

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Aden Financial Group Limited	BMO727	Licensed Corporation	7	
Greentech Technology Asset Management Company Limited	ADD273	Licensed Corporation	1	
Hong Kong Asset Management Limited	ABI734	Licensed Corporation		2, 5
LINGJUN CAPITAL (HONG KONG) LIMITED	BQH901	Licensed Corporation	4	
LORA Advisors, Limited	BSZ562	Licensed Corporation		1
Marvel Victory Asset Management Limited	BTB341	Licensed Corporation	4	
Peking University Resources Asset Management Limited	BSV377	Licensed Corporation	4	
Samsung Asset Management (Hong Kong) Limited	AQG442	Licensed Corporation	1	
BAKER Brian Stephen	BJK848	Licensed Representative	7	
BHANDARI Raghav	BMK922	Licensed Representative	9	
BYERS James Christopher Kemp	BKT316	Licensed Representative	9	1, 2
CAI Jinga	BRS387	Licensed Representative		4
CAI Qiaonan	BTC008	Licensed Representative		2
CAI Yu	AUW213	Licensed Representative	9	
CHAN Fung Chu	ANW378	Licensed Representative	9	
CHAN Ka Kit	AED841	Licensed Representative		1
CHAN Ling	AOV587	Licensed Representative		1
CHAN Ming Chun	BDM024	Licensed Representative		2, 4, 5
CHAN Sze Wei, Eric	ALG205	Licensed Representative	9	
CHAN Tin Shun	BIN234	Licensed Representative	9	
CHAN Yu Kit, Jacky	ARO061	Licensed Representative		4, 9
CHANG Ho Ting	BRH077	Licensed Representative	9	2, 5
CHEN Jun	BLZ486	Licensed Representative		4
CHEN Sijia	BGL658	Licensed Representative		4
CHEN Wei Hsun	ARG669	Licensed Representative		4
CHEN Yipeng	BOW747	Licensed Representative		4, 6
CHENG Wing Hung	AMA065	Licensed Representative		4, 9
CHEUNG Wai Ching, Vancy	AFB036	Licensed Representative		5
CHIN Vincent	BSS974	Licensed Representative	1, 4, 6	
CHUNG Nicholas	BBU702	Licensed Representative	4, 9	1, 2
DING Chenying	ARP182	Licensed Representative	9	
FAN Fangyi	BSV553	Licensed Representative		1
FONG Ka Ming	AHR794	Licensed Representative	4	
FU Shumeng	BAO926	Licensed Representative		6
FUKUDA Tadashi	AVM311	Licensed Representative	9	1, 2, 7
FUKUSHI Ryosuke	BOB141	Licensed Representative	7	
FUNG Tat Chung	AMQ345	Licensed Representative	9	
GAO Rui	BTV532	Licensed Representative	1	
GE Tianyuan	BUA521	Licensed Representative	4	
GUO Li Ning	BKU075	Licensed Representative	1	
GUO Ruogu	BHL025	Licensed Representative	4	6
HAN Xuan	BHIH928	Licensed Representative	1, 2	9
HOWELL Daniel John	AWF431	Licensed Representative		9
HSIEH Hui-wen	ANH738	Licensed Representative	9	
HSU Shih-min	BCJ580	Licensed Representative		1
HU Qiongdan	BUA442	Licensed Representative	9	
HUANG Hongjiang	BOH725	Licensed Representative	4	
HUANG Jia	BTC173	Licensed Representative	9	
HUANG Jiawei	BRR510	Licensed Representative	4	
HUANG Yao	AXX667	Licensed Representative		1, 4
JIANG Wei	BRP887	Licensed Representative	1, 2	
JIANG Yizhi	BRD494	Licensed Representative		1, 4
JIN Yuanhang	BSZ451	Licensed Representative		9
JIN Joon Ki	BRP095	Licensed Representative	9	1, 2
KAN Yuen Yee	ARS053	Licensed Representative	4	
KIM Myoung	BSX820	Licensed Representative	7	
KONG Xiangpei	BRR954	Licensed Representative	9	
LAI Kin Pui	BMS565	Licensed Representative	4, 9	1, 2
LAI Wing Suen	BUB068	Licensed Representative	4	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LAM Lai Ting, Claude	BOU217	Licensed Representative	4, 5	
LAM Leung Kit	AXP603	Licensed Representative		9
LAM Oi Ching	AGA422	Licensed Representative		2
LAM Suen Man	BDW925	Licensed Representative	4	
LAU Hon Chin	AEX115	Licensed Representative		2
LAU Pong Wong	BDT072	Licensed Representative	4	
LAW Tak Wai Natalie	BHL925	Licensed Representative		5
LEE Choi	AAE459	Licensed Representative		1
LEONG Suen Lung Jeromy	BTE820	Licensed Representative	9	1, 4, 6
LEUNG Kai Fung	AOX679	Licensed Representative	2	
LEUNG Kai Kin	AYI790	Licensed Representative	4, 9	1, 2
LEUNG Su Lung	BGA561	Licensed Representative		4
LI Haoyi	ASF604	Licensed Representative	4	
LIAO Ziru	BSU658	Licensed Representative	4	2
LIN Yizhou	BRR937	Licensed Representative	9	
LING Tsz King	AOA405	Licensed Representative	4	
LIU Jun	AVM464	Licensed Representative	4	9
LIU Lijia	AZT570	Licensed Representative	4	
LIU Tong	BUA211	Licensed Representative	9	
LIU Yang	BFC549	Licensed Representative	9	
LOWE Norman C.	ACB086	Licensed Representative	8	
LU Feng	BOT887	Licensed Representative		1
LU Wan Li	AVJ696	Licensed Representative		5
LU Yi Chun	AZX329	Licensed Representative		1
LUO Lingxiao	BOP535	Licensed Representative		9
MA Chi Ho, Laurence	AEA532	Licensed Representative	4	
MA Kin Fan, Kent	AKY512	Licensed Representative		5
MA Oscar Ho-Hin	BNJ387	Licensed Representative		1
MAK Hin Chun	APL244	Licensed Representative		2
MAK Wing Shan, Priscilla	AES313	Licensed Representative	9	
MAK Yun Ching, Mica	ADL348	Licensed Representative	4, 9	1
MATTOLI Enrico Andrea	ASD757	Licensed Representative	1, 4	6
NG Chun Wai	AMF277	Licensed Representative		4, 5
NG Mei Kuen, Vivian	AEK109	Licensed Representative		2
NG Shun Kong	BTC884	Licensed Representative	9	
NGAN Hei Chiu	AHR145	Licensed Representative	9	
NIO Yu Syuan	BTK644	Licensed Representative	1	
PONG Hay Chow	ACM761	Licensed Representative		4, 5, 6
PONKSHE Rohit Pramod	BTO703	Licensed Representative	2	
POON Wai Kuen	BLL827	Licensed Representative		1
QIAN Ike Qingyuan	BTE759	Licensed Representative		1, 4
QIN Ruicheng	BPS113	Licensed Representative	1, 4	
SHAM Sharon Cylong	AVV406	Licensed Representative	4, 5	
SHIN Joo Kyung	BQC062	Licensed Representative	10	1, 4, 9
SIU Ka Yee	BSR768	Licensed Representative		2, 7
SUN Daniel Timur	BRQ020	Licensed Representative		1
SWAIN Thomas Patrick	BAZ728	Licensed Representative	6	
SZE Ka Ming, Kelvin	AOU402	Licensed Representative	1, 2	9
TAM Chi Lok Arnold	AVJ390	Licensed Representative	2, 5	
TAM Chi Ming	AUD272	Licensed Representative	9	
TAM Sze Chuen	AGO269	Licensed Representative	4	
TAM Yui Chit Jeffrey	BMX555	Licensed Representative	6	
TANG Hin Lun	BOD386	Licensed Representative	4	
TIAN Zhijun	BPS498	Licensed Representative	5	1
TOM Edward	ANF158	Licensed Representative	9	
TONG Ka Kit	BLW915	Licensed Representative	4	
TSAI Chi-chang	BSX043	Licensed Representative	9	
TSE Hak Tik	BRY913	Licensed Representative		1
TSE Hillary Wai Yi	BOQ785	Licensed Representative		4

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2023

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
TSE Yat Kei Teddy	AOG833	Licensed Representative	9	
TSENG An-jung	APJ665	Licensed Representative	9	
TSOI Ki Ki	BSX653	Licensed Representative	1	9
WAI Moon Tong	AOS566	Licensed Representative		4, 9
WANG Nathan	ADJ636	Licensed Representative		1
WANG Qian	BPH416	Licensed Representative		9
WANG Zi Zhu	BDV624	Licensed Representative	6	
WONG Chi Hong, Hugo	BPQ332	Licensed Representative	4	
WONG Ho Fu, Billy	ADZ439	Licensed Representative		4
WONG Ka Ming	BHP754	Licensed Representative	9	
WONG King Chun	BUB194	Licensed Representative	9	
WONG Mo Kit, Bernard	ACL461	Licensed Representative	4, 9	
WONG Wai Kar	AJE109	Licensed Representative	1	
WONG Wai Shan	AUA951	Licensed Representative	4	
WOO Siu Mui	AAZ246	Licensed Representative	9	
WOO Wing Yin	APC057	Licensed Representative	1, 4	2, 5, 9
WU Chiu Hsuan	BTI897	Licensed Representative	9	
WU Hao	BLU256	Licensed Representative	4	
WU Nga Kam, Anna	AFP897	Licensed Representative	9	
WU Qiujie	BKY629	Licensed Representative	4, 5	
XIE Jianan	BRO030	Licensed Representative	4	
XING Jianfei	BTG487	Licensed Representative	1	
XIONG Sisong	BSW981	Licensed Representative	9	
XU Ai	BTT612	Licensed Representative	7	
XU Ran	BRP918	Licensed Representative	7	2
XU Shuoyuan	BSX869	Licensed Representative	9	
YANG Hao	BSA331	Licensed Representative		4, 9
YANG YUE Nin Yen, Gigi	AGP929	Licensed Representative		1
YAO Xiangchen	BPN852	Licensed Representative	1, 9	
YAU Fuk Yuk, Alice	APF540	Licensed Representative		2
YAU Kin Fai Gilbert	BOI197	Licensed Representative	9	
YEUNG Yuk Yan	BBA227	Licensed Representative		2, 5
YIM Chun Kit	BOM730	Licensed Representative	4	
YOON Jung Jin	AQP872	Licensed Representative	2	
YU Chun Wai	AWL989	Licensed Representative	4	
YUAN Xi	BOJ575	Licensed Representative		4
ZHANG Dianquan	BSA296	Licensed Representative		1, 4
ZHANG Su	BDJ817	Licensed Representative		2
ZHAO Xiaofeng	BSL916	Licensed Representative		1
ZHENG Xiruo	BRN917	Licensed Representative		5
ZHOU Chen	BTJ891	Licensed Representative	4	
ZHOU Tu	AZR295	Licensed Representative	7	2
ZHU Ruiyue	BPL213	Licensed Representative	6	
ZHU Tianan	BPI565	Licensed Representative	2	
ZHU Yuan	BEJ395	Licensed Representative	1	
BROWNING John Leonard	AQF043	Licensed Representative - Responsible Officer	9	
CHAN Ka Kit	AED841	Licensed Representative - Responsible Officer	9	
CHAN Tak Hong	AUZ339	Licensed Representative - Responsible Officer	7	
CHAN Wan Pan	BFE802	Licensed Representative - Responsible Officer	4	
CHENG Wing Hung	AMA065	Licensed Representative - Responsible Officer	1	
CHEUNG Chun Wai	BMV688	Licensed Representative - Responsible Officer	5	
CHUNG Tsun, Stephen	AWN749	Licensed Representative - Responsible Officer	1	
FU Yiu Man, Peter	AAC370	Licensed Representative - Responsible Officer		9
FUNG Tat Chung	AMQ345	Licensed Representative - Responsible Officer	4	
HE Nan	BEM801	Licensed Representative - Responsible Officer	4	
HONG Chu Sheng	BFW366	Licensed Representative - Responsible Officer		1
HOU Changjie	BAG107	Licensed Representative - Responsible Officer	4	
HUI Siu Chit	BQE539	Licensed Representative - Responsible Officer	9	
HUI Kwai Ming	AKX675	Licensed Representative - Responsible Officer		1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HUI Wai Yan, Kelly	AP2793	Licensed Representative - Responsible Officer	1	
KIM Minseok	BPE126	Licensed Representative - Responsible Officer	1	
KONG Ming Fai	AD2902	Licensed Representative - Responsible Officer	9	
KWAN Wai Man	ACJ105	Licensed Representative - Responsible Officer	9	
KWOK Chi Yeung	AOV745	Licensed Representative - Responsible Officer		2
LAM Chi Wai	AKX862	Licensed Representative - Responsible Officer	7	
LAO Woon Yu, Susan	ADF381	Licensed Representative - Responsible Officer	4	
LAU Ping Yau	AFT494	Licensed Representative - Responsible Officer		9
LAU Wai Ming	ACD916	Licensed Representative - Responsible Officer		2
LEUNG Hoi Yuen, Arthur	AFD257	Licensed Representative - Responsible Officer		2
LIN Hsinyu	BNJ513	Licensed Representative - Responsible Officer	4	
LIU Liang	BBS596	Licensed Representative - Responsible Officer	9	
LIU Lijia	AZT570	Licensed Representative - Responsible Officer	9	
LIU Zhi Yang	AJG492	Licensed Representative - Responsible Officer		4, 9
NG Ho Yuen, Howard	AE2250	Licensed Representative - Responsible Officer		1
NG Pik Ying	BIG966	Licensed Representative - Responsible Officer	9	
PAN Hong	BMX630	Licensed Representative - Responsible Officer	4	
PARK Sung Jin	BPF318	Licensed Representative - Responsible Officer	1	
POON Cheung Fung, David	AAD425	Licensed Representative - Responsible Officer		2
QI Xiaohu	BMP042	Licensed Representative - Responsible Officer	7	
RANGANATHAN Rajesh	ALS132	Licensed Representative - Responsible Officer		5
SHI Chenghu	AOQ041	Licensed Representative - Responsible Officer	9	
SIU Wing Man	BAJ174	Licensed Representative - Responsible Officer	4	
SUI Yan	BDQ954	Licensed Representative - Responsible Officer	1	
SUN Nan	BOU474	Licensed Representative - Responsible Officer	9	
TAM Tak Seng	BOI155	Licensed Representative - Responsible Officer	4, 9	
TING Kwok Hing	ACB661	Licensed Representative - Responsible Officer		2
TSANG Wing Yiu	AME242	Licensed Representative - Responsible Officer	1, 4	
VIRANI Adil	ANR628	Licensed Representative - Responsible Officer		7
WAI Moon Tong	AOS566	Licensed Representative - Responsible Officer	1	
WANG Junhong	BBL215	Licensed Representative - Responsible Officer	9	
WANG Meng	BQV246	Licensed Representative - Responsible Officer	4	
WONG Chi Hung	ACQ049	Licensed Representative - Responsible Officer	4	
WONG Ho Chuen	ARO917	Licensed Representative - Responsible Officer	4	
WONG Kai Ho	BCY412	Licensed Representative - Responsible Officer	4	
YEUNG Tsz Kit, Jacky	ASZ509	Licensed Representative - Responsible Officer	4	
YEUNG Wai Kee	APK988	Licensed Representative - Responsible Officer		5
YUEN Man Ho	BAK282	Licensed Representative - Responsible Officer	4	
YUEN Ronald	BOE223	Licensed Representative - Responsible Officer		1
YUNG Kim Keung	APR012	Licensed Representative - Responsible Officer	1	
ZHANG Huan	BRM255	Licensed Representative - Responsible Officer		4
ZHANG Xiaoyue	BGA617	Licensed Representative - Responsible Officer	1	
ZHOU Yi	BON542	Licensed Representative - Responsible Officer	4	
Industrial Bank Co., Ltd.	ALZ901	Registered Institution	9	