

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Alphahill Capital Limited	BRK187	Licensed Corporation	4	
Bach Option Limited	BBE845	Licensed Corporation	4, 5	
China Gather Wealth Financial Company Limited	AGQ863	Licensed Corporation		1
Greenfield Asset Management Limited	AME537	Licensed Corporation	4	
Ivory Capital Private Limited	AOB632	Licensed Corporation		6
KGI Asset Management Limited	AEN441	Licensed Corporation		1
Liquidity Finance (Hong Kong) Limited	BQE091	Licensed Corporation		1
MicroBit Capital Management Limited	BUD119	Licensed Corporation	1	
Opus Capital Limited	BCU710	Licensed Corporation	1	
TC Capital Management Limited	AXM284	Licensed Corporation		4
WinSmart Investment Management Limited	BQP878	Licensed Corporation	4	
BAO Zhujun	BVE809	Licensed Representative	2	9
Bi Wei Jen	BVI311	Licensed Representative	4	2, 7
BYE Ferris Charles	AAD800	Licensed Representative		4, 9
CABLE Paul Michael	AYI039	Licensed Representative		4
CAI Ming	BRO767	Licensed Representative		9
CATALANO Angelo	AUB322	Licensed Representative		1
CHAN Keung	BSA415	Licensed Representative	2	
CHAN Kin Lung	AOW789	Licensed Representative		9
CHAN Kit Ling	ACB932	Licensed Representative		2
CHAN Oi Chee	AAC273	Licensed Representative		2
CHAN Pak Hei	AWT362	Licensed Representative		4
CHAN Shan Shan	AVM545	Licensed Representative		1
CHAN Wing Tung	BRW781	Licensed Representative	2	
CHAN Yick Kei	AJN963	Licensed Representative		1, 4
CHAN Yin Yee	ADZ086	Licensed Representative		2
CHANG Hsun Fang	ANP491	Licensed Representative	1	
CHE Sen Hei	BNI034	Licensed Representative	4	
CHEN Hao	BRQ652	Licensed Representative	1	
CHEN Jennifer	BGL046	Licensed Representative	7	
CHEN Jia	BPU017	Licensed Representative	2	
CHEN Xinxuan	BTT071	Licensed Representative	1	
CHEN Yan	BHA196	Licensed Representative	1	
CHEN Zhuo	BUU947	Licensed Representative		1
CHENG FENG	BVK574	Licensed Representative	9	
CHENG Jiwei	BRT346	Licensed Representative	4, 9	
CHEUNG Kin, Ivan	ARE366	Licensed Representative	1	9
CHEUNG Kwok Leung, Steve	ABM676	Licensed Representative		2
CHEUNG Pui Mei Heidi	BRQ411	Licensed Representative	1	4
CHEUNG Sui Fong	BSF323	Licensed Representative		4
CHEUNG Wai Yin	AGY675	Licensed Representative		1
CHEUNG Wo Fai	AUX566	Licensed Representative		2
CHOW Thomas	AFM847	Licensed Representative		4
CHU Chun Ho, Nelson	BFN922	Licensed Representative	3	
CHUEN Sau Chun, Connie	AAF500	Licensed Representative		2
CHUNG Sun Kit	AAB581	Licensed Representative		2
CORNISH Thomas Oliver	BJS867	Licensed Representative		7
CUI Tianyi	BRK207	Licensed Representative	4	1
DAI Fangyao	BVR067	Licensed Representative		6
DAI Lipeng	BNB025	Licensed Representative		1, 5
DING Zhen	BLR088	Licensed Representative	9	
DONG Yinfei	BES352	Licensed Representative	9	
DONG Zihan	BSD412	Licensed Representative	4	
FAN Jianqiao	BOB392	Licensed Representative	1	
FAN Ka Man, Carmen	BVN197	Licensed Representative	1	4, 9
FANG Fuhui	BNH627	Licensed Representative	2, 4, 5	
FENG Zhiguo	BFH467	Licensed Representative	2	
FU Shilei	BTY477	Licensed Representative	9	1, 6
FUNG Chi Wai	APW679	Licensed Representative		2, 5

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
FUNG Jason	AEO420	Licensed Representative		4
GAO Siyuan	BRY530	Licensed Representative	4, 9	
GAO Zhiheng	BQD153	Licensed Representative		1, 4
GIEUW Godfrey Harrison	BHL111	Licensed Representative		4
HASHINAGA Yuya	BSF916	Licensed Representative		4, 9
HATTANGADI Amay Ashok	BAZ947	Licensed Representative	4	
HO Chau Yin	BEX111	Licensed Representative	2, 5	9
HO Shing Yan	AOS498	Licensed Representative	4	
HOU Zhilin	BVV145	Licensed Representative	1, 4	
HU Christine Jie	AHM887	Licensed Representative	4	
HU Qizhen	BUX091	Licensed Representative	9	
HUANG Chien-Yu	BSG667	Licensed Representative		4, 9
HUANG Haoya	BNL472	Licensed Representative	9	
HUANG Qinglin	BVJ914	Licensed Representative	3	
HUANG Rong	BNG235	Licensed Representative	1	
HUANG Zhi	BNU533	Licensed Representative	4	
HUI Chung Hang Brandon	BVZ726	Licensed Representative	4	
HUI King Ngai	BMT578	Licensed Representative	9	
HUI Siu Cheung	ADQ326	Licensed Representative		2
HUMAN Brandt Van Aardt	BOF850	Licensed Representative	7	
HUNG Hsi-Ming	ATO440	Licensed Representative	4	
HUNG Yin Fong	AFR792	Licensed Representative		2
IP Yuk Fai	BIG437	Licensed Representative	4	
JAIN Pankaj	AWN126	Licensed Representative	4, 5	
JIANG Maolin	ARE474	Licensed Representative		1
JIANG Minghao	BRW612	Licensed Representative	9	4
JIANG Yupeng	BSL991	Licensed Representative	9	1, 2
KAN Lap Hung, Don	AJI408	Licensed Representative	1	
KAVANAGH Ruvann John	ARW149	Licensed Representative	2, 7	4
KIANG Tien Sik, David	AAE628	Licensed Representative	9	
KO Rose	BKH127	Licensed Representative		4
KO Wing Tong	BHX142	Licensed Representative		1, 2
KONG Jonathan	BVZ725	Licensed Representative	4	
KONG Lingxiao	BUE593	Licensed Representative	4	
KWOK Hing Sum	BRD451	Licensed Representative	5	
LAI Chau Ming, Matthew	AAB682	Licensed Representative		2
LAM Hon Man	AOH416	Licensed Representative	4, 9	
LAU Gar Hung Christopher	BDR387	Licensed Representative		9
LAU Martin Kin Yeung	AXX232	Licensed Representative	1, 4	
LAU Mei King	APC587	Licensed Representative		4, 5
LAU Wai Tat, Victor	APF754	Licensed Representative		4
LAW Ho Long	BVM603	Licensed Representative	4, 5	
LEE Kin Man, Desmond	AFK515	Licensed Representative		1
LEE Kotis Cheuk Hin	BST707	Licensed Representative	4	
LEI Wai	AXY861	Licensed Representative	1	
LEUNG Kin Sang, Patrick	ABS691	Licensed Representative		4
LEUNG Kit Ming	AUX416	Licensed Representative		1
LEUNG Wing Kin	BMV771	Licensed Representative	4, 9	
LEUNG Yu Hin	AZX593	Licensed Representative		1, 4, 9
LI Jian	BSJ222	Licensed Representative		4
LI Kin Keung	AHA933	Licensed Representative		4
LI Ming	BRX032	Licensed Representative	4	
LI Shanshan	BFD820	Licensed Representative	2	
LI Teng	BLI066	Licensed Representative	9	
LI Wangdong	BTX385	Licensed Representative	10	9
LI Wen	BFJ724	Licensed Representative	2, 5, 9	
LI Xiaofan	BMU939	Licensed Representative	2	9
LI Yongguang	BVA812	Licensed Representative	2	
LI Yufen	BJX774	Licensed Representative		2

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LI Yujie	BRG962	Licensed Representative		1
LI Zhongying	BQP158	Licensed Representative		1, 4
LI Zhou	BQG370	Licensed Representative	1	
LIM Tak Hung	AXP028	Licensed Representative	1	9
LIN BAUCHINGER Amanda Yi-Chun	BAB144	Licensed Representative	9	
LIN Jinrong	BEI714	Licensed Representative		1
LIN Michelle	BEU976	Licensed Representative		9
LIN Wan-Ju	AQJ466	Licensed Representative	3	
LIU Duming	BRK575	Licensed Representative		4
LIU Huashi	BPQ331	Licensed Representative	2, 4	9
LIU Jiayang	BVO703	Licensed Representative	4	
LIU Lixin	BQU980	Licensed Representative		4
LIU Ming Wai, Blythe	AVQ774	Licensed Representative	4	
LIU Raymond Ken	BNY203	Licensed Representative	6	
LIU Xiaoyun	BVH897	Licensed Representative	1, 6	
LIU Xuanbei	BRV848	Licensed Representative	9	6
LUO Bing	AVK660	Licensed Representative		1, 4
LUO Zhuang	BVD644	Licensed Representative		1
LYU Jinwei	BVT072	Licensed Representative	4	
MA Chuang	BRF027	Licensed Representative	4	
MAK Ka Lam, Louisa	AAB991	Licensed Representative		2
MAK Wai Kit	BDT547	Licensed Representative		4
MAK Wing Leung	BHQ088	Licensed Representative	4	
MATHUR Arnav	BTA014	Licensed Representative	9	2, 4, 6
MCCARTHY Patrick Edward	BTQ503	Licensed Representative		7
MILLER Elizabeth Kathleen	BGH558	Licensed Representative	9	2
MING Yuxin	BUQ846	Licensed Representative	9	
MOK Ka Lam, Kevin	BUG068	Licensed Representative	1, 4	
MORRISON Thomas James	AZT479	Licensed Representative	7	
MUI Tsz Ching	AWN715	Licensed Representative		2
NG Ka Man	AUZ729	Licensed Representative	2	
NG Kin Yeung	BSV524	Licensed Representative	4	
NG Sing Hing	ALN731	Licensed Representative		4
NIE Xin	BPX773	Licensed Representative		2
PAK Ngan	AEX663	Licensed Representative	5	
PANG King Sau, Nelson	AQY764	Licensed Representative		1
PANG Ping Leong	AFK409	Licensed Representative		2
PANG Wing Yan	BNI687	Licensed Representative		4
PENG Shuaijun	BBY253	Licensed Representative	4	
PENG Siyu	BMQ545	Licensed Representative	1	9
PENG Zhuofan	BSR706	Licensed Representative		1
PUN Yat Kan	BKW033	Licensed Representative	4	
QIAN Yidan	BEM482	Licensed Representative	4	
QIN Chujian	BTk133	Licensed Representative		9
QING He	BSM231	Licensed Representative	4	6
REN Xuemei	BQM610	Licensed Representative		1
SHA You	BVQ504	Licensed Representative		1
SHEN Hu	BFW328	Licensed Representative	9	4
SHEN Zining	BRS935	Licensed Representative	4	
SHENG Shi	BVX079	Licensed Representative	7	
SHIU Yat Jim	AAA799	Licensed Representative		2
SHUM Wing Yip	BEL731	Licensed Representative		1
SIM Rick Man	BNF965	Licensed Representative	2	4, 9
SINGH Kaanhari	BHC208	Licensed Representative	1, 2	
SIT Chi Keung	ACG314	Licensed Representative	1	4
SIU Kwok Fai	BND288	Licensed Representative	2	
STEINMAN III William Elwood	BMQ397	Licensed Representative		9
SUEN Wai Man	AUX361	Licensed Representative		2
SUN Kevin Ka Man	AFU844	Licensed Representative		9

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 07/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
TAM Chi Shing	AFY903	Licensed Representative		2
TAM Hon Hoi	AEI653	Licensed Representative		2
TAM Kin Kei	AZW907	Licensed Representative	2	
TAM Pak Yin	BDH452	Licensed Representative	4	
TAM Tak Wing	AFR780	Licensed Representative	4, 5	
TAN Celine	BSQ966	Licensed Representative	9	
TAN Khee Chye	AAC890	Licensed Representative		2
TEH Chou Yuen	ATY066	Licensed Representative		2
TO Fung	AFJ428	Licensed Representative	6	9
TONG Sarah Wing Sang	BGE601	Licensed Representative		4, 9
TSAI John Jeng-Yow	BIV592	Licensed Representative		4
TSANG Yu Shing	BJU695	Licensed Representative	4	
TSUI Chung Kwan	BHL060	Licensed Representative	7	
TSUI Yiu Cham	AZH552	Licensed Representative		1, 2
TU Hao-Wen	BRI359	Licensed Representative		7
WAN Chi Shing John	BMG886	Licensed Representative		1
WANG Jinghua	BSY433	Licensed Representative	9	1, 6
WANG Ju	BRP227	Licensed Representative	4	
WANG Junyu	BUG048	Licensed Representative	4	
WANG Qian	BPH416	Licensed Representative	9	
WANG Ting	BBP537	Licensed Representative	4	
WANG Yuxin	BVL564	Licensed Representative	4, 9	1, 6
WEN Xi	BUO860	Licensed Representative	9	
WEN Ya	BMV925	Licensed Representative		5, 9
WILLIAMSON Bonnie	ADP912	Licensed Representative	4	
WONG Kwok Keung	BLA451	Licensed Representative	2	
WONG Shuk Ying	BPN396	Licensed Representative		4
WONG Stephen	AJO207	Licensed Representative		1, 2
WU Hok Sau	ACD001	Licensed Representative		1
WU Kiu Sing	ANR775	Licensed Representative	9	
WU Xinyu	BQO318	Licensed Representative	2, 4, 5	
WU Yim Hung	BVX698	Licensed Representative	1	
XIA Yang	BGB713	Licensed Representative		6
XIA Yicong	BOA579	Licensed Representative	1, 2	4
XIAO Mingyi	BHO183	Licensed Representative		2
XIAO Xie	BVI405	Licensed Representative	6	
XIN Yimo	BJP596	Licensed Representative		6
XU Qing	BIY244	Licensed Representative		4
XU Wenyan	BHL202	Licensed Representative		1, 4
XU Yuan	BTW025	Licensed Representative		1, 4
YAN Wing Tung	BJR816	Licensed Representative	9	
YANG Jun	BSF183	Licensed Representative		1
YANG Ruoyi	BGH897	Licensed Representative		4
YANG Xiaojun	BUO182	Licensed Representative	2, 9	
YAO Xuting	APC111	Licensed Representative	1	
YEUNG Lap Cheung	AIH289	Licensed Representative	2	
YEUNG Siu Yung	AHU361	Licensed Representative	3	
YEUNG Suet May, Helen	ADS386	Licensed Representative		2
YEUNG Yat Wan Tammy	BRN899	Licensed Representative	4, 5	
YU Chi Chiu, Andrew	AGI481	Licensed Representative		1
YU Hiu Chuen Jeffrey	BPR868	Licensed Representative	7	
YUE Chee Sum, Clarence	ADT882	Licensed Representative		4
YUEN Chi Chun Edmund	BUN629	Licensed Representative	9	
ZHANG Aiqi	BUA890	Licensed Representative		9
ZHANG Feiran	BFU387	Licensed Representative		1, 4
ZHANG Jiajie	BVW049	Licensed Representative	4	1, 6
ZHANG Ruijia	BVU809	Licensed Representative	9	
ZHANG Weixuan	BNT218	Licensed Representative	1, 2	4
ZHANG Xiangbing	BGH429	Licensed Representative	9	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ZHANG Xinya	BVN534	Licensed Representative	2, 7	4
ZHANG Xuechun	BRO864	Licensed Representative	4, 6	
ZHANG Yueru	BNV454	Licensed Representative	1	4
ZHANG Zhixiao	BRH902	Licensed Representative	4	2
ZHAO Gangyi	BOR688	Licensed Representative		6
ZHENG Zhiyan	BJR447	Licensed Representative	9	1
ZHOU Xuan	BOI483	Licensed Representative	1	9
ZHU Jiang	BBG371	Licensed Representative		1
ZHU Xi	BTR258	Licensed Representative	6	
ZHU Yinjun	BBI767	Licensed Representative	1, 4	
ZHU Yong	BNO095	Licensed Representative	9	1
ZHUANG Wei	BCE948	Licensed Representative	9	
AU YEUNG Wing Lam	BOF638	Licensed Representative - Responsible Officer	4	
CAO Yu	BOS198	Licensed Representative - Responsible Officer	4, 9	
CHAU Thomas	AWB783	Licensed Representative - Responsible Officer	6	
CHEN Jia	BPU017	Licensed Representative - Responsible Officer	4	
CHEN Zhuo	BUU947	Licensed Representative - Responsible Officer	4, 9	
CHENG Shu Yuen	AIS494	Licensed Representative - Responsible Officer	1	
CHENG Tai Sheung	ALS551	Licensed Representative - Responsible Officer	1	
CHEUNG Hung Fat	AAA157	Licensed Representative - Responsible Officer		4
CHIU Yau Chun, Jackie	ARN620	Licensed Representative - Responsible Officer	4	
CORONES Zachary Alexander	BCA233	Licensed Representative - Responsible Officer	4	
CUI Hai	BOL294	Licensed Representative - Responsible Officer	1, 4	
FENG Yi	BJK176	Licensed Representative - Responsible Officer	4, 5	
HAO Jie	BVJ862	Licensed Representative - Responsible Officer	6, 9	
HUANG Xiaoyan	BJM070	Licensed Representative - Responsible Officer	4	
JIANG Siliang	AVT505	Licensed Representative - Responsible Officer	4	
KHUONG Chun Yu, Kenny	ANH532	Licensed Representative - Responsible Officer	1	
KO Wing Tong	BHX142	Licensed Representative - Responsible Officer	4, 9	
KOH Kwai Yim	APH097	Licensed Representative - Responsible Officer	1	
KWOK Yik Ki	APF296	Licensed Representative - Responsible Officer	4	
LAI Shu Fun, Francis Alvin	AGK070	Licensed Representative - Responsible Officer	1	
LAM Shing Chuen	AOD039	Licensed Representative - Responsible Officer	4	
LEUNG Chi Man Fred	BNN959	Licensed Representative - Responsible Officer	5	
LEUNG Yu Hin	AZX593	Licensed Representative - Responsible Officer	5	
LI Honggui	AYS098	Licensed Representative - Responsible Officer	4	
LIM Pak Fu	AAV508	Licensed Representative - Responsible Officer	1	
LIN Hoi Andy	BFR912	Licensed Representative - Responsible Officer	4	
LIQU Wei Jei	ATO438	Licensed Representative - Responsible Officer	4	
LIU Xin	ATM443	Licensed Representative - Responsible Officer	4	
LUK Hoi Yeung	BLQ506	Licensed Representative - Responsible Officer	4	
LUO Bing	AVK660	Licensed Representative - Responsible Officer	9	
ONG Hsao Fung, Kelvin	BNY626	Licensed Representative - Responsible Officer	4	
SIH David Shuh-Ren	AEA554	Licensed Representative - Responsible Officer	4, 5	
SONG Yuchen	BPS233	Licensed Representative - Responsible Officer	4	
TAN Woo Teck, Christopher	ABG708	Licensed Representative - Responsible Officer		6
TANG Hao	AOL324	Licensed Representative - Responsible Officer		1
TSE Mei Wah	BQT337	Licensed Representative - Responsible Officer	4	
TSE Ming Pong	BBD859	Licensed Representative - Responsible Officer	1, 4	
TSOI Fai Tin, Andes	ABN399	Licensed Representative - Responsible Officer	2, 5	
TSUI Yiu Cham	AZH552	Licensed Representative - Responsible Officer	4, 9	
TUNG Kai Ah	BGH919	Licensed Representative - Responsible Officer	4, 9	
WILSON Duncan William Palmer	BHS917	Licensed Representative - Responsible Officer	7	
WU Edward Wen Guang	AHC681	Licensed Representative - Responsible Officer		4
WU Miaodan	BAO340	Licensed Representative - Responsible Officer	4, 5	
YAM Chung Man Joseph	BHR865	Licensed Representative - Responsible Officer		1
YAN Ziqing	BRR909	Licensed Representative - Responsible Officer	4	
YANG Wu-Kuang	AOV395	Licensed Representative - Responsible Officer	4	
YEUNG Man Lung	ANU416	Licensed Representative - Responsible Officer	4, 9	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
YIP Wai Lun	ADW731	Licensed Representative - Responsible Officer		9
YU Ka Kong	AXL635	Licensed Representative - Responsible Officer	4	
ZHAI Wenjing	ARP180	Licensed Representative - Responsible Officer	4	
ZHANG Jingyu	BUT005	Licensed Representative - Responsible Officer		6
ZHANG Jirong, Joyce	BAV764	Licensed Representative - Responsible Officer	9	
ZHANG Qin	AUV596	Licensed Representative - Responsible Officer	1, 4	
ZHANG Zhipeng	BEA629	Licensed Representative - Responsible Officer	4	
TONG Tiantian	BWI041	Licensed Representative (Provisional Licence)	4	