

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Delta Asia Securities Limited	AAW265	Licensed Corporation	2, 5, 9	
Grant Samuel (Hong Kong) Limited	BVD433	Licensed Corporation	4	
GROW Asset Management (HK) Limited	BEH811	Licensed Corporation	1	
Luen Fat Securities Company Limited	AAG378	Licensed Corporation		4
Magic Compass Securities Limited	AXT242	Licensed Corporation		3
Rhine Asset Management Company Limited	BNQ765	Licensed Corporation		1
Zhongtai International Financial Products Limited	BEZ122	Licensed Corporation	4	
ANAND Naman	BRT327	Licensed Representative	4	2
ARNAUD Quentin Jean	AST879	Licensed Representative	1	
BAFNA Ankit Satyendra	BAD304	Licensed Representative		1
CHAN Andy Tak Chun	BGH341	Licensed Representative		1, 4
CHAN Choi Ming, Diana	ADZ739	Licensed Representative	1, 4	
CHAN King Hong	BFX167	Licensed Representative	3	
CHAN Kwun Siu, Frankie	ASD479	Licensed Representative	4	
CHAN Mo Yin	AJF360	Licensed Representative		4
CHAN Wah Lee	AWJ776	Licensed Representative		1
CHAN Wai Kit, Winkins	AQD954	Licensed Representative		2
CHAU Tsz Leung	AOA598	Licensed Representative	2	
CHEN Chih-ching	AQD360	Licensed Representative	1	
CHEN James Tze Chia	BTG802	Licensed Representative	4	
CHEN Ning	AYF260	Licensed Representative	1	
CHEN Yahui	BTL930	Licensed Representative	1, 2	
CHEN Yujie	BDP287	Licensed Representative		2
CHEN Zhenrui	BRX297	Licensed Representative	4	
CHEUNG Sze Man	BMX684	Licensed Representative		2, 4
CHEUNG Suet Ying	BRQ169	Licensed Representative	4	
CHEUNG Tsz Ho	BHV587	Licensed Representative	2	
CHIU Hei Man, Humberto	ANU722	Licensed Representative	1, 9	
CHO Tin Tak	AXX258	Licensed Representative	1	
CHOI Man Fai	BBP353	Licensed Representative	4	
CHOW Lok Yi	BUS952	Licensed Representative	4	
CHOW Yuk Chun	AUD239	Licensed Representative		1, 4
CHU Felix	BSH320	Licensed Representative	2	
CHU Ka Ho	BFN898	Licensed Representative	1, 9	
CHU Ka Siu	BBK031	Licensed Representative	1	
CHUI Wing Hin	BIV832	Licensed Representative		5
CHUNG Barry Joseph	BMM247	Licensed Representative	9	
COHEN Eyal	BFS116	Licensed Representative		7
DENG Yiqi	BMV298	Licensed Representative	1, 4	
DING Qi	AWV316	Licensed Representative		1, 4
FANG Wei	BRS364	Licensed Representative	4	
FENG Chi Ying Jacqueline	BVA915	Licensed Representative	9	
FONG Kam Wah	AOG701	Licensed Representative	4	
FUNG Kam Fong, Kammy	AAW660	Licensed Representative	9	
GHOSH Swagato Sourya	BUK652	Licensed Representative		1, 9
GONG Ming	BLV678	Licensed Representative		4
GUAN Huiheng	BVL670	Licensed Representative	7	2, 4, 5
GUO Jianna	BVQ149	Licensed Representative	9	
GUO Yu	BWU728	Licensed Representative	4, 5, 9	1, 6
GUPTA Abhishek	AOY906	Licensed Representative	1, 2	9
HO Justin Ngao Wing	BRN855	Licensed Representative	1, 4	
HU Yuxin	BUA789	Licensed Representative		4
HUANG Chao	ASF493	Licensed Representative	1	
HUANG Junda	BTY695	Licensed Representative	4	
HUNG Ngai Lam	BDO427	Licensed Representative		9
IP Ka Tsun, Anthony	AHT282	Licensed Representative		1
JIAN Wenrui	BCU800	Licensed Representative		1, 4
JIANG Wenxian	BIZ758	Licensed Representative	1, 4	
KIM Haena	BPY202	Licensed Representative		4, 9

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
KONG Pil Sung Philip	BNT057	Licensed Representative	4	
KWOK Shan Shan	BVA582	Licensed Representative	4, 9	
KWOK Sze Ho	ALO530	Licensed Representative	9	
KWON Sunho	BUA367	Licensed Representative	9	1, 2, 7
LAM Chun Wai	BTO956	Licensed Representative	2	
LAU Cheuk Man, Chapman	BMQ630	Licensed Representative		4, 9
LAU CHUNG SANG	BVK282	Licensed Representative	4, 5	
LAU Kim Ching	BPX591	Licensed Representative	1	9
LAU Oi Ying	BSF084	Licensed Representative		2
LAU Tsz Ching	BNP581	Licensed Representative		4
LAU Yik Ting	BBW340	Licensed Representative	2	7
LAW Karmen	BVC694	Licensed Representative		4
LEE Ka Hang	BAE507	Licensed Representative		2
LEE Sun Ho	BRS200	Licensed Representative	4, 9	10
LEI Ho Chun	ATY002	Licensed Representative	1, 2	
LEUNG Chi Hang	AKO773	Licensed Representative		3
LEUNG Jensen Wang Chun	BBE752	Licensed Representative		7
LEUNG Long Hay Charlton	BOQ798	Licensed Representative	2	
LEUNG Wai Kin	BSQ642	Licensed Representative		4
LI Keke	BQE408	Licensed Representative	1	
LI Lijun	BWS585	Licensed Representative	9	6
LI LILIN	BVV981	Licensed Representative	1, 4	
LI Maoze	BUS153	Licensed Representative	1, 4	
LI Wei	BVJ918	Licensed Representative	9	
LI Wench	BVI105	Licensed Representative	9	
LI Yingying	BGP560	Licensed Representative	1	
LI Yun	BHZ514	Licensed Representative		5, 9
LIM Swee Tin Lisa	AGO376	Licensed Representative	9	
LIN Fengyuan	BLJ174	Licensed Representative		4
LIN Haohua	BOI926	Licensed Representative	9	
LIN Shu-Yu	BTQ965	Licensed Representative	9	4
LING Kai Leong	BTD945	Licensed Representative		7
LIU Xinyu	BQE326	Licensed Representative	9	1
LIU Yinzi	BJI223	Licensed Representative	9	
LIU Yiran	BRM301	Licensed Representative	9	1, 2, 7
LIU Yuzhen	BVG797	Licensed Representative	1, 4	
LO Sau Lai Amy	BMZ586	Licensed Representative		2
LOK Yik Shun	BSG272	Licensed Representative	2	
LU Chenyang	BUV855	Licensed Representative	2, 4	
LU Lifei	BVK004	Licensed Representative	1, 4	
LUN Carrie	AIR700	Licensed Representative	9	
MING Chi Ho, Edward	AUS686	Licensed Representative	1, 2	
MOK Vincent Hiu Fung	BKY136	Licensed Representative		2
NG Chi Yui	BRB159	Licensed Representative		1
NG Ching Yin	BOO394	Licensed Representative		1
NG Kam Yu	BFO401	Licensed Representative	4	
NG Kwan Yi	BWY675	Licensed Representative	4	
NG Yuen Ki	BFB096	Licensed Representative		9
PUN Chun Kit	BPM598	Licensed Representative		2, 3, 4, 5
PURI Dev Lalit	BWN555	Licensed Representative	1	
QIAN Ike Qingyuan	BTE759	Licensed Representative	2	
QU Yanbo	BVI767	Licensed Representative	1, 4	
RUAN Yanxin	BVC274	Licensed Representative	4, 9	2
SEKIZUKA Masayuki	BON875	Licensed Representative		2, 4, 5, 6
SHA You	BVQ504	Licensed Representative	1, 4	2
SHAM Che Wai	BNO062	Licensed Representative		4
SHARMA Janvi	BVH225	Licensed Representative	2	
SIO Lai Sang	BWR433	Licensed Representative	4	
SIT Yiu Fai	BEK739	Licensed Representative	4	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
SIU Elodie Ying-ying	BPI505	Licensed Representative	5	1
SIU Hon Wah	BSS111	Licensed Representative		2
SIU Ka Yee	BSR768	Licensed Representative	2	
SONG Jia	BRH403	Licensed Representative	9	
SOO Jamie Wan Jung	AXJ724	Licensed Representative	5	
STILES Timothy David	ARW398	Licensed Representative	4	
SZE Yuk Lung	BKG801	Licensed Representative	2	
TAN Min Chern Elin	BJS928	Licensed Representative		7
TANG Jiawei	BWK993	Licensed Representative	6	4
TANG Lik Man	BFY110	Licensed Representative		1
TANG Wai Fung	ATN569	Licensed Representative		1
TSANG Chun Fai	BEQ486	Licensed Representative	4	
TSUI Cheung On	APH370	Licensed Representative		5
TSUI Yuen Man	BVR179	Licensed Representative	4	
TUO Fang	BRR546	Licensed Representative	1	6
WAN Nga Sze Janice	BN0895	Licensed Representative	4	
WANG Hsuan Yun	BRP713	Licensed Representative	1	
WANG Siyan	BOE433	Licensed Representative	4	
WANG Wenzhuo	BVK835	Licensed Representative		9
WANG Yuhan	BKV088	Licensed Representative	9	6
WEI Qintian	BVU297	Licensed Representative	4, 9	6
WONG Benny	BUH433	Licensed Representative	2, 5	1
WONG Hak Wing, Valerie	ALL103	Licensed Representative		9
WONG Hiu Yan	BVM635	Licensed Representative	9	
WONG Tung Chie	AEN615	Licensed Representative	9	6
WONG Wai Yee	BPN621	Licensed Representative	1	
WONG Yu Mei	BUA377	Licensed Representative	9	1, 6
WORTHINGTON Robert Charles	BEN373	Licensed Representative	4	
WU Chiang Li	ACF083	Licensed Representative		2, 4, 5
WU Dacheng	BUB261	Licensed Representative	1	
WU Zheng	APG895	Licensed Representative	1, 4, 6	9
XIA Tianqing	BTY358	Licensed Representative		1
XU Kai	BVT900	Licensed Representative	6	4
XU Yifei	BKZ936	Licensed Representative	4, 9	1, 6
XU Ying	BEZ163	Licensed Representative	4	
XU Yue	BFJ565	Licensed Representative	6	4
XUE Chen	BWQ758	Licensed Representative		1
XUE Gongzhi	BVK646	Licensed Representative	2	
YAN Ji	BVZ951	Licensed Representative	1	4, 9
YAN Kuan	BTB735	Licensed Representative	4, 6	2
YANG Yueting	BSE779	Licensed Representative	4	
YANG Yung Cheng	BVT132	Licensed Representative	2, 4	
YEUNG Chi Tat, Chester	ASQ208	Licensed Representative		1
YEUNG Kwan Ching Robin	BOR307	Licensed Representative	4, 9	10
YU Ge	BIG516	Licensed Representative		2, 4
YU Ying	BMR095	Licensed Representative	9	6
ZHANG Cheng	AYC302	Licensed Representative	1	
ZHANG Dongxiao	BUA093	Licensed Representative	1	6
ZHANG Junxia	BVZ352	Licensed Representative		2, 5
ZHANG Qi	APH936	Licensed Representative	2	
ZHANG Qing	BDZ175	Licensed Representative	5	
ZHANG Tong	BLG089	Licensed Representative	2	
ZHANG Tongxi	BVJ928	Licensed Representative	2, 4, 5	
ZHANG XIAOFENG	BWK670	Licensed Representative		1
ZHANG Xiaowen	BPS936	Licensed Representative	4	
ZHANG Yu	AQS656	Licensed Representative		1
ZHAO Baoming	BNZ230	Licensed Representative	1, 6	9
ZHAO Hongmei	BHY882	Licensed Representative	9	
ZHAO Yutong	BVL616	Licensed Representative		1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ZHONG Jing	BVF988	Licensed Representative	4, 9	
ZHONG Yichen	BUQ005	Licensed Representative	9	1
ZHOU Fangyu	BQM695	Licensed Representative	4	
ZHOU Xunnan	BRP306	Licensed Representative	4	
ZHOU Yakai	BJL555	Licensed Representative	2	4
ZHU Hao	BWA571	Licensed Representative	1	
ZHU Ruize	BSZ689	Licensed Representative	1, 9	
ZHU Yueming	BRG714	Licensed Representative	9	6
ZHUANG Huaichao	BTE209	Licensed Representative	1	
AU Chong Kit, Stanley	AAA969	Licensed Representative - Responsible Officer	9	
AU Lai Chong	AKW747	Licensed Representative - Responsible Officer	2, 5, 9	
CHAN Yiu Man	AOS417	Licensed Representative - Responsible Officer	7	
CHEUNG Ngar Lok, Alan	AFF115	Licensed Representative - Responsible Officer		2, 5
DENG Chao	BPN224	Licensed Representative - Responsible Officer	1, 4	
HUNG Ho Lam	APK784	Licensed Representative - Responsible Officer	1	
IP Ka Tsun, Anthony	AHT282	Licensed Representative - Responsible Officer		4
JEULIN Francois Thierry	ATJ464	Licensed Representative - Responsible Officer	1	
LAM Ching Po	BDM079	Licensed Representative - Responsible Officer		1
LAM Paul	AGL904	Licensed Representative - Responsible Officer		5
LAU Wing Cheung	BJQ438	Licensed Representative - Responsible Officer	4, 9	
LAU Yee Lok, Glory	AUJ784	Licensed Representative - Responsible Officer	9	
LAW Tsz Shing	BGB433	Licensed Representative - Responsible Officer	4	
LI Yin Kuk	AMX118	Licensed Representative - Responsible Officer	4, 9	
LIAN Zhe	BMC489	Licensed Representative - Responsible Officer	1	
LIM Sen Ming	ATC356	Licensed Representative - Responsible Officer	4	
LIU Jia Kung	BKM275	Licensed Representative - Responsible Officer		3
LIU Lin	BVD901	Licensed Representative - Responsible Officer	2, 5	
LU Yang	BJE764	Licensed Representative - Responsible Officer	4	
MA Chengjunyi	BBU459	Licensed Representative - Responsible Officer	4	
MA Fai Hung	AJN301	Licensed Representative - Responsible Officer	1	
MILLER Elizabeth Kathleen	BGH558	Licensed Representative - Responsible Officer	2	
NG Yuen Ki	BFB096	Licensed Representative - Responsible Officer	1	
PANG Chung Yiu, Jeff	AOV829	Licensed Representative - Responsible Officer		2
SHI Xiaofeng	AVH848	Licensed Representative - Responsible Officer		5
TANG Ping Sum	AEL276	Licensed Representative - Responsible Officer	4	
TSE Hak Tik	BRY913	Licensed Representative - Responsible Officer		1
WANG Long	BKZ913	Licensed Representative - Responsible Officer	4	
WONG Wai Hin	AWS039	Licensed Representative - Responsible Officer		9
WONG Wai Ming, Ricky	AEM186	Licensed Representative - Responsible Officer		2, 5
XIE Shaobo	AXT168	Licensed Representative - Responsible Officer	4	
ZHOU Teng	ASL094	Licensed Representative - Responsible Officer	7	
CHEN Yilin	BWZ179	Licensed Representative (Provisional Licence)	9	
TSAO Wing Yin	BXA415	Licensed Representative (Provisional Licence)	2	
ZHENG Huili	BWW625	Licensed Representative (Provisional Licence)	1	
Bank of China Limited	AAK801	Registered Institution	9	
China CITIC Bank International Limited	AAF138	Registered Institution	6	
Industrial Bank Co., Ltd.	ALZ901	Registered Institution	13	