

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2023

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Altive Limited	BPK587	Licensed Corporation		1
Booming Asset Management Limited	BNN542	Licensed Corporation	1	
ESR Hong Kong Asset Management Limited	BSH171	Licensed Corporation	4	
Flow Capital (HK) Limited	BQP846	Licensed Corporation	1, 4	
Golden Rich Securities Limited	BGQ899	Licensed Corporation	4	
Grand China Securities Limited	AYX768	Licensed Corporation	2, 9	
I-Access Investors Limited	ALV032	Licensed Corporation		2
JQ Investments (HK) Limited	BQC933	Licensed Corporation	4	
Motto Global Asset Management Limited	BAV343	Licensed Corporation	4	
River Delta Wealth Management Company Limited	BRV669	Licensed Corporation	4	
Seazen Resources Asset Management Limited	BDW782	Licensed Corporation		1
Sumitomo Mitsui Trust (Hong Kong) Limited	AAO147	Licensed Corporation	3	
Wells Fargo Securities Asia Limited	AQP592	Licensed Corporation		9
BAE Hyun Eui	BEJ400	Licensed Representative		4
BARARD Joshua Raj	BIU546	Licensed Representative	2	1
BEERLI Christian	BKT618	Licensed Representative	4	
CAI Linfeng	BQO296	Licensed Representative		6
CAO Zinan	BOZ960	Licensed Representative		1
CHAN Chi Ip	ACN933	Licensed Representative		1
CHAN Ching Leong	BCC897	Licensed Representative		4
CHAN Choi Hung	APO018	Licensed Representative		1
CHAN Fong Leung	BLZ047	Licensed Representative	3	
CHAN Ho Ming	ATS071	Licensed Representative	4	
CHAN Hung Ling	AAG115	Licensed Representative		4, 9
CHAN Kei Yin	BEO156	Licensed Representative	4, 5	
CHAN See Yin, Abraham	AFP787	Licensed Representative		1, 9
CHAN Shek Chuen	ARE460	Licensed Representative		2
CHELLAPPA Karthik	ANN265	Licensed Representative	4	9
CHEN Amanda	AWF103	Licensed Representative	4	
CHEN Chih-ching	AQD360	Licensed Representative		1
CHEN Hu	BMA461	Licensed Representative	2	
CHEN Xiaohua	BAM436	Licensed Representative	4, 9	
CHEN Yi-hsuan	BCK950	Licensed Representative	1	
CHENG Kit Fu	BNG039	Licensed Representative		4, 9
CHENG Lok Wai	ALS138	Licensed Representative		4
CHENG Wai Man	ANK374	Licensed Representative	1	
CHEUNG Hoi Kit	BIZ340	Licensed Representative	2	
CHEUNG Pui Sin	BM1865	Licensed Representative	2	
CHEUNG Wai Ching, Vancy	AFB036	Licensed Representative	1, 4	
CHIEN MunMun	BGM592	Licensed Representative	9	
CHIU Ching	BRX917	Licensed Representative	1	
CHIU Pei Tzu	BPO592	Licensed Representative	2	
CHO Sungwoo	BSU591	Licensed Representative		9
CHOI Wing Tak	AYS109	Licensed Representative		1
CHONG Moon Bui, William	AGA249	Licensed Representative	4, 9	
CHOW Ho Sing	BBS501	Licensed Representative	9	
CHOW Shiu Lun	ABF183	Licensed Representative		4, 9
CHU Chun Pui, Jason	BQO412	Licensed Representative	4	
CHUNG Tsun, Stephen	AWN749	Licensed Representative	9	
CUI Yousheng	BTB150	Licensed Representative	4	
DAI Kuang	BFX867	Licensed Representative		4
DENG Yanwei	BSM331	Licensed Representative		1
DUAN Yixuan	BRT112	Licensed Representative	9	1, 2
FAN Jiachen	BHP648	Licensed Representative	4	
FANG Wai	AOE794	Licensed Representative	4, 9	1
FANG Yuanhao	BPW058	Licensed Representative	5	1, 4
FAULKNER William Talbot	AXH731	Licensed Representative	2	
FRANJOU Lionel Claude Pascal	AQE634	Licensed Representative	2	
FUNG Kin Chung	ANT597	Licensed Representative		4

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FUNG Shuk Chong, Angela	AWE944	Licensed Representative	4	
GAO Yichen	BRW624	Licensed Representative	1	
GE Xiaopeng	BMX551	Licensed Representative	6	
GONG Shaoyang	BQI137	Licensed Representative	1	
GUO Ningxin	BRR939	Licensed Representative		1
GUO Wei	ASU040	Licensed Representative		9
HO Cheuk Ming	BID232	Licensed Representative	4, 9	
HONG Yanrong	BSF593	Licensed Representative	7	
HSU Min Chieh	AUQ473	Licensed Representative	6	
HU Ping	BRW327	Licensed Representative	1	
HU Zewei	BLF173	Licensed Representative	4, 9	
HUANG Chanjuan	AXY858	Licensed Representative	9	
HUANG Jiawei	BRR510	Licensed Representative	2	
HUI Cassandra	BRK360	Licensed Representative	4, 5	
IP Ho Ting	BNW289	Licensed Representative	9	
IP Wai Hong	BCO701	Licensed Representative		4
JIMENEZ Luis Miguel	BRG299	Licensed Representative	1	
JING Kai	BGO351	Licensed Representative	1	
KIANG Chin Yu	AGR151	Licensed Representative		1
KOK Kam Fu	ABZ046	Licensed Representative		1
KONG Chun Kwok	AFD367	Licensed Representative		6
KUANG Hanfangzi	BKW287	Licensed Representative	2	
KWOK Tsz Yu	BBS187	Licensed Representative	9	1, 2
KWOK Ying Cheuk	AKU150	Licensed Representative	6	2
LAI Siu Ha	ADQ680	Licensed Representative	9	
LAI Wa Hing	AWD940	Licensed Representative	9	
LAM Suen Man	BDW925	Licensed Representative	3	
LAU Hui Long	BQM155	Licensed Representative	3	
LAU Ling Ting, Katherine	BGX867	Licensed Representative	4	
LAW Kin Fat	ABL850	Licensed Representative	4	
LAW Tsz Yan	BPM584	Licensed Representative	4	
LAW Wai Yan	AMH034	Licensed Representative	4, 9	3
LE BOURGEOIS Antoine Marie	BRH693	Licensed Representative	7	4, 5
LEE Chun Kit	ALG047	Licensed Representative	9	
LEE Henry Him Wai	AEF855	Licensed Representative		1
LEE Hon Yuen	AZQ782	Licensed Representative	4	
LEE Joanshien, Johanne	AOI966	Licensed Representative		4
LEE Kwok Chung	AGN414	Licensed Representative	1	
LEE Suet Yee	BMM428	Licensed Representative	4	
LEPINE Julien Paul Manuel	BCE036	Licensed Representative		9
LEUNG Chun Kin	BOJ546	Licensed Representative	4	
LEUNG Chung Ping	ARV063	Licensed Representative		1
LEUNG Hiu Tung Hilda	BSH168	Licensed Representative		1
LEUNG Sze Yan	BNQ998	Licensed Representative	9	1, 6
LI Gang	APV891	Licensed Representative	1	
LI Pui	BEJ354	Licensed Representative		2, 5
LI Pui Ching	BQB274	Licensed Representative		1
LI Siying	BHU352	Licensed Representative	7	
LI Tuo	BGM185	Licensed Representative		9
LI Xinzhe	BSM365	Licensed Representative		1
LI Yi	BRV510	Licensed Representative	9	
LI Yun	BPX447	Licensed Representative	9	1, 4
LIANG Lining	AXV969	Licensed Representative		6
LIAO Xueyan	BRS104	Licensed Representative		6
LIN Chenying	BRR446	Licensed Representative	1, 2, 3, 9	
LIN Shiwen	BTC730	Licensed Representative	9	1
LIN Xiaodong	AQU519	Licensed Representative	6, 9	
LIN Xiaonan	BSD869	Licensed Representative	2, 4, 5, 9	
LIU Chang	BSB870	Licensed Representative		1

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIU Hsiao Wen	BSY111	Licensed Representative		1
LIU Jingyang	BML621	Licensed Representative	9	
LIU Kit Ling	AUI936	Licensed Representative		9
LIU Mengxiao	BN1018	Licensed Representative	1	
LIU Yifan	BRE987	Licensed Representative	1, 4	
LIU Ziqing	BSR501	Licensed Representative	4	
LOU Yuhan	BMI892	Licensed Representative		4, 9
MA Kwok Chau	BOG876	Licensed Representative		2
MA Yuanrong	BGE021	Licensed Representative		6
MAO Yingxu	ALR861	Licensed Representative		6
MARTIN Adam Hugh	BEV776	Licensed Representative	4	1
MATHUR Arnab	BTA014	Licensed Representative	2	
MEHTA Harshad Satish	AYQ242	Licensed Representative	7	
MIAO Xiaonan	BTB012	Licensed Representative		1
MOK Eugenia Y.H.	AYR645	Licensed Representative	1	
MOON Edward Sung Shik	AGY044	Licensed Representative	4	
OH Cheong Choon	APU899	Licensed Representative		2
PANG Ka Yin	AEF574	Licensed Representative	2	
PARK Jonggun	BMA530	Licensed Representative		6
PARK Yoonjin	BQG961	Licensed Representative		4
POON Tin Ching	APR858	Licensed Representative		2
POON Yiu Kwok	BRI129	Licensed Representative		1
QIAN Kexin	BSX811	Licensed Representative		1
QUAN Jiali	BMK450	Licensed Representative	4	1
REN Minjian	BGX455	Licensed Representative		1
SHAO Weiliang	BMV934	Licensed Representative		2, 5
SHEN Min	BSS531	Licensed Representative	4, 5	7
SHEN Yi	AZR706	Licensed Representative		4
SHYU Li-han	ARE465	Licensed Representative		4
SIM Zheng Wei	BHD551	Licensed Representative	1	
SIN Hau Yi	ANR892	Licensed Representative	5	
SIU Wing Man	BAJ174	Licensed Representative		1
SO Ka Wai	AMX761	Licensed Representative	4	
SUN Fei	BPC802	Licensed Representative	1, 4	
TANG Chun Ting, Christopher Archer	AGA901	Licensed Representative	2	
TANG Hiu Yan	BQA523	Licensed Representative		9
TANG Kelvin Chi Wai	BFE980	Licensed Representative	5	
TANG Long Yin	BNL491	Licensed Representative	9	
TANG Tsz Kin, Michael	AWK217	Licensed Representative	6	4, 9
TANG Wing Sze, Wincy	ANM716	Licensed Representative	4	
TANG Yanjun Scarlett	BSC871	Licensed Representative		4
TIAN Yingyu	BOC129	Licensed Representative	4, 5	
TONG Hing Lung	AQW032	Licensed Representative	4, 9	
TSANG Ka Kit	AXD389	Licensed Representative		2
WANG Huiying	BBR332	Licensed Representative	4	
WANG Jian	AQD161	Licensed Representative	4	
WANG Ruokun	BOE567	Licensed Representative		1, 4
WANG Tianyou	BQP589	Licensed Representative	9	1
WANG Wendi	BRW180	Licensed Representative		1
WANG Yonglei	BJK536	Licensed Representative		4, 5
WANG Yuanhui	BRY990	Licensed Representative		1
WANG ZHE	BRK352	Licensed Representative	9	
WONG Hei Lim	BIX437	Licensed Representative	9	
WONG Hoi Ming	BFR275	Licensed Representative	9	4
WONG Ka Hin	BML678	Licensed Representative		2, 3
WONG Martin Leland	BOZ678	Licensed Representative		1
WONG Ming Fai	ALW838	Licensed Representative		1
WONG Wai Ki	BSY406	Licensed Representative	9	
WONG Wai Lung	BFS852	Licensed Representative	9	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WONG Wan Lam	BRP901	Licensed Representative	9	1, 2
WONG Wing Chiu	BSR271	Licensed Representative	2	
WONG Wing Man	AVX175	Licensed Representative		2
WU Hualao	BMV486	Licensed Representative		4, 5, 9
WU Peizhe	BOM088	Licensed Representative	9	
XU Lu	AYE980	Licensed Representative	4, 6	
XU Zhongli	BTH719	Licensed Representative	9	
YAN Chunsheng	BSX843	Licensed Representative	4, 9	1
YANG Haokun	BOH427	Licensed Representative	1	
YANG Jiahao	BSY303	Licensed Representative		1
YANG Lillian	BRS695	Licensed Representative	1	9
YAU Patrick	AFT664	Licensed Representative	2	
YEUNG Ka Chun	BGY824	Licensed Representative	1, 9	
YEUNG Tsz Hin	BTD559	Licensed Representative	2	
YEUNG Wai Keung	BAZ543	Licensed Representative		4
YIN Anna	BNL579	Licensed Representative	9	
YONG Ni	BOS177	Licensed Representative	1	
ZAMORA Aezel Ann Beltran	BRR079	Licensed Representative		9
ZHAN Rongchao	BTF345	Licensed Representative	2	
ZHANG Jingyi	BPT882	Licensed Representative	9	
ZHANG Xinyi	BOP593	Licensed Representative		1
ZHANG Xu	BQV950	Licensed Representative	7	4, 5
ZHAO Xiaofeng	BSL916	Licensed Representative	4, 9	
ZHAO Yanlin	AVY037	Licensed Representative	5	
ZHENG ANQI	BTE559	Licensed Representative	1, 4	
ZHOU Yuhao	BNM901	Licensed Representative	1	
ZHU Bingxue	BTB594	Licensed Representative	2	
ZHU Jianfeng	BPX064	Licensed Representative		4, 9
ZHU Shihang	BSU777	Licensed Representative		1
ZHU Yifan	BCA260	Licensed Representative	9	6
ZHUANG Ziye	BSU109	Licensed Representative		6
AGGARWAL Mayurh	APM135	Licensed Representative - Responsible Officer		9
BAZZONI Federico	ADP682	Licensed Representative - Responsible Officer	1	
CHAK Wai Wing	AIX144	Licensed Representative - Responsible Officer	4, 9	
CHAN Kwok Chiu	AOY089	Licensed Representative - Responsible Officer	4	
CHAN Man Yuen	APX122	Licensed Representative - Responsible Officer	4	
CHAN Shek Chuen	ARE460	Licensed Representative - Responsible Officer	7	
CHAN Yu Kit, Jacky	ARO061	Licensed Representative - Responsible Officer	4	
CHANG Rui Hua	BAQ174	Licensed Representative - Responsible Officer	4	
CHEN Bai Cao	AUZ662	Licensed Representative - Responsible Officer	1	
CHEUNG Sau Yu Dera	BAF166	Licensed Representative - Responsible Officer	3	
CHIU Chun Pong	AFY720	Licensed Representative - Responsible Officer	1	
CHOW Wai Chiu, William	AKY161	Licensed Representative - Responsible Officer	1	
CHUNG Chun Yin	BEM830	Licensed Representative - Responsible Officer	4	
DENG Xiaotian	BRH081	Licensed Representative - Responsible Officer	4	
FAN Chun Wah	APV753	Licensed Representative - Responsible Officer		2
FAN Man Seung, Vanessa	AAM419	Licensed Representative - Responsible Officer		2, 5
FAN Wenhan	BST366	Licensed Representative - Responsible Officer	1, 4	
FU Qiang	AZK544	Licensed Representative - Responsible Officer	1, 4	
HO Chi Hang, Jeffrey	AUZ202	Licensed Representative - Responsible Officer	4	
HONG Hao	AXB808	Licensed Representative - Responsible Officer	9	
HONG Yu Ngai	BBP893	Licensed Representative - Responsible Officer	1	
HSU Po Han	BLU702	Licensed Representative - Responsible Officer		1
HSU Ton Min, Michael	BDU747	Licensed Representative - Responsible Officer	5	
HUI Pak On, Morgan	ALN648	Licensed Representative - Responsible Officer	4	
JEONG Hyeonseok	BDM376	Licensed Representative - Responsible Officer		9
KOO George Chen Yu	ABU687	Licensed Representative - Responsible Officer		9
KWOK Kelvin Chun Hung	BGO336	Licensed Representative - Responsible Officer	2, 9	
LAI Tai Yan	BF1937	Licensed Representative - Responsible Officer		1, 2

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LANG Zhen	ATY003	Licensed Representative - Responsible Officer	4	
LAU Chun Wai	AFK569	Licensed Representative - Responsible Officer	4	
LAU Yiu Wai	ACI099	Licensed Representative - Responsible Officer	4	
Lee Wai Kuen Katherine	APG627	Licensed Representative - Responsible Officer	4	
LEUNG Chak Hei	AYN955	Licensed Representative - Responsible Officer		1, 2
LI Dan	BJJ371	Licensed Representative - Responsible Officer	1	
LI Xin	ANY390	Licensed Representative - Responsible Officer	1	
LIN Fengyuan	BLJ174	Licensed Representative - Responsible Officer	1	
LIU Chin Pang	BDN242	Licensed Representative - Responsible Officer		1
LIU Ke	BEZ142	Licensed Representative - Responsible Officer	1	
LIU Sing Chi	APX096	Licensed Representative - Responsible Officer		2
LIU Xiaoji	BEN198	Licensed Representative - Responsible Officer		6
LIU Yan	BAC176	Licensed Representative - Responsible Officer	1	
LO Tak Wing	AZD137	Licensed Representative - Responsible Officer	4	
LU Liyan	BGI430	Licensed Representative - Responsible Officer	1, 4	
LUI Man Kit	BBR432	Licensed Representative - Responsible Officer		2
MADDURI Anand Balakrishna	ANH754	Licensed Representative - Responsible Officer	4	
MAK Kong Yin	AJC795	Licensed Representative - Responsible Officer		2
MAO Yingxu	ALR861	Licensed Representative - Responsible Officer	4	
MORTON Peter Douglas	ADO886	Licensed Representative - Responsible Officer	4	
NATORI Hiroyuki	BOP912	Licensed Representative - Responsible Officer	3	
OSAWA Yoshimi	BRQ385	Licensed Representative - Responsible Officer	3	
PAK Yu Fai	BAX956	Licensed Representative - Responsible Officer		9
RHEE Jung Ho	ARN043	Licensed Representative - Responsible Officer	2, 6	
SE Yung Yee, David Carven	ABL977	Licensed Representative - Responsible Officer	1	
SHU Shan	ATY750	Licensed Representative - Responsible Officer	1	
SIU Wing Man	BAJ174	Licensed Representative - Responsible Officer		4
SLADIC Ales	AUV740	Licensed Representative - Responsible Officer	4	
TAM King Ho, Howard	AFN346	Licensed Representative - Responsible Officer	4	
TANG Chun Ting, Christopher Archer	AGA901	Licensed Representative - Responsible Officer	4	
WAN Man Yi, Mandy	BBQ502	Licensed Representative - Responsible Officer		9
WONG Ho Yin	AXZ546	Licensed Representative - Responsible Officer		1
WONG Ka Wing	AVZ613	Licensed Representative - Responsible Officer	4	
WONG Pui Yew, Florence	AQP585	Licensed Representative - Responsible Officer	4	
WONG Shue Ngar, Sheila	BDH258	Licensed Representative - Responsible Officer	4	
WONG Wing Man	AVX175	Licensed Representative - Responsible Officer	7	
WU Yi-Ping	BFN192	Licensed Representative - Responsible Officer	4	
XI Xuanhua	AHX572	Licensed Representative - Responsible Officer	6	
YANG Jian	BRV884	Licensed Representative - Responsible Officer	4	
ZHANG Weiwen	ANM884	Licensed Representative - Responsible Officer	4	
ZHANG Xiaomei	AKV310	Licensed Representative - Responsible Officer		1
ZHANG Yaoyu	BDX540	Licensed Representative - Responsible Officer	4	
ZHOU Silong	BCE950	Licensed Representative - Responsible Officer		2
ZOU Chuan	AVZ312	Licensed Representative - Responsible Officer	4, 5, 9	
JIANG Jiahong	BTF009	Licensed Representative (Provisional Licence)	2	
TANG Ka Yin	BES453	Licensed Representative (Provisional Licence)	2	
ZHANG Yichen	BTJ856	Licensed Representative (Provisional Licence)	4	
MITCHELL Paul Stuart	BTK787	Licensed Representative (Temporary Licence)	4	