

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Artisan Partners Hong Kong Limited	BQW394	Licensed Corporation	1	
Jinluo Securities Limited	BOK354	Licensed Corporation	4	
Logic Global Assets Management Limited	BQC462	Licensed Corporation	4	
Partners Capital Asia Limited	AXB644	Licensed Corporation	9	
Singularity Capital HK Limited	BSQ182	Licensed Corporation	4	
Yunfeng Financial Markets Limited	AAB499	Licensed Corporation		6
AGARWAL SAKSHI	BUA636	Licensed Representative		7
AHN Nam Kyu	BBZ139	Licensed Representative		1, 4
ARORA Roopali	BRG202	Licensed Representative	9	1
AU Man Ho Roy	BSI163	Licensed Representative	4	
AU Pak Sze	ARJ810	Licensed Representative		2, 5
AUGIAS Cedric Alain Nicolas Marie	AFS898	Licensed Representative		7
CAO Chen	BQQ786	Licensed Representative	4, 9	2
CAO Yu	BOS198	Licensed Representative	9	
CHAN Kwan Keung	BSM478	Licensed Representative	3	
CHAN Chi Kit	BSQ618	Licensed Representative	4	
CHAN Gary Ming Tak	AFU649	Licensed Representative	9	
CHAN Shu Yu	BRF754	Licensed Representative	4	6
CHAN Tiffany Joice	BOW445	Licensed Representative		4, 6
CHAN Tik Wai	BEB582	Licensed Representative		7
CHAN Yuk Lam	BTK503	Licensed Representative	6	
CHANG Yan Wing	ADX897	Licensed Representative		4, 9
CHAU Siu Pong	BSH012	Licensed Representative	9	
CHAU Yu Cheung	BGU344	Licensed Representative	1	
CHEN Han	BGK953	Licensed Representative	4	
CHEN Hiu Pan	BTB486	Licensed Representative	4	
CHEN Lik Chit	APK224	Licensed Representative	9	
CHEN Rui	BTW252	Licensed Representative	9	
CHEN Yitong	BPF726	Licensed Representative		1, 4
CHEN Yujia	BIH327	Licensed Representative	2	
CHEN Zhu	BRS805	Licensed Representative	1, 2	5, 9
CHENG Hao	BTO661	Licensed Representative	4	
CHENG Quan	BTR247	Licensed Representative		4
CHENG Yi Yan	BQD378	Licensed Representative	4, 9	1
CHEUNG Hiu Tan	AVL364	Licensed Representative	4	
CHEUNG Kit Man	APF463	Licensed Representative	4	
CHEUNG Lai Ping	ACJ211	Licensed Representative	9	
CHEUNG Yan Kit	BPT168	Licensed Representative	9	
CHING Kwan Leung	ARF269	Licensed Representative		1
CHIU Yee Wan	AOZ097	Licensed Representative		1
CHNG Oon Jin	AIN851	Licensed Representative	4	1
CHOE Kyung Ho	BDR804	Licensed Representative		4
CHOI Ji Won Jennifer	ATX210	Licensed Representative		1, 4
CHOI Man Fai	BBP353	Licensed Representative		2
CHONG Chi Ho	ANM084	Licensed Representative	5	
CHOW Chun Man	BEL736	Licensed Representative	1	
CHOW Ka Hang	BIY485	Licensed Representative	4, 5, 9	
CHOW Shuk Yi	ADI987	Licensed Representative	9	
CHU Tat Chee	AMJ346	Licensed Representative		1, 4
CHUI Heung Chin	ANQ230	Licensed Representative	4	
CHUI Lap Pan	BCK283	Licensed Representative		2
CUI Lingyuan	BRK205	Licensed Representative	1	
DAI Wenwen	BPQ334	Licensed Representative	1, 9	4
DONG Lihua	BPX397	Licensed Representative		2
FANG Tingzhao	BTL124	Licensed Representative	4	
FANG Yingxue	BQC792	Licensed Representative		6
FUNG Yu Ching	AWN156	Licensed Representative		6
GORDON Glen	AWT838	Licensed Representative	4	
GUO Biao	AXK321	Licensed Representative	2	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
GUO Renjia	BTO275	Licensed Representative		7
GUO Yuxiang	BMU936	Licensed Representative		1, 4
HAN Raymond Rui	BQF226	Licensed Representative		1, 4
HE Chang	BMO313	Licensed Representative		2
HE Zirong	BNU189	Licensed Representative	1	9
HO Cheong Yin	AOV368	Licensed Representative	2	
HO Gar Fai, Ernest	ACH690	Licensed Representative	4	
HO Kenneth Kar-hin	BOG656	Licensed Representative		1, 4
HO Marcus Jian Yung	BID036	Licensed Representative		7
HO Wun Kuen	BHU595	Licensed Representative	1, 4	
HONG Yanrong	BSF593	Licensed Representative		7
HSIAO Ka Li	AML187	Licensed Representative	2, 5, 9	
HU Hanyue	BSM502	Licensed Representative	4	
HUI Chi Keung	ACJ725	Licensed Representative		2
HUNG Fan Tak	BRG409	Licensed Representative	4	
JEON Ryan Taylor	AUE101	Licensed Representative	1	
JIANG Yongliang	BMW904	Licensed Representative	1	
JIN Joon Ki	BRP095	Licensed Representative		7
KAM Kai Ki	BQW401	Licensed Representative		2
KANG Chenxia	BUA613	Licensed Representative	4	
KAO Richard	ADR379	Licensed Representative		1, 4
KHOO Wing Pui, Charlotte	AYE286	Licensed Representative	4, 9	
KIM Soyeon	BNJ597	Licensed Representative		1, 4
KWAN Chi Sum	BPY114	Licensed Representative		4
LAI Hiu Tung	BEU887	Licensed Representative	1	2
LAI Kai Po	BIS734	Licensed Representative	4	2, 9
LAI Wai Nam	BNY633	Licensed Representative		4
LAM Keung	ADZ888	Licensed Representative	9	
LAM Lai Heung	AEP936	Licensed Representative		9
LAM Man Ho	ARQ371	Licensed Representative		2
LAM On Lok	BOS975	Licensed Representative		1, 4
LAU Ka Yan	BQF892	Licensed Representative		1
LAU Kit Tin	BIC606	Licensed Representative		1
LAU Kwan Yin	BNI434	Licensed Representative	9	1, 2
LAW Hoi Hung	AAD127	Licensed Representative		7
LEAHY David Joseph	AKI495	Licensed Representative	9	1, 4
LEE Hoi Kam	ABE301	Licensed Representative		2, 7, 8, 9
LEE Ka Ho	BBT497	Licensed Representative		1, 4
LEE Mei Ha, Ida	AIG166	Licensed Representative	4	
LEE Taewoo	BHI011	Licensed Representative	9	4
LEE Yat Hin	BPR454	Licensed Representative		6
LEE Yee Ping	BTO538	Licensed Representative	2	
LEITER Jesse Ryan	BEE819	Licensed Representative	4	
LEUNG Jensen Wang Chun	BBE752	Licensed Representative	2	
LEUNG Kei Fung	BGJ094	Licensed Representative		2
LEUNG Keith Koon Chung	ARI977	Licensed Representative		1, 4
LEUNG Tsz Kin	BHQ306	Licensed Representative	2	
LEUNG Yu Hin	AZX593	Licensed Representative		1, 4, 9
LI Hairong	BOD027	Licensed Representative	9	
LI Mengzhu	BNM966	Licensed Representative	4	
LI Xinwei	BQA898	Licensed Representative		6
LI Yiu Yuen	BFB087	Licensed Representative		1, 4
LI Yixiao	BSH178	Licensed Representative		1, 4
LI Yongjin	BPJ656	Licensed Representative	1, 2, 4	
LIANG Junyao	BQI440	Licensed Representative	9	
LIAO Zezhou	BMO987	Licensed Representative		4
LIN Man Shan, Mason	BOR900	Licensed Representative	9	1, 2
LIN Wen Pin	ALI163	Licensed Representative		1
LING Tsz King	AOA405	Licensed Representative	1, 2	4, 9

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIU Zheyu	BOC008	Licensed Representative	9	
LO Chi Ho, Richard	ABW803	Licensed Representative	4	
LO Ka Yiu	ACU503	Licensed Representative		2
LO Maverick Ho Yeung	BQS591	Licensed Representative		2, 4
LOH Teng Kai	BSQ058	Licensed Representative	9	
LU Jiaqi	BRO493	Licensed Representative	1	
LUK Sum Kin	BID698	Licensed Representative		1, 4
MA Chun Wang	BTA303	Licensed Representative		4
MA Xiaona	AXA085	Licensed Representative		1
MAN Sing Wa	AOX779	Licensed Representative		4, 9
MIURA Naoya	BOF203	Licensed Representative	9	4
MOK Wing Sze	BNI355	Licensed Representative		2
NG Kwok To, Henry	BNH613	Licensed Representative	4, 5, 9	
NG Wai Kit	AVT524	Licensed Representative	2	
NIU Xiao	BFO764	Licensed Representative		1
OH Seung Joo	ALJ848	Licensed Representative		1, 4
O'MARA Conor John	AOV704	Licensed Representative	4	
OUYANG Tianxiang	BTX471	Licensed Representative	1, 4, 5	
OUYANG Xupeng	BGN162	Licensed Representative		1
PAN Chunling	BRO470	Licensed Representative	3, 4, 9	
PAN Larry Lesheng	BRS401	Licensed Representative		1, 4
PEEL Edward James Willoughby	AYS709	Licensed Representative		4
POON Ho Yan, Ada	AFQ511	Licensed Representative	4	
POON Ming Hei	BTN230	Licensed Representative	2	
QIAN Kexin	BSX811	Licensed Representative	1, 2, 4, 5	6
QIN Hao	BID193	Licensed Representative		6
SHEN Yi	BSH061	Licensed Representative	6	
SHI ye	BTC783	Licensed Representative	9	
SIU Kai Yun	BIB329	Licensed Representative		9
SO Hin Hang	BHF905	Licensed Representative	4	2
STEPHENS John William	AFT822	Licensed Representative		5
STOKLOSA Magdalena Lucja	BMS519	Licensed Representative		1
SU Hui	BID890	Licensed Representative	4, 9	
SU Patrick Yuhsiang	BRB312	Licensed Representative		4
SUN Chang	BKI593	Licensed Representative	4	
SUN Yan	BIC310	Licensed Representative	1, 4	
SUNG Siu Chung	BAE505	Licensed Representative	1	
TAKATSUKA Ko	AXE002	Licensed Representative	2, 4	
TAM Chi Lok Arnold	AVJ390	Licensed Representative		9
TAM Chung Fai, Josiah	AIH357	Licensed Representative	1	
TAM Leo Ho Kei	BEK751	Licensed Representative	4, 9	
TAM Yui Chit Jeffrey	BMX555	Licensed Representative		6
TAN Taizhi	BCH565	Licensed Representative		1, 4
TING Wan Yam	BDO276	Licensed Representative	4	2
TO Chak Yan, William	AVJ725	Licensed Representative	4	
TONG Chun Kwan	BEO229	Licensed Representative	9	
TSANG Sui Cheung	BER410	Licensed Representative	9	1, 2, 5
TSE Wing Kei	APW578	Licensed Representative	9	
WALSH Marcus James Finbarr	AAW329	Licensed Representative		2
WAN Chun Man	ATY752	Licensed Representative	5	
WAN Lai Sze	BOP273	Licensed Representative	9	
WAN San Ming, Sandy	ADI757	Licensed Representative	9	
WANG Huangji	BNY649	Licensed Representative		1
WANG Jingxiao	BSJ084	Licensed Representative	1	4, 9
WANG Ka Kai, Gillian	AFV850	Licensed Representative	2	
WANG Wenjia	BRS349	Licensed Representative	4	
WONG Chung Ming	AEJ888	Licensed Representative		2
WONG Hop On	BPK710	Licensed Representative	4	2
WONG Jeremy	AEA858	Licensed Representative		2

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WONG Ka Chun	BP1985	Licensed Representative		9
WONG Kin Pong	AJN217	Licensed Representative	9	
WONG Kwok Lung	ACE536	Licensed Representative		4
WONG Pui Shan	BRU783	Licensed Representative	1	
WONG Shing Yip	AQM559	Licensed Representative		1
WONG Vivien	ARR811	Licensed Representative		4
WOOD Alexander James	BTY590	Licensed Representative	4, 5	
WU Chia-an	BPY308	Licensed Representative	4, 9	
WU Kwong Chau	AGH135	Licensed Representative	1	
WURFBAIN Oliver Roderick Joan	BPX674	Licensed Representative		7
XIA Minglu	BRW212	Licensed Representative	2	9
XIA Tian	BFX031	Licensed Representative	2	
XIAO Jingying	BSZ662	Licensed Representative	4	
XIAO Qian	BGA973	Licensed Representative		6
XIONG Ruixi	BNF615	Licensed Representative	2	4, 9
XIONG Yun	BFJ343	Licensed Representative		1
XU Ke Tony	ARA445	Licensed Representative	9	1, 2
XU Minruijun	BPX307	Licensed Representative		1
XU Ran	BRP918	Licensed Representative		7
XU Xiaohui	AOA592	Licensed Representative		1, 4
YAN Qiangsheng	APL679	Licensed Representative	1	
YANG Shuchao	BPJ523	Licensed Representative		1, 9
YANG Yijie	BTB942	Licensed Representative	1, 4	
YAO Zheng	BNZ078	Licensed Representative		9
YEN Philip Hongyu	BOH507	Licensed Representative	1, 4	
YEUNG Tak Wah	ATR495	Licensed Representative		1
YU Jaewon	APQ216	Licensed Representative	9	1, 2
YU Qinjie	BSI707	Licensed Representative	9	
YUAN Chi	ATL424	Licensed Representative	4, 9	1, 2
YUEN Man Ho	BAK282	Licensed Representative		4, 9
YUEN Ying Ho	ACK266	Licensed Representative	3	5
ZHANG Sishi	BRO558	Licensed Representative	4	
ZHAO Jiayang	BET323	Licensed Representative		1, 4
ZHAO Xun	BJU631	Licensed Representative		1, 4
ZHONG Yi	BRK378	Licensed Representative	2, 4	9
ZHONG Ying	BTO394	Licensed Representative		6
ZHOU Haote	BKK304	Licensed Representative		1, 4
ZHOU Tu	AZR295	Licensed Representative		7
ZHOU Xunnan	BRP306	Licensed Representative		4
ZHOU Zhiling	BSR131	Licensed Representative	9	1, 2, 4, 5
ZHU Bingge	BSB838	Licensed Representative	4	
ZHU Jiaer	BQL306	Licensed Representative	9	
ZHU Jiajia	BSS071	Licensed Representative	1	9
ZHU Meng	BPR728	Licensed Representative	9	
ZHU Yan	BBK147	Licensed Representative		4, 9
ZUO Tianyou	BQT571	Licensed Representative	4	
AU YEUNG Man	AQD598	Licensed Representative - Responsible Officer	4, 5	
BURCKGARD Dominik Rene	AVM701	Licensed Representative - Responsible Officer	9	
CHAN Nap Kee, Joseph	ABT746	Licensed Representative - Responsible Officer		4
CHANG Hoi Yiu	AUN662	Licensed Representative - Responsible Officer	9	
CHANG Yan Wing	ADX897	Licensed Representative - Responsible Officer	1	
CHANG Yung Ching	AFF918	Licensed Representative - Responsible Officer		1
CHAU Chung Kai, Peter	AAC736	Licensed Representative - Responsible Officer		6
CHEN Taiheng	BQF315	Licensed Representative - Responsible Officer		4
CHOI Stephen Joon	AJH431	Licensed Representative - Responsible Officer		1
CHU Chun Ming	AHR391	Licensed Representative - Responsible Officer	4	
CHUNG Yan, Dennis	AGK668	Licensed Representative - Responsible Officer	4	
HUI Sze Nga	BJT982	Licensed Representative - Responsible Officer	1	
HUNG Hing Man	ABM858	Licensed Representative - Responsible Officer	9	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
JIANG Maolin	ARE474	Licensed Representative - Responsible Officer	4	
KU Sanqi	AZC762	Licensed Representative - Responsible Officer		6
LAM Wing Chak, Victor	ABE785	Licensed Representative - Responsible Officer		1
LAU Yin Wing	AIU473	Licensed Representative - Responsible Officer	1	
LEE Chak Yan, Charles	AQR637	Licensed Representative - Responsible Officer	5	
LEE Hoi Kam	ABE301	Licensed Representative - Responsible Officer	4	
Lee Wai Kuen Katherine	APG627	Licensed Representative - Responsible Officer		4
LEUNG Yu Hin	AZX593	Licensed Representative - Responsible Officer	2	
LIN Wai Yan	AEU175	Licensed Representative - Responsible Officer	4	
LU Jie	AQV758	Licensed Representative - Responsible Officer	9	
MA Hoi Wai	ADS048	Licensed Representative - Responsible Officer	4	
MA Wing Yin, Janice	BGA515	Licensed Representative - Responsible Officer	4	
MAN Sing Wa	AOX779	Licensed Representative - Responsible Officer	1	
MOW On Loy	AIR602	Licensed Representative - Responsible Officer		9
OUYANG Xupeng	BGN162	Licensed Representative - Responsible Officer	9	
PANG Shu Wai William	AWY264	Licensed Representative - Responsible Officer	4	
PITSILIS Emmanuel Vassili	BRV311	Licensed Representative - Responsible Officer	9	
REN Minjian	BGX455	Licensed Representative - Responsible Officer	1	
SHIH Mei Ling	AMO736	Licensed Representative - Responsible Officer		2
SHUM Kei Yiu, Daniel	ALY610	Licensed Representative - Responsible Officer	1	
SIU Kai Yun	BIB329	Licensed Representative - Responsible Officer	1	
SZE Ka Ming, Kelvin	AOU402	Licensed Representative - Responsible Officer	4	
TANG Thomas Yaw Fay	ARH348	Licensed Representative - Responsible Officer	1	
TONG Chun Kwan	BE0229	Licensed Representative - Responsible Officer	4	
WANNENMACHER Tim	AMU467	Licensed Representative - Responsible Officer	7	
WARBANOFF Peter	BAT271	Licensed Representative - Responsible Officer	4	
WATSON Adam James	BCY110	Licensed Representative - Responsible Officer	9	
WESTON Simon Michael	AVU839	Licensed Representative - Responsible Officer		2, 9
WONG Charles Teng-lao	AUG868	Licensed Representative - Responsible Officer		1
WONG Chi Ling	ACG593	Licensed Representative - Responsible Officer	9	
WONG Chung Ming	AEJ888	Licensed Representative - Responsible Officer	4	
WONG Kin Pong	AJN217	Licensed Representative - Responsible Officer	4	
WONG Shing Kwan	ACS352	Licensed Representative - Responsible Officer		2, 5
YEUNG Wai Kee	APK988	Licensed Representative - Responsible Officer	5	
YUAN Chongze	AWD529	Licensed Representative - Responsible Officer	4, 5	
YUNG Wai Sing	ANP500	Licensed Representative - Responsible Officer	1	
ZHANG Lixin	BPD881	Licensed Representative - Responsible Officer	5	
ZHANG Peiguo	BOJ263	Licensed Representative - Responsible Officer	4	
ZHU Jiang	BNB630	Licensed Representative - Responsible Officer		9
LAI Wing Suen	BUB068	Licensed Representative (Provisional Licence)	1	
LI Wai Lun	BFT930	Licensed Representative (Provisional Licence)	2	