

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2022

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Antarctica Asset Management (Asia) Limited	BGK380	Licensed Corporation	1	
Augment Wealth Advisors Limited	BQF764	Licensed Corporation		1, 2
Centaline Securities Limited	AXO047	Licensed Corporation	4	
JA Securities Limited	BQW089	Licensed Corporation	9	
Opus Capital Limited	BCU710	Licensed Corporation		1
Polymer Wealth Management Company Limited	BR1951	Licensed Corporation	4	
AU Ka Wah	BOB552	Licensed Representative	4	
AU Pak Sze	ARJ810	Licensed Representative	2, 5	
AU-YEUNG Wai Kai, Angus	AJH211	Licensed Representative		4
BIAN Yang	BRS565	Licensed Representative	1, 2	9
BILLER David Paul Campbell	ATV214	Licensed Representative	4	
CANG Tianyang	BAZ566	Licensed Representative	5, 9	
CHAI Ruiling	BQB555	Licensed Representative	9	1
CHAN Chun Fai Anthony	AFD303	Licensed Representative	4, 9	
CHAN Chun Wing	BEE942	Licensed Representative	5	1, 4
CHAN Judy Wing Chi	BSI527	Licensed Representative		1, 4
CHAN Kar Yeung Barnaby	BRT087	Licensed Representative	3	
CHAN Kong Chung	BST362	Licensed Representative	2, 5	
CHAN Man Yan	AMF587	Licensed Representative		3
CHAN Siu Tung	BAU423	Licensed Representative		3
CHAN Wai Man	BFH414	Licensed Representative	2, 5	
CHAN Yeuk Man	AZB520	Licensed Representative	5, 9	
CHAN Yin Chung, Vivien	ATY953	Licensed Representative	1, 4	9
CHEN Guangyao	BSR103	Licensed Representative	2	
CHEN Hongjie	BGZ955	Licensed Representative		4
CHEN Hua	BSS076	Licensed Representative	9	
CHEN Jialiang	BJS128	Licensed Representative	1	
CHEN Wei	AHC346	Licensed Representative		6
CHENG Tin Yun James	BJH177	Licensed Representative	1	
CHEUNG Hei Lung, Cykill	AGG701	Licensed Representative	4	
CHEUNG Pui Chak Arthur	BNU850	Licensed Representative	1	
CHING Hei Tung	BRT138	Licensed Representative	4, 9	
CHOI Sin Tung	BKG229	Licensed Representative	2	
CHONG King Pan, William	AAJ630	Licensed Representative	9	
CHOONG Woi Kean, Ignatius	ADT736	Licensed Representative		9
CHOW Chun Kit	ALI715	Licensed Representative		1
CHOW Yee Hin	BHU084	Licensed Representative	9	4
CHOY Shun Chiu	AWK891	Licensed Representative		2
CHUNG Wing Keung	ASD574	Licensed Representative		3
CUI Hai	BOL294	Licensed Representative	4	
DIANE Idriss	BOU198	Licensed Representative	5	1
DUAN Yichun	BQQ797	Licensed Representative	1	
FAN Chun Yin	BPN274	Licensed Representative	4	2
FANG Jiwen	BPP344	Licensed Representative	4	
FOK Yiu Tung	AHG563	Licensed Representative	4, 9	1
FUNG Yui Hang, Eugene	AJL412	Licensed Representative	1, 4	9
GENG Jiachen	BNM912	Licensed Representative	1	
GUO Yujia	BPA439	Licensed Representative	4, 9	1, 2
GUO Zhijian	BNM903	Licensed Representative	2	
HE Chu Yu	BOZ736	Licensed Representative	4	
HE Xiaofei	BNU624	Licensed Representative		6
HO Chau Yin	BEX111	Licensed Representative		2
HO Kwong Kiu	APU675	Licensed Representative		1, 4
HO Tsz Yin	BJJ351	Licensed Representative	4	2
HO Wai Fat	AQE681	Licensed Representative	4	1, 2
HONG Young Ju	BGI392	Licensed Representative	4	
HOU Xiaoyang	ANK463	Licensed Representative	1	
HUANG Jiaqi	BDQ027	Licensed Representative	4	
HUANG Rongzuo	BMP043	Licensed Representative	6	
HUNG Pei Ling	APC388	Licensed Representative	1, 4	
HUNG Siu Chun, Michael	AGA524	Licensed Representative	8, 9	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2022

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HUNG Sze Tsun	BOC219	Licensed Representative		5
IP Fai Kit	BBY803	Licensed Representative		2
JIN Dan	AVJ262	Licensed Representative	4, 9	1
KAM Kwan Kin	BBR458	Licensed Representative		2
KAN Siu Lun	AGC831	Licensed Representative		9
KELLY Patrick Cahill	AMC205	Licensed Representative	9	4, 7
KO Hyun Woo	BJR302	Licensed Representative	4, 9	
KWONG Ka Chi	BSY390	Licensed Representative	9	
LAI Chun Wing	ANA177	Licensed Representative		3
LAM Kai Tin	AZH502	Licensed Representative	1	
LAU Fai Wong	AGM428	Licensed Representative		1, 5
LAU Homan	AWU132	Licensed Representative	2, 7	4
LAW Wing Yin	AYI484	Licensed Representative		1, 4
LEE Ching Man	BMV829	Licensed Representative	9	
LEE Chun Wa	BCO155	Licensed Representative	1	
LEE Hui-chu	BBG429	Licensed Representative	9	
LEE Kwun Chung Kenneth	BLI955	Licensed Representative		3
LEE Tracy Sou Fin	BBG667	Licensed Representative		9
LEE Yong Suk	BJA739	Licensed Representative	4, 9	1
LEUNG Chi Chiu	ACG206	Licensed Representative		4
LEUNG Chi Kin	ALB846	Licensed Representative		4, 9
LEUNG Ka Yau	AEF848	Licensed Representative	1, 4	
LEUNG Kai Kin	AYI790	Licensed Representative	2	9
LEUNG Kwan Kit Sidney	BQH887	Licensed Representative	9	
LEUNG Sau Fan, Sylvia	ACE865	Licensed Representative		1, 4
LEUNG Sze Man	BQQ435	Licensed Representative	4	
LEUNG Tik Wai	ASM047	Licensed Representative	4, 5	
LEUNG Wai Man, Frankie	ABF376	Licensed Representative	9	
LEUNG Yiu Bong, Raymond	AOS223	Licensed Representative		1, 4
LI Bing	AUV179	Licensed Representative	1	
LI Bojue	BHS553	Licensed Representative	1	
LI Ching Siu	BAU426	Licensed Representative		3
LI Fei	AYR984	Licensed Representative	1	
LI Jiadai	BFG345	Licensed Representative	9	4
LI Lynn Lin	BLW078	Licensed Representative	2	
LI Xin	BHY625	Licensed Representative	2	
LI Yuhan	BRW156	Licensed Representative	1, 4	6
LI Zihan	BSY294	Licensed Representative	2	
LI Zipeng	BPT217	Licensed Representative	1	
LIANG Lihua	BNZ091	Licensed Representative		1, 2
LIANG Yuanyun	BRN927	Licensed Representative	1	4, 5, 9
LIM Boon Yew	BSW285	Licensed Representative	1, 4	
LIM Ji Hong	BRR818	Licensed Representative	1, 4	9
LIM Swee Tin Lisa	AGO376	Licensed Representative	4	
LIN Chih-Chien	AMB196	Licensed Representative	9	
LIN Guoqin	BMR586	Licensed Representative	9	
LIN Min	ART867	Licensed Representative	1, 4	9
LIN Qi	BJV254	Licensed Representative		4, 5
LIN Yongqin	BHH381	Licensed Representative		5
LIU Wei Jei	ATO438	Licensed Representative		4
LIU Tze Fung Ryan	BMJ698	Licensed Representative	2	9
LIU Xinzhu	BNR338	Licensed Representative	1, 9	
LIU Yi	BFH449	Licensed Representative	1	
LIU Yize	BSC748	Licensed Representative	2	
LIU Zhe	BKW273	Licensed Representative	4	
LO King Fai	APJ118	Licensed Representative	4	
LO Tak Wai	BAN250	Licensed Representative		4
LO Tsz Ying Joey	BRF687	Licensed Representative	4, 5, 9	
LU Szu-Hsien	AZC558	Licensed Representative		4, 5, 7
LU Yang	BPB343	Licensed Representative	1	
LUAN Qian	BPK584	Licensed Representative	1	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2022

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LUI Wai Man	AIU192	Licensed Representative		9
LUO Ke	AY1935	Licensed Representative	1	
LUO Weiteng	BRO364	Licensed Representative	9	4
MA Xiao	ASP710	Licensed Representative	4	
MAK Ho Yin	BAU424	Licensed Representative		3
MAK Ngai Man	ACT291	Licensed Representative		1
MANN Chi Tat	ALN531	Licensed Representative		2
MENG Ge	BOZ653	Licensed Representative	1	
MENG Yuan	BCG394	Licensed Representative	9	
NG Chi Fai	ACR411	Licensed Representative		4
NG Hay Ling, Billy	AVJ721	Licensed Representative	9	4
NG Kin Ting	AYT344	Licensed Representative	1, 4	9
NG Lai Yin	BMM587	Licensed Representative	1	
NG Shun Kong	BTC884	Licensed Representative	2	
NG Ting Chi	AUP953	Licensed Representative		1, 4
NOMA Ken	BGG973	Licensed Representative		1, 4
NORTH Christopher James	BPY784	Licensed Representative	1	
PAN Dengzhao	AWE392	Licensed Representative	9	
PANG Siu Kwong	AOR651	Licensed Representative		9
PUN Yung Yin	BJC895	Licensed Representative	4	2
QU Wenchan	BNC099	Licensed Representative	2	9
QU Yan	BHZ234	Licensed Representative	2	
SHE Jingwei	BKH340	Licensed Representative	6	
SHI Xueying	BPQ178	Licensed Representative	9	
SHIM Chi Wing	AUQ930	Licensed Representative		4, 9
SHUM Alex Jiu Lik	APJ351	Licensed Representative	1, 4	9
SHUM Wai Tak	BJX384	Licensed Representative	2	
SHUM Wing Yip	BEL731	Licensed Representative	1	
SIN Yin Ting	BMO314	Licensed Representative	1	
SONG Xinli	BFW858	Licensed Representative		2, 5
SUN Daniel Timur	BRQ020	Licensed Representative	1	
SZE Chun Ting	BSC723	Licensed Representative	4	
SZETO Chun Kit	ASM272	Licensed Representative		3
TAGLIONE Thierry Vincent	AYT805	Licensed Representative	2, 5	
TAM Chiu Kin	BDL026	Licensed Representative		2
TANG Sze Ning Erica	AWT889	Licensed Representative	1	
TEA Jessica	BEN532	Licensed Representative	9	
TO Fung	AFJ428	Licensed Representative		6
TO Kwok Pun	APW217	Licensed Representative		3
TSE Koon Nang, Colin	ATR121	Licensed Representative		4
TSO Chun Kit Raymond	BRR543	Licensed Representative	2	
TSOI Chun Hei	BRS285	Licensed Representative	4	
WAN Sin Kei, Philip	AON635	Licensed Representative	1	
WANG Luxin	BTB504	Licensed Representative	2	
WANG Ming-Chih	AOX152	Licensed Representative	9	
WANG Shangqiong	BPK380	Licensed Representative	2	
WANG Tiansi	BCZ087	Licensed Representative	1	9
WANG Wenzhe	BNE422	Licensed Representative		9
WANG Xiang	AZB485	Licensed Representative		1, 2, 5
WANG Yu	BNU760	Licensed Representative		9
WANG Yu	BIR339	Licensed Representative	6	
WEI Jingmeng	BPV402	Licensed Representative	1	
WONG Carina Jaime	BRO745	Licensed Representative	4	
WONG Chi Shing	BJI171	Licensed Representative		9
WONG Hiu Man	AMJ982	Licensed Representative	1, 4	
WONG Ka Man	AGH379	Licensed Representative	4	
WONG Ka Wo	BSZ882	Licensed Representative	6	
WONG Man Hei	BOF872	Licensed Representative		1
WONG Wai Chi	AST272	Licensed Representative		3
WONG Wai Lun	ASO648	Licensed Representative		3
WU Elaine	ARO386	Licensed Representative	1	

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2022

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WU Kam Tung	BMF548	Licensed Representative		1, 2, 6
WU Xin	BSG377	Licensed Representative		9
Xi Wang	BOE256	Licensed Representative	1	
XIA Tenglei	BIH760	Licensed Representative	1, 4	
XU Guobin	BNP647	Licensed Representative		4
XU Hua	AWY442	Licensed Representative	4	
XU Yangfan	BSG568	Licensed Representative		1
YAN Chuxiong	BFE225	Licensed Representative	4	
YANG Wenjun	BML419	Licensed Representative	1, 4	
YAO Mingjun	BRF944	Licensed Representative		6
YEUNG Crystal Yuk Ching	BGL969	Licensed Representative	4	
YEUNG Pak Long	BRR082	Licensed Representative	9	1, 6
YIP Hiu Tung	BPD542	Licensed Representative		9
YU Chen	BBW229	Licensed Representative	1	
YU Chun Man, James	AET445	Licensed Representative		1, 4
YU Ka Hei	BST404	Licensed Representative	2	
YU Xingxin	BSI320	Licensed Representative	2	
YUAN Hang	AWI220	Licensed Representative	1, 6	9
YUNG Ka Sing, Kathryn	BGZ327	Licensed Representative		9
YUNG Lik Hang	AVD830	Licensed Representative		2
YUNG Wai Sing	BJI683	Licensed Representative	3	
ZHANG Runqi	BSZ170	Licensed Representative	4	
ZHANG Yuxuan	BRS567	Licensed Representative	6	4
ZHANG Zhenyi	BNL577	Licensed Representative	1	
ZHENG Wentao	BGA855	Licensed Representative		1
ZHOU Danqing	AYJ716	Licensed Representative		1
ZHOU Mali	BQW029	Licensed Representative	4	2
ZHOU Miaoja	BNU920	Licensed Representative	2	
ZHOU Zhicheng	BNJ995	Licensed Representative		1
ZHU Qi	BIH729	Licensed Representative		1
ZHU Zhen	BKX030	Licensed Representative	1, 2, 4, 5	
BANSAL Amit	APB433	Licensed Representative - Responsible Officer		1, 2
BELL Ian Michael	AXF702	Licensed Representative - Responsible Officer	4	
CHAN Kei Shu	AOC991	Licensed Representative - Responsible Officer	4, 9	
CHAN Wah Lee	AWJ776	Licensed Representative - Responsible Officer	1	
CHAU Koan Hung, Ringo	AAK733	Licensed Representative - Responsible Officer	9	
CHEN Haofei	AJD676	Licensed Representative - Responsible Officer	4	
CHENG King Wai	AHR486	Licensed Representative - Responsible Officer	9	
CHEUNG Tai Ling	BOI464	Licensed Representative - Responsible Officer	4	
CHIU Francis	AUV238	Licensed Representative - Responsible Officer	4	
CHOW Chak Shing	AGG820	Licensed Representative - Responsible Officer	9	
DE WEERDT Pieter Helene E.	BGK383	Licensed Representative - Responsible Officer	1	
FOK Chi Cheung, Allen	AXZ088	Licensed Representative - Responsible Officer		6
HU Nian	BAA338	Licensed Representative - Responsible Officer	1, 4	
HUANG Hsiu Mei	BJU910	Licensed Representative - Responsible Officer	9	
HUANG Kunyao	BPM021	Licensed Representative - Responsible Officer	9	
LAU On Wah	AAD083	Licensed Representative - Responsible Officer		1, 2
LAU Yee Lok, Glory	AUJ784	Licensed Representative - Responsible Officer	4	
LEUNG Kit Ming	AUX416	Licensed Representative - Responsible Officer	1	
LI Hoi	BBR401	Licensed Representative - Responsible Officer	4	
LI Jingbo	AYN948	Licensed Representative - Responsible Officer	9	
LI Kam Fai, Jimmy	AAJ560	Licensed Representative - Responsible Officer	4	
LIM Swee Tin Lisa	AGO376	Licensed Representative - Responsible Officer	6	
LIN Jiusong	BGK401	Licensed Representative - Responsible Officer	4	
LIU Xiaodong	BQW090	Licensed Representative - Responsible Officer	9	
LO-MAN Bonnie Sum Wai	AFV258	Licensed Representative - Responsible Officer	1, 4	
LONG Liang	ALR027	Licensed Representative - Responsible Officer		6
LUI Wai Man	AUI192	Licensed Representative - Responsible Officer	1	
PRINZ Roger Theodor	BRS774	Licensed Representative - Responsible Officer		1, 4
QI Haiying	BFB179	Licensed Representative - Responsible Officer	2	
QIU Kang	AQX035	Licensed Representative - Responsible Officer		9

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 12/2022

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
SAM Chi Yung	APR850	Licensed Representative - Responsible Officer	4	
SO Chi Keung, Jeffrey	AMC258	Licensed Representative - Responsible Officer	1	
SUN Xin	BOS462	Licensed Representative - Responsible Officer	9	
TAN Kim Kuan Francis	AOO513	Licensed Representative - Responsible Officer	1	
VANDENVEN Filip Joris J.	BGK384	Licensed Representative - Responsible Officer	1	
WANG Hufeng	BGA440	Licensed Representative - Responsible Officer	1, 4	
WONG Chi Ling	ACG593	Licensed Representative - Responsible Officer	7	
WONG Chun Ho, Sammy	AKT152	Licensed Representative - Responsible Officer		1, 4
WU Jennifer York Kei	AHX685	Licensed Representative - Responsible Officer	1	
YE Yong	AOB368	Licensed Representative - Responsible Officer	9	
YU Chun Man, James	AET445	Licensed Representative - Responsible Officer	9	
YUNG Lik Hang	AVD830	Licensed Representative - Responsible Officer	4, 9	
ZHENG Wentao	BGA855	Licensed Representative - Responsible Officer	4, 9	
ZHOU Lyu	BJB337	Licensed Representative - Responsible Officer	4	
SUN Shangtong	BTC700	Licensed Representative (Provisional Licence)	6	
ZHANG Bo	BSF470	Licensed Representative (Provisional Licence)		1
Industrial and Commercial Bank of China (Asia) Limited	AAI670	Registered Institution		6