

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2025

| CE Name | CE No. | License Type | Types of Regulated Activity Added | Types of Regulated Activity Removed |
|-----------------------------------------------------|--------|-------------------------|-----------------------------------|-------------------------------------|
| CASH Asset Management Limited | ALF042 | Licensed Corporation | 4, 5 | |
| Central China Asset Management Company Limited | BH1931 | Licensed Corporation | 4 | |
| CITIC Securities Asset Management (HK) Limited | ARE947 | Licensed Corporation | 2 | |
| CITIC Securities CLSA Capital Partners (HK) Limited | AEI057 | Licensed Corporation | 1 | |
| Empower Capital Partners Limited | BTF037 | Licensed Corporation | | 1 |
| Outset Global (Hong Kong) Limited | BOS066 | Licensed Corporation | 2 | |
| Polen Capital HK Limited | ABA410 | Licensed Corporation | 1 | |
| The Core Securities Company Limited | AVO854 | Licensed Corporation | | 3 |
| ALTUNDAG Tan Kadir | BUN689 | Licensed Representative | 9 | |
| AMAN Matthew Kyle | BTR249 | Licensed Representative | | 4, 9 |
| AU Bing Wing | BGX721 | Licensed Representative | 1, 4 | 9 |
| AU YEUNG Chi Keung | BID775 | Licensed Representative | | 1, 2 |
| AU-YEUNG Pui Shan | BTZ921 | Licensed Representative | 9 | |
| BAI Mingrui | BVO611 | Licensed Representative | 1, 4 | 6 |
| BI Zhongyuan | BGA703 | Licensed Representative | | 1, 4 |
| BUBERE Ayasha | BIN745 | Licensed Representative | 1 | 2 |
| BUDDEN Andrew Francis | BBJ193 | Licensed Representative | 4 | |
| CAI Minghui | BUX222 | Licensed Representative | 4 | |
| CHAK Wai Nga | BOO797 | Licensed Representative | | 9 |
| CHAN Cheuk Ling | BRT108 | Licensed Representative | | 9 |
| CHAN Chi Fai | AJO293 | Licensed Representative | | 1 |
| CHAN Ching Yeung | BKS757 | Licensed Representative | | 2 |
| CHAN Darren | BBP205 | Licensed Representative | | 1 |
| CHAN Fai Yeung | BUA847 | Licensed Representative | 1 | |
| CHAN Sau Ha, Windy | AAJ412 | Licensed Representative | | 2 |
| CHAN Sing Ho | BVB361 | Licensed Representative | 4 | |
| CHAN Soon Yene | AXH282 | Licensed Representative | | 4, 9 |
| CHAN Sze Wai, Eric | ALG205 | Licensed Representative | | 1 |
| CHAN Tak Hong | AUZ339 | Licensed Representative | | 2, 4, 9 |
| CHAN Un Fu | AGR375 | Licensed Representative | 4 | |
| CHAN Wai Wa | AJH722 | Licensed Representative | | 4, 5 |
| CHAN Wing Tung | BRW781 | Licensed Representative | 4 | 2 |
| CHAN Yin Ting Elaine | AYJ856 | Licensed Representative | | 1, 4 |
| CHEN Bin | BIH025 | Licensed Representative | 6 | 4, 9 |
| CHEN Hsing-Yu | BJH672 | Licensed Representative | 9 | |
| CHEN Jiawen | BTR450 | Licensed Representative | 1, 4 | |
| CHEN Jun | BLZ486 | Licensed Representative | 1, 4 | |
| CHEN Peijie | BNU863 | Licensed Representative | | 4 |
| CHEN Rui | BOH233 | Licensed Representative | 9 | |
| CHEN Shimeng | BNQ702 | Licensed Representative | 1 | |
| CHEN Xiaoting | BMR901 | Licensed Representative | 1 | 5 |
| CHENG Wei Chi Edlyn | BEF807 | Licensed Representative | 2 | |
| CHEUK Kai Hung | AMO662 | Licensed Representative | 4 | |
| CHEUNG Lap Kei, Ricky | ADS638 | Licensed Representative | | 2 |
| CHEUNG Nga I | BTC074 | Licensed Representative | 2 | 4, 9 |
| CHEUNG Pui Mei Heidi | BRQ411 | Licensed Representative | | 1 |
| CHEUNG Shui Bing | ACR622 | Licensed Representative | | 2 |
| CHEUNG Wing Kwan, Louis | AAH791 | Licensed Representative | 2, 5 | |
| CHIANG Pei-Ju | AZY034 | Licensed Representative | 3 | |
| CHIN Chi Kui | APQ984 | Licensed Representative | 2 | |
| CHIN Sui Ying | APR030 | Licensed Representative | 1 | |
| CHIU Sing Kwong | AAB535 | Licensed Representative | | 2 |
| CHOI Ka Wai | BNG786 | Licensed Representative | | 9 |
| CHOI Tsz Leung | ASX465 | Licensed Representative | 1 | |
| CHOI Wai Hung | BTR290 | Licensed Representative | 4 | |
| CHONG Ping Leong, Peter | BJU611 | Licensed Representative | | 4 |
| CHONG Wai Lun, Lin | AGG058 | Licensed Representative | | 2 |
| CHOW Shiu Lun | ABF183 | Licensed Representative | | 9 |
| CHU Ka Man | AGH438 | Licensed Representative | | 2 |

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| CE Name | CE No. | License Type | Types of Regulated Activity Added | Types of Regulated Activity Removed |
|-----------------------------------------|--------|-------------------------|-----------------------------------|-------------------------------------|
| CHU Siu Wing | BRQ409 | Licensed Representative | | 4, 5 |
| CHUI Heung Chin | ANQ230 | Licensed Representative | | 2 |
| DAI Xiao | BPK874 | Licensed Representative | 9 | |
| DALEY Jemma | BRK937 | Licensed Representative | 9 | |
| DING Zheng | BWA941 | Licensed Representative | 1 | |
| DONG Xue | BMZ452 | Licensed Representative | 4 | |
| FAN Xiaotong | BOI302 | Licensed Representative | | 1, 4 |
| FORTE Francesco | BIE652 | Licensed Representative | 1 | 5 |
| FOURNIER-BARRAND Francois-Alexis Fabien | BLR943 | Licensed Representative | | 9 |
| FU Jinfeng | ATR255 | Licensed Representative | 5 | |
| FU Siu Lai | BST364 | Licensed Representative | | 1 |
| FUNG Chin Lok | BUW998 | Licensed Representative | 2, 5 | |
| Fung Chun Ho Spencer | BSP547 | Licensed Representative | 3 | |
| FUNG Kai Chi | ADY865 | Licensed Representative | | 2 |
| GAI Shu Wen | BVT107 | Licensed Representative | | 1 |
| GAO Xin | AUC236 | Licensed Representative | | 1 |
| GAO Yu | BIB949 | Licensed Representative | 4 | |
| GAO Yuan | BTG964 | Licensed Representative | 4 | |
| GONG Ngan Ling | ACA540 | Licensed Representative | | 2 |
| GONG Yanmei | BPG280 | Licensed Representative | 9 | |
| GUAN Tianjie | BTT838 | Licensed Representative | 1 | |
| HAMABATA Corey Junzo | BSD942 | Licensed Representative | | 4 |
| HE Bin | BTO361 | Licensed Representative | | 4, 5 |
| HE Jingxi | BOJ609 | Licensed Representative | | 5 |
| HO Chun Keung | BGX931 | Licensed Representative | | 1, 4 |
| HO Kai Chun | BGQ842 | Licensed Representative | 1 | |
| HO Wai Chi | ABZ276 | Licensed Representative | | 2 |
| HO Wun Kuen | BHU595 | Licensed Representative | | 4, 5 |
| HU Haoruo | BUK471 | Licensed Representative | 4 | |
| HU Junqiong | BVU926 | Licensed Representative | 4, 9 | |
| HU Wenjing | BVT985 | Licensed Representative | 9 | |
| HUANG Lingying | BPD882 | Licensed Representative | 1 | |
| HUANG Minghong | BES185 | Licensed Representative | | 1, 4 |
| HUANG Ping Hung | BNY554 | Licensed Representative | 9 | |
| HUERLIMANN Karl Franz | BTY268 | Licensed Representative | | 1 |
| HUI Lung Kei | APJ521 | Licensed Representative | | 4 |
| HUI Wai Man | AIU457 | Licensed Representative | | 1 |
| JI Bing | BRJ104 | Licensed Representative | 2 | |
| JING Yi | BRQ314 | Licensed Representative | 1 | |
| KAHN Joshua Eliot | AUO009 | Licensed Representative | | 9 |
| KISHIMOTO Akitomo | BUG460 | Licensed Representative | | 1 |
| KOK Lai Yin | BRY515 | Licensed Representative | | 1, 4 |
| KONG Bing | BUD924 | Licensed Representative | 2 | |
| KUNG Chin Pang | ATB596 | Licensed Representative | 1 | |
| KWOK Simon | AVQ920 | Licensed Representative | | 1, 2 |
| KWOK Wai Ling | BPX593 | Licensed Representative | | 1 |
| KWONG Kuen Lun, Doris | AAB597 | Licensed Representative | | 2 |
| KWONG Yim Heung, Angela | ABN577 | Licensed Representative | | 2 |
| LAM Bun Hei | BIS018 | Licensed Representative | | 6 |
| LAM Hing Yee | BMQ339 | Licensed Representative | | 1 |
| LAM Ho Wah | ACP893 | Licensed Representative | | 2 |
| LAM Ming Kai | AMH584 | Licensed Representative | 3 | 1 |
| LAM Pui Hei | AWD300 | Licensed Representative | 2 | |
| LAM Sheung Yu | BGA612 | Licensed Representative | | 4 |
| LAM So Ying | AKT570 | Licensed Representative | | 1 |
| LAM Sui Lung | BHJ694 | Licensed Representative | | 1, 4 |
| LAM Sze Wai | BJG780 | Licensed Representative | 4 | |
| LAM Wai Hung, Andy | ADB647 | Licensed Representative | 1, 2 | 9 |
| LAM Yan Wing | AEN555 | Licensed Representative | | 6 |

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| CE Name | CE No. | License Type | Types of Regulated Activity Added | Types of Regulated Activity Removed |
|------------------------|--------|-------------------------|-----------------------------------|-------------------------------------|
| LAU Ka Hei | BAZ979 | Licensed Representative | | 4, 5 |
| LAU Wai Kit | APV613 | Licensed Representative | | 2 |
| LAU Wen Ting | APF353 | Licensed Representative | | 1 |
| LAW Man Fai | BHL026 | Licensed Representative | | 2 |
| LEE Chak Fai | BFU996 | Licensed Representative | | 1 |
| LEE Hiu Lam | BHE225 | Licensed Representative | 4 | |
| LEE Kai Yee | BAD882 | Licensed Representative | 4 | 2 |
| LEE Shing Hei | BMU806 | Licensed Representative | | 9 |
| LEE Wai Yip | AWX944 | Licensed Representative | | 2, 5 |
| LEE Yin Kiu | BOU278 | Licensed Representative | | 9 |
| LEUNG Shiu Keung | AQG975 | Licensed Representative | 9 | |
| LI Fung Wang | BRM284 | Licensed Representative | | 1, 2 |
| LI Jiazhou | BRA026 | Licensed Representative | | 9 |
| LI Peng | BOA529 | Licensed Representative | 2 | |
| LI Qian | BUC447 | Licensed Representative | | 5 |
| LI Siqi | BLZ748 | Licensed Representative | | 4 |
| LI Sze Kit | AOV329 | Licensed Representative | 2 | |
| LI Tairong | BVZ384 | Licensed Representative | | 1 |
| LI Tianyi | BHH855 | Licensed Representative | 3 | |
| LI Wei Qi, Jacky | ADI184 | Licensed Representative | | 2 |
| LI Xu | BUF344 | Licensed Representative | | 2 |
| LI Yi | AQQ241 | Licensed Representative | | 1 |
| LI Yongjin | BPJ656 | Licensed Representative | | 1, 2 |
| LI Zhengwei | BDY438 | Licensed Representative | 4 | 2 |
| LIM Boon Yew | BSW285 | Licensed Representative | | 4, 9 |
| LIM San Seng | BOP228 | Licensed Representative | 1 | |
| LIN Guoqin | BMR586 | Licensed Representative | | 2, 5 |
| LIT Ho Man, Vienna | APB993 | Licensed Representative | | 9 |
| LIU Chang | BRX924 | Licensed Representative | 9 | |
| LIU Chujun | BPB590 | Licensed Representative | 9 | |
| LIU Guanqun | BTU316 | Licensed Representative | 1 | 9 |
| LIU Ka Lim | ACZ705 | Licensed Representative | | 1 |
| LIU Kit Yin, Jessica | AHP540 | Licensed Representative | | 2 |
| LIU Minyue | BNB412 | Licensed Representative | 9 | |
| LIU Wenqiang | BRO873 | Licensed Representative | | 4, 5 |
| LIU Xinxing | BJZ135 | Licensed Representative | | 4 |
| LIU Ying | BNI047 | Licensed Representative | | 6 |
| LIU Yuan | BGL501 | Licensed Representative | 9 | |
| LIU Zhuolin | BQS941 | Licensed Representative | | 1 |
| LUK Nga Cheong | BJK529 | Licensed Representative | | 4, 5 |
| MA Li | BBG535 | Licensed Representative | 2 | |
| MA Qingrui | BRR889 | Licensed Representative | | 1, 4 |
| MA Wei | BRM304 | Licensed Representative | | 1 |
| MAN Chi Chiu | ABP796 | Licensed Representative | | 8 |
| MAN Tsz Man | ADV627 | Licensed Representative | 2, 4, 5, 9 | |
| MAO Yifan | BUA185 | Licensed Representative | | 4, 5 |
| MARTIRANI Massimiliano | BEV149 | Licensed Representative | | 1, 2 |
| MO Choi Ying, Rena | AAD626 | Licensed Representative | | 2 |
| MOHAN Siddharth | AQW031 | Licensed Representative | | 4, 5 |
| NG Hiu Ching | BKS840 | Licensed Representative | 4, 9 | |
| NG HIU KWAN NATALIE | BRW240 | Licensed Representative | 6 | |
| NG Ka Man | AUZ729 | Licensed Representative | | 2 |
| NG Ka Man, Christina | ADB159 | Licensed Representative | | 2 |
| NG kik | BWB201 | Licensed Representative | | 4 |
| NG Wa Fung | BSV503 | Licensed Representative | 9 | |
| NG Yuet Tung | BRA156 | Licensed Representative | | 4 |
| NI Sunxu | BUQ352 | Licensed Representative | 1, 2 | |
| PAK Yan Tung | BSI057 | Licensed Representative | 2, 5 | |
| POON Chun Ning, Linus | BJO277 | Licensed Representative | 7 | 2, 9 |

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| CE Name | CE No. | License Type | Types of Regulated Activity Added | Types of Regulated Activity Removed |
|-----------------------|--------|-------------------------|-----------------------------------|-------------------------------------|
| QI Yingling | BQV595 | Licensed Representative | 4, 5 | |
| QIAN Kexin | BSX811 | Licensed Representative | | 1, 2 |
| QIN Yu | BNL547 | Licensed Representative | | 4 |
| REN Zeyu | BUF827 | Licensed Representative | 2 | |
| SAM Chun Yin | BUU976 | Licensed Representative | | 1, 4 |
| SHEN Zhengyang | BWB235 | Licensed Representative | 9 | |
| SHI Hanzhou | BPK470 | Licensed Representative | 4 | |
| SHI Wenminlong | BVG216 | Licensed Representative | 1 | |
| SHU Hoi Li, Karie | AFA354 | Licensed Representative | | 4 |
| SHU Tong | BTE940 | Licensed Representative | | 9 |
| SHUTE Alexander Paul | BSD846 | Licensed Representative | 9 | |
| SUEN Wing Sze Camille | BUC626 | Licensed Representative | 2 | |
| TAM Ying Ho, Chester | ADV035 | Licensed Representative | | 9 |
| TAN Zhiyi | BQS570 | Licensed Representative | | 6 |
| TANG Jialu | BSE382 | Licensed Representative | 6 | |
| TANG Lanqu | BNO568 | Licensed Representative | | 2, 5 |
| TANG Miu Ling | ACJ601 | Licensed Representative | | 2 |
| TIAN Qi | BFD608 | Licensed Representative | | 9 |
| TING Yu Ching Tiffany | BUO596 | Licensed Representative | 1 | |
| TONG Chi Hang | APK725 | Licensed Representative | 4 | |
| TONG Shing Kit, Jack | ADS004 | Licensed Representative | | 2 |
| TOSCANI Tyler Russel | BNP525 | Licensed Representative | 2 | |
| TSANG Chi Pan | BTQ757 | Licensed Representative | 1, 2, 4 | 9 |
| TSE Yip Kan | BUS399 | Licensed Representative | | 3 |
| TU Qiang | BRA894 | Licensed Representative | 9 | |
| WAN Pengcheng | BTU099 | Licensed Representative | | 4, 9 |
| WANG Jialin | BRB819 | Licensed Representative | | 2, 4 |
| WANG Lili | BMQ113 | Licensed Representative | | 4 |
| WANG Luxuan | BWB068 | Licensed Representative | 9 | |
| WANG Mengxuan | BVL781 | Licensed Representative | 4, 9 | 1 |
| WANG Shuyi | BOX537 | Licensed Representative | 4, 9 | 1 |
| WANG Xiao | AYO928 | Licensed Representative | | 1 |
| WANG Xinyang | BRZ751 | Licensed Representative | | 1, 4 |
| WANG Yawei | BEA503 | Licensed Representative | | 4 |
| WANG Yuxuan | AVS196 | Licensed Representative | 4 | |
| WEN Xin | BPH873 | Licensed Representative | 1, 4 | |
| WONG Chao Keng | AVU790 | Licensed Representative | 4, 9 | |
| WONG Chi Hang | BJK881 | Licensed Representative | | 4, 5 |
| WONG Chi Ho | AVN455 | Licensed Representative | 9 | |
| WONG Man Chung | BTT022 | Licensed Representative | 9 | |
| WONG Sing Piu, Bill | AAD086 | Licensed Representative | | 2 |
| WONG Tak Ming | ALI748 | Licensed Representative | | 2 |
| WONG Tsun Lung | BRP942 | Licensed Representative | 2 | |
| WONG Xia Xin | AZF233 | Licensed Representative | 9 | |
| WU Jiangsuo | BGO781 | Licensed Representative | 4 | |
| WU Lulu | BBJ798 | Licensed Representative | | 1, 2, 4 |
| WU Peng | BVW304 | Licensed Representative | 1, 9 | |
| WU Sidao | BLI073 | Licensed Representative | 4, 9 | 1 |
| WU Wenjing | BQO867 | Licensed Representative | 2 | |
| WU Yongcheng | BPS797 | Licensed Representative | | 2, 5 |
| XIA Danning | BHY439 | Licensed Representative | 4 | |
| XIAO Feifei | BKE569 | Licensed Representative | 9 | 6 |
| XUE Wenyi | BVI519 | Licensed Representative | 9 | |
| YAN Yuk Hing, Liza | ACT285 | Licensed Representative | | 2 |
| YANG Liang | BOT039 | Licensed Representative | 6 | |
| YANG Wayne Ky | APW468 | Licensed Representative | | 4 |
| YANG Xin | BLP894 | Licensed Representative | | 1, 2 |
| YANG Yifan | BUF745 | Licensed Representative | 1, 4 | |
| YANG Zheyun | BVK568 | Licensed Representative | 9 | |

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| CE Name | CE No. | License Type | Types of Regulated Activity Added | Types of Regulated Activity Removed |
|---------------------------|--------|-----------------------------------------------|-----------------------------------|-------------------------------------|
| YE Fei Yue | API132 | Licensed Representative | 9 | |
| YEN Yu Hung | BPJ232 | Licensed Representative | 9 | 1, 2 |
| YIN Huize | BSU894 | Licensed Representative | 6 | |
| YONG Dun He | BPS174 | Licensed Representative | | 1 |
| YONG Sai Long | BHJ221 | Licensed Representative | | 5 |
| YOU Xi | BTT074 | Licensed Representative | 4 | |
| YU Man Sze | BNE611 | Licensed Representative | | 1, 4 |
| YU Peng | BGG515 | Licensed Representative | 2 | |
| ZHAI Yu | BTY292 | Licensed Representative | 1 | 2 |
| ZHANG Bo | BQP559 | Licensed Representative | | 3 |
| ZHANG Congzhan | BTJ178 | Licensed Representative | | 1 |
| ZHANG Enwei | BTQ107 | Licensed Representative | | 3 |
| ZHANG Jing | BSZ343 | Licensed Representative | | 9 |
| ZHANG Lingxi | BQO411 | Licensed Representative | 3 | |
| ZHANG Nini | BTE359 | Licensed Representative | | 4, 5 |
| ZHANG Su | BDJ817 | Licensed Representative | 2 | |
| ZHANG Ying | AXD382 | Licensed Representative | | 2, 4, 5, 9 |
| ZHANG Yiran | BHP832 | Licensed Representative | | 5, 9 |
| ZHANG Yueyang | BHW836 | Licensed Representative | 1 | |
| ZHANG Zebao | BVV956 | Licensed Representative | 4 | |
| ZHOU Huihan | BUH626 | Licensed Representative | | 1 |
| ZHU Xiaodan | BPE721 | Licensed Representative | 9 | 4 |
| ZHU Yan | BBK147 | Licensed Representative | 4, 9 | |
| ZHU Yi | AWE965 | Licensed Representative | | 2, 4 |
| ZONG Fang | BJD918 | Licensed Representative | 9 | 1 |
| ZOU Jianke | BIZ748 | Licensed Representative | | 6 |
| AMUNDSSON Niklas Kostadin | AYK125 | Licensed Representative - Responsible Officer | 4 | |
| CHAN Ming Chun | BDM024 | Licensed Representative - Responsible Officer | 4, 9 | |
| CHAN Yiu Kwong | AED596 | Licensed Representative - Responsible Officer | 4, 9 | |
| CHEN Song | BIE866 | Licensed Representative - Responsible Officer | 1 | |
| CHEN Zhaozheng | BVP932 | Licensed Representative - Responsible Officer | 4, 9 | |
| CHENG Wing Hung | AMA065 | Licensed Representative - Responsible Officer | 4, 9 | |
| CHENG Xiongfei | BNL345 | Licensed Representative - Responsible Officer | | 2 |
| CHEUNG Kwok Yan | AEJ164 | Licensed Representative - Responsible Officer | | 1, 4 |
| CHEUNG Pui, Maria | APF787 | Licensed Representative - Responsible Officer | 6 | |
| CHEUNG Tik Ho, Penny | AOM599 | Licensed Representative - Responsible Officer | 2 | |
| CHEUNG Wai Kit | AFG763 | Licensed Representative - Responsible Officer | 1, 4 | |
| CHOW Wai Man, Ronny | ARW735 | Licensed Representative - Responsible Officer | | 2, 5 |
| FANG Yingxue | BQC792 | Licensed Representative - Responsible Officer | 9 | |
| FOK CHI MING | BPW474 | Licensed Representative - Responsible Officer | 4 | |
| HE Lin | BUV323 | Licensed Representative - Responsible Officer | 4, 9 | |
| HESSE Jakob Fabian | AYC692 | Licensed Representative - Responsible Officer | 9 | |
| HO Chi Hang, Jeffrey | AUZ202 | Licensed Representative - Responsible Officer | 1 | |
| HUANG Yiyun | BOX071 | Licensed Representative - Responsible Officer | 4, 9 | |
| HUERLIMANN Karl Franz | BTY268 | Licensed Representative - Responsible Officer | 9 | |
| HUI Lam Chiu | ADF453 | Licensed Representative - Responsible Officer | 9 | |
| KIM Jong Kuk | AFC107 | Licensed Representative - Responsible Officer | | 1 |
| KO Siu Lun | AFS292 | Licensed Representative - Responsible Officer | 4 | |
| LAI Wai Nam | BNY633 | Licensed Representative - Responsible Officer | 9 | |
| LAU Cho Fung | BLI767 | Licensed Representative - Responsible Officer | | 9 |
| LAU Wen Ting | APF353 | Licensed Representative - Responsible Officer | 9 | |
| LI Jie | BUD067 | Licensed Representative - Responsible Officer | 4 | |
| LI Kecheng | AKO454 | Licensed Representative - Responsible Officer | 4 | |
| LI Peng | BOA529 | Licensed Representative - Responsible Officer | | 4 |
| LIU Chujun | BPB590 | Licensed Representative - Responsible Officer | 4, 5 | |
| LUI Ying | AMM444 | Licensed Representative - Responsible Officer | 1 | |
| MA Ka Chun | BFX093 | Licensed Representative - Responsible Officer | 5 | |
| MAK Yung Pan Andrew | BMI336 | Licensed Representative - Responsible Officer | | 4, 9 |
| MARTIRANI Massimiliano | BEV149 | Licensed Representative - Responsible Officer | 4, 9 | |

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|-----------------------------------|--------|-----------------------------------------------|-----------------------------------|-------------------------------------|
| MCCABE Raymond Francis | BOT145 | Licensed Representative - Responsible Officer | 2 | |
| NG Sze Shing, Michael | AAE240 | Licensed Representative - Responsible Officer | | 2 |
| PENVERNE Bertrand, Paul, Andre | BUB943 | Licensed Representative - Responsible Officer | 4 | |
| POON Cheuk Nam, Miranda | AFD430 | Licensed Representative - Responsible Officer | 1 | |
| QIAO Junfeng | BBD284 | Licensed Representative - Responsible Officer | | 4, 5, 9 |
| SO Hin Pong | AAF507 | Licensed Representative - Responsible Officer | | 4, 9 |
| SUN Kin Kong, James | AFT382 | Licensed Representative - Responsible Officer | 9 | |
| TANG Jingchen | AZX116 | Licensed Representative - Responsible Officer | 9 | |
| VO Mai Khanh | BOW856 | Licensed Representative - Responsible Officer | 1 | |
| WANG Dian | BTF038 | Licensed Representative - Responsible Officer | | 1 |
| WANG Feifei | AZV648 | Licensed Representative - Responsible Officer | 4 | |
| WANG Miaoru | BUO501 | Licensed Representative - Responsible Officer | 1, 4 | |
| WANG Yufei | BTL147 | Licensed Representative - Responsible Officer | 9 | |
| WONG Chung Hym | AOP206 | Licensed Representative - Responsible Officer | 2 | |
| WONG Ngat | APW582 | Licensed Representative - Responsible Officer | | 1 |
| WONG Sze Kai | AXF253 | Licensed Representative - Responsible Officer | 4, 5 | |
| WOODCOCK Charles Maxwell Howieson | AYD171 | Licensed Representative - Responsible Officer | 2 | |
| YANG Yuguo | BTV639 | Licensed Representative - Responsible Officer | 2, 6 | |
| ZHANG Chao | BAK268 | Licensed Representative - Responsible Officer | 4 | |
| ZHANG Ming Min | BGB214 | Licensed Representative - Responsible Officer | 1 | |
| ZHU Lei | BNH645 | Licensed Representative - Responsible Officer | 2, 5 | |
| TSOI Kam Pui | BVX591 | Licensed Representative (Provisional Licence) | 3 | |
| DBS Bank (Hong Kong) Limited | AAL664 | Registered Institution | 2 | |
| DBS Bank Ltd. | AAC212 | Registered Institution | 2 | |