

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 02/2024**

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Blue Pool Capital Limited	AKP717	Licensed Corporation	1	
Centuriam Limited	BTG735	Licensed Corporation	1	
China-Hong Kong Connect International Securities Limited	BJD407	Licensed Corporation		2
Doo Financial HK Limited	BSM562	Licensed Corporation	1	
Huntersay Asset Management Limited	BNO076	Licensed Corporation	4	
Liquidity Finance (Hong Kong) Limited	BQE091	Licensed Corporation	4	
Mirae Asset Capital (China) Limited	AZK853	Licensed Corporation	1	
SDG Securities (HK) Limited	BMB958	Licensed Corporation		2, 5
Vontobel (Hong Kong) Limited	ARR382	Licensed Corporation	9	
ZH Securities Limited	BOW474	Licensed Corporation	2	
BANGUR Sheela	AXW581	Licensed Representative		1
BENNETT JUNIOR Stephen Scott	AGC699	Licensed Representative	9	1
CAI Zhipeng	BJF184	Licensed Representative		1
CHAN Ho Wai, Howard	ATJ294	Licensed Representative	9	
CHAN Hon Kei	ATO208	Licensed Representative		1, 2
CHAN Kin Ho	BLZ744	Licensed Representative	4, 5	1
CHAN Shu Tim	BND340	Licensed Representative		1
CHAN Wan Yiu	BFS107	Licensed Representative		1
CHAN Wing Kie, Cammie	BJR655	Licensed Representative	1, 2	
CHAN Yik Lun	AOR284	Licensed Representative	1, 2, 4	
CHAN Yue	ARE773	Licensed Representative	2	
Chee Hien Tat Dan	BJT856	Licensed Representative		1
CHEN Wo Ping	BUC061	Licensed Representative	1	
CHEN Xiao	AVA602	Licensed Representative		4, 9
CHEN Xinyang	BRO200	Licensed Representative		1
CHEN Yilan	BRI101	Licensed Representative	1, 2, 4, 5, 9	6
CHEN Yixiang	BLN678	Licensed Representative		2, 5
CHENG Binqian	BTG714	Licensed Representative	1	
CHENG Ivan Kwok Lueng	AGZ747	Licensed Representative		1
CHENG Kong Wai	ANM032	Licensed Representative		1, 2
CHENG Shih-wei	AYD498	Licensed Representative		1
CHENG Shu Yuen	AIS494	Licensed Representative		1, 9
CHENG Yin Man, Candy	BGH321	Licensed Representative	4	
CHENG Yujia	BIQ365	Licensed Representative		1
CHEUNG Kam Hung	AJE683	Licensed Representative	9	
CHEUNG Lok Ting	BTY301	Licensed Representative	9	
CHEUNG Wai Ching, Anthony	AEC908	Licensed Representative		2
CHEUNG Wing Tim	BCK618	Licensed Representative		1
CHIU Ming Chi	BOW540	Licensed Representative	5	1
CHOI Ka Yin Jimmy	BMV788	Licensed Representative		1
CHONG Fook Loi, Joseph	ABL836	Licensed Representative	9	2
CHOY Chi Ting, Eva	ADK046	Licensed Representative		1
CHOY Sze Wai	BDM840	Licensed Representative	4, 9	
CHU Peter Man Lung	BRI340	Licensed Representative	2	
DAI Li	BKA428	Licensed Representative	1	
DAI Lipeng	BNB025	Licensed Representative	1, 5	
DAI Shuyun	BGT858	Licensed Representative		1
DONG Yuling	BTW224	Licensed Representative	4	
DUAN Yanni	BHU468	Licensed Representative	1	6
FAN Chenyu	BRV720	Licensed Representative		2, 5
FU Wai Ling, Ehsenrta	BNQ953	Licensed Representative		1
FU Yufei	BSR398	Licensed Representative	2	
FUNG Chen Tung	ANR750	Licensed Representative		1
GAO Xinye	BQT140	Licensed Representative		1
GILL Jonathan Jay	BUL103	Licensed Representative	4	
GONG Wenwan	BRF858	Licensed Representative		1
HAN Shuai	BNV737	Licensed Representative	4	
HE Chao	BDL989	Licensed Representative		5
HE Zhixuan	BUD315	Licensed Representative	9	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HO King Fai	ANV293	Licensed Representative	9	
HO Siu Wai	BOP905	Licensed Representative		2
HSIEH Jiun-Hong	BUC832	Licensed Representative	4	
HUANG Qiliang	BNU886	Licensed Representative	4	
HUANG Wei-Wei	BSM451	Licensed Representative		1
HUANG Xiaoxuan	BSY211	Licensed Representative		1
HUNG Ka Hei	BNI021	Licensed Representative		4, 9
IBARA Yoshinao	AUX140	Licensed Representative		1
IYER Subramanian	BFW331	Licensed Representative		1
JIANG Jun	BUF893	Licensed Representative	1	
JIANG Zhuo	BQG880	Licensed Representative		1
JUNG Hoyoung	BJO250	Licensed Representative		2
JUNG Hyeonwu	BUC411	Licensed Representative	2	
KAKIUCHI Shinji	ANG432	Licensed Representative		1
KAO Yen-Her	BJZ498	Licensed Representative		1
KARIWALA Sumeet Hariprasad	BFW329	Licensed Representative		1
KATO Katsuhito	AMF766	Licensed Representative	1	
KIM Young Chan	AGW611	Licensed Representative		1
KO Oi Na	ALF942	Licensed Representative		1
KONG Pak Yong Andy	BLI106	Licensed Representative		4
KU Ming Tat	BTC306	Licensed Representative	9	
KWOK Ka Hing	ALN862	Licensed Representative	9	
LAI Chun Kit	BGH428	Licensed Representative	1	
LAM Kin Wing Mitchell	BMX105	Licensed Representative		9
LAM Wing Hi	AJK480	Licensed Representative	1	
LAM Ying Chi	ADF720	Licensed Representative	2	
LAM Yui Ying	BFY292	Licensed Representative		9
LAU Cheong	BFA120	Licensed Representative	9	1, 2, 4
LAU Muk Hoi	AUQ375	Licensed Representative		3, 5
LAU Wan Ki	AXB571	Licensed Representative		1
LEE Hoi Kam	ABE301	Licensed Representative	7	
LEE Joseph Won	ANB457	Licensed Representative		2, 4, 7
LEUNG Lok Yiu	BTX531	Licensed Representative	3	1, 2, 4, 5
LEUNG Siu Fung	BOR536	Licensed Representative		1
LI Chi Wai	ALY313	Licensed Representative		1, 2
LI Hoi Lin, Dinnie	AFL803	Licensed Representative		1, 4
LI Jiageng	BFX311	Licensed Representative	4	
LI Sung Tat	AUN103	Licensed Representative	6	
LI Wang Fung Raymond	ALD642	Licensed Representative		1
LI Xiaodong	BUD896	Licensed Representative	9	1, 4
LI Yanan	BLY502	Licensed Representative	1, 4	
LI Yifan	BIW783	Licensed Representative		1
LIN Fengyuan	BLJ174	Licensed Representative		1, 4
LIN Ho-ping	AVE136	Licensed Representative	4	
LIU Wei Jei	ATO438	Licensed Representative		4
LIU Enyu	BQV611	Licensed Representative		1
LIU Junyue	BTW473	Licensed Representative	7	
LIU Luk Por, Desmond	AAE569	Licensed Representative		1
LIU Mengran	BQW928	Licensed Representative		2, 5
LIU Yang	BCS760	Licensed Representative		1
LIU Ying	BGT192	Licensed Representative		1
LIU Yinzi	BJI223	Licensed Representative	4	
LIU ZIJING	BSP583	Licensed Representative	1	
LO Pui Hung	BRR747	Licensed Representative		1
LU Yue	AGX670	Licensed Representative	1, 4	
LUN Ka Lai	AOH584	Licensed Representative		5
MA Chengji	BTC597	Licensed Representative		2
MA Xiaoj	BGH607	Licensed Representative	9	1, 4
MAK Chi Kin	AFZ772	Licensed Representative	1, 4	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
MALLIK UPASANA	BLC104	Licensed Representative		1
MAN Hoi To	BHC470	Licensed Representative		6
MIAO Yufei	BTM578	Licensed Representative		1
MOH Angela Jen-Yin	ADJ824	Licensed Representative		1
MURAOKA Shinichiro	AXT653	Licensed Representative		1
NG Wenxiong Wilson	AVL230	Licensed Representative		1
NG Yik Yin	ATN406	Licensed Representative		5
OSAKA Takuya	AMO262	Licensed Representative		1
PAN Qiaochu	BQV939	Licensed Representative	4	
PANG Ka-hin Adrian	BMV671	Licensed Representative		1, 2
PENG Gangfu	BFM414	Licensed Representative		4
POON Hon Wai	BQS775	Licensed Representative		1
POON Ka Chun	BKK302	Licensed Representative	2	
PUN Yung Yin	BJC895	Licensed Representative	4, 5	
QIN Junjie	BRX715	Licensed Representative	2	
QIU JINGJING	BUB032	Licensed Representative	1, 2	
QIU Zhihan	BRS653	Licensed Representative		1
SATO Shoji	AVS557	Licensed Representative		1
SCOTT Andrew David	AVR983	Licensed Representative		9
SHANG Chong	BOR198	Licensed Representative	1, 4	
SHENG Nan	BCB794	Licensed Representative	5	
SHIH Hsiao-Chuan	APP830	Licensed Representative		1
SHIN Young-Suk	AUW244	Licensed Representative		1
SHIRAKAWA Yu	BLU653	Licensed Representative		1
SONG Lingshuang	BOG262	Licensed Representative	9	1, 2
SONG Tianyi	BRX328	Licensed Representative		1
SUN Chenyu	BTP385	Licensed Representative	9	4
TAM Lai Man	BRY044	Licensed Representative		1
TANG Yue	BSX579	Licensed Representative		1
TANG Yuxin	BUF493	Licensed Representative	4	
TANG Ziyuan	BUE399	Licensed Representative		1, 4
TAO Yanru	BRJ707	Licensed Representative		1
THIAGARAJAN Kowshik Balaji	BTO083	Licensed Representative	9	1, 2
TIAN Mengning	BST532	Licensed Representative	1, 2, 4	
TO Sam Hung	APJ479	Licensed Representative	1	
TONG Pui Ling, Vivian	ANG999	Licensed Representative		1, 9
TONG Yuk Wai	BNF979	Licensed Representative		1
TSANG Tik Ki	BPA517	Licensed Representative	9	
TSENG Wun Sing Clement	BTC142	Licensed Representative	1	
TSUSAKA Tetsuro	AYS393	Licensed Representative		1
UBERTO Theophile	BPN066	Licensed Representative	2	
WANG Chenyan	BNS566	Licensed Representative	9	
WANG Julius	AEB169	Licensed Representative		9
WANG Stanley	BSZ761	Licensed Representative		1
WANG Yang	BRM530	Licensed Representative	1	
WANG Yang	BLX566	Licensed Representative		1, 4
WANG Yifu	AML205	Licensed Representative		5
WANG Ying	BBL834	Licensed Representative		1
WANG Yudan	BSV358	Licensed Representative	2	
WANG Yujie	BOJ430	Licensed Representative		1
WANNAN Charles Peter	BPF040	Licensed Representative	4	
WATABE Takato	BDR086	Licensed Representative		1
WATANABE Naoki	AQD803	Licensed Representative	2	
WEI Qingye	BTV550	Licensed Representative	9	
WONG Cho Wai	AUG836	Licensed Representative		2, 3
WONG Christelle	BSU291	Licensed Representative	4	
WONG Hiu Ching	BTY108	Licensed Representative	2	
WONG Ka Shun	BRH565	Licensed Representative		1
WONG Nai Chun	AOX334	Licensed Representative	2	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WONG Yu Wo	BJW187	Licensed Representative		1
WU Qiong	APQ268	Licensed Representative		2
WU Shengji	ARL762	Licensed Representative		1
WU Siyu	BTT085	Licensed Representative	1	
WU Yuh-Ray	BLX384	Licensed Representative		1
XIA Yicong	BOA579	Licensed Representative		1
XIAO Qian	BGA973	Licensed Representative	2	
XING Ziqiang	ART864	Licensed Representative		1
XU Jing	BOW598	Licensed Representative	9	1
XU Lingyan	ARI518	Licensed Representative		4
XU Michael Jia	BHH373	Licensed Representative		9
XU Weijia	BNQ445	Licensed Representative		1
XU Zhuoyi	BNH302	Licensed Representative		1
XUE Bing	BHZ669	Licensed Representative		4, 6
XUE Renqiang	BTK883	Licensed Representative	1	9
YAMAGUCHI Takeshi	BAU779	Licensed Representative		1
YAN King Tang	ACD374	Licensed Representative	9	
YAN Xiaoyi	BOH347	Licensed Representative		1
YANG Chun Han	BTW785	Licensed Representative	2	
YANG Huai Wei	BRF223	Licensed Representative	10	1, 4, 6
YEUNG Cheuk Ki	BDO775	Licensed Representative		4
YEUNG Ching Yu	BUL204	Licensed Representative	2	
YEUNG Ho Chun	AXF965	Licensed Representative	4	
YEUNG Ho Chun	BFP526	Licensed Representative		1
YEUNG Wai Ping	AXV643	Licensed Representative	9	
YOON Joon Yeong	BPG346	Licensed Representative	1, 4, 9	10
YU Chenxing	BNM204	Licensed Representative	1	
YU Kwun Po, Anson	APJ172	Licensed Representative	1	
YU Ronald Patrick Lup Man	APC229	Licensed Representative		4
ZHANG Dingfan	BTB218	Licensed Representative	6	
ZHANG Jinghao	APM160	Licensed Representative		9
ZHANG Yiming	BTC189	Licensed Representative	4, 9	
ZHANG Yu	BNH447	Licensed Representative		1
ZHANG Ziwei	BQG817	Licensed Representative		5, 9
ZHAO Yao	BSO783	Licensed Representative		1
ZHEN Jingjing	AXH989	Licensed Representative		4
ZHONG Sheng	BAP336	Licensed Representative		1
ZHOU Ziyi	BSZ043	Licensed Representative	5	1, 9
CHAN Tsan Tuen	AUB811	Licensed Representative - Responsible Officer	1, 4	
CHAN Wai Lam	AYC499	Licensed Representative - Responsible Officer	5	
CHEN Xiao	AVA602	Licensed Representative - Responsible Officer	1	
CHENG Kong Wai	ANM032	Licensed Representative - Responsible Officer	4, 9	
CHEUNG Ho Wan	BFM417	Licensed Representative - Responsible Officer	4	
CHEUNG Lai Yan Charles	AAV372	Licensed Representative - Responsible Officer	1	
CHIN Tak Fat	ASD647	Licensed Representative - Responsible Officer	2	
CHOW Leung Chi	BFY425	Licensed Representative - Responsible Officer	4	
GE Lei	BOU627	Licensed Representative - Responsible Officer	4, 7	
HE Tianzhong	BDO425	Licensed Representative - Responsible Officer	1	
JI ShengLin	BPQ260	Licensed Representative - Responsible Officer	4	
KWOK Simon	AVQ920	Licensed Representative - Responsible Officer	2	
LAM Chun Hung	BBQ739	Licensed Representative - Responsible Officer	4, 9	
LEE Man Hon	AVC108	Licensed Representative - Responsible Officer	9	
LEE Wei Kai	BSU776	Licensed Representative - Responsible Officer	9	
LI Henry Hoi Yan	BGQ471	Licensed Representative - Responsible Officer	1	
LI Wai Sum	AVA618	Licensed Representative - Responsible Officer	1	
LI Yao Jun	APB923	Licensed Representative - Responsible Officer	1	
LIU Yuen Han	ACU433	Licensed Representative - Responsible Officer	4	
LO Yun Tsun	AOU884	Licensed Representative - Responsible Officer	4	
LUK Pui Chi	AWJ279	Licensed Representative - Responsible Officer	1, 4	

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NG Ka Pui, Gabriel	AZS372	Licensed Representative - Responsible Officer		1
PAN Candice	ALU600	Licensed Representative - Responsible Officer	1	
PANG Chi Wing, Victor	AKL738	Licensed Representative - Responsible Officer	4	
PANG Ka-hin Adrian	BMV671	Licensed Representative - Responsible Officer	9	
RHEE Jung Ho	ARN043	Licensed Representative - Responsible Officer		6
SANO Nobuhiro	ADQ334	Licensed Representative - Responsible Officer	1	
SHAM Wo Kwan	ALR984	Licensed Representative - Responsible Officer	4	
SHI Tian	AXH325	Licensed Representative - Responsible Officer	4, 5	
TROUBLEWITCH Cyrille Dimitri	AOR530	Licensed Representative - Responsible Officer		4, 9
TSE Win Yee, Annie	ACR126	Licensed Representative - Responsible Officer	1	
WANG Hanfeng	AND454	Licensed Representative - Responsible Officer	6	
WEISBERG Oliver Paul	AES828	Licensed Representative - Responsible Officer	1	
XING Li	BMX389	Licensed Representative - Responsible Officer		2, 9
YOUNG Ting Kar	AEY445	Licensed Representative - Responsible Officer	2	
YU Weijiang	AWW324	Licensed Representative - Responsible Officer	9	
ZHANG Kelly Wenting	BEX915	Licensed Representative - Responsible Officer	1	