

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 08/2023

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Aktis Capital Advisory Limited	AJE027	Licensed Corporation		1
AP Capital Limited	BRV239	Licensed Corporation	1	
Central Wealth Capital (HK) Management Limited	BOU259	Licensed Corporation	4	
Hongkong Huisun Securities International Limited	BSW160	Licensed Corporation	4	
Sauder Global Investment Management Limited	BSG303	Licensed Corporation	4	
The Core Securities Company Limited	AVO854	Licensed Corporation	3	
ANTON Dendi	BQK579	Licensed Representative		7
AU Wai Keung	ACH192	Licensed Representative	6	
AU Wing Lam	BRM200	Licensed Representative		4
AU-YEUNG Sau Chun	AFJ413	Licensed Representative		1, 4
BAFNA Ankit Satyendra	BAD304	Licensed Representative	9	
BAI Huizi	BLP020	Licensed Representative	4	
BALES James Marshall	AYC386	Licensed Representative	4	
BANGUR Sheela	AXW581	Licensed Representative	4	
BAO Hongting	BKC663	Licensed Representative		6
BHURTAH Joynay	BGA484	Licensed Representative	4, 5	
CHAN Chia-Hung	AOY779	Licensed Representative	4	
CHAN Chun Tat	BOU344	Licensed Representative	1, 9	2
CHAN Hon Wah	ABN589	Licensed Representative		7
CHAN Kwok Chu Edward	BHZ831	Licensed Representative		1
CHAN Kwok Hay	ACA610	Licensed Representative		1
CHAN Kwok Ho	AIH463	Licensed Representative	3	
CHEN Chi Pang, Charles	AFN279	Licensed Representative		1
CHEN Hui	BTN035	Licensed Representative	9	
CHEN Jiali	BTR597	Licensed Representative	9	
CHEN Yige	BLF546	Licensed Representative		1
CHENG Chongxiao	BRE121	Licensed Representative	9	1
CHENG Kai-Jen	BMQ167	Licensed Representative		2
CHENG Tze Hin	BQW638	Licensed Representative		2
CHEUNG Kam Wai	AWL269	Licensed Representative	9	1
CHEUNG Man Chung	BAL345	Licensed Representative		7
CHEUNG Wan Wah	AWA346	Licensed Representative	2	
CHING Sum	BPL761	Licensed Representative	4	2
CHOI Ho Yan	AKI966	Licensed Representative		2
CHOI Hong Jit Christopher	BQB509	Licensed Representative	4	2
CHOI Hyunsuk	BPK368	Licensed Representative	2	
CHOI Wai Hung	BTR290	Licensed Representative	2	
CHONG Sue Ching	AQN999	Licensed Representative		9
CHOW Chit	ANG566	Licensed Representative		9
CHUI Minnie Yik Yee	AQH594	Licensed Representative		1
DONG Yixin	BRG445	Licensed Representative	1, 4	9
FAN Haishuo	BAX762	Licensed Representative	5, 9	
FAN Suey-hueng Adam	BIT526	Licensed Representative		1
FELDMAN Robert Alan	ALN023	Licensed Representative	4	
FISCHER Nathan David	ATX270	Licensed Representative	1	2
FUNG Wai Kwong	BSV129	Licensed Representative	4	
GAO Xuemei	BRP315	Licensed Representative	6	4
GRISSELL Edward Henry	BPY390	Licensed Representative	1	
GUNASINGHE Milinda Kevin	BPQ481	Licensed Representative	1	5
HAYASHI Ryotaro	BDX584	Licensed Representative	4	
HESSE Jakob Fabian	AYC692	Licensed Representative		6
HUANG Biao	BOK021	Licensed Representative	2	
HUANG Honghan	BOW643	Licensed Representative	9	6
HUANG Weiting	BPO615	Licensed Representative		1
IP Yu Hin	BEJ754	Licensed Representative	9	
JIAN Xu	AXW641	Licensed Representative	9	1, 2
JOESON Hei-Man, Stephanie	BFP707	Licensed Representative	4	
KASAI Haruka	BGA966	Licensed Representative	4	
KASTENHOLZ Mika Arnold	BPU387	Licensed Representative	1	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
KHAN Shoaib	BRH690	Licensed Representative		9
KIM Hye Eun	ANU677	Licensed Representative	4	
KIM Sung Hwan	BSG975	Licensed Representative	9	4
KINOCHI Tomohito	ASU536	Licensed Representative		1
KO Hong Yu	BSH856	Licensed Representative	4	
KO Sui Ho	BRX046	Licensed Representative	5	
KOK Sen Kan	AUC483	Licensed Representative		1, 2, 5
KONG Koon Wai	AXQ424	Licensed Representative	2	
KONG Pil Sung Philip	BNT057	Licensed Representative	2	
KUAN Carol	BEL457	Licensed Representative		2
KWOK Chun Sing	BEZ287	Licensed Representative	2	
KWOK Simon	AVQ920	Licensed Representative	1	
KWOK Wang Hei	BGN049	Licensed Representative	4, 9	
LAAMAN Sean Madison	ALG268	Licensed Representative	4	
LAI Hok Yin	AAB545	Licensed Representative		4
LAM Alexander	BPQ762	Licensed Representative	9	1, 4, 6
LAM Hiu Tung Pearl	BTQ100	Licensed Representative	3	
LAM Khoa Nguyen	BOT129	Licensed Representative		1
LAM Tik Fai	BTT169	Licensed Representative	3	
LAM Yi Nok Cameron	BPW772	Licensed Representative	9	1, 4, 6
LAU Ming Cham	BKQ930	Licensed Representative	3	
LAU Nga Pin	BNU295	Licensed Representative	3	
LAW Yuk Ming	BTR801	Licensed Representative	3	
LEE Mei Lam Nicole	BNG434	Licensed Representative	9	1
LEE Pei Yuan	AWJ832	Licensed Representative		4
LEE Po Ting	ANQ254	Licensed Representative		2
LEE Wai Kin	AYA158	Licensed Representative	9	
LEE Ying Kin	AUO103	Licensed Representative		6
LENTON James Christopher	AZC997	Licensed Representative		9
LEONG U Weng	AXR831	Licensed Representative	1, 2	4, 9
LEUNG Ka Shing	BMV680	Licensed Representative	4	2
LEUNG Kwok Wing	AAF592	Licensed Representative		7
LI Fung Wang	BRM284	Licensed Representative	9	
LI Qinglin	BJT269	Licensed Representative		1
LI Sung Tat	AUN103	Licensed Representative		6
LI Xiaoran	BQV430	Licensed Representative		1, 2, 5, 9
LI Zuming	BRV745	Licensed Representative		4
LIM Soyeon	BTN005	Licensed Representative	3	
LIN Yongxue	BOD836	Licensed Representative	9	1, 2
LIN Zhe	BQE083	Licensed Representative	4, 9	
LIN Zhongxin	BQW103	Licensed Representative	9	1, 4
LIU Chang	BAQ804	Licensed Representative	1, 2	
LIU Chen	BMV316	Licensed Representative	9	
LIU Eric Kar Hang	BBM579	Licensed Representative	2, 5	
LIU Jingjing	BPY361	Licensed Representative		4
LIU Qian	BBU130	Licensed Representative		6
LIU Yihe	BDL890	Licensed Representative	4, 9	6
LIU Yirong	BPK717	Licensed Representative	1	
LO Ka Chiu	AEQ415	Licensed Representative		2
LOK Tsan Yeung	BOG929	Licensed Representative	2	
LORD Nicholas Peter	ANB704	Licensed Representative	4	
LU Wanting	BOZ660	Licensed Representative		1, 4
LU Xiaohao	AZF711	Licensed Representative		4
LUHAR Neha Akshay	BRV881	Licensed Representative		1
LUI Ho Ming, Paul	ACK409	Licensed Representative		1
LUNG Shun-heng Danny	AWI772	Licensed Representative		1
LUO Haiyan	AOS352	Licensed Representative		1
MA Jingyu	BPF969	Licensed Representative	2	
MASCOLO Fabiano Hugues Joseph	ANE267	Licensed Representative	1, 2	4, 9

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
MOU Chung Sing Michael	ATI501	Licensed Representative		4
MUI Suet Yee, Phoebe	AGK771	Licensed Representative		4
MURAOKA Shinichiro	AXT653	Licensed Representative	4	
NAGASAKA Mia	AVV675	Licensed Representative	4	
NG Chun Tak, Kenneth	AOV069	Licensed Representative		2
NG Ka Wing	BSX788	Licensed Representative	9	
NG Kwong Fung	BSQ872	Licensed Representative	2	
NG Man Fung	BIZ757	Licensed Representative	4	
NG Yuen Ki	BFB096	Licensed Representative		1
NICOLETTI Nicola	AOR115	Licensed Representative	1	
ONO Masahiro	AMX810	Licensed Representative	4	
OSAKA Takuya	AMO262	Licensed Representative	4	
PARK Soo Bin	BPM359	Licensed Representative		9
PEACH Nicholas Xavier Aidan	AVV327	Licensed Representative	4, 9	
POON Ho Lam	AMR118	Licensed Representative		2
PRIDDIS Adrian Mark	AZG695	Licensed Representative	4, 5	
QI Le	BSE814	Licensed Representative	9	
QIAN Chenyue	BPK319	Licensed Representative	2, 7	4
RATERIA Gaurav	BFJ359	Licensed Representative	4	
SATO Shoji	AVS557	Licensed Representative	4	
SHEN Kaiying	BOR276	Licensed Representative		6
SHEN Siting	BRS786	Licensed Representative		1
SHI Qianhong	BTM207	Licensed Representative	4	9
SHIH Hsiao-Chuan	APP830	Licensed Representative	4	
SHINOZAKI Maki	BCZ301	Licensed Representative	4	
SOLOVEV Aleksei	BQF356	Licensed Representative		7
SONG Yunpeng	BPY129	Licensed Representative	1, 2	
STADNIK Andrei Vadimovich	BFW335	Licensed Representative	4	
SUO Yawei	BNS760	Licensed Representative	6	4
SZE Chun Ting	BSC723	Licensed Representative		4
SZE TO Kwok Chun	ARK059	Licensed Representative		4, 9
TAKEMURA Atsuro	BHM833	Licensed Representative	4	
TANG Kwan Chung	AGA675	Licensed Representative		2
TANG Shentao	BPA128	Licensed Representative	9	4
TANG Tak Chun	BKJ158	Licensed Representative	1	
TANG Wai-min, Samantha	AZZ249	Licensed Representative	1	
TANG Wing Shing	BTO287	Licensed Representative	3	
TIN Wai Sun	BQG627	Licensed Representative	9	
TONG Meng Hei	BTT647	Licensed Representative	9	
TONG San Mong	BOI263	Licensed Representative	4	
TSANG Chun Yu	BFJ183	Licensed Representative		2
TSANG Tsz Ki	BRX834	Licensed Representative	1	
TSE Tsz Kit	AUD977	Licensed Representative		1
TSUSAKA Tetsuro	AYS393	Licensed Representative	4	
TU Chien Liang	BPB286	Licensed Representative	9	
TU Hsiu-Ying	ANH318	Licensed Representative		2
WANG Bo	BCF702	Licensed Representative	1	
WANG Fan	BHG370	Licensed Representative		2
WANG Juan	BAP017	Licensed Representative	9	1, 2
WANG Ni	BSH217	Licensed Representative	4, 9	
WANG Qiongyao	AWP340	Licensed Representative	4	
WANG Shurui	BSR033	Licensed Representative	1	9
WANG Xiaotong	BRQ622	Licensed Representative		2
WANG Zhizheng	BBE278	Licensed Representative	1, 6	9
WATABE Takato	BDR086	Licensed Representative	4	
WONG Fong Ki	BNN662	Licensed Representative		2
WONG Hong Man, Thomas	ANR333	Licensed Representative	4	
WU Shuang	BNO745	Licensed Representative	2	
XU Xiuqi	BNO488	Licensed Representative	1	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
XUE Jiadi	BSW104	Licensed Representative		9
YAU Kin Fai Gilbert	BOI197	Licensed Representative	4	
YEH Hao-Ting	BAC177	Licensed Representative		4
YEUNG Sze Ho	BEJ102	Licensed Representative	4	
YIM Wing Shan	BGP739	Licensed Representative		9
YIP Kit Man, Karen	AET381	Licensed Representative		2
YIP Yuen Man, Letitia	AIY988	Licensed Representative		6
YONG Yi Shan	AOB251	Licensed Representative	9	1, 2
YOSHIKAWA Kazuo	ANY401	Licensed Representative	4	
YU Chun Kit	AFF091	Licensed Representative	2	
YU Hang	BRS097	Licensed Representative	1, 6	4, 5, 9
YU Paul Bo	AXT654	Licensed Representative	4	
YU Xitong	BSD812	Licensed Representative	4	
YUEN Man Ho	BEZ553	Licensed Representative	9	1, 2
ZHAN Rongchao	BTF345	Licensed Representative	4, 5	
ZHANG Kelly Wenting	BEX915	Licensed Representative	4	
ZHANG Yan	BTQ509	Licensed Representative	5	
ZHENG Heyuan	BJE227	Licensed Representative		1
ZHOU Bingru	BTT628	Licensed Representative	1, 4	
ZHOU Lin	BOT059	Licensed Representative	9	
ZHOU Xinyuan	BNC148	Licensed Representative	2, 7	
ZHOU Yuting	BPN759	Licensed Representative	1	
ZHU Chenyue	BQR869	Licensed Representative	10	1, 4
ZHU Yisi	BML997	Licensed Representative	9	1, 2
CHAK Wai Wing	AIX144	Licensed Representative - Responsible Officer		4, 9
CHAN Ho Wai, Howard	ATJ294	Licensed Representative - Responsible Officer	4	
CHAN Kin Wah, Kenneth	BAL896	Licensed Representative - Responsible Officer	4	
CHEN Gang	BTM769	Licensed Representative - Responsible Officer	9	
CHEUNG Wai Kwok, Gary	AAC469	Licensed Representative - Responsible Officer	9	
CHOW Wai Tat, Richard	AJB550	Licensed Representative - Responsible Officer	5	
CHU To, Jonathan	AAB571	Licensed Representative - Responsible Officer		6
CHUANG Alan Yik Fung	ARW185	Licensed Representative - Responsible Officer	1	
GAO Xiaoshan	AZI801	Licensed Representative - Responsible Officer	9	
GE Shihang	BEL388	Licensed Representative - Responsible Officer	1	
HUI Nga Ying	AMU039	Licensed Representative - Responsible Officer	2	
KEE Yuen Man, Carol	ADZ736	Licensed Representative - Responsible Officer	1	
KWOK Kelvin Chun Hung	BGO336	Licensed Representative - Responsible Officer		2, 9
LAM Ting Fung, Andy	BBG375	Licensed Representative - Responsible Officer	4	
LAW Wai Hung	AVZ536	Licensed Representative - Responsible Officer	1	
LEE Tak Law	BNL407	Licensed Representative - Responsible Officer	5	
LEUNG Man Ho	BJU815	Licensed Representative - Responsible Officer	4	
LI Chi Wai	ALY313	Licensed Representative - Responsible Officer	2	
LI Wai Lum	AVP920	Licensed Representative - Responsible Officer		1
LIU Wei Jei	ATO438	Licensed Representative - Responsible Officer	4	
MUKAIBO Hiroyuki	AJL570	Licensed Representative - Responsible Officer		1
NG Man Fai	BAP096	Licensed Representative - Responsible Officer	1	
REINERT Alexandre Richard Harald	ATB503	Licensed Representative - Responsible Officer	7	
SHEN Bowen	AWY018	Licensed Representative - Responsible Officer	1	
TAM Shing Tak	AUI999	Licensed Representative - Responsible Officer	9	
WANG Pang, Paul	BAC071	Licensed Representative - Responsible Officer		4
WANG Yanan	AVE602	Licensed Representative - Responsible Officer	1	
WONG Pak Yui, Maffee	ASF405	Licensed Representative - Responsible Officer	4	
WONG Wun Yuen	BAG337	Licensed Representative - Responsible Officer	3	
XIE Yuping	BTF735	Licensed Representative - Responsible Officer	1	
XING Li	BMX389	Licensed Representative - Responsible Officer	2, 9	
YAN Ka Lok	AJK630	Licensed Representative - Responsible Officer	9	
YANG Chao	BDI839	Licensed Representative - Responsible Officer	3	
YEE Danny Ong	ABW440	Licensed Representative - Responsible Officer		1
ZHOU Gengyun	BPL714	Licensed Representative - Responsible Officer	4	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
CHAN Man Leung	BTY119	Licensed Representative (Provisional Licence)	2	
CHU Peter Man Lung	BRI340	Licensed Representative (Provisional Licence)	9	