

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
BaoQiao Partners Capital Limited	AQA625	Licensed Corporation		1
Billion Capital Partners Limited	BNC742	Licensed Corporation	4	
Black Dragon Asset Management Limited	AXD418	Licensed Corporation	1, 4	
Gentleman Capital Limited	BIU793	Licensed Corporation	4	
Kai Yin Securities Limited	BHC102	Licensed Corporation		2
Merger China Group Capital (Hong Kong) Co., Limited	BPM177	Licensed Corporation		6
SINOIF International Futures Limited	BOS872	Licensed Corporation	5	
Tor Investment Management (Hong Kong) Limited	BAI946	Licensed Corporation	1	
Ubiquant Asset Management Co., Limited	BRM204	Licensed Corporation	4	
WEBULL SECURITIES LIMITED	BNG700	Licensed Corporation	4	
Zhong Ou Asset Management International Limited	BLR959	Licensed Corporation	1	
BAO Yihan	BRQ974	Licensed Representative	2	
BIAN Yang	BRS565	Licensed Representative		2
CAO Yuan	BRR324	Licensed Representative		6
CHAI Win Lock	BRP895	Licensed Representative	4, 7	
CHAK Wai Nga	BOO797	Licensed Representative	4, 9	6
CHAN Judy Wing Chi	BSI527	Licensed Representative	1, 4	
CHAN Ka Ho	BSL732	Licensed Representative	4, 9	
CHAN Kwai Yiu, Linda	AAW288	Licensed Representative	1	
CHAN Lam Chak	AXR725	Licensed Representative		4
CHAN Pak Ho	BNB602	Licensed Representative	6	
CHAN See Yin, Abraham	AFP787	Licensed Representative	5	
CHAN Wai Sze	BSY127	Licensed Representative	4	
CHAN Yat Lam	AOW942	Licensed Representative	4	
CHANG Yan Wing	ADX897	Licensed Representative		1
CHAO Chih Ke	AMQ375	Licensed Representative		9
CHEN Hongjie	BGZ955	Licensed Representative	4	
CHEN Kenny	AYA774	Licensed Representative	9	
CHEN Kun	BQT597	Licensed Representative	4	
CHEN Peijie	BNU863	Licensed Representative	6	9
CHEN Yunn-Ru	BPY894	Licensed Representative	4	
CHENG Cheong Kit	BKM843	Licensed Representative	4	
CHENG Hao Yu	BRY898	Licensed Representative		4
CHENG Shih-wei	AYD498	Licensed Representative	4	
CHENG Tao	BLY758	Licensed Representative		1
CHEUNG How Leung	ANU361	Licensed Representative		4
CHIANG Tin Pui Timothy	BQN695	Licensed Representative	9	1, 6
CHING Mung Kiu	BOF397	Licensed Representative	9	
CHIU Hsing-Kuo	APG710	Licensed Representative	2	
CHIU Tak Hung	ABM017	Licensed Representative		2
CHIU Wing Chor	AGH933	Licensed Representative	9	
CHO Yoon Sung	BRG174	Licensed Representative	9	1, 2, 4
CHOI Man Fai	BBP353	Licensed Representative	2	
CHOW Shiu Lun	ABF183	Licensed Representative	9	
CHOW Sik Hong	BJQ189	Licensed Representative	2	
CHOW Yuk Hei, Christopher	BNB199	Licensed Representative	9	1
DAI Zheyu	BTJ781	Licensed Representative	2	9
DESMOTS Thomas Thierry Mickael	BSQ124	Licensed Representative	2	
DING Jingyi	BTF068	Licensed Representative	1	
DONG Chang	AXN155	Licensed Representative	6	
FAYARD Franck Nicolas Michel	BBP230	Licensed Representative		9
FENG Yongmao	BAE607	Licensed Representative	4	6
GONG Shu	BHN018	Licensed Representative		9
HE Liu	BII019	Licensed Representative		6
HE Yao	AYR359	Licensed Representative		6
HE Zhiwen	BSB983	Licensed Representative	9	1
HELBO Flemming	AZG589	Licensed Representative		4, 9
HERRON Andrew Clarke	BAV571	Licensed Representative	1, 2, 4	9
HO Kar Loong, Kenneth	ANA703	Licensed Representative		1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HO Lik Kwan, Luke	ALS703	Licensed Representative		1
HO Man Chun	AZL144	Licensed Representative	9	
HOFFMANN Sebastian	AYI538	Licensed Representative	9	4
HSU Tsung En	BNO998	Licensed Representative		4
HU Chao	BGX227	Licensed Representative		1
HU Zhongwen	BFU380	Licensed Representative	1	
HUANG Catherine Shih-chiao	BSL946	Licensed Representative	9	
HUANG James	BCZ165	Licensed Representative		4
HUANG Jiajun	BIC863	Licensed Representative	1	
HUI Lam Chiu	ADF453	Licensed Representative		1
HUI Tsz Chun	BNi600	Licensed Representative	1, 4	9
HUNG Nga Yan Michelle	BRX522	Licensed Representative	9	
HUNG Yung Yung	BPK594	Licensed Representative		2
HWANG Seung-hoon	AWR623	Licensed Representative	2	
IP Henry Hing Luen	AXN295	Licensed Representative		2
JIA Pengcheng	BTJ694	Licensed Representative		9
JIANG Yuanlin	BQE131	Licensed Representative		5
KEE Yuen Man, Carol	ADZ736	Licensed Representative		1
KUNG Yu Hang	AME200	Licensed Representative	1	4, 9
KWAN Yu Ting, Dennis	AFU648	Licensed Representative	6	9
KWOK Ka Yiu	AFG950	Licensed Representative		1, 4
KWOK Ying Cheuk	AKU150	Licensed Representative		6
KWONG Ming Ngai Ronald	BNV484	Licensed Representative	4, 9	1
KWONG Wing Yan	BMH500	Licensed Representative	4	1, 2
LAI Chun Leung, Barton	ARF922	Licensed Representative	1	
LAM Wai Leung	AIX165	Licensed Representative		1
LAM Yuen Ho	BOS276	Licensed Representative		4
LAN Qingwen	AWW400	Licensed Representative	2, 5	
LAU Chun Sing	AMF586	Licensed Representative		9
LAU Ka Chun	BRZ970	Licensed Representative	4	
LAU Yan Ho, Adrian	AZR002	Licensed Representative		2
LAW Ting Cheuk	BJW998	Licensed Representative	1	
LAW Ka Kiu Victor	BLF268	Licensed Representative		4, 9
LAW Tsz Ming	BMI035	Licensed Representative		4
LEE Chun Yin, Wesley	ANS288	Licensed Representative	2	4, 9
LEE Kwun Chung Kenneth	BLI955	Licensed Representative	3	
LEE Tsz Heng	AXO514	Licensed Representative		1
LEUNG Kwun Yeung	BSH969	Licensed Representative	1	9
LEUNG Shiu Hong	AQP751	Licensed Representative		9
LI Fung Wang	BRM284	Licensed Representative		9
LI Guangyu	BJG872	Licensed Representative		6
LI Hok Lai	AGJ947	Licensed Representative		1
LI Mengqi	BPK825	Licensed Representative	4	
LI Priscilla Mankei	BFE570	Licensed Representative	9	
LI Weixi	BTK877	Licensed Representative	1, 4	
LI Yuan Hong	BLI903	Licensed Representative	1	9
LI Yuming	BSW595	Licensed Representative	2	
LIANG Shiming	BQN928	Licensed Representative		1, 4
LIAO Yuezhi	BPV950	Licensed Representative	2	
LIM Soyeon	BTN005	Licensed Representative	2	
LIN Jinlin	BTK937	Licensed Representative	2	
LIN You Jen	BOD232	Licensed Representative	9	
LIN Yu-Min	BRQ078	Licensed Representative	9	1, 6
LIU Yujun	BSH029	Licensed Representative	1	
LO Sai Kit	AVY257	Licensed Representative	5	
LO Sui Yan	AGO536	Licensed Representative	4	
LU Zeyu	BHA159	Licensed Representative		4
MA Ming Hei	BRW412	Licensed Representative	9	1, 2, 4, 5
MA Xiaojing	BGH607	Licensed Representative	1, 4	9

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 05/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
MARTIN Charlotte Delphine Cindy	BGE260	Licensed Representative	4, 5	
MENDONCA Vinella Anne	BRR081	Licensed Representative	4	
MOK Wing Hon	AGD652	Licensed Representative		2, 3
MOW On Loy	AIR602	Licensed Representative		2
NG Kin Wai	BIM923	Licensed Representative		1, 4
NG Wai Sze	ARG815	Licensed Representative	2	
NGO Ken Hung	AGK712	Licensed Representative	4	
NICOL Christopher Geoffrey	AVF592	Licensed Representative	4	
NICOLAS Quentin Jacques	BEA413	Licensed Representative	2	
PAN Yuxuan	BQX090	Licensed Representative	2	
PANG Chi Jian	BRI957	Licensed Representative	1, 2	
PANG Wing Ho	BLG347	Licensed Representative	1, 4	
PAULHAN Tommy Jean-Max	BPB754	Licensed Representative	2	
PEH Yi Ping	BAH963	Licensed Representative	4, 9	1
PFEIL Enzo Graf von	AAD763	Licensed Representative		1
POLONI Cedric Alain	BSH296	Licensed Representative	2	
PRINCE Stephen Michael	AQI114	Licensed Representative	1, 2	9
RUAN Xinyu	BRC172	Licensed Representative	1	
SHAO Michael	BQW032	Licensed Representative	9	
SHEN Nan	ARL161	Licensed Representative	1	
SHEN Xiaotong	AWU655	Licensed Representative	9	6
SHEN Yi	BSH061	Licensed Representative	4	6
SHUM King Tung	BRV553	Licensed Representative		9
SIM Yuan An	BTB126	Licensed Representative	2	
SIU Chun Fung	BKE439	Licensed Representative		2
SIU Hon Man	AAE190	Licensed Representative	2	
SO Yung Yung	AXN043	Licensed Representative	4	
SPARROW Ji Hyun	AFI800	Licensed Representative	9	
SUEN Yiu Cho	BGB443	Licensed Representative		4, 5
SUN Chenshuai	BPK496	Licensed Representative	2	
SUNDARAM Roshan Ramesh	BFI969	Licensed Representative		2, 7
TAM Oi Lun	BPV125	Licensed Representative		1
TIAN Qi	BFD608	Licensed Representative	9	
TIN King	BOE896	Licensed Representative	4, 9	
TING Wing Yee	ATN769	Licensed Representative	4	
TIRTASENTANA Arthur William	BHY356	Licensed Representative		4
TO Chun Kit	BCG407	Licensed Representative	2	
TONG Kimberly Elaine	BPW541	Licensed Representative		1
TONG Yufeng	BRW258	Licensed Representative	9	4
TSAI Song-Yi	BNP094	Licensed Representative		2
TSANG Kar Cheung	AAC174	Licensed Representative		1
TSANG Tsz Kam	ADL132	Licensed Representative	1	4, 9
TSE Win Yee, Annie	ACR126	Licensed Representative		1
TSOI Hiu Kit	BMT582	Licensed Representative	1	
TSUI Randy	BDA218	Licensed Representative		1
TSUI Sing Yam	BRO927	Licensed Representative		5
WAN Yiu Sing	AZG537	Licensed Representative	2	
WANG Kaifeng	BQO197	Licensed Representative		1, 4
WANG Ningzhi	BTk134	Licensed Representative	1, 4	
WANG Xuegang	BMD008	Licensed Representative	2, 4, 5	
WANG Yijun	BFC862	Licensed Representative	1	9
WANG Yue	BIY657	Licensed Representative	4, 5	
WANG Zijian	BGG797	Licensed Representative	9	1, 2
WIJONO Rizal	ADT339	Licensed Representative	1	
WONG Ka Kiu	BQE038	Licensed Representative	2	
WONG Kar Fung, Kenneth	APL374	Licensed Representative		1
WONG Kim Ming	AHU702	Licensed Representative		1
WONG Kwok Lung	ACE536	Licensed Representative	4	
WONG Lui	BRQ107	Licensed Representative	2	4

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WONG Ting Fung, Timothy	BGS895	Licensed Representative	2	4
WONG Wai Sze	BAA860	Licensed Representative		4, 9
WONG Wing Hang	BR1697	Licensed Representative	2	
WONG Yun Choi	ADR070	Licensed Representative	2	
WU Zhiwen	BPW898	Licensed Representative	1	
XU Aijia	BNE886	Licensed Representative	4, 9	
XU Beilin	AQA273	Licensed Representative		1
YAN Yuen Cheung	BPV918	Licensed Representative	4	
YANG Bonan	BRP748	Licensed Representative	4, 9	
YANG Hao	BSA331	Licensed Representative	9	
YAO Chunguan	BOB129	Licensed Representative		4
YEE Ambrose Zi Qian	BRM227	Licensed Representative	9	1, 4
YI Juehui	BRP930	Licensed Representative		9
YING Lianziheng	BIM793	Licensed Representative	4	
YIP To Hang	BHP785	Licensed Representative		9
YU Ka Ho	AQO196	Licensed Representative		2
YU Kin Cheung	BPK426	Licensed Representative	9	
YU Ngai Lung Isaac	BLJ229	Licensed Representative		1
YU Xi	BLF174	Licensed Representative	4	
YUEN Kai Tsun	BJM120	Licensed Representative	4	
ZAI Conan	BSG146	Licensed Representative	2, 9	4
ZENG Tu	BNV472	Licensed Representative		2
ZHANG Jingjin	BQB103	Licensed Representative	2, 5	
ZHANG Wenjing	BRJ186	Licensed Representative		4, 5
ZHANG Xuefeng	BFS502	Licensed Representative		1
ZHANG Yujia	BTO783	Licensed Representative	4	
ZHOU Yun	BGL129	Licensed Representative	6	4, 9
ZHOU Zheng	BRG452	Licensed Representative		4
ZHU Rongzhen	BMP062	Licensed Representative	4	
ZHUANG Yishen	BCG432	Licensed Representative	9	
CHAN Chun Fung	ARD430	Licensed Representative - Responsible Officer	9	
CHAU Kwong Lui	AUI437	Licensed Representative - Responsible Officer	4	
DALMASSE Canute Howard	AND837	Licensed Representative - Responsible Officer		1, 2, 4, 7
EDSPARR Patrik Lennart	BAI947	Licensed Representative - Responsible Officer	1	
FENG Yuyang	BPU408	Licensed Representative - Responsible Officer	4	
FONG Tak Jim	AQE454	Licensed Representative - Responsible Officer		4
FOURNIER-BARRAND Francois-Alexis Fabien	BLR943	Licensed Representative - Responsible Officer	1	
GILBERT Alexandre	BDH521	Licensed Representative - Responsible Officer	4	
GUO Xinxin	BEM326	Licensed Representative - Responsible Officer	1, 4	
HE Yiwei	AWS905	Licensed Representative - Responsible Officer	1	
HO Ka Yui	BIW340	Licensed Representative - Responsible Officer		9
HO Shu Shun	AGV081	Licensed Representative - Responsible Officer	4	
HUI Ching Piu	ARQ988	Licensed Representative - Responsible Officer	1, 4	
HUNG Hing Man	ABM858	Licensed Representative - Responsible Officer		9
JI Zhe	BJK888	Licensed Representative - Responsible Officer	4	
JIN Dan	AVJ262	Licensed Representative - Responsible Officer	5	
LAN Qingwen	AWW400	Licensed Representative - Responsible Officer	1, 4	
LEUNG Chun Wa	AJF048	Licensed Representative - Responsible Officer	4	
LI Hok Lai	AGJ947	Licensed Representative - Responsible Officer	4, 9	
LI Huan	BGI551	Licensed Representative - Responsible Officer	4	
LI Kam Fai, Jimmy	AAJ560	Licensed Representative - Responsible Officer	9	
LI Sai Hung	BKT393	Licensed Representative - Responsible Officer	4, 5	
LI Xiaojing	BSW062	Licensed Representative - Responsible Officer	1, 4	
LIU Jianping	BNZ969	Licensed Representative - Responsible Officer	1	
LO Tak Wai	BAN250	Licensed Representative - Responsible Officer	4	
MA Man Hon	APH454	Licensed Representative - Responsible Officer	4	
MAK Yun Ching, Mica	ADL348	Licensed Representative - Responsible Officer		6
MIKOSH Christopher Louis	AFP976	Licensed Representative - Responsible Officer	1	
MOW On Loy	AIR602	Licensed Representative - Responsible Officer	4, 9	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
NG Hup Shing	ADG180	Licensed Representative - Responsible Officer	5	
PO Kwong Chiu	AFK747	Licensed Representative - Responsible Officer	4	
QUAIFE Matthew Samuel Heighes	AXX236	Licensed Representative - Responsible Officer	1	
SO Chi Kin	ANW377	Licensed Representative - Responsible Officer	4	
SO Chi Ming, Jimmy	AAB915	Licensed Representative - Responsible Officer	9	
SUN Yuejun	BNL060	Licensed Representative - Responsible Officer	4	
SWEENEY James Gerard	AFG808	Licensed Representative - Responsible Officer	1	
TANG Man Lam	AXB290	Licensed Representative - Responsible Officer	5	
TO Fung	AFJ428	Licensed Representative - Responsible Officer	9	
TSE Chi Kin, Daniel	ARP474	Licensed Representative - Responsible Officer	7	
TSOI Wai Kwong	AOY483	Licensed Representative - Responsible Officer	5	
TSUI Pak Fai	ANK382	Licensed Representative - Responsible Officer	4	
VIMONT Eric Michel Joseph	BPX690	Licensed Representative - Responsible Officer	9	
WAN Wing Yui	AYE279	Licensed Representative - Responsible Officer		1
WANG Ruojun	BNJ420	Licensed Representative - Responsible Officer	4	
WANG Xiaoyue	BIO184	Licensed Representative - Responsible Officer	4	
WONG Charles Teng-lao	AUG868	Licensed Representative - Responsible Officer	4, 9	
WU Chiang Li	ACF083	Licensed Representative - Responsible Officer	4, 5, 9	
WU Di	BAY072	Licensed Representative - Responsible Officer	1	
WU Kwong Chau	AGH135	Licensed Representative - Responsible Officer	4	
XIE Yunqin	BJG869	Licensed Representative - Responsible Officer	2	
XU Guobin	BNP647	Licensed Representative - Responsible Officer	1	
YAM Chak Sun	AYG286	Licensed Representative - Responsible Officer	4	
YUEN Ka Leung	ANV050	Licensed Representative - Responsible Officer		2
ZHANG Huan	BRM255	Licensed Representative - Responsible Officer	4	
ZHANG Yumin	BFM942	Licensed Representative - Responsible Officer	5	
ZHEN Xu	BLH877	Licensed Representative - Responsible Officer	4	
ZHOU Jin	BFY653	Licensed Representative - Responsible Officer	4	
SUN Qi	BQV773	Licensed Representative (Provisional Licence)	9	
ZHU Congxin	BTR121	Licensed Representative (Provisional Licence)	2, 5	