

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Amerinvest Fund Management Limited	BTF811	Licensed Corporation	4	
CCB Securities Limited	AGJ534	Licensed Corporation		4, 9
China Sunrise Asset Management Limited	BEA129	Licensed Corporation		1
East Asia Securities Company Limited	AAE876	Licensed Corporation	4	
Impax Asset Management (Hong Kong) Limited	AXK530	Licensed Corporation	1	
Lighthouse Capital (HK) Financial Limited	BHX373	Licensed Corporation	4	
North Beta International Securities Limited	ARR717	Licensed Corporation	9	
Pittodrie Finance Limited	AYW736	Licensed Corporation		9
Southlake Fund Management (Hong Kong) Limited	BIT424	Licensed Corporation	4	
AU Tik Sun	BHS479	Licensed Representative	3, 5	
AU YEUNG Ying Hei Joyee	BRS103	Licensed Representative		1, 4
BHOWMIK Souvik	BTK022	Licensed Representative		2, 4, 5
CHAN Chin Tao, Thomas	AQQ120	Licensed Representative	9	1
CHAN CHING HEI	BSZ270	Licensed Representative	4	
CHAN Chit Hong	BCK498	Licensed Representative		9
CHAN Justin Sano	AFY829	Licensed Representative	9	
CHAN Ka Wai	BLR954	Licensed Representative	2	
CHAN Kin Hang	BEW115	Licensed Representative	9	
CHAN Lee Quan	BLJ949	Licensed Representative		9
CHAN Ngai Tan	BQG443	Licensed Representative	9	
CHAN Suet Sum	AEO893	Licensed Representative		2
CHAN Wang Kin	ATX638	Licensed Representative		9
CHAN Yat Lam	AOW942	Licensed Representative		4
CHAN Yik Lun	AOR284	Licensed Representative		1, 2, 3, 4
CHAN Yu Fung Christopher	BQL861	Licensed Representative	9	
CHANDLER Benjamin Victor Michael	AEO780	Licensed Representative	4	
CHANG Hsun Fang	ANP491	Licensed Representative	1	
CHANG Hye Jin	BGM488	Licensed Representative	1	
CHEN Fei	AYB045	Licensed Representative		4
CHEN Wei Lun	BNP013	Licensed Representative		1, 4
CHEN Yu Shiu-an	BKP273	Licensed Representative	2	
CHEN Yuhu	BOR694	Licensed Representative		1, 4
CHENG Anqi	BTE665	Licensed Representative	1	
CHENG Suet Kwan Shirley	BCK468	Licensed Representative		4
CHEUNG Chun Wai	BMV688	Licensed Representative		1
CHEUNG Curtis Lok Lun	BQW875	Licensed Representative	2	
CHEUNG Judy Hiu Ching	BEV160	Licensed Representative	2	
CHEUNG Kam Hong	AVT081	Licensed Representative	1	
CHEUNG Kiu Tik	BQP933	Licensed Representative	3	
CHEUNG Shui Fai	AIF576	Licensed Representative	2	
CHING Andy Kai Ming	BSS594	Licensed Representative	1	
CHIU Sheung Yuk	AKU355	Licensed Representative		1, 4
CHONG King Pan, William	AAJ630	Licensed Representative		9
CHOW Long Ching	BLF108	Licensed Representative		2, 4
CHOW Yee Hin	BHU084	Licensed Representative	4	9
CHU Siu Wing	BRQ409	Licensed Representative	5	
CHUI Yuen Ting	BBT170	Licensed Representative		1, 4
CHUNG I Fon	AVI326	Licensed Representative	2	
DAI Niyu	BTZ872	Licensed Representative	4	
DEISS Christian Philipp	AXV367	Licensed Representative		1, 4
DENG Sihao	BSZ368	Licensed Representative		1, 4
DENG Xixin	BMV958	Licensed Representative	4	
DWIVEDI Prashant	BPN735	Licensed Representative		4
FEI Yifan	BRR467	Licensed Representative		1, 6
FOK Yiu Tung	AHG563	Licensed Representative	2	
FONG Hei Laam, Harry	BCC932	Licensed Representative	4	
GAO Junjie	AXG317	Licensed Representative		1, 4
GAO Tian	BTZ159	Licensed Representative	2	
GUANG Ao	BBD861	Licensed Representative		9

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
GUI Mingxing	BSE723	Licensed Representative		1
GUPTA Abhishek	AOY906	Licensed Representative		1, 2
HAN Meng	BUA318	Licensed Representative	4, 9	
HAO Mengyi	BTG625	Licensed Representative	4	
HE Bin	BTO361	Licensed Representative	9	
HO Chi Ho Eric	BSL930	Licensed Representative		4, 5
HO Kam Ho, Christopher	AIR651	Licensed Representative	2	4
HO Shan Shan Rosanna	BNH002	Licensed Representative		1
HO Tin Lok, Byron	AAV171	Licensed Representative		9
HO Wun Kuen	BHU595	Licensed Representative	5	
HONG Jinpo	BRP588	Licensed Representative	9	1, 4
HONG Yanrong	BSF593	Licensed Representative	7	
HOOD David Lionel	BBP054	Licensed Representative	2, 9	
HSU Kuang-yao	BCI351	Licensed Representative		4
HSU Leo Ho-wu	BUC869	Licensed Representative	2	
HUANG Chin	BHY493	Licensed Representative	9	
HUANG Genie	BRQ952	Licensed Representative	4, 5	
HUANG Jiajun	BRO356	Licensed Representative		9
HUANG Wei	BCE538	Licensed Representative		1, 5
IP Wai Lun, Victor	AOD814	Licensed Representative	9	
JIAN Weiyang	BSH971	Licensed Representative		9
KEE Chi Hang Kevin	BRQ545	Licensed Representative		1, 4
KESWANI Nand Kumar	BJP480	Licensed Representative		4, 5, 7
KIM Jooyoung	AYU182	Licensed Representative		6
KLINGENBERG-TIMM Benno	BGD845	Licensed Representative		4
KO Sui Ho	BRX046	Licensed Representative	3	
KOHLI Sneha Shah	AVP148	Licensed Representative		9
KWOK Tsan Tung	BTB558	Licensed Representative	9	
KWONG Wing Yan	BMH500	Licensed Representative	9	
LAM Kin Pan	AGE554	Licensed Representative	9	
LAM Siu Wing Cynthia	ARF359	Licensed Representative	9	1, 2
LAM Sui Lung	BHJ694	Licensed Representative	5	
LAU Joyce Kar Yan	BRO828	Licensed Representative	1	
LAU Yue Wah, Brian	AFS902	Licensed Representative	2, 4	
LAW Pui Fai	BAL426	Licensed Representative		3
LAW Ying Wai, Denise	AOW883	Licensed Representative	1	
LEE Chi Kin	BAX162	Licensed Representative	4	
LEE Ho	BHN975	Licensed Representative		3
LEE Junho	BFR422	Licensed Representative	9	
LEE Wai Fun	ACK112	Licensed Representative	9	
LEE Wai Yip	AWX944	Licensed Representative	2, 5	
LEE Yoo Jeong	BTF359	Licensed Representative	2	
LEE Zhi Xing	ASU238	Licensed Representative		1, 2, 4, 9
LEUNG Chun Hei, Matthias	ARE214	Licensed Representative	9	
LEUNG Koon Kiu	AWK392	Licensed Representative	2, 5	
LEUNG Wai Chung	AWY785	Licensed Representative	4	
LEUNG Wing Lung	BKC631	Licensed Representative	1	
LI Ho Yin	BOT045	Licensed Representative		2
LI Houchen	BSU012	Licensed Representative		2, 4
LI Pan Wing	BIH304	Licensed Representative		1
LI Xueying	BTM960	Licensed Representative		6
LIANG Daping	BNV495	Licensed Representative		1, 4
LIU Bo	BGA920	Licensed Representative	9	
LIU Hiu Lau	AGP529	Licensed Representative		1, 4
LIU Hsiang Ching	BTK148	Licensed Representative		1
LIU Mu	BJQ205	Licensed Representative	1	
LIU Patrick Song	ANQ638	Licensed Representative	1	
LIU Wenqiang	BRO873	Licensed Representative	5	
LIU Yiheng	BTO183	Licensed Representative		1

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LO Ho Tak	AVR437	Licensed Representative		1
LU Jingyi	BJX882	Licensed Representative	9	2, 5
LU Yu	BOZ981	Licensed Representative	4	
LUI Hung Kik	AZF855	Licensed Representative	2, 5	
LUK Cameo	BRQ238	Licensed Representative	1, 4, 5	
LUK Ching Yu	BSW045	Licensed Representative		4
LUK Nga Cheong	BJK529	Licensed Representative	5	
MA Hongyu	BRF088	Licensed Representative		1, 4
MA Hsuan Chi	BPJ420	Licensed Representative		2
MA Ming Yau Sharif	BOH817	Licensed Representative	9	
MA Tak Wah	AUD467	Licensed Representative		1, 2
MOK Wai Ling Ezena	BTY798	Licensed Representative	9	
MOK Wing Hon	AGD652	Licensed Representative		1
NG Pak Wai	ADZ528	Licensed Representative		2
NG Pak Wing	BTR879	Licensed Representative	3	
NG Tsz Ming	ALZ922	Licensed Representative	9	
NGAN Hei Chiu	AHR145	Licensed Representative		9
PAN Chenglin	BOS130	Licensed Representative	2, 4	
PANG Tsz Wai	BOS807	Licensed Representative		4, 9
PENG Jianhong	BNN213	Licensed Representative	1	
QIAN Yuezhu	BTY608	Licensed Representative	9	
QU Wenting	BNE853	Licensed Representative		2, 9
SHEN Jiawen	BSZ443	Licensed Representative	1, 9	
SHEN Xinlu	BRL032	Licensed Representative	4	
SHVETS Viktor	ACQ231	Licensed Representative	1	4
SONG Hao	BNH693	Licensed Representative	4, 9	1
SU Hong	BSH443	Licensed Representative		5
SU Ziheng	BJK655	Licensed Representative	2, 5	9
SUN Xin	BOS462	Licensed Representative		1
SUN Yuen Han	AGN808	Licensed Representative		4
SZE-TO Ho Nam	AVZ235	Licensed Representative		2
TAI Mei Wah	BNC612	Licensed Representative	1	
TAKEUCHI Shinnosuke	BIG692	Licensed Representative	9	4
TAM Yuen Lam, Angela	AUA445	Licensed Representative	1	
TANG Chun Ting, Christopher Archer	AGA901	Licensed Representative		4
TANG Loi Sik	BFX684	Licensed Representative	2	
TANG Wenjie	BTP265	Licensed Representative	9	
TANG Ziyun	BTL026	Licensed Representative	2, 5	
TEOH Tean Chai, Anthony	AAJ445	Licensed Representative		1, 2, 4
TIMMS John Willard	AWU652	Licensed Representative	2	
TONG Chun Kwan	BE0229	Licensed Representative		9
TONG Kwong Yuen, James	BPV560	Licensed Representative	1	6
TONG Wai Tak, William	AJB117	Licensed Representative		1, 4
TONG Yilun	BKY135	Licensed Representative		5, 9
TONG Yufeng	BRW258	Licensed Representative	4	9
TSAI Wei-Lien	AHQ354	Licensed Representative	9	
TSANG Nicky Cheung Ho	BHH015	Licensed Representative	1	
TSOI Sin Yuk	BRR594	Licensed Representative	2	
TSUI Yuen Ting	BSU344	Licensed Representative		4, 6
TUEN Hei Ching	BQV418	Licensed Representative		4
WANG Jiayin	BRS207	Licensed Representative		1, 4
WANG Xinyu	BTB659	Licensed Representative	1	
WANG Yikai	BRS697	Licensed Representative	9	1
WANG Yu	BIC278	Licensed Representative		6
WEN Yijin	BPV421	Licensed Representative	1	
WONG Chi Hang	BJK881	Licensed Representative	5	
WONG Chi Ho	AVN455	Licensed Representative	4	9
WONG Chun Lang, Johann	AWJ931	Licensed Representative	4, 9	2
WONG Chung Yin	BPY276	Licensed Representative	4	

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CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WONG Hoi Tik	AWA333	Licensed Representative	4	
WONG Martin Leland	BOZ678	Licensed Representative	1	
WONG Ming Fai	ALW838	Licensed Representative	1	
WONG Shing Po	BMW552	Licensed Representative	4	
WONG Wai Yee	BPN621	Licensed Representative	9	1
WU Sui Lung	BOC779	Licensed Representative	4, 9	1
WU Xinyu	BQO318	Licensed Representative	9	
WU Yi Ting	BNS681	Licensed Representative		9
WU Zhelun	BRH608	Licensed Representative		1
WU Ziyin	BMV591	Licensed Representative	9	1
WU Ziyu	BPX705	Licensed Representative	9	
XIAO Chen	BSD507	Licensed Representative		9
XIE Jinchao	BEN368	Licensed Representative		6
XU Hantian	BIE314	Licensed Representative	9	1
XU Junxuan	BSA336	Licensed Representative		9
YANG Quanquan	BF1966	Licensed Representative		9
YANG Zengxi	BSK084	Licensed Representative		2
YANG Ziting	BTK556	Licensed Representative	1	9
YAO Jiashan	BSH897	Licensed Representative		4
YEUNG Ka San	BTX704	Licensed Representative	2	
YIP Kar Kay, Jonathan	AKX742	Licensed Representative		4
YIP To Hang	BHP785	Licensed Representative	9	1, 4
YU Shun Shing	BSU576	Licensed Representative	9	
YUAN Jiayi	BOP947	Licensed Representative	4, 9	1, 6
ZHANG Chunbing	BOJ751	Licensed Representative		1, 2
ZHANG Huimin	BRY760	Licensed Representative	3, 9	
ZHANG Siyi	BGP574	Licensed Representative		1, 4
ZHANG Xichen	BOP231	Licensed Representative		4
ZHANG Yixia	BID685	Licensed Representative	4	9
ZHANG Zhoubo	BHR997	Licensed Representative		2
ZHANG Zhuo	BQV857	Licensed Representative	9	
ZHAO Shengjie	BFW849	Licensed Representative		4
ZHENG Edwin	BRR991	Licensed Representative	1	
ZHENG Wan Ying	BTD935	Licensed Representative	4	
ZHENG Xiaomeng	BTG353	Licensed Representative		6
ZHONG Ying	BTO394	Licensed Representative	9	
ZHOU Beichen	BRW251	Licensed Representative	4, 9	6
ZHOU Bingyu	BQL705	Licensed Representative		9
ZHU Congxin	BTR121	Licensed Representative	9	
ZHU Hongxia	ATM945	Licensed Representative	2, 4	
ZHU Tingyao	AZS136	Licensed Representative		4
ZHU Zhu	BKH350	Licensed Representative		1, 4
ZOU Zhenyu	BOZ583	Licensed Representative		4, 5
AMMANN Yannick	BPK580	Licensed Representative - Responsible Officer		9
BISHNOI Manish	AXE046	Licensed Representative - Responsible Officer	1	
CHAN Hei Wai	AXE875	Licensed Representative - Responsible Officer	1	
CHAN Lee Quan	BLJ949	Licensed Representative - Responsible Officer	1, 2, 5	
CHAN Sze Chung	BGH364	Licensed Representative - Responsible Officer		1
CHAN Tsz Him, Anthony	BEM407	Licensed Representative - Responsible Officer	2, 5	
CHAN Tsz Leung	ARD249	Licensed Representative - Responsible Officer	1	
CHANG Che Hao	BLO467	Licensed Representative - Responsible Officer	9	
CHEE Weng Yan, Wendy	ABZ889	Licensed Representative - Responsible Officer		2
CHENG Man Yu, Duncan	AGH568	Licensed Representative - Responsible Officer	4	
CHEUNG Kai Pong	AMP112	Licensed Representative - Responsible Officer	4	
CHOE Kang Won	BTS897	Licensed Representative - Responsible Officer	4	
CHUN Julian Howe	AOB660	Licensed Representative - Responsible Officer	1	
GAO Junjie	AXG317	Licensed Representative - Responsible Officer	10	
GUPTA Abhishek	AOY906	Licensed Representative - Responsible Officer	9	
HONEY Scott Theodore	BGQ498	Licensed Representative - Responsible Officer	4, 5	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HUANG Chun Man	AZD380	Licensed Representative - Responsible Officer	9	
HUANG Shaodong	BNW283	Licensed Representative - Responsible Officer	9	
HUI Wai Man	AIU457	Licensed Representative - Responsible Officer	1	
LAI Kwan Pak	AQM571	Licensed Representative - Responsible Officer	4	
LAW Wai Ping	AFJ941	Licensed Representative - Responsible Officer	4	
LEE Shu Yan, Andrew	AFP239	Licensed Representative - Responsible Officer	1, 4	
LEE Yung Ho	BTO200	Licensed Representative - Responsible Officer		1
LEUNG Chi Kin	ALB846	Licensed Representative - Responsible Officer	4, 9	
LIAO TAO	BTH885	Licensed Representative - Responsible Officer	4	
LO Tang To	AZQ963	Licensed Representative - Responsible Officer	4, 5	
MOK Pui Chung	AFU628	Licensed Representative - Responsible Officer	4, 9	
MOK Wing Hon	AGD652	Licensed Representative - Responsible Officer	4, 9	
NAM Kwang Woo	BTY123	Licensed Representative - Responsible Officer	4	
PUN Ko Fung	BFH447	Licensed Representative - Responsible Officer	4	
SU Shangcai	BSM510	Licensed Representative - Responsible Officer	4	
SUN Yuen Han	AGN808	Licensed Representative - Responsible Officer	1	
SZE Ka Ming, Kelvin	AOU402	Licensed Representative - Responsible Officer		4
SZETO Kin Chuen	AAD504	Licensed Representative - Responsible Officer		2
TSANG Kim Po	AEZ492	Licensed Representative - Responsible Officer	4	
WANG Yu	BIC278	Licensed Representative - Responsible Officer	2	
WENG Yimin	AXU883	Licensed Representative - Responsible Officer	4	
WONG Kin Sun	APA699	Licensed Representative - Responsible Officer	4	
WONG Yung Ho	AOY487	Licensed Representative - Responsible Officer	4	
WOO Lai Ping	ADW260	Licensed Representative - Responsible Officer		2
WU Yuan	BFM561	Licensed Representative - Responsible Officer	1	
XU Guobin	BNP647	Licensed Representative - Responsible Officer	4	
YANG Guang	BSJ059	Licensed Representative - Responsible Officer	4	
YANG Haoyu	AYJ916	Licensed Representative - Responsible Officer	1	
ZHANG Chunbing	BOJ751	Licensed Representative - Responsible Officer	5	
ZHANG Jiangfan	BMX541	Licensed Representative - Responsible Officer	6	
LAU Tat Ching	BUD860	Licensed Representative (Provisional Licence)	2, 5	