

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2024**

| CE Name                                               | CE No. | Licence Type            | Types of Regulated Activity Added | Types of Regulated Activity Removed |
|-------------------------------------------------------|--------|-------------------------|-----------------------------------|-------------------------------------|
| Avalok Capital Limited                                | AZC453 | Licensed Corporation    |                                   | 1                                   |
| BANDS Financial Limited                               | BFW669 | Licensed Corporation    | 5                                 |                                     |
| C Capital Investment Management Limited               | BTQ256 | Licensed Corporation    | 1                                 |                                     |
| Cheer Union Securities Limited                        | AEO675 | Licensed Corporation    | 4                                 |                                     |
| China Re Asset Management (Hong Kong) Company Limited | BEZ809 | Licensed Corporation    | 1                                 |                                     |
| China Southern Securities Limited                     | BJF033 | Licensed Corporation    | 9                                 |                                     |
| Excellent Tide Securities Limited                     | BGG012 | Licensed Corporation    | 4                                 |                                     |
| Fengde Capital Limited                                | BNY321 | Licensed Corporation    |                                   | 1                                   |
| Fortune Origin Securities Limited                     | ASS782 | Licensed Corporation    |                                   | 6                                   |
| Homily Worldwide Securities Company Limited           | BOC087 | Licensed Corporation    | 9                                 |                                     |
| HSBC Securities Brokers (Asia) Limited                | ACB389 | Licensed Corporation    |                                   | 7                                   |
| MCM Asia Limited (trading as MCM Partners)            | BAR474 | Licensed Corporation    |                                   | 2                                   |
| Mulana Investment Management Limited                  | BTL126 | Licensed Corporation    | 5                                 |                                     |
| Phoenician Advisory Services Limited                  | BIJ846 | Licensed Corporation    |                                   | 1                                   |
| Principal Asset Management Company (Asia) Limited     | AFA235 | Licensed Corporation    | 1                                 |                                     |
| Sinohope Asset Management (Hong Kong) Limited         | BPK544 | Licensed Corporation    | 1                                 |                                     |
| Zircon Securities (HK) Limited                        | BRE865 | Licensed Corporation    | 2, 5                              |                                     |
| CAI Xiaochen                                          | BPB356 | Licensed Representative |                                   | 9                                   |
| CHAI Ruiting                                          | BQB555 | Licensed Representative | 1, 4                              | 9                                   |
| CHAK Wai Wing                                         | AIX144 | Licensed Representative | 9                                 | 2                                   |
| CHAN Cheuk Wai                                        | BLJ862 | Licensed Representative | 4                                 |                                     |
| CHAN Hing Wang                                        | ABQ698 | Licensed Representative |                                   | 4                                   |
| CHAN Ho Yau                                           | AXL565 | Licensed Representative |                                   | 9                                   |
| CHAN Ka Wai                                           | BLR954 | Licensed Representative | 9                                 | 1, 2                                |
| CHAN Kai Chung                                        | BEJ622 | Licensed Representative | 4                                 |                                     |
| CHAN Kai Sun, Joseph                                  | AFB427 | Licensed Representative |                                   | 2                                   |
| CHAN Kin Wai, John                                    | AGD731 | Licensed Representative |                                   | 1, 4                                |
| CHAN Leong Hei                                        | BIV626 | Licensed Representative |                                   | 2                                   |
| CHAN Stephen                                          | BPW596 | Licensed Representative | 2                                 |                                     |
| CHAN Wai Yan                                          | BTR110 | Licensed Representative | 3                                 |                                     |
| CHAN Yuk Shing                                        | ANS528 | Licensed Representative | 4, 9                              | 1                                   |
| CHAU Tsz Leung                                        | AOA598 | Licensed Representative | 4, 9                              | 2                                   |
| CHAU Yin                                              | APH045 | Licensed Representative |                                   | 4, 9                                |
| CHEN Hao                                              | BRH953 | Licensed Representative | 4, 9                              |                                     |
| CHEN Jing                                             | BSQ787 | Licensed Representative | 9                                 |                                     |
| CHEN Ming                                             | BOT554 | Licensed Representative | 4                                 |                                     |
| CHEN Qingwei                                          | BUP836 | Licensed Representative | 9                                 |                                     |
| CHEN Wei                                              | AHC346 | Licensed Representative | 6                                 |                                     |
| CHEN Ximing                                           | BGM147 | Licensed Representative | 4, 9                              |                                     |
| CHEUNG Chun Tsung                                     | AXZ233 | Licensed Representative |                                   | 9                                   |
| CHEUNG Fung Cheung                                    | ACP534 | Licensed Representative |                                   | 4                                   |
| CHEUNG Lai Mui, Eva                                   | ACC390 | Licensed Representative |                                   | 2                                   |
| CHEUNG Ngai Wa                                        | BOB920 | Licensed Representative | 1                                 |                                     |
| CHEUNG Suet Ting, Samantha                            | APA369 | Licensed Representative |                                   | 1                                   |
| CHEUNG Tsz Ming                                       | BBB497 | Licensed Representative |                                   | 1                                   |
| CHEUNG YIM FAN                                        | BTW497 | Licensed Representative | 4, 9                              |                                     |
| CHING Ngai Cheung                                     | BSH030 | Licensed Representative | 4                                 | 2                                   |
| CHIU Chee Keung, Benjamin                             | AMS034 | Licensed Representative |                                   | 2                                   |
| CHIU Cheuk Wai, Eva                                   | ACL606 | Licensed Representative | 2                                 |                                     |
| CHIU Chun Pong                                        | BBT546 | Licensed Representative | 3                                 | 4                                   |
| CHIU Ngai Han                                         | BPL258 | Licensed Representative | 1                                 |                                     |
| CHIU Suk Woon                                         | AAY559 | Licensed Representative |                                   | 2                                   |
| CHOI Ka Ming                                          | AYC999 | Licensed Representative | 2                                 |                                     |
| CHOI King Lai, Constantine                            | ALZ358 | Licensed Representative | 4                                 |                                     |
| CHOI Yong                                             | BBE608 | Licensed Representative |                                   | 1                                   |
| CHOW Kar Cheung Clarence                              | BRP090 | Licensed Representative | 2, 5                              |                                     |
| CHOW Wing Hung                                        | BRM197 | Licensed Representative |                                   | 4, 9                                |
| CHU Ting Ting, Ody                                    | BNG214 | Licensed Representative | 1, 4                              |                                     |
| CHUN Siu Lung, William                                | AXK422 | Licensed Representative | 4                                 | 2                                   |

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2024**

| CE Name                                   | CE No. | Licence Type            | Types of Regulated Activity Added | Types of Regulated Activity Removed |
|-------------------------------------------|--------|-------------------------|-----------------------------------|-------------------------------------|
| COPPINGER Liam Francis                    | BHT587 | Licensed Representative |                                   | 2                                   |
| DONG Yanran                               | BQR058 | Licensed Representative | 4                                 |                                     |
| DU Dahu                                   | BOS081 | Licensed Representative | 1, 4                              | 2                                   |
| DUPONT-VECCHIERINI Victoire Anne Francine | BOL065 | Licensed Representative | 7                                 |                                     |
| FENG Jin                                  | BTY555 | Licensed Representative | 1                                 | 9                                   |
| GAN Yuqi                                  | BQM759 | Licensed Representative | 9                                 | 2, 4                                |
| GAO Yuanqi                                | BQL633 | Licensed Representative | 1                                 |                                     |
| HAN Jiandong                              | BFS085 | Licensed Representative |                                   | 1                                   |
| HANGYU Sophia                             | BRT094 | Licensed Representative |                                   | 9                                   |
| HANLY Seamus Anthony                      | ASN900 | Licensed Representative | 4                                 | 2                                   |
| HAU Chun Kei                              | APY948 | Licensed Representative |                                   | 1                                   |
| HE Jingjia                                | BIM420 | Licensed Representative |                                   | 2                                   |
| HIROSE Wataru                             | BEI734 | Licensed Representative | 9                                 | 1                                   |
| HO Cheuk Lok                              | BRS314 | Licensed Representative | 9                                 |                                     |
| HO Hock Guan Samuel                       | AXU902 | Licensed Representative | 2                                 |                                     |
| HO Kelvin Khai Man                        | BFC758 | Licensed Representative | 9                                 | 1                                   |
| HOU Ke                                    | BCJ570 | Licensed Representative | 1                                 |                                     |
| HU Yong                                   | BGT644 | Licensed Representative | 9                                 |                                     |
| HUANG Keying                              | BUN435 | Licensed Representative | 4, 9                              |                                     |
| HUANG Yiyun                               | BOX071 | Licensed Representative |                                   | 4, 9                                |
| HUNG Chung Hei                            | BAY484 | Licensed Representative |                                   | 4                                   |
| HUNG Ho Lun                               | AGH158 | Licensed Representative | 1, 2                              |                                     |
| HUNG Ngai Lam                             | BDO427 | Licensed Representative | 9                                 |                                     |
| IP Tsz Man Mandy                          | BRV886 | Licensed Representative |                                   | 4                                   |
| JEONG Joseph Chu                          | AEY997 | Licensed Representative |                                   | 1, 2                                |
| JI Lin                                    | BTG446 | Licensed Representative |                                   | 4                                   |
| JI Wenjing                                | BHN687 | Licensed Representative | 9                                 | 6                                   |
| JIANG Jiayan                              | BES176 | Licensed Representative |                                   | 9                                   |
| JIANG Qianhua                             | BUN984 | Licensed Representative | 4                                 |                                     |
| JOSEPH Davina Vijitha                     | BTT898 | Licensed Representative |                                   | 1                                   |
| KAO Franklin Man Hey                      | BUX953 | Licensed Representative | 3                                 |                                     |
| KO Hong Yu                                | BSH856 | Licensed Representative | 9                                 |                                     |
| KWAN Chi Hang                             | AGL438 | Licensed Representative | 1                                 |                                     |
| KWONG Ip Hang                             | ASM275 | Licensed Representative | 4                                 |                                     |
| LAM Chloe Tak Yee                         | BOR194 | Licensed Representative |                                   | 2, 9                                |
| LAM Chun Hung                             | AHA454 | Licensed Representative | 1                                 |                                     |
| LAM Kam Yuen, Ronald                      | BMQ757 | Licensed Representative | 9                                 |                                     |
| LAM Kin Wing Mitchell                     | BMX105 | Licensed Representative | 9                                 |                                     |
| LAM Ngar Lee, Ally                        | BLX536 | Licensed Representative | 4                                 |                                     |
| LAU Cheuk Yin, Jacqueline                 | BGY951 | Licensed Representative | 2                                 |                                     |
| LAU Chi Ying                              | BTE262 | Licensed Representative | 9                                 | 1, 2, 4                             |
| LAU Hon Wei                               | AHX658 | Licensed Representative | 2                                 | 6                                   |
| LAU Nga Kam                               | BUD057 | Licensed Representative | 1                                 |                                     |
| LAU Vincent                               | BKN665 | Licensed Representative | 1                                 | 9                                   |
| LAW King Yung Darrell                     | BKO687 | Licensed Representative |                                   | 1, 4                                |
| LAW Lok Hin                               | BTD959 | Licensed Representative |                                   | 4, 9                                |
| LEE Cheuk Him                             | BUP894 | Licensed Representative | 3                                 |                                     |
| LEE Ching Hin                             | BNP855 | Licensed Representative | 4                                 |                                     |
| LEE Jeremy Chai Yuen                      | BHJ188 | Licensed Representative | 4, 9                              |                                     |
| LEE Ting Hin                              | BUL509 | Licensed Representative | 2                                 |                                     |
| LEE Yee Nam                               | AUC313 | Licensed Representative |                                   | 1                                   |
| LEUNG Cheuk Shun Jonas                    | BPN743 | Licensed Representative | 2                                 |                                     |
| LEUNG Kai Ching                           | AUX081 | Licensed Representative | 9                                 | 1, 6                                |
| LEUNG Kwok Wai                            | ABS621 | Licensed Representative |                                   | 2                                   |
| LI Ka Fai                                 | BMM593 | Licensed Representative |                                   | 2                                   |
| LI Kai Wai                                | AVO604 | Licensed Representative |                                   | 2                                   |
| LIM Joanne Yue Shan                       | BDF560 | Licensed Representative | 1, 2                              |                                     |
| LIN Chun Keung                            | BBH297 | Licensed Representative |                                   | 2                                   |
| LIN Qinyao                                | BOM087 | Licensed Representative | 4, 5                              |                                     |

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| CE Name                           | CE No. | Licence Type            | Types of Regulated Activity Added | Types of Regulated Activity Removed |
|-----------------------------------|--------|-------------------------|-----------------------------------|-------------------------------------|
| LIN Yinfu                         | BCO458 | Licensed Representative |                                   | 2                                   |
| LIU Di                            | BOF492 | Licensed Representative |                                   | 2                                   |
| LIU Hoi Keung                     | AET992 | Licensed Representative |                                   | 1                                   |
| LIU Huashi                        | BPQ331 | Licensed Representative |                                   | 6                                   |
| LIU Jiangang                      | AUM602 | Licensed Representative |                                   | 2, 5                                |
| LIU Jiaxi                         | BRT135 | Licensed Representative |                                   | 6                                   |
| LIU Rongzhou                      | BSV508 | Licensed Representative | 1                                 |                                     |
| LIU Sai Kit                       | BJS797 | Licensed Representative | 4                                 |                                     |
| LIU Yan Bing                      | BTM453 | Licensed Representative | 4                                 |                                     |
| LIU Yixi                          | BNN401 | Licensed Representative | 1                                 |                                     |
| LO Ka Kuen                        | APK408 | Licensed Representative |                                   | 2                                   |
| LO King Ting                      | BKE490 | Licensed Representative | 4                                 |                                     |
| LO Tsz Hin                        | BKE897 | Licensed Representative | 4, 9                              |                                     |
| LO Wai Lun                        | AVM934 | Licensed Representative |                                   | 2                                   |
| LONG Fei                          | ARI079 | Licensed Representative | 2, 4                              |                                     |
| LU Ye                             | BFE455 | Licensed Representative | 1                                 |                                     |
| LUI Ho Chuen                      | BRB339 | Licensed Representative | 4                                 | 1                                   |
| LUNG Tsan Yu                      | BPO804 | Licensed Representative | 4, 9                              | 1                                   |
| LUO Xinhui                        | BSU058 | Licensed Representative | 1, 4                              |                                     |
| MA Cheong Daniel                  | BKB371 | Licensed Representative | 1                                 |                                     |
| MA Chi Chung                      | BOS334 | Licensed Representative |                                   | 2                                   |
| MAK Ka Ka                         | ANP548 | Licensed Representative | 1, 9                              |                                     |
| MIZUNO Hikaru                     | AXV981 | Licensed Representative | 9                                 | 4                                   |
| MO Xianjue                        | BOG034 | Licensed Representative | 4                                 |                                     |
| MOK Suet Mui                      | AOC982 | Licensed Representative |                                   | 4                                   |
| MORRISON Justin David Christopher | AVO760 | Licensed Representative | 4                                 |                                     |
| NG Kap Fung, Lewis                | APR626 | Licensed Representative | 9                                 |                                     |
| NG Kin Wah                        | AVI806 | Licensed Representative | 7                                 |                                     |
| NG Kin Wai                        | BIM923 | Licensed Representative | 9                                 |                                     |
| NG Sai Tong                       | BBA043 | Licensed Representative | 4, 9                              |                                     |
| NG Ying Min Grace                 | AZZ102 | Licensed Representative | 9                                 |                                     |
| PANG Andrew Chee On               | BGR455 | Licensed Representative |                                   | 1                                   |
| PANG Chi Chung                    | BOI970 | Licensed Representative |                                   | 4                                   |
| PANG Jason Richard                | AXO296 | Licensed Representative | 2, 5                              |                                     |
| POON Lok Ming, Louis              | AFO678 | Licensed Representative | 1                                 | 9                                   |
| QI Le                             | BSE814 | Licensed Representative |                                   | 4, 9                                |
| QIAN Wen                          | BOH334 | Licensed Representative | 4                                 |                                     |
| RUAN Cheng                        | BRW510 | Licensed Representative | 4                                 | 6                                   |
| SAM CHANG Segundo Willy           | AHE451 | Licensed Representative |                                   | 6                                   |
| SHAW Jensens                      | AXA403 | Licensed Representative |                                   | 2                                   |
| SHENG Weiwen                      | BOI729 | Licensed Representative | 1                                 |                                     |
| SHIU Yik Hung                     | AEP548 | Licensed Representative |                                   | 2, 5                                |
| SO Kin Wai                        | BDY431 | Licensed Representative |                                   | 2                                   |
| SO Man Hui                        | ARI456 | Licensed Representative |                                   | 1                                   |
| SONG Hao                          | BNH693 | Licensed Representative |                                   | 6, 9                                |
| SONG Yanyan                       | BQA735 | Licensed Representative | 9                                 |                                     |
| SONG Yuxin                        | BUD300 | Licensed Representative | 9                                 |                                     |
| SOO Sin Yun                       | BSW107 | Licensed Representative | 4                                 |                                     |
| STEWART Glenn Jose                | BAP748 | Licensed Representative | 4, 9                              | 1                                   |
| SUEN CHUN HO                      | BPU089 | Licensed Representative | 2                                 |                                     |
| SUZUKI Kazuhiro                   | BTQ604 | Licensed Representative | 6                                 |                                     |
| TANG Chi Heng, Alvin              | AJB048 | Licensed Representative |                                   | 2                                   |
| TANG Huan                         | BPO604 | Licensed Representative | 1                                 |                                     |
| TANG Jiefeng                      | BJI503 | Licensed Representative | 1                                 |                                     |
| TANG Qiao                         | BRR695 | Licensed Representative | 1, 4                              |                                     |
| TONG Ying Kei                     | AGV239 | Licensed Representative |                                   | 2                                   |
| TROUBLEIEWITCH Cyrille Dimitri    | AOR530 | Licensed Representative | 9                                 | 2                                   |
| TSANG Wang Yu                     | BSA307 | Licensed Representative | 4                                 |                                     |
| TSE Chi Ying                      | ACO078 | Licensed Representative | 2, 5                              |                                     |

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| CE Name                 | CE No. | Licence Type            | Types of Regulated Activity Added | Types of Regulated Activity Removed |
|-------------------------|--------|-------------------------|-----------------------------------|-------------------------------------|
| VENET Thierry Victor    | BBJ307 | Licensed Representative | 4                                 |                                     |
| WANG Fang               | BJI158 | Licensed Representative | 2                                 |                                     |
| WEI Wei                 | BRJ343 | Licensed Representative | 2                                 |                                     |
| WEI Yun                 | BKZ835 | Licensed Representative |                                   | 2                                   |
| WEN Siyu                | BRR545 | Licensed Representative | 1, 4                              | 6                                   |
| WONG Chung Ming         | AEJ888 | Licensed Representative | 2                                 | 4                                   |
| WONG Graham Alex        | BPX063 | Licensed Representative |                                   | 2                                   |
| WONG Hei Lim            | BIX437 | Licensed Representative |                                   | 4                                   |
| WONG Hon Tin            | BLB504 | Licensed Representative |                                   | 2                                   |
| WONG Ka Hin             | BML678 | Licensed Representative | 9                                 |                                     |
| WONG Kam Ka             | AUR988 | Licensed Representative |                                   | 2                                   |
| WONG Kan Tat, Frederick | AHJ600 | Licensed Representative |                                   | 1                                   |
| WONG Kin Chun, Gilbert  | AAI303 | Licensed Representative |                                   | 9                                   |
| WONG Kin Pong           | BSY261 | Licensed Representative |                                   | 1                                   |
| WONG Kong Wa            | BDI876 | Licensed Representative | 4                                 |                                     |
| WONG Koon Ho, James     | AXN872 | Licensed Representative | 1                                 |                                     |
| WONG Siu Ming           | BQC317 | Licensed Representative |                                   | 4                                   |
| WONG Tat Wah            | ALD483 | Licensed Representative | 4                                 |                                     |
| WONG Wing Shan Venus    | BTO134 | Licensed Representative | 4                                 |                                     |
| WONG Yiu Chung, Andy    | AXV027 | Licensed Representative | 1, 4                              |                                     |
| WONG Yuen Yee           | APB469 | Licensed Representative |                                   | 2                                   |
| WONG Yuk Sha            | BLI523 | Licensed Representative |                                   | 4                                   |
| WU Charles Qian         | BE0731 | Licensed Representative |                                   | 9                                   |
| WU Jingsu               | BDF049 | Licensed Representative | 6                                 |                                     |
| WU Lei                  | BUS551 | Licensed Representative | 9                                 |                                     |
| WU Miu Seung            | AAE769 | Licensed Representative |                                   | 2                                   |
| WU Yi Ting              | BNS681 | Licensed Representative | 9                                 |                                     |
| XIAO Wei                | BTG962 | Licensed Representative | 10                                | 1, 6                                |
| XIE Zhuoli              | BTF189 | Licensed Representative | 9                                 | 1, 2                                |
| XIN Xing                | BPV806 | Licensed Representative | 9                                 | 1, 7                                |
| XIN Yi                  | BUK197 | Licensed Representative | 4, 9                              | 1                                   |
| XING Chuan              | BML873 | Licensed Representative |                                   | 2                                   |
| XU Bo                   | AXG732 | Licensed Representative |                                   | 4                                   |
| XU Yibin                | BSZ009 | Licensed Representative | 4, 9                              | 1, 6                                |
| XU Zhiran               | BLR037 | Licensed Representative | 4                                 |                                     |
| YAN Kuan                | BTB735 | Licensed Representative | 2                                 | 6                                   |
| YANG Bohan              | AWH555 | Licensed Representative |                                   | 9                                   |
| YANG Lei                | BBQ355 | Licensed Representative |                                   | 2                                   |
| YANG Liuyang            | BRE454 | Licensed Representative | 1                                 |                                     |
| YEUNG Ka San            | BTX704 | Licensed Representative |                                   | 2                                   |
| YEUNG Wai Hung          | AYH972 | Licensed Representative | 9                                 | 1                                   |
| YEUNG Yi Chun Wilfred   | BTL113 | Licensed Representative | 4                                 |                                     |
| YIN Yuxi                | BQW732 | Licensed Representative | 5                                 |                                     |
| YIU Wai Ho, Wilfred     | AVS666 | Licensed Representative | 9                                 |                                     |
| YOON Jung Jin           | AQP872 | Licensed Representative | 4                                 |                                     |
| YOU Xi                  | BTT074 | Licensed Representative | 9                                 |                                     |
| YU Ka Chun              | BRE302 | Licensed Representative |                                   | 4                                   |
| YUAN Jiajie             | BSE589 | Licensed Representative | 1                                 |                                     |
| ZHANG Dongxiao          | BUA093 | Licensed Representative |                                   | 1                                   |
| ZHANG Jiaer             | BUF450 | Licensed Representative | 4                                 | 6                                   |
| ZHANG Wenwei            | AUX141 | Licensed Representative |                                   | 4                                   |
| ZHANG Yanwen            | BSO672 | Licensed Representative | 2                                 |                                     |
| ZHANG Yeshuang          | BHD212 | Licensed Representative |                                   | 1                                   |
| ZHAO Hanqing            | BUG334 | Licensed Representative | 2                                 |                                     |
| ZHAO Yue                | BRK234 | Licensed Representative | 2                                 |                                     |
| ZHENG Michelle          | BTL307 | Licensed Representative | 4                                 |                                     |
| ZHENG Zhonglin          | BPA565 | Licensed Representative |                                   | 1, 2, 4, 5                          |
| ZHI Sheng               | BQF020 | Licensed Representative | 4                                 |                                     |
| ZHOU Ning               | BTE943 | Licensed Representative |                                   | 6                                   |

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|-----------------------------|--------|-----------------------------------------------|-----------------------------------|-------------------------------------|
| ZHOU Wen                    | BUL105 | Licensed Representative                       |                                   | 1, 4                                |
| ZHOU Yuping                 | BGX446 | Licensed Representative                       | 1, 4                              |                                     |
| ZHUO Yue                    | BQF288 | Licensed Representative                       | 2                                 | 9                                   |
| BROWNING John Leonard       | AQF043 | Licensed Representative - Responsible Officer | 5                                 |                                     |
| CHAN Angie                  | ADN450 | Licensed Representative - Responsible Officer | 9                                 |                                     |
| CHAN Lai Wah, Patricia      | AQN141 | Licensed Representative - Responsible Officer | 9                                 |                                     |
| CHAN Wai Hung               | ARA444 | Licensed Representative - Responsible Officer |                                   | 2                                   |
| CHAN Wan Pan                | BFE802 | Licensed Representative - Responsible Officer |                                   | 4                                   |
| CHAN Wing Kin               | AOH166 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| CHENG Wai Leung             | ABH991 | Licensed Representative - Responsible Officer |                                   | 1                                   |
| CHEUNG Ka Chung             | ARC087 | Licensed Representative - Responsible Officer | 5                                 |                                     |
| CHONG Ngai Ting             | BCA230 | Licensed Representative - Responsible Officer | 9                                 |                                     |
| DELGADO-MOREIRA Juan Manuel | AXX978 | Licensed Representative - Responsible Officer | 9                                 |                                     |
| DONG Wei                    | BMR596 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| FANG Yingxue                | BQC792 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| FU Yiman                    | BTR104 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| FUNG Hok Kwan, Edmond       | ACK376 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| FUNG Kam Hung               | AMK223 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| FUNG Lim                    | ARI004 | Licensed Representative - Responsible Officer |                                   | 3                                   |
| HAN Yiqiu                   | ANC095 | Licensed Representative - Responsible Officer |                                   | 1                                   |
| HU Yong                     | BGT644 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| HUI Daniel Ka Chung         | AUP690 | Licensed Representative - Responsible Officer | 5                                 |                                     |
| HUI Wing Kee                | ALU952 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| IP Henry Hing Luen          | AXN295 | Licensed Representative - Responsible Officer | 2                                 |                                     |
| JIANG Ziqi                  | BHX700 | Licensed Representative - Responsible Officer |                                   | 1                                   |
| KWAN Tsun Man               | AWA326 | Licensed Representative - Responsible Officer | 2, 5                              |                                     |
| LAI Kwok Keung              | BLK712 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| LAU Chi Yin                 | BND487 | Licensed Representative - Responsible Officer | 2, 5                              |                                     |
| LAU Tat Keung               | AGI188 | Licensed Representative - Responsible Officer | 2                                 |                                     |
| LAUNAY Thomas               | AUG654 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| LEE Lay Mun Angela          | BRU721 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| LEE Wai Keung               | ANT413 | Licensed Representative - Responsible Officer | 5                                 |                                     |
| LEE Yan Lam                 | BHP426 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| LEUNG Wai Hung              | AAK493 | Licensed Representative - Responsible Officer | 9                                 |                                     |
| LI Michael Man Toa          | BAH857 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| LIN Chun Keung              | BBH297 | Licensed Representative - Responsible Officer | 4, 9                              |                                     |
| LIU Hanhua                  | AUW243 | Licensed Representative - Responsible Officer |                                   | 1, 4                                |
| LIU Jintao                  | BHW678 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| LUI Siu Wing, Tony          | ASW835 | Licensed Representative - Responsible Officer |                                   | 3                                   |
| LUK Wing Chung, Tony        | AYK476 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| MAN Sing Wa                 | AOX779 | Licensed Representative - Responsible Officer | 4, 9                              |                                     |
| NG Ka Kin                   | AGO101 | Licensed Representative - Responsible Officer | 2, 5                              |                                     |
| NG Wang To                  | ADH937 | Licensed Representative - Responsible Officer | 1                                 |                                     |
| PUN Yun Mei, Marcella       | AFU332 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| REN Qingwen                 | BME227 | Licensed Representative - Responsible Officer | 5                                 |                                     |
| SAM CHANG Segundo Willy     | AHE451 | Licensed Representative - Responsible Officer | 4, 9                              |                                     |
| SHI Chenghu                 | AOG041 | Licensed Representative - Responsible Officer | 5                                 |                                     |
| TAN Collwyn Chen            | AZG868 | Licensed Representative - Responsible Officer | 9                                 |                                     |
| WAN Hung Ha                 | AUQ942 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| WANG Feng                   | AYO606 | Licensed Representative - Responsible Officer | 9                                 |                                     |
| WOO Peter                   | ACY383 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| WU David Hang               | BSR880 | Licensed Representative - Responsible Officer |                                   | 1                                   |
| WU Xuejing                  | BJH007 | Licensed Representative - Responsible Officer | 5                                 |                                     |
| XIA Mingchen                | BDJ561 | Licensed Representative - Responsible Officer | 9                                 |                                     |
| XU Jin                      | AVO585 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| YANG Bo                     | BEL099 | Licensed Representative - Responsible Officer | 2, 5                              |                                     |
| YANG Zheng                  | AZL146 | Licensed Representative - Responsible Officer |                                   | 1                                   |
| YE Guofeng                  | AXG034 | Licensed Representative - Responsible Officer | 4                                 |                                     |
| YEUNG Cheuk Ki              | BDO775 | Licensed Representative - Responsible Officer | 4                                 |                                     |

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2024**

| <b>CE Name</b> | <b>CE No.</b> | <b>Licence Type</b>                           | <b>Types of Regulated Activity Added</b> | <b>Types of Regulated Activity Removed</b> |
|----------------|---------------|-----------------------------------------------|------------------------------------------|--------------------------------------------|
| YEUNG Siu Yung | AHU361        | Licensed Representative - Responsible Officer | 2                                        |                                            |
| YIP Chun Wai   | ACP491        | Licensed Representative - Responsible Officer | 4                                        |                                            |
| YUEN Chi Kwok  | AXZ838        | Licensed Representative - Responsible Officer |                                          | 1                                          |
| ZENG Qing      | BTY478        | Licensed Representative - Responsible Officer | 9                                        |                                            |
| ZHAN Hua       | BNK310        | Licensed Representative - Responsible Officer | 1                                        |                                            |
| ZHANG Yi       | BRF120        | Licensed Representative - Responsible Officer | 1                                        |                                            |
| HO Yuen Kwan   | BVA912        | Licensed Representative (Provisional Licence) | 2                                        |                                            |
| TANG William   | AZL390        | Licensed Representative (Provisional Licence) | 2                                        |                                            |
| ZHAO Limei     | BUW409        | Licensed Representative (Provisional Licence) | 4, 9                                     |                                            |