

VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 10/2025

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
ASCENDANT SECURITIES (HK) LIMITED	ATB513	Licensed Corporation	1	
Dimensional Hong Kong Limited	BJE760	Licensed Corporation	4, 9	
LMR Partners Limited	AZJ380	Licensed Corporation	1, 2	
M&G Investments (Hong Kong) Limited	BBZ004	Licensed Corporation	9	
Odyssey Asset Management Limited (trading as CapConnectAsia)	BHX656	Licensed Corporation		1
Samsung Asset Management (Hong Kong) Limited	AQG442	Licensed Corporation		1
Tyric Capital Limited	AFH649	Licensed Corporation		5
Zheshang International Financial Holdings Co., Limited	BGD825	Licensed Corporation	6	
AO Ran	BOR742	Licensed Representative		4
Au Tsz Ki	BMM219	Licensed Representative	4, 9	
BAO Yaxin	BVN268	Licensed Representative	4	
BOURHIS Damien Pierre Olivier	BAI360	Licensed Representative	1	
CAI Liang	BEA545	Licensed Representative	4	
CAI Lijiang	BVL197	Licensed Representative		1, 4
CAI Weitong	BDT880	Licensed Representative		4
CAI Yi	BVZ774	Licensed Representative	1	
CHAN Cheong Yee	AAB394	Licensed Representative	1	
CHAN Chi Ki	AUA440	Licensed Representative		5
CHAN Chun Sing	BFR753	Licensed Representative	4	
CHAN Hung Ping	ATG911	Licensed Representative		1
CHAN Ka Kin	BHS185	Licensed Representative	4	
CHAN Lai Yin	ACC427	Licensed Representative		4, 5
CHAN Pak Wai	BUS242	Licensed Representative	9	
CHAN Pui On	BFY643	Licensed Representative	2	
CHAN Shing Chi, Gordon	ANN268	Licensed Representative	9	1
CHAN Siu Pong, Kevin	BGU580	Licensed Representative	2	4
CHAN Wai Kit, Winkins	AQD954	Licensed Representative	9	
CHAN Yuk Nam	AZL605	Licensed Representative		5
CHANG Ioi Tim	ADZ296	Licensed Representative	4	
CHANG Yue Him	BWA624	Licensed Representative		4, 9
CHAU Chun Ho	AQM117	Licensed Representative	7	4, 9
CHEN Bowen	BQN646	Licensed Representative		2
CHEN Cheng	BCI996	Licensed Representative		9
CHEN Haoifei	AJD676	Licensed Representative		1
CHEN Qianqian	AUV895	Licensed Representative	5	1
CHEN Tin Yeung	APQ576	Licensed Representative	6	2
CHEN Yanqi	AYI422	Licensed Representative	1	
CHENG Cheuk Lam	APB487	Licensed Representative		2
CHENG Chongxiao	BRE121	Licensed Representative	1	
CHEUNG Chi Shing	ATY586	Licensed Representative		4, 9
CHEUNG Daniel Max	BSW885	Licensed Representative	9	4, 6
CHEUNG Murray Ka Shun	BEO947	Licensed Representative	1	
CHIANG Hsin	AZN661	Licensed Representative	4	
CHONG Chi Ling	AQF258	Licensed Representative		4
CHOW Ka Hang	BIY485	Licensed Representative	2	4, 5, 9
DAI Wenwen	BPQ334	Licensed Representative		1
FANG Shuang	BOE652	Licensed Representative	1	
FENG Jiaqi	BUB193	Licensed Representative	3	
FOK Man Ho	AXI854	Licensed Representative	9	2
FU Chi Pui, Felix	ANU385	Licensed Representative		9
FUNG Jason	AEO420	Licensed Representative	1, 4	
FUNG Ka Wai, Elina	ACR483	Licensed Representative	5	
FUNG Man Wai	BOD280	Licensed Representative	1, 2	
GUO Xiuyuan	BTP300	Licensed Representative		1
GUO Yifan	AXV591	Licensed Representative	4, 9	6
HE Kai	AYW344	Licensed Representative	1	
HE Li	BJK530	Licensed Representative		1
HO Hui Ching, Alice	AEA194	Licensed Representative		1
HO Man Chun	AZL144	Licensed Representative		9

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HO Sui Keung, Eric	AAE921	Licensed Representative		2
HO Yui Him	BGB436	Licensed Representative		9
HUANG Chun	BOS603	Licensed Representative		4
HUANG Liangze	BVS222	Licensed Representative	1	6
HUANG Yuting	BVT947	Licensed Representative		9
HUANG Zhaokai	BUC785	Licensed Representative	1, 4	
HUANG Zhenkai	BOD548	Licensed Representative		1
HUANG Zhihan	BVX730	Licensed Representative	4, 9	
HUI Ka Wai	BJL657	Licensed Representative		1
HUI King Ngai	BMT578	Licensed Representative		9
JEN Jian Ming, Alexander	BQT134	Licensed Representative	2	
JIANG Xiaotian	ANG607	Licensed Representative		1
JIN Yuanhang	BSZ451	Licensed Representative	9	4
JIN LING	BUD875	Licensed Representative	1	
JUVENTIN Rahiti Arnaud	BSZ999	Licensed Representative		2
KIM Jeong Yeon	BGK390	Licensed Representative		4, 9
KIM Junhee	BUA329	Licensed Representative		6
KONG Man Him, Alex	AXK864	Licensed Representative	5	1
KWAN Jasmine Tze Ying	BUA903	Licensed Representative	4	2
KWAN Tsun Man	AWA326	Licensed Representative		2, 5
KWEK Li Ern Joy	ANQ606	Licensed Representative	1, 4	
KWOK Yu Ho	BNP444	Licensed Representative	2	9
LAI Brian Chi Fung	BUS957	Licensed Representative	2	
LAI Ho Chuen	BSF320	Licensed Representative		3
LAI Lan	BUO570	Licensed Representative	4	
LAI Ming Wai	BIV244	Licensed Representative		4
LAM Hiu Ling	BPK435	Licensed Representative		4, 9
LAM Ka Ho	BTK785	Licensed Representative		2, 9
LAM Kwun Wai	AWB780	Licensed Representative	4	
LAM Matthew	BVB168	Licensed Representative	3	
LAM Tsz Leung	BJS144	Licensed Representative	4	2
LAM Wai Tung	BEY802	Licensed Representative	4	
LAO Sen	BFR572	Licensed Representative	1	
LAW Yin Chung	ATS362	Licensed Representative	9	
LEE Kwan Tung, Jeanette	AOJ316	Licensed Representative	5	
LEE Sai Man	BMZ548	Licensed Representative	9	
LEE Seung Eun	BUV900	Licensed Representative	4	
LEE Yuk Yan	ALB238	Licensed Representative		4
LEUNG Wai Bong	APQ335	Licensed Representative		1, 4
LI Alexander William	AWZ383	Licensed Representative	2	
LI Bing	AUV179	Licensed Representative		6
LI Binqing	BFT568	Licensed Representative	4, 5	
LI Da	BIO662	Licensed Representative	1	
LI Hok Lai	AGJ947	Licensed Representative		1
LI Jingheng	BVD411	Licensed Representative	6	4
LI Jinze	BRJ242	Licensed Representative		9
LI Rui	BGA836	Licensed Representative	1	
LI Ruilin	BRK923	Licensed Representative	4	6
LI Tieze	BSL540	Licensed Representative	4	
LI Tsun Wai	BQL486	Licensed Representative	9	
LI Zhijian	BWV053	Licensed Representative	9	
LI Zixuan	BVQ499	Licensed Representative	4	
LIAO Chenchieh	BSA444	Licensed Representative		9
LIM Swee Tin Lisa	AGO376	Licensed Representative		1, 6
LIN Min	BUY805	Licensed Representative	1	
LIN Xiaochun	BVQ047	Licensed Representative	7	
LIN Xinyue	BNS772	Licensed Representative	5	
LIU Jiarui	BTR719	Licensed Representative		9
LIU Lingyu	BOF624	Licensed Representative	2	1, 4

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIU Sijie	BWO750	Licensed Representative	6	
LIU Siqi	BRZ032	Licensed Representative	7	4
LIU Wei	BVH773	Licensed Representative	4, 9	1
LIU Yi	BFH449	Licensed Representative		9
LO Suk Yu	APJ829	Licensed Representative		9
LU Xiaoxue	BRD933	Licensed Representative	6	
MA Christopher Kent	BID710	Licensed Representative	9	4
MA Wei	BRM304	Licensed Representative	1, 4	
MAI Yingyi	BRG097	Licensed Representative	4	
MOK Ka Ho	AVY704	Licensed Representative		4, 9
MOK Ting Fai, Ringo	ARD458	Licensed Representative	4	
NG Pak Lin	AQQ108	Licensed Representative	1, 4	
PAK Jin Fai	APJ739	Licensed Representative		1
PAN Tianyue	BOW636	Licensed Representative	4, 9	
PANG John Po Hing	BVN784	Licensed Representative	4, 9	
QIAO Yashuang	BKR873	Licensed Representative	4	
QIU Guochen	BVL099	Licensed Representative	4	
RANSON-ACTON Daniel Thomas	BOM505	Licensed Representative	4	
RATTI Upwan	BDY281	Licensed Representative	2	
SEHGAL Vaibhav	BBY825	Licensed Representative	7	9
SHEK Ming Wai	AHR080	Licensed Representative	4	1
SHEN Fanchao	BTT231	Licensed Representative	9	
SHI Kailin	BRO006	Licensed Representative		1
SHIN Jinwon	BPY841	Licensed Representative	6	
SINGHAL Gaurav Jagdish	AZW888	Licensed Representative	4	
SU Jiarui	BNP783	Licensed Representative	4	
SUN Yuxi	BTX545	Licensed Representative	1	
SUNG Pui Yu	AQG695	Licensed Representative	1	
TAN Yuan	AZO230	Licensed Representative	4	
TANG Christopher Ping Yin	AMP024	Licensed Representative	5	
TANG Jieqiong	BMR839	Licensed Representative	1	
TANG Ming Chung	BRT648	Licensed Representative	2	4
TANG Qian	BGG018	Licensed Representative	4	
TANG Zechen	BTE185	Licensed Representative	4	
TANZIL Wiharjo	BDO732	Licensed Representative	4	
TING Karen	BRH061	Licensed Representative		4
TO Sam Hung	APJ479	Licensed Representative		1
TONG Kwok Chung	ALS718	Licensed Representative	9	
TSOI Kap	ADN448	Licensed Representative		4
WANG Mingkai	BJN945	Licensed Representative	4	6
WANG Qian	BAT110	Licensed Representative		2
WANG Ran	BPQ519	Licensed Representative	9	1, 2
WANG Yu	BIC278	Licensed Representative		2, 4, 5
WANG Zijian	BGG797	Licensed Representative	1, 4	
WONG Cheuk Fan	AOY976	Licensed Representative		1
WONG Cheuk Him, Alvin	AWD487	Licensed Representative		1
WONG Chi Kin	BBG378	Licensed Representative		1, 2
WONG Chun Hin	BNX137	Licensed Representative	1	
WONG Ho Chuen	ARO917	Licensed Representative	9	
WONG Jun Yu, John	BCS463	Licensed Representative		1, 2, 5
WONG King Man	ADV639	Licensed Representative		1, 4
WONG Sio Kin	BBV879	Licensed Representative		4
WONG Siu Chuen	AAA522	Licensed Representative		4, 5
WONG Siu Kwan	ABE359	Licensed Representative		3
WONG Tsz Wang, Enoch	BRJ202	Licensed Representative	9	
WONG Vivien	ARR811	Licensed Representative		1
WOO Cheung Yee, Jimmy	ADI032	Licensed Representative	2	
WU Chun Wan, David	AMO642	Licensed Representative		2, 4, 5
WU Hiu Fung	AOV629	Licensed Representative	2	

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WU Jinhao	BVA889	Licensed Representative	2, 4, 5, 9	
WU Tianhao	BOF052	Licensed Representative		2
XIAO Rui	BRR575	Licensed Representative	4	6
XU Jialin	BVT222	Licensed Representative		1
YAM Chung Man Joseph	BHR865	Licensed Representative	1	6
YAM Chung Wai	BIV276	Licensed Representative	1	
YAN Ping	BPM581	Licensed Representative	9	
YANG Chao	BDI839	Licensed Representative	7	3, 4, 9
YANG Liuling	BWP517	Licensed Representative	9	
YANG Sirui	BVD264	Licensed Representative	9	
YANG Xiaozhou	BWA889	Licensed Representative	9	
YEUNG Cheuk Yu	BVG938	Licensed Representative	4	
YIN Xueyu	BVB708	Licensed Representative		1
YIP Eunice Yuet Tong	BUA555	Licensed Representative		6
YU Qianwei	BRM064	Licensed Representative	9	1, 6
YU Yee Fung	BAZ969	Licensed Representative	1	
YUAN Haitao	BWN809	Licensed Representative	4	
YUNG Yu Ming	AMU880	Licensed Representative	4	
ZENG Weiquan	BUV413	Licensed Representative	2	4
ZHANG Fan	AUT824	Licensed Representative		1
ZHANG Yueyang	BHW836	Licensed Representative	9	1
ZHANG Zuoning	BVN544	Licensed Representative		4
ZHAO Hongmei	BHY882	Licensed Representative	9	9
ZHENG Kaiqi	BTC202	Licensed Representative	9	1, 7
ZHONG Hao	BTM413	Licensed Representative	6	4, 9
ZHONG Hongda	BQQ075	Licensed Representative		1
ZHONG Ying	BTO394	Licensed Representative	6	4, 9
ZHOU Chenruo	BOS229	Licensed Representative	1	
ZHU Zhenyu	AQW435	Licensed Representative		7
ZHUANG Nanpeng	BSV393	Licensed Representative	2	
AU Bo Shan	ARE480	Licensed Representative - Responsible Officer		5
CHAN Wai Lam	AYC499	Licensed Representative - Responsible Officer		5
CHENG Keung	AC0077	Licensed Representative - Responsible Officer	1	
CHEUNG Ronney Lok-yin	APD921	Licensed Representative - Responsible Officer		1
CHEUNG So Wa	AYC949	Licensed Representative - Responsible Officer	1	
CHO Yee Kee, Amy	AGA026	Licensed Representative - Responsible Officer	9	
CHUI Lap Pan	BCK283	Licensed Representative - Responsible Officer	1	
DU Bin	BJK786	Licensed Representative - Responsible Officer	4, 6, 9	
FU Yiman	BTR104	Licensed Representative - Responsible Officer	9	
HE Hongyu	ALI461	Licensed Representative - Responsible Officer		9
HO Hui Ching, Alice	AEA194	Licensed Representative - Responsible Officer	9	
HU Jianfeng	BBZ806	Licensed Representative - Responsible Officer	6	
HUANG Zhenkai	BOD548	Licensed Representative - Responsible Officer	6	
HUI Ka Wai	BJL657	Licensed Representative - Responsible Officer	4, 9	
HUI Wai Man	AIU457	Licensed Representative - Responsible Officer	1	
JIANG Xiaotian	ANG607	Licensed Representative - Responsible Officer	9	
KIM Joel	AIL394	Licensed Representative - Responsible Officer	4, 9	
KO Cheuk Lun	BFA493	Licensed Representative - Responsible Officer	4, 5	
KOK Kam Fu	ABZ046	Licensed Representative - Responsible Officer	1	
LAM Sau Tung	APS739	Licensed Representative - Responsible Officer	1	
LEUNG King Chung	AHG579	Licensed Representative - Responsible Officer	9	
LI Shuo	BCY584	Licensed Representative - Responsible Officer		9
LI Sisi	AWL795	Licensed Representative - Responsible Officer	1	
LIANG Hao	BUN908	Licensed Representative - Responsible Officer	4	
LO Sin Sin	AOD942	Licensed Representative - Responsible Officer	9	
MA Chun Chi Timothy	BSE553	Licensed Representative - Responsible Officer	4	
MOK Ka Ho	AVY704	Licensed Representative - Responsible Officer	7	
PRIPP Frederic Anders David	BUA138	Licensed Representative - Responsible Officer	7	
QU Wenting	BNE853	Licensed Representative - Responsible Officer		9

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CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
RAPOSIO Diane	BDO963	Licensed Representative - Responsible Officer	9	
RENOLD Stefan Andreas	AZJ384	Licensed Representative - Responsible Officer	1, 2	
SHEN Tailong	ARG891	Licensed Representative - Responsible Officer		5
SUNG Pui Yu	AQG695	Licensed Representative - Responsible Officer		1
VOVIL Daniel Royden Thomas	APQ476	Licensed Representative - Responsible Officer		1
WADLE John Allen	AGS454	Licensed Representative - Responsible Officer	9	
WALKER Alexander David James	ALZ865	Licensed Representative - Responsible Officer		1
WALKER Harry Bertram Templeton	BMX880	Licensed Representative - Responsible Officer	4	
WAN Kin Chung	BMG887	Licensed Representative - Responsible Officer	1	
WONG Cheuk Fan	AOY976	Licensed Representative - Responsible Officer	4	
WONG Chun Kwong	ACP183	Licensed Representative - Responsible Officer	1	
WONG Chung Man Mandy	BHM869	Licensed Representative - Responsible Officer	1	
WONG Hung Hing	BBU649	Licensed Representative - Responsible Officer	1, 2	
WU William Wai Leung	AFB066	Licensed Representative - Responsible Officer	6	
YEUNG Lap Kei	AAH810	Licensed Representative - Responsible Officer		4
YEUNG Tsz Kin	AWW089	Licensed Representative - Responsible Officer		9
YUEN Ying Ho	ACK266	Licensed Representative - Responsible Officer	1, 2, 4, 5, 7, 8, 9	
ZHANG Jing	AYP132	Licensed Representative - Responsible Officer	4, 9	
ZHAO Bozhi	BGV244	Licensed Representative - Responsible Officer	1	
ZHOU Shenxiong	AYC475	Licensed Representative - Responsible Officer		7
ZHU Zhenyu	AQW435	Licensed Representative - Responsible Officer	4	
ZHANG Xuancheng	BWT699	Licensed Representative (Provisional Licence)	4, 9	
ZHANG Yichi	BWV265	Licensed Representative (Provisional Licence)	2	
Bank of China International Limited	AAN851	Registered Institution		2, 5, 9