

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 11/2024**

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Andar Capital Management HK Limited	BND511	Licensed Corporation	4	
Christfund Securities Limited	AAY133	Licensed Corporation		9
Credit Suisse (Hong Kong) Limited	AAO017	Licensed Corporation		9
Gentleman Capital Limited	BUJ793	Licensed Corporation	1	
Hang Tai Asset Management Limited	BJD406	Licensed Corporation		5
Hang Tai Securities Limited	AAD035	Licensed Corporation	6	
Ivory Capital Private Limited	AOB632	Licensed Corporation	9	
MIB Securities (Hong Kong) Limited	AAD284	Licensed Corporation	2, 5	
North Rock Capital Management (HK) Limited	BSU164	Licensed Corporation	2	
oOo Securities (HK) Group Limited	AJH488	Licensed Corporation		2, 6
Peakhouse Asset Management Limited	BSQ506	Licensed Corporation	1	
AAGAARD Lars Erik	BDQ224	Licensed Representative	4	
ARCURI Alessandro	BKG497	Licensed Representative	4, 9	1, 2
BU Jiexing	BSQ130	Licensed Representative	9	2
BUSIRI Muhammad Zakky	BOG749	Licensed Representative	9	1, 2
CHAN Chi Hang	BJM363	Licensed Representative	4	
CHAN Hin Hong	ARI672	Licensed Representative		2, 5
CHAN Hoi Yan	BQU952	Licensed Representative		4
CHAN Leong Hei	BIV626	Licensed Representative	2	
CHAN Lok Hei	BRO323	Licensed Representative		1, 4
CHAN Tin Shun	BIN234	Licensed Representative		9
CHAN Yue Tak, Andrew	BIO509	Licensed Representative		9
CHANG Yu Cheng	BHL502	Licensed Representative		4, 9
CHEN Linhao	BNG873	Licensed Representative	4	
CHEN Nan	ARG251	Licensed Representative		1
CHEN Xinnan	BVC202	Licensed Representative	1, 5	
CHEN Zhe	BOG853	Licensed Representative	4	
CHENG Yu Yeung	BFC880	Licensed Representative	1	
CHENG Yuk Lon Christopher	AZB080	Licensed Representative	4	
CHEUNG Pui Yee	AOG703	Licensed Representative		1, 4
CHEUNG Shin Kwan, Judy	AEE793	Licensed Representative		2
CHEUNG Yee Ching	AYP118	Licensed Representative	4	
CHIEN Jung-chun	ATN539	Licensed Representative	2	
CHONG Wing Hong	BKN190	Licensed Representative		9
CHOW Jesson Kwok Kit	BJR310	Licensed Representative	1	
CHUNG Man Him, James	ANK917	Licensed Representative	9	1
DAI Mengyao	BTU196	Licensed Representative	4	
DING Joanna	BRO788	Licensed Representative	4	
DONG Chang	AXN155	Licensed Representative	4	
DONG Shu	BEY904	Licensed Representative	6	9
DONG Yuling	BTW224	Licensed Representative	5	
DUAN Yanni	BHU468	Licensed Representative	4	
FAN Anqi	BUX173	Licensed Representative	1	
FAN Ka Wai	BNR833	Licensed Representative	9	
FOK Kin Lip, Philip	AED915	Licensed Representative	4	
FONG Shu Wing	BEU868	Licensed Representative	1	
GE Xueting	BOS651	Licensed Representative	5, 9	6
GENG Geng	APX231	Licensed Representative	4	
GUO Hui	BSB883	Licensed Representative	1, 2	9
GUO Rui	BDA213	Licensed Representative		4, 9
GUO Yifan	AXV591	Licensed Representative		1
HAGUE Claire Elizabeth Logan	ALF606	Licensed Representative	2	
HAN Xiao	BOO400	Licensed Representative		1
HAO Andrew	AQE517	Licensed Representative	1	
HE Jingjia	BIM420	Licensed Representative	2	
HO Ka Chun	BFE606	Licensed Representative	4	2
HO Lap Wai, Daniel	ADC339	Licensed Representative	1	
HO Siu Hang	BUF875	Licensed Representative	2	
HO Wai Hung Joannes	ABT745	Licensed Representative	4	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HSU Po Han	BLU702	Licensed Representative	1	9
HU Chao	BGX227	Licensed Representative		1
HUANG Dejun	BTQ591	Licensed Representative	4	
HUANG Huaxia	BRO765	Licensed Representative		1
HUANG Li Shen	BNB238	Licensed Representative	9	4
HUANG Yanyu	BSV168	Licensed Representative		1, 4
HUANG Ye	BEA468	Licensed Representative	1, 2	9
HUI Chak Ling	BAV463	Licensed Representative	4	
HUI Pu	BSE005	Licensed Representative		1
HUNG Wing Man	BND639	Licensed Representative		4
IP Pak Cheong	AQA094	Licensed Representative		1
JIANG Zhiwei	BBK034	Licensed Representative		1
JIM Chu Sing	ATD286	Licensed Representative	4	
KAM Shou Him Thomas	AYG344	Licensed Representative	1	
KAO Cheng-hui	ALB059	Licensed Representative	2, 5	9
KING Hin Fai, Kevin	ARW546	Licensed Representative	1	
KO Tai Fun	ABD420	Licensed Representative	4	
KWAN Kai Ho	BQI174	Licensed Representative	9	
KWOK Ka Yiu	AFG950	Licensed Representative	1, 4	
KWONG Chung Ping	AHK068	Licensed Representative	4	
LAM Chiu Kin	AOB019	Licensed Representative	4	
LAM Oi Ching	AGA422	Licensed Representative		5
LAM Sik Pan	BIC613	Licensed Representative	2	
LAM Yui Ying	BFY292	Licensed Representative		1, 4
LAU Ka Siu	BRY043	Licensed Representative	4	
LAU Ka Yi	BQZ789	Licensed Representative		4
LAU Kwan Hing	BDD696	Licensed Representative		1, 4
LAU Ming Ki, Vickie	AYE811	Licensed Representative		1
LEE Mei Lam Nicole	BNG434	Licensed Representative	2	
LEE Shek Hang	BSE712	Licensed Representative	4, 9	
LEE Yuk Kwan Corina	AZF416	Licensed Representative	1	
LEUNG Chi Wai	BTH772	Licensed Representative	9	1, 2
LEUNG Fuk Kuen, Eddie	AAH165	Licensed Representative		1
LEUNG Kwok Wai	ABS621	Licensed Representative	2	
LEUNG Man Him, Hugo	AXG743	Licensed Representative	4	
LEUNG Wing Shing	AEQ444	Licensed Representative		1
LI Chaoran	BTY087	Licensed Representative		1, 2, 4
LI Cheuk Fai	AYK176	Licensed Representative		1
LI Cheuk Pong Marco	BEF673	Licensed Representative	1	
LI Cheuk Yiu	BTM729	Licensed Representative	2	
LI Fangpeng	BUJ988	Licensed Representative	1, 2	9
LI Jie	APO198	Licensed Representative		1
LI Kai Wai	AVO604	Licensed Representative	2	
LI Man Lung	AZH239	Licensed Representative	9	
LI Oi Shun	BTN153	Licensed Representative	7	
LI Ting	AZX480	Licensed Representative	2	
LI Tsz Wai, Florence	BBU825	Licensed Representative	4, 9	1
LI Zhun	AQM198	Licensed Representative		1
LIM Hong Yang Justin	BSG294	Licensed Representative		4
LIM Kim Huat	ARU536	Licensed Representative	9	
LIN Nan	AXI594	Licensed Representative	2	1, 4, 9
LIN Xiaonan	BSD869	Licensed Representative		2, 5
LIN Yinfu	BCO458	Licensed Representative	2	
LING Tsz King	AOA405	Licensed Representative	9	2
LIU Duming	BRK575	Licensed Representative	4	2
LIU Jiangang	AUM602	Licensed Representative	2, 5	
LIU Jingshu	BUS459	Licensed Representative	9	1
LIU Liqun	BTU828	Licensed Representative	4	
LIU Renxuan	BMA124	Licensed Representative	9	1, 2

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIU Weiwei	BIQ631	Licensed Representative	4	
LIU Xi	BKW445	Licensed Representative	4, 9	
LO Tsz Hin	BKE897	Licensed Representative		4, 9
LO Yuk Ling Maggie	ADF623	Licensed Representative	2	
LOU Yuhan	BMI892	Licensed Representative	4	
MAK Ka Chun	BNC741	Licensed Representative	4, 9	1, 6
MAK Suet Man	BIB910	Licensed Representative	1	
MAO Yifan	BUA185	Licensed Representative	4, 5	
MARSCHANG Alice Ai	BSF195	Licensed Representative	4	
MASCOLO Fabiano Hugues Joseph	ANE267	Licensed Representative		2
MATSUO Hidetaku	AZL647	Licensed Representative	6	
MENG Yunke	BPI936	Licensed Representative	6	4
PAN Huiying	BOL180	Licensed Representative	9	1, 2
PENG Chenyao	BUX075	Licensed Representative	1	
QU Neng	BNB074	Licensed Representative		5
REN Tongfei	BVJ714	Licensed Representative	9	
RONG Fan	AQE608	Licensed Representative	4	
SATO Naoto	BVB762	Licensed Representative	6	9
SEETO Yuk Yu	AAJ579	Licensed Representative		4
SHEK Wing Kei	BAC230	Licensed Representative		9
SHEUNG Wing Chun	BRR709	Licensed Representative	4	
SHI Haochen	BQL601	Licensed Representative	1, 9	5
SHUM Ching Hei	AWT804	Licensed Representative	1	
SO Kin Wai	BDY431	Licensed Representative	2	
SO King Wei Wallace	AXA204	Licensed Representative	4, 9	
SONG Qi	BMC486	Licensed Representative	9	
SU Wen-chi	BSY395	Licensed Representative	2	4, 9
SUZUKI Yuya	BTB242	Licensed Representative		4, 9
SZE Ka Wo	BIV051	Licensed Representative		1
SZE Yuk Lung	BKG801	Licensed Representative		4
TACCHI Timothy Alexander	AJO036	Licensed Representative	1	
TAM Hui Fai	BMQ329	Licensed Representative	1	
TANG Chi Kwan, Veronica	BOG443	Licensed Representative		4
TANG Mei Chi	BTU299	Licensed Representative	1	
TO Muk Tak	BLS731	Licensed Representative	2	9
TONG Yim	AHM284	Licensed Representative		1
TONG Ying Kei	AGV239	Licensed Representative	2	
TSAI Meng-chen	AUM660	Licensed Representative	2	
TUEN Hei Ching	BQV418	Licensed Representative	9	1
WANG Chin Lung	ACS495	Licensed Representative	7	
WANG Di	BGP653	Licensed Representative	9	6
WANG I-Ting	AYB889	Licensed Representative		9
WANG Jingwei	BQQ798	Licensed Representative		1, 2, 4, 5
WANG Shu Matthew	BRV506	Licensed Representative		4
WANG Youyou	BUC030	Licensed Representative	1, 5	
WANG Yumeng	BEW992	Licensed Representative	4	
WONG Cheuk Man Clement	BOG762	Licensed Representative	1, 4	
WONG Ching Ho, Howard	ADY955	Licensed Representative		5
WONG Hoi Tung	BVF726	Licensed Representative	4	
WONG Kam Ka	AUR988	Licensed Representative	2	
WONG Po Man	AZW720	Licensed Representative	2	
WONG Sio Kin	BBV879	Licensed Representative		9
WONG Stephen	AJO207	Licensed Representative	4, 5	
WONG Tse Shan	BOB082	Licensed Representative		2
WONG Tsz Him	BRY972	Licensed Representative		1
WONG Tsz Ho	BSR670	Licensed Representative		2, 4
WONG Yiu Chung	AQT105	Licensed Representative	4	
WONG Yuen Yee	APB469	Licensed Representative	2	
WOODHEAD Christopher James	BVI217	Licensed Representative	9	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WU Xiaofan	BMR624	Licensed Representative	9	
WU You	BOC281	Licensed Representative	9	2, 5
XIANG Xifei	BQX887	Licensed Representative		6
XING Chuan	BML873	Licensed Representative	2	
XU Hao	AVA631	Licensed Representative	6	
XU Meijing	BRT451	Licensed Representative		4
YANG Lei	BBQ355	Licensed Representative	2	
YANG Liu	BNU351	Licensed Representative	9	6
YANG Ping Hung	BVF562	Licensed Representative	4	
YAO Wei	BJV305	Licensed Representative	1, 2	
YE Qing	AWS033	Licensed Representative	9	1
YEUNG Chi Kit	BNX448	Licensed Representative	4	
YIN Ada Manyee	ARI225	Licensed Representative		1
YIN Yiting	BVC201	Licensed Representative	1	
YIP Hoi Yin, Eva	ANF284	Licensed Representative	1	
YIP Yi Kin	ARI641	Licensed Representative	4, 9	
YOO Chaeri	BTF814	Licensed Representative		4
YOUNG HERRIES Flora Elizabeth Margaret	BRR765	Licensed Representative	5	
YU Ho Wing	AXT424	Licensed Representative	2	
YU Rong	BQR038	Licensed Representative		4
YUEN Tung Pui	BMO078	Licensed Representative	9	
YUM Sum Yi	BOM627	Licensed Representative		9
ZENG Weiwei	BVC503	Licensed Representative	2	
ZHAN Botao	BJE192	Licensed Representative		9
ZHANG Jiajun	BVK128	Licensed Representative	6	4
ZHANG Lihang	BNA727	Licensed Representative		9
ZHANG Xiaolu	BUD850	Licensed Representative	9	
ZHANG Yanjia	BIW743	Licensed Representative		2
ZHAO Fanyue	BOU464	Licensed Representative	4, 5	
ZHAO Hanqing	BUG334	Licensed Representative	1	
ZHAO Yang	BHM855	Licensed Representative	4, 7	2
ZHENG Lily	AWJ166	Licensed Representative	1	
ZHONG Chongren	BVA323	Licensed Representative	9	
ZHOU Ang	BUH856	Licensed Representative	2	
ZHOU Xiaoli	BND518	Licensed Representative		2
ZHOU Xiaoyin	ALK243	Licensed Representative	1	
ZHU Chenyue	BUS914	Licensed Representative	4	
AU-YEUNG Kam Kay	ABA474	Licensed Representative - Responsible Officer	1	
AU-YEUNG Sau Chun	AFJ413	Licensed Representative - Responsible Officer	4	
CHAN Wai Hung	ARA444	Licensed Representative - Responsible Officer	2	
CHEUNG Tai Ling	BOI464	Licensed Representative - Responsible Officer	1	
CHEUNG Wah Fung, Christopher	AAE131	Licensed Representative - Responsible Officer		9
CHOW Sze Yuen, Simon	APJ232	Licensed Representative - Responsible Officer	2	
CHU Wai Pang	ABF141	Licensed Representative - Responsible Officer		6
DONG Wei	BEM064	Licensed Representative - Responsible Officer	4	
FU Jinfeng	ATR255	Licensed Representative - Responsible Officer		3
GRISSELL Edward Henry	BPY390	Licensed Representative - Responsible Officer	2	
HO Wai Kin	AYZ335	Licensed Representative - Responsible Officer	9	
KOH Kwai Yim	APH097	Licensed Representative - Responsible Officer		1
LAM Yui Ying	BFY292	Licensed Representative - Responsible Officer	10	
LAU Ming Ki, Vickie	AYE811	Licensed Representative - Responsible Officer	4	
LEE Calvin Young Khoa	BEO427	Licensed Representative - Responsible Officer	4	
LEE Yang-Shen	BLL629	Licensed Representative - Responsible Officer	4	
LEUNG Wai Kin	ANP306	Licensed Representative - Responsible Officer	4	
LEUNG Wing Shing	AEQ444	Licensed Representative - Responsible Officer	9	
LI Wen Han	AQG472	Licensed Representative - Responsible Officer	4	
LIM Kim Huat	ARU536	Licensed Representative - Responsible Officer	1	
LO Ho Tak	AVR437	Licensed Representative - Responsible Officer	1	
MA Hoi Wai	ADS048	Licensed Representative - Responsible Officer	1	

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MACK Andrew Kar Lung	AYH813	Licensed Representative - Responsible Officer		1, 2, 4, 5, 8
MALONE Timothy Joseph	AXV753	Licensed Representative - Responsible Officer	9	
MATSUO Hidetaku	AZL647	Licensed Representative - Responsible Officer		9
MOK Wing Hon	AGD652	Licensed Representative - Responsible Officer	1	
OU Yingjie	BNO196	Licensed Representative - Responsible Officer	4	
SOO Chi Kit	AOI967	Licensed Representative - Responsible Officer	4, 5	
SUN Yuejun	BNL060	Licensed Representative - Responsible Officer	1	
SURIAWINATA Harold	BSU505	Licensed Representative - Responsible Officer	1	
TAM Hui Fai	BMQ329	Licensed Representative - Responsible Officer	4	
TAN Woo Teck, Christopher	ABG708	Licensed Representative - Responsible Officer	9	
TO Wing Cheung	BJR968	Licensed Representative - Responsible Officer	9	
TONG Ngat	AHN527	Licensed Representative - Responsible Officer	2	
WANG Julius	AEB169	Licensed Representative - Responsible Officer	9	
WONG Chi Yuen	BNJ009	Licensed Representative - Responsible Officer	4	
YAO Hua	AVD363	Licensed Representative - Responsible Officer	9	
YEUNG Ka Fung	BQC183	Licensed Representative - Responsible Officer	4	
YEUNG Kin Ting	BIJ672	Licensed Representative - Responsible Officer		9
CHENG Wai Wan	ACR583	Licensed Representative (Provisional Licence)	2	
MADRANGE Thomas Jean Rene	BOU381	Licensed Representative (Provisional Licence)	5	1
YANG Yan	ALW928	Licensed Representative (Provisional Licence)	2	