

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CASH Asset Management Limited	ALF042	Licensed Corporation	4, 5	
Central China Asset Management Company Limited	BHI931	Licensed Corporation	4	
CITIC Securities Asset Management (HK) Limited	ARE947	Licensed Corporation	2	
CITIC Securities CLSA Capital Partners (HK) Limited	AEI057	Licensed Corporation	1	
Empower Capital Partners Limited	BTF037	Licensed Corporation		1
Outset Global (Hong Kong) Limited	BOS066	Licensed Corporation	2	
Polen Capital HK Limited	ABA410	Licensed Corporation	1	
The Core Securities Company Limited	AVO854	Licensed Corporation		3
ALTUNDAG Tan Kadir	BUN689	Licensed Representative	9	
AMAN Matthew Kyle	BTR249	Licensed Representative		4, 9
AU Bing Wing	BGX721	Licensed Representative	1, 4	9
AU YEUNG Chi Keung	BID775	Licensed Representative		1, 2
AU-YEUNG Pui Shan	BTZ921	Licensed Representative	9	
BAI Mingrui	BVO611	Licensed Representative	1, 4	6
BI Zhongyuan	BGA703	Licensed Representative		1, 4
BUBERE Ayesha	BIN745	Licensed Representative	1	2
BUDDEN Andrew Francis	BBJ193	Licensed Representative	4	
CAI Minghui	BUX222	Licensed Representative	4	
CHAK Wai Nga	BOO797	Licensed Representative		9
CHAN Cheuk Ling	BRT108	Licensed Representative		9
CHAN Chi Fai	AJO293	Licensed Representative		1
CHAN Ching Yeung	BKS757	Licensed Representative		2
CHAN Darren	BBP205	Licensed Representative		1
CHAN Fai Yeung	BUA847	Licensed Representative	1	
CHAN Sau Ha, Windy	AAJ412	Licensed Representative		2
CHAN Sing Ho	BVB361	Licensed Representative	4	
CHAN Soon Yene	AXH282	Licensed Representative		4, 9
CHAN Sze Wei, Eric	ALG205	Licensed Representative		1
CHAN Tak Hong	AUZ339	Licensed Representative		2, 4, 9
CHAN Un Fu	AGR375	Licensed Representative	4	
CHAN Wai Wa	AJH722	Licensed Representative		4, 5
CHAN Wing Tung	BRW781	Licensed Representative	4	2
CHAN Yin Ting Elaine	AYJ856	Licensed Representative		1, 4
CHEN Bin	BIH025	Licensed Representative	6	4, 9
CHEN Hsing-Yu	BJH672	Licensed Representative	9	
CHEN Jiawen	BTR450	Licensed Representative	1, 4	
CHEN Jun	BLZ486	Licensed Representative	1, 4	
CHEN Peijie	BNU863	Licensed Representative		4
CHEN Rui	BOH233	Licensed Representative	9	
CHEN Shimeng	BNQ702	Licensed Representative	1	
CHEN Xiaoting	BMR901	Licensed Representative	1	5
CHENG Wei Chi Edlyn	BEF807	Licensed Representative	2	
CHEUK Kai Hung	AMO662	Licensed Representative	4	
CHEUNG Lap Kei, Ricky	ADS638	Licensed Representative		2
CHEUNG Nga I	BTC074	Licensed Representative	2	4, 9
CHEUNG Pui Mei Heidi	BRQ411	Licensed Representative		1
CHEUNG Shui Bing	ACR622	Licensed Representative		2
CHEUNG Wing Kwan, Louis	AAH791	Licensed Representative	2, 5	
CHIANG Pei-Ju	AZY034	Licensed Representative	3	
CHIN Chi Kui	APQ984	Licensed Representative	2	
CHIN Sui Ying	APR030	Licensed Representative	1	
CHIU Sing Kwong	AAB535	Licensed Representative		2
CHOI Ka Wai	BNG786	Licensed Representative		9
CHOI Tsz Leung	ASX465	Licensed Representative	1	
CHOI Wai Hung	BTR290	Licensed Representative	4	
CHONG Ping Leong, Peter	BJU611	Licensed Representative		4
CHONG Wai Lun, Lin	AGG058	Licensed Representative		2
CHOW Shiu Lun	ABF183	Licensed Representative		9
CHU Ka Man	AGH438	Licensed Representative		2

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHU Siu Wing	BRQ409	Licensed Representative		4, 5
CHUI Heung Chin	ANQ230	Licensed Representative		2
DAI Xiao	BPK874	Licensed Representative	9	
DALEY Jemma	BRK937	Licensed Representative	9	
DING Zheng	BWA941	Licensed Representative	1	
DONG Xue	BMZ452	Licensed Representative	4	
FAN Xiaotong	BOI302	Licensed Representative		1, 4
FORTE Francesco	BIE652	Licensed Representative	1	5
FOURNIER-BARRAND Francois-Alexis Fabien	BLR943	Licensed Representative		9
FU Jinfeng	ATR255	Licensed Representative	5	
FU Siu Lai	BST364	Licensed Representative		1
FUNG Chin Lok	BUW998	Licensed Representative	2, 5	
Fung Chun Ho Spencer	BSP547	Licensed Representative	3	
FUNG Kai Chi	ADY865	Licensed Representative		2
GAI Shu Wen	BVT107	Licensed Representative		1
GAO Xin	AUC236	Licensed Representative		1
GAO Yu	BIB949	Licensed Representative	4	
GAO Yuan	BTG964	Licensed Representative	4	
GONG Ngan Ling	ACA540	Licensed Representative		2
GONG Yanmei	BPG280	Licensed Representative	9	
GUAN Tianjie	BTT838	Licensed Representative	1	
HAMABATA Corey Junzo	BSD942	Licensed Representative		4
HE Bin	BTO361	Licensed Representative		4, 5
HE Jingxi	BOJ609	Licensed Representative		5
HO Chun Keung	BGX931	Licensed Representative		1, 4
HO Kai Chun	BGQ842	Licensed Representative	1	
HO Wai Chi	ABZ276	Licensed Representative		2
HO Wun Kuen	BHU595	Licensed Representative		4, 5
HU Haoruo	BUK471	Licensed Representative	4	
HU Junqiong	BVU926	Licensed Representative	4, 9	
HU Wenjing	BVT985	Licensed Representative	9	
HUANG Lingying	BPD882	Licensed Representative	1	
HUANG Minghong	BES185	Licensed Representative		1, 4
HUANG Ping Hung	BNY554	Licensed Representative	9	
HUERLIMANN Karl Franz	BTY268	Licensed Representative		1
HUI Lung Kei	APJ521	Licensed Representative		4
HUI Wai Man	AIU457	Licensed Representative		1
JI Bing	BRJ104	Licensed Representative	2	
JING Yi	BRQ314	Licensed Representative	1	
KAHN Joshua Eliot	AUO009	Licensed Representative		9
KISHIMOTO Akitomo	BUG460	Licensed Representative		1
KOK Lai Yin	BRY515	Licensed Representative		1, 4
KONG Bing	BUD924	Licensed Representative	2	
KUNG Chin Pang	ATB596	Licensed Representative	1	
KWOK Simon	AVQ920	Licensed Representative		1, 2
KWOK Wai Ling	BPX593	Licensed Representative		1
KWONG Kuen Lun, Doris	AAB597	Licensed Representative		2
KWONG Yim Heung, Angela	ABN577	Licensed Representative		2
LAM Bun Hei	BIS018	Licensed Representative		6
LAM Hing Yee	BMQ339	Licensed Representative		1
LAM Ho Wah	ACP893	Licensed Representative		2
LAM Ming Kai	AMH584	Licensed Representative	3	1
LAM Pui Hei	AWD300	Licensed Representative	2	
LAM Sheung Yu	BGA612	Licensed Representative		4
LAM So Ying	AKT570	Licensed Representative		1
LAM Sui Lung	BHJ694	Licensed Representative		1, 4
LAM Sze Wai	BJG780	Licensed Representative	4	
LAM Wai Hung, Andy	ADB647	Licensed Representative	1, 2	9
LAM Yan Wing	AEN555	Licensed Representative		6

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LAU Ka Hei	BAZ979	Licensed Representative		4, 5
LAU Wai Kit	APV613	Licensed Representative		2
LAU Wen Ting	APF353	Licensed Representative		1
LAW Man Fai	BHL026	Licensed Representative		2
LEE Chak Fai	BFU996	Licensed Representative		1
LEE Hiu Lam	BHE225	Licensed Representative	4	
LEE Kai Yee	BAD882	Licensed Representative	4	2
LEE Shing Hei	BMU806	Licensed Representative		9
LEE Wai Yip	AWX944	Licensed Representative		2, 5
LEE Yin Kiu	BOU278	Licensed Representative		9
LEUNG Shiu Keung	AQG975	Licensed Representative	9	
LI Fung Wang	BRM284	Licensed Representative		1, 2
LI Jiazhou	BRA026	Licensed Representative		9
LI Peng	BOA529	Licensed Representative	2	
LI Qian	BUC447	Licensed Representative		5
LI Siqi	BLZ748	Licensed Representative		4
LI Sze Kit	AOV329	Licensed Representative	2	
LI Tairong	BVZ384	Licensed Representative		1
LI Tianyi	BHH855	Licensed Representative	3	
LI Wei Qi, Jacky	ADI184	Licensed Representative		2
LI Xu	BUF344	Licensed Representative		2
LI Yi	AQQ241	Licensed Representative		1
LI Yongjin	BPJ656	Licensed Representative		1, 2
LI Zhengwei	BDY438	Licensed Representative	4	2
LIM Boon Yew	BSW285	Licensed Representative		4, 9
LIM San Seng	BOP228	Licensed Representative	1	
LIN Guoqin	BMR586	Licensed Representative		2, 5
LIT Ho Man, Vienna	APB993	Licensed Representative		9
LIU Chang	BRX924	Licensed Representative	9	
LIU Chujun	BPB590	Licensed Representative	9	
LIU Guanqun	BTU316	Licensed Representative	1	9
LIU Ka Lim	ACZ705	Licensed Representative		1
LIU Kit Yin, Jessica	AHP540	Licensed Representative		2
LIU Minyue	BNB412	Licensed Representative	9	
LIU Wengqiang	BRO873	Licensed Representative		4, 5
LIU Xinxing	BJZ135	Licensed Representative		4
LIU Ying	BNIO47	Licensed Representative		6
LIU Yuan	BGL501	Licensed Representative	9	
LIU Zhuolin	BQS941	Licensed Representative		1
LUK Nga Cheong	BJK529	Licensed Representative		4, 5
MA Li	BBG535	Licensed Representative	2	
MA Qingrui	BRR889	Licensed Representative		1, 4
MA Wei	BRM304	Licensed Representative		1
MAN Chi Chiu	ABP796	Licensed Representative		8
MAN Tsz Man	ADV627	Licensed Representative	2, 4, 5, 9	
MAO Yifan	BUA185	Licensed Representative		4, 5
MARTIRANI Massimiliano	BEV149	Licensed Representative		1, 2
MO Choi Ying, Rena	AAD626	Licensed Representative		2
MOHAN Siddharth	AQW031	Licensed Representative		4, 5
NG Hiu Ching	BKS840	Licensed Representative	4, 9	
NG HIU KWAN NATALIE	BRW240	Licensed Representative	6	
NG Ka Man	AUZ729	Licensed Representative		2
NG Ka Man, Christina	ADB159	Licensed Representative		2
NG kik	BWB201	Licensed Representative		4
NG Wa Fung	BSV503	Licensed Representative	9	
NG Yuet Tung	BRA156	Licensed Representative		4
NI Sunxu	BUQ352	Licensed Representative	1, 2	
PAK Yan Tung	BSI057	Licensed Representative	2, 5	
POON Chun Ning, Linus	BJO277	Licensed Representative	7	2, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
QI Yingling	BQV595	Licensed Representative	4, 5	
QIAN Kexin	BSX811	Licensed Representative		1, 2
QIN Yu	BNL547	Licensed Representative		4
REN Zeyu	BUF827	Licensed Representative	2	
SAM Chun Yin	BUU976	Licensed Representative		1, 4
SHEN Zhengyang	BWB235	Licensed Representative	9	
SHI Hanzhou	BPK470	Licensed Representative	4	
SHI Wenminlong	BVG216	Licensed Representative	1	
SHU Hoi Li, Karie	AFA354	Licensed Representative		4
SHU Tong	BTE940	Licensed Representative		9
SHUTE Alexander Paul	BSD846	Licensed Representative	9	
SUEN Wing Sze Camille	BUC626	Licensed Representative	2	
TAM Ying Ho, Chester	ADV035	Licensed Representative		9
TAN Zhiyi	BQS570	Licensed Representative		6
TANG Jialu	BSE382	Licensed Representative	6	
TANG Lanqu	BNO568	Licensed Representative		2, 5
TANG Miu Ling	ACJ601	Licensed Representative		2
TIAN Qi	BFD608	Licensed Representative		9
TING Yu Ching Tiffany	BUO596	Licensed Representative	1	
TONG Chi Hang	APK725	Licensed Representative	4	
TONG Shing Kit, Jack	ADS004	Licensed Representative		2
TOSCANI Tyler Russel	BNP525	Licensed Representative	2	
TSANG Chi Pan	BTQ757	Licensed Representative	1, 2, 4	9
TSE Yip Kan	BUS399	Licensed Representative		3
TU Qiang	BRA894	Licensed Representative	9	
WAN Pengcheng	BTU099	Licensed Representative		4, 9
WANG Jialin	BRB819	Licensed Representative		2, 4
WANG Lili	BMQ113	Licensed Representative		4
WANG Luxuan	BWB068	Licensed Representative	9	
WANG Mengxuan	BVL781	Licensed Representative	4, 9	1
WANG Shuyi	BOX537	Licensed Representative	4, 9	1
WANG Xiao	AYO928	Licensed Representative		1
WANG Xinyang	BRZ751	Licensed Representative		1, 4
WANG Yawei	BEA503	Licensed Representative		4
WANG Yuxuan	AVS196	Licensed Representative	4	
WEN Xin	BPH873	Licensed Representative	1, 4	
WONG Chao Keng	AVU790	Licensed Representative	4, 9	
WONG Chi Hang	BJK881	Licensed Representative		4, 5
WONG Chi Ho	AVN455	Licensed Representative	9	
WONG Man Chung	BTT022	Licensed Representative	9	
WONG Sing Piu, Bill	AAD086	Licensed Representative		2
WONG Tak Ming	ALI748	Licensed Representative		2
WONG Tsun Lung	BRP942	Licensed Representative	2	
WONG Xia Xin	AZF233	Licensed Representative	9	
WU Jiangsuo	BGO781	Licensed Representative	4	
WU Lulu	BBJ798	Licensed Representative		1, 2, 4
WU Peng	BVW304	Licensed Representative	1, 9	
WU Sidao	BLI073	Licensed Representative	4, 9	1
WU Wenjing	BQO867	Licensed Representative	2	
WU Yongcheng	BPS797	Licensed Representative		2, 5
XIA Danning	BHY439	Licensed Representative	4	
XIAO Feifei	BKE569	Licensed Representative	9	6
XUE Wenyi	BVI519	Licensed Representative	9	
YAN Yuk Hing, Liza	ACT285	Licensed Representative		2
YANG Liang	BOT039	Licensed Representative	6	
YANG Wayne Ky	APW468	Licensed Representative		4
YANG Xin	BLP894	Licensed Representative		1, 2
YANG Yifan	BUF745	Licensed Representative	1, 4	
YANG Zheyun	BVK568	Licensed Representative	9	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YE Fei Yue	API132	Licensed Representative	9	
YEN Yu Hung	BPJ232	Licensed Representative	9	1, 2
YIN Huize	BSU894	Licensed Representative	6	
YONG Dun He	BPS174	Licensed Representative		1
YONG Sai Long	BHJ221	Licensed Representative		5
YOU Xi	BTT074	Licensed Representative	4	
YU Man Sze	BNE611	Licensed Representative		1, 4
YU Peng	BGG515	Licensed Representative	2	
ZHAI Yu	BTY292	Licensed Representative	1	2
ZHANG Bo	BQP559	Licensed Representative		3
ZHANG Congzhan	BTJ178	Licensed Representative		1
ZHANG Enwei	BTQ107	Licensed Representative		3
ZHANG Jing	BSZ343	Licensed Representative		9
ZHANG Lingxi	BQO411	Licensed Representative	3	
ZHANG Nini	BTE359	Licensed Representative		4, 5
ZHANG Su	BDJ817	Licensed Representative	2	
ZHANG Ying	AXD382	Licensed Representative		2, 4, 5, 9
ZHANG Yiran	BHP832	Licensed Representative		5, 9
ZHANG Yueyang	BHW836	Licensed Representative	1	
ZHANG Zebao	BVV956	Licensed Representative	4	
ZHOU Huihan	BUH626	Licensed Representative		1
ZHU Xiaodan	BPE721	Licensed Representative	9	4
ZHU Yan	BBK147	Licensed Representative	4, 9	
ZHU Yi	AWE965	Licensed Representative		2, 4
ZONG Fang	BJD918	Licensed Representative	9	1
ZOU Jianke	BIZ748	Licensed Representative		6
AMUNDSSON Niklas Kostadin	AYK125	Licensed Representative - Responsible Officer	4	
CHAN Ming Chun	BDM024	Licensed Representative - Responsible Officer	4, 9	
CHAN Yiu Kwong	AED596	Licensed Representative - Responsible Officer	4, 9	
CHEN Song	BIE866	Licensed Representative - Responsible Officer	1	
CHEN Zhaozheng	BVP932	Licensed Representative - Responsible Officer	4, 9	
CHENG Wing Hung	AMA065	Licensed Representative - Responsible Officer	4, 9	
CHENG Xiongfei	BNL345	Licensed Representative - Responsible Officer		2
CHEUNG Kwok Yan	AEJ164	Licensed Representative - Responsible Officer		1, 4
CHEUNG Pui, Maria	APF787	Licensed Representative - Responsible Officer	6	
CHEUNG Tik Ho, Penny	AOM599	Licensed Representative - Responsible Officer	2	
CHEUNG Wai Kit	AFG763	Licensed Representative - Responsible Officer	1, 4	
CHOW Wai Man, Ronny	ARW735	Licensed Representative - Responsible Officer		2, 5
FANG Yingxue	BQC792	Licensed Representative - Responsible Officer	9	
FOK CHI MING	BPW474	Licensed Representative - Responsible Officer	4	
HE Lin	BUV323	Licensed Representative - Responsible Officer	4, 9	
HESSE Jakob Fabian	AYC692	Licensed Representative - Responsible Officer	9	
HO Chi Hang, Jeffrey	AUZ020	Licensed Representative - Responsible Officer	1	
HUANG Yiyun	BOX071	Licensed Representative - Responsible Officer	4, 9	
HUERLIMANN Karl Franz	BTY268	Licensed Representative - Responsible Officer	9	
HUI Lam Chiu	ADF453	Licensed Representative - Responsible Officer	9	
KIM Jong Kuk	AFC107	Licensed Representative - Responsible Officer		1
KO Siu Lun	AFS292	Licensed Representative - Responsible Officer	4	
LAI Wai Nam	BNY633	Licensed Representative - Responsible Officer	9	
LAU Cho Fung	BLI767	Licensed Representative - Responsible Officer		9
LAU Wen Ting	APF353	Licensed Representative - Responsible Officer	9	
LI Jie	BUD067	Licensed Representative - Responsible Officer	4	
LI Kecheng	AKO454	Licensed Representative - Responsible Officer	4	
LI Peng	BOA529	Licensed Representative - Responsible Officer		4
LIU Chujun	BPB590	Licensed Representative - Responsible Officer	4, 5	
LUI Ying	AMM444	Licensed Representative - Responsible Officer	1	
MA Ka Chun	BFX093	Licensed Representative - Responsible Officer	5	
MAK Yung Pan Andrew	BMI336	Licensed Representative - Responsible Officer		4, 9
MARTIRANI Massimiliano	BEV149	Licensed Representative - Responsible Officer	4, 9	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
MCCABE Raymond Francis	BOT145	Licensed Representative - Responsible Officer	2	
NG Sze Shing, Michael	AAE240	Licensed Representative - Responsible Officer		2
PENVERNE Bertrand, Paul, Andre	BUB943	Licensed Representative - Responsible Officer	4	
POON Cheuk Nam, Miranda	AFD430	Licensed Representative - Responsible Officer	1	
QIAO Junfeng	BBD284	Licensed Representative - Responsible Officer		4, 5, 9
SO Hin Pong	AAF507	Licensed Representative - Responsible Officer		4, 9
SUN Kin Kong, James	AFT382	Licensed Representative - Responsible Officer	9	
TANG Jingchen	AZX116	Licensed Representative - Responsible Officer	9	
VO Mai Khanh	BOW856	Licensed Representative - Responsible Officer	1	
WANG Dian	BTF038	Licensed Representative - Responsible Officer		1
WANG Feifei	AZV648	Licensed Representative - Responsible Officer	4	
WANG Miaoru	BUO501	Licensed Representative - Responsible Officer	1, 4	
WANG Yufei	BTL147	Licensed Representative - Responsible Officer	9	
WONG Chung Hym	AOP206	Licensed Representative - Responsible Officer	2	
WONG Ngat	APW582	Licensed Representative - Responsible Officer		1
WONG Sze Kai	AXF253	Licensed Representative - Responsible Officer	4, 5	
WOODCOCK Charles Maxwell Howieson	AYD171	Licensed Representative - Responsible Officer	2	
YANG Yuguo	BTV639	Licensed Representative - Responsible Officer	2, 6	
ZHANG Chao	BAK268	Licensed Representative - Responsible Officer	4	
ZHANG Ming Min	BGB214	Licensed Representative - Responsible Officer	1	
ZHU Lei	BNH645	Licensed Representative - Responsible Officer	2, 5	
TSOI Kam Pui	BVX591	Licensed Representative (Provisional Licence)	3	
DBS Bank (Hong Kong) Limited	AAL664	Registered Institution	2	
DBS Bank Ltd.	AAC212	Registered Institution	2	