai | APL793 | Licensed Representative | | 4, 9 |
| LUK Wai Sum | BGE246 | Licensed Representative | | 4 |
| LUO Xuan | BTB637 | Licensed Representative | | 3 |
| LUO YUZHU | BXQ543 | Licensed Representative | | 4, 9 |
| LYU Sheng | BXY534 | Licensed Representative | | 9 |
| MA Kwok Chau | BOG876 | Licensed Representative | | 2 |
| MA Ming Hei | BRW412 | Licensed Representative | 1, 4 | |
| MA Minghao | BQO711 | Licensed Representative | 5 | |
| MA Yuan | BYN302 | Licensed Representative | 1, 4 | |
| MAK Cheuk Pui Wesley | BYF019 | Licensed Representative | 6 | |
| MAK Ching Lam | BSU513 | Licensed Representative | 2 | |
| MAK Ka Chi Garrett | BVW392 | Licensed Representative | 2 | |
| MANG Po Ping | BAT856 | Licensed Representative | | 6 |
| MAO Jianmin | BWR177 | Licensed Representative | 1 | |
| MAO Li | AQG730 | Licensed Representative | | 6 |
| NAJI Ramin | APH952 | Licensed Representative | 1 | |
| NG Kai Chiu | BYG227 | Licensed Representative | 6 | |
| NG King Fung | BXL146 | Licensed Representative | 9 | 1, 2 |
| NI Yifan | BPF441 | Licensed Representative | 6 | 4, 9 |
| OH Jinwoo | BUB972 | Licensed Representative | 4 | |
| OH Sang Hoon | AXH658 | Licensed Representative | 1, 9 | |
| OKA MIHOKO | BWW485 | Licensed Representative | | 9 |
| PANG Wai Ying | AKX102 | Licensed Representative | | 4 |
| PLAUCHU Simon | BPY238 | Licensed Representative | | 1 |
| QI Kelly Fan | BCW518 | Licensed Representative | 2, 9 | 6 |
| QIN Shuai | BVF733 | Licensed Representative | 9 | |
| RAKHIMOV Anuar | BWY638 | Licensed Representative | 2, 5 | |
| SCHRANTZ Alexander | AFF166 | Licensed Representative | | 4 |
| SHEN Xiaozhou | BXI752 | Licensed Representative | 1 | |
| SHI Beiying | BNB386 | Licensed Representative | | 5 |
| SNELGROVE Paul Rodger | AEE375 | Licensed Representative | | 4 |
| SO Cho Leung | BES482 | Licensed Representative | | 4 |
| SO Nga Ting, Bernadette | AVY580 | Licensed Representative | 1 | |
| SONG Ce | BWN402 | Licensed Representative | | 4 |
| SU Simin | AYD305 | Licensed Representative | 4 | |
| SZE Hoi Cheung | BRC171 | Licensed Representative | | 2 |
| SZE Ka Kwan | ASI039 | Licensed Representative | 5 | |
| SZETO Hon | BFI943 | Licensed Representative | | 2 |
| TAM Chiu Kin | BDL026 | Licensed Representative | 2, 9 | |
| TAN Shuyue | AWP011 | Licensed Representative | | 4 |
| TAN Yu | BWF001 | Licensed Representative | 1 | |
| TANG Hao | BSR857 | Licensed Representative | 4 | |
| TANG Kai Fan | BPE834 | Licensed Representative | 3 | |
| TANG Kam Tao | BJK228 | Licensed Representative | 1, 4 | |
| TANG Loi Sik | BFX684 | Licensed Representative | | 2 |
| TANG Tsun Kit George | ASW346 | Licensed Representative | 1 | 5 |
| TANG Yuanbei | BUW063 | Licensed Representative | | 1, 6 |
| TANG Zheng | BVW695 | Licensed Representative | 7 | |
| TANG ZIJIE | BRF546 | Licensed Representative | 1 | |
| TAO Sijing | BXU531 | Licensed Representative | 2 | |
| TIAN Hongyu | BXQ967 | Licensed Representative | 9 | 4 |
| TIMBERT Adrien Leo | BQM395 | Licensed Representative | | 1, 4 |
| TIWARI Sudhir Kumar | BPU393 | Licensed Representative | 9 | 1 |
| TSANG Chun Fai | BEQ486 | Licensed Representative | 9 | |

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**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2026**

| CE Name | CE No. | Licence Type | Types of Regulated Acitivity Added | Types of Regulated Acitivity Removed |
|---|---|---|---|---|
| TSE Ming Yin | BUQ975 | Licensed Representative | 9 | |
| TSOI Ka Hei | BUK151 | Licensed Representative | 3 | |
| TSOI Wai Hung | BRJ549 | Licensed Representative | 2 | |
| TSOI Yau Ching | ACW725 | Licensed Representative | | 3 |
| WANG Guojian | BTN309 | Licensed Representative | 2 | |
| WANG Stanley | BSZ761 | Licensed Representative | 9 | 4 |
| WANG Weiran | AZC884 | Licensed Representative | 9 | 4, 5 |
| WANG Yanan | AVE602 | Licensed Representative | | 1 |
| WANG Yuxuan | BXI427 | Licensed Representative | | 4 |
| WANG Zhaoran | BWV330 | Licensed Representative | 4 | 6 |
| WANG Zhengguang | BTW387 | Licensed Representative | 1 | |
| WANGH Noah Baxtresser | AOC717 | Licensed Representative | 9 | |
| WEI Yaoyao | BRR455 | Licensed Representative | 1 | |
| WONG Chor Tung | BGP797 | Licensed Representative | | 2 |
| WONG Chung Sing | BRB405 | Licensed Representative | 4 | |
| WONG Hiu Man | AMJ982 | Licensed Representative | | 1 |
| WONG Hiu Tung | BTY586 | Licensed Representative | 1, 4 | |
| WONG Hoi Ting | BQE975 | Licensed Representative | 2, 4 | |
| WONG Jonathan Pak Tung | BTQ621 | Licensed Representative | 2 | |
| WONG Ka Yiu | BYD873 | Licensed Representative | 4 | |
| WONG Shing Chung, Anthony | BHA279 | Licensed Representative | 1 | |
| WONG Wai Yin | BDT382 | Licensed Representative | 4 | |
| WONG Wing Sheung | AGO417 | Licensed Representative | | 2 |
| WONG Ying Kin | AZZ120 | Licensed Representative | 4 | |
| WU CHENGYU | BQZ952 | Licensed Representative | | 4 |
| WU Chujun | BWC381 | Licensed Representative | 1, 4 | |
| WU Jiawei | BXV341 | Licensed Representative | 4 | |
| WU Yang | BHY416 | Licensed Representative | 1 | |
| WU Yeuk Man | AFB357 | Licensed Representative | 4 | |
| XI Wang | BOE256 | Licensed Representative | | 1 |
| XIA Wuyong | BUA852 | Licensed Representative | | 4, 6 |
| XIE Hongjun | AQZ553 | Licensed Representative | | 9 |
| XIE Yiming | BWX210 | Licensed Representative | | 9 |
| XU Chendi | AWL336 | Licensed Representative | 9 | 1, 4, 6 |
| XU Hanchao | BAV218 | Licensed Representative | 4 | |
| XU Xinchang | BWU646 | Licensed Representative | 4 | |
| XU Yixin | BUP110 | Licensed Representative | | 4 |
| XU Zhongli | BTH719 | Licensed Representative | 2 | 4, 9 |
| YAN Xi | BRR931 | Licensed Representative | | 4 |
| YANG Lujia | BBU233 | Licensed Representative | 2, 3 | 9 |
| YANG Zihan | BXB398 | Licensed Representative | | 1 |
| YAO Deyin | BHS756 | Licensed Representative | | 4 |
| YAU Yin Tan | BFW878 | Licensed Representative | 4 | |
| YE Wenli | AVL281 | Licensed Representative | | 1 |
| YE Xiaoxiong | BHU991 | Licensed Representative | 9 | 4 |
| YEUNG See Ah | ARD761 | Licensed Representative | 4, 9 | 1, 2 |
| YIP Mei Na | BAZ555 | Licensed Representative | 5 | 1 |
| YIU Chi Wai | AUB977 | Licensed Representative | | 1 |
| YOW Wan Shun Caleb | BSW173 | Licensed Representative | | 4 |
| YU Menglin | BRV436 | Licensed Representative | | 4, 9 |
| YUAN Xinyi | BWW660 | Licensed Representative | | 4 |
| ZHAI Donghui | BXO178 | Licensed Representative | 2 | |
| ZHANG Mengqi | BIX234 | Licensed Representative | | 1 |
| ZHANG Na | AVJ731 | Licensed Representative | 9 | |
| ZHANG Ruichen | BYK363 | Licensed Representative | 5 | |
| ZHANG Xiaoyu | BMQ071 | Licensed Representative | 4 | |
| ZHANG Xinyi | BRR414 | Licensed Representative | 4 | |
| ZHAO Fanyue | BOU464 | Licensed Representative | | 2, 4, 5 |
| ZHAO Ji | BRT383 | Licensed Representative | 1, 4 | |

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2026**

| CE Name | CE No. | Licence Type | Types of Regulated Acitivity Added | Types of Regulated Acitivity Removed |
|---|---|---|---|---|
| ZHAO Jing | BVL476 | Licensed Representative | | 1 |
| ZHEN Jingjing | AXH989 | Licensed Representative | | 4, 9 |
| ZHENG Xing | BRZ706 | Licensed Representative | 4, 9 | 1 |
| ZHENG Zhen | BCZ940 | Licensed Representative | 4, 9 | |
| ZHONG Jiayao | BVI739 | Licensed Representative | 4 | |
| ZHONG Jingcheng | BOT991 | Licensed Representative | | 6 |
| ZHOU Diao | BQN680 | Licensed Representative | 1 | |
| ZHOU Kunfeng | BLR401 | Licensed Representative | 1, 4 | |
| ZHOU Liwen | BTQ927 | Licensed Representative | 2 | |
| ZHOU Tianhao | BKR264 | Licensed Representative | 9 | 1 |
| ZHU Chao | BUG079 | Licensed Representative | 2, 5 | |
| ZHU Lixuan | BUG549 | Licensed Representative | 9 | 4, 6 |
| ZHU Yiqian | BRX125 | Licensed Representative | | 4 |
| ZHUANG Weibin | BPW011 | Licensed Representative | 1 | 9 |
| CHAN Yan Fong | ALK716 | Licensed Representative - Responsible Officer | 1 | |
| CHENG Ka Wing | AEW325 | Licensed Representative - Responsible Officer | 4 | |
| CHEUNG Chun Fai | AQD310 | Licensed Representative - Responsible Officer | 4, 9 | |
| CHEUNG Ho Ming | BSX775 | Licensed Representative - Responsible Officer | 9 | |
| CHUI Chun Kit | AZW913 | Licensed Representative - Responsible Officer | 1, 5 | |
| FUNG Ka Wai | BGZ624 | Licensed Representative - Responsible Officer | 1 | |
| HASSAN Tahmimm | BII044 | Licensed Representative - Responsible Officer | | 9 |
| KO Sung H | BNY625 | Licensed Representative - Responsible Officer | 9 | |
| LI Hongli | BDQ909 | Licensed Representative - Responsible Officer | 6 | |
| LING Chun Hui, Norbert | BSD224 | Licensed Representative - Responsible Officer | 5 | |
| LOU Yubao | BUR260 | Licensed Representative - Responsible Officer | 1 | |
| LUI Ying | AMM444 | Licensed Representative - Responsible Officer | | 1 |
| LUO Xi | AQO326 | Licensed Representative - Responsible Officer | 4 | |
| NG Ean Kiam | AVS580 | Licensed Representative - Responsible Officer | 1 | |
| NG Eric Peter | ARO920 | Licensed Representative - Responsible Officer | 1, 2 | |
| NG Kim Fung, Jason | BGG503 | Licensed Representative - Responsible Officer | 1 | |
| SHAN Dan | BLB709 | Licensed Representative - Responsible Officer | 4 | |
| TANG Yuanbei | BUW063 | Licensed Representative - Responsible Officer | 9 | |
| TERUYA Takashi | BWR285 | Licensed Representative - Responsible Officer | 2 | |
| TONG Yan | BLB683 | Licensed Representative - Responsible Officer | 4 | |
| TSUI Cheuk Chi | ARV914 | Licensed Representative - Responsible Officer | 4 | |
| WAN Qing | BUX694 | Licensed Representative - Responsible Officer | 4 | |
| WANG Nathan | ADJ636 | Licensed Representative - Responsible Officer | 1 | |
| WONG Sze Yuen, Marshal | AUK435 | Licensed Representative - Responsible Officer | 1 | |
| XIANG Xi | BAP836 | Licensed Representative - Responsible Officer | | 9 |
| YAN Ka Lok | AJK630 | Licensed Representative - Responsible Officer | 9 | |
| YANG Yong | AYJ431 | Licensed Representative - Responsible Officer | 1, 4 | |
| YIU Chi Wai | AUB977 | Licensed Representative - Responsible Officer | 4, 9 | |
| ZHANG Zhihua | ANB118 | Licensed Representative - Responsible Officer | 1 | |