

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
Credit Suisse (Hong Kong) Limited	AAO017	Licensed Corporation		2
ICG Asia Pacific Limited	AHF467	Licensed Corporation		4
OCBC Securities Brokerage (Hong Kong) Limited	AAC106	Licensed Corporation	2	
Rui Feng Asset Management Limited	BIA241	Licensed Corporation	4	
Tora Trading Services Limited	AQS127	Licensed Corporation		7
AU Cheuk Fan	ADJ308	Licensed Representative		2
AU YEUNG Chung	AQU618	Licensed Representative	4, 9	
BAKER Mark	BHY118	Licensed Representative	1	9
CAI Min	BOG793	Licensed Representative	1, 4, 6	
CHAN Chi Cheung, Sunny	AGS231	Licensed Representative	4	
CHAN Ho Yeung	BXO102	Licensed Representative	2	
CHAN Hoi Sing	BVW205	Licensed Representative		4
CHAN Ka Yi	BWW390	Licensed Representative	4	
CHAN Tsun Kan, Kelvin	AMD001	Licensed Representative	9	1, 4
CHAN Yin Lam	ANM835	Licensed Representative		4
CHAN Yuen Ying	BUE345	Licensed Representative	1, 4, 9	3
CHANG Brian Hongwei	ACX821	Licensed Representative		4
CHAU Jennifer Wai Yan	BXC707	Licensed Representative	9	
CHAU Wing Hin	BWY522	Licensed Representative	2, 4	
CHEN Bowen	BQN646	Licensed Representative	6	
CHEN Chi	BTJ976	Licensed Representative	1, 4, 9	2
CHEN Hao	BRH953	Licensed Representative	1, 2	4
CHEN I Chun, Heidi	AEC898	Licensed Representative	9	
CHEN Kaiqi	BMN448	Licensed Representative	9	1, 4
CHEN Kexin	BUA382	Licensed Representative	6	
CHEN Ruojun	BUD233	Licensed Representative		4
CHEN WEI CHUN	BVU551	Licensed Representative		7
CHEN Xi	BXH494	Licensed Representative	2, 5	
CHEUNG Ka Yue	BUF743	Licensed Representative	4, 9	
CHEUNG Chi Leung	BUD497	Licensed Representative		1, 2
CHEUNG Ching Man	BOT894	Licensed Representative	4	
CHEUNG Sze Ying, Skylar	BHY943	Licensed Representative	4	
CHIAO Hsin	AVH100	Licensed Representative	9	1
CHIN Louisa Yuun Fui	BPL748	Licensed Representative		9
CHIU Chun Cheung, Jason	BPK834	Licensed Representative	1	
CHIU Ngai Han	BPL258	Licensed Representative	1	
CHONG Lok Man	ASF220	Licensed Representative		4, 9
CHONG Sui Lam	BQN188	Licensed Representative	4	
CHOU Ching Yi	BDY680	Licensed Representative		4
CHOU Chun Lam	AMC653	Licensed Representative	1	
CHOW Yiu Chung	BEC579	Licensed Representative		1, 6
CHU Liuxin	BWX369	Licensed Representative	6	
CHUNG Man Yi	BBZ467	Licensed Representative	4, 9	
CHUNG Tsun Kee	BKM101	Licensed Representative	4, 9	2
DAI Zhijie	BEQ286	Licensed Representative		1, 4
FAGAN Alexander Douglas	BRQ658	Licensed Representative		4
FAN Xiaotong	BOI302	Licensed Representative	1, 2	9
FENG Xinyi	ASW789	Licensed Representative		4
FU Chengfei	BIN392	Licensed Representative		4
FUNG Ka Man	BMT317	Licensed Representative		4
GU Ruoming	BRP672	Licensed Representative	4	
GUEZ Ilane-yonathan Pierre	BAD514	Licensed Representative	4, 5	
GUO Can	BPE975	Licensed Representative	4, 6	
GUO Shuyi	BUE422	Licensed Representative	4	
HAN Jiandong	BFS085	Licensed Representative		1
HE Jie	BTP239	Licensed Representative	9	
HE Ting	BPB204	Licensed Representative	1, 2	
HE Wei	BVH329	Licensed Representative	1	
HEUNG Yu Yam	AFG395	Licensed Representative		2

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HU Hai	BSZ994	Licensed Representative	4	
HUANG Chen	BIH235	Licensed Representative	9	
HUANG Sizhe	BXQ871	Licensed Representative	1	
HUANG Xiaoshan	BTR239	Licensed Representative	6	
HUANG Yimin	BSI789	Licensed Representative		1
HUI Hoi Wan	ALV421	Licensed Representative	1, 2	
HUI Lam Por	BSA431	Licensed Representative	1	
Hui Sharon	BVS123	Licensed Representative	9	
HUNG Ngai Lam	BDO427	Licensed Representative	9	
IP Man Yuen	BEB607	Licensed Representative	1	
IP Yun Ping	AFP576	Licensed Representative		4
JIA Yifan	BRZ321	Licensed Representative	4	
JONES Viktoria Charlotte	BMF159	Licensed Representative		9
KADMIRI Oussama	AYL133	Licensed Representative	4, 5	
KANADE Pradeep Eknath	BGZ264	Licensed Representative	1, 2	9
KANG Yu	BXD820	Licensed Representative	9	
KAO Shih Ting	BVH319	Licensed Representative		2, 4
KEERATIBUNHARN Nippitch	BUQ772	Licensed Representative		4, 9
KIM Han Soo	BQQ935	Licensed Representative		4
KIM Juhwan	BSV543	Licensed Representative		4
KIM Minsu	BT0770	Licensed Representative	9	1, 2, 4
KOK Tung Wa, Cathy	ANM194	Licensed Representative		2
KOTHARI Aditya	BHK842	Licensed Representative	9	
KWAN Man Yee	BHH745	Licensed Representative	1, 4	
KWAN Yin Ning	BVA364	Licensed Representative	1	
LAI Ki Cheong	BBF446	Licensed Representative	4	
LAM Choi Wan	AXZ195	Licensed Representative		4
LAM Lap Fung	BSS065	Licensed Representative	4	
LAM Long Hin, Hiram	AUX369	Licensed Representative		1
LAM Yee Yin, Dickson	ACC478	Licensed Representative		4
LAN Haiping	BVV836	Licensed Representative	1, 4	
LAU Chik Lam	AEW049	Licensed Representative		2
LAU Mei	AMX207	Licensed Representative	4	
LAU Tin Lun	BNG471	Licensed Representative	2	
LAW Ka Wing	BQO635	Licensed Representative	4	
LAW Kwai Yin	BWY505	Licensed Representative	2, 5	
LEUNG Chi Chung	AJE370	Licensed Representative		1
LEUNG Chun Hei, Matthias	ARE214	Licensed Representative		9
LEUNG Ping Chuen	BNG152	Licensed Representative	2	
LEUNG Shiu Keung	AQG975	Licensed Representative	4, 5	
LI Chi Yung, Jansen	AOY510	Licensed Representative	1, 4	
LI Huajun	BWY570	Licensed Representative	1	
LI Jian	AGO919	Licensed Representative		1, 6
LI Jingyi	BGH363	Licensed Representative	9	
LI Ke	BGM281	Licensed Representative		6
LI Qinan	BXS804	Licensed Representative	2	
LI Tsz Hin	BPT037	Licensed Representative		4
LI Wing Ki	BRE700	Licensed Representative		1
LI Xiaoyao	BUQ234	Licensed Representative		2
LI Yat Sing	AZQ947	Licensed Representative	1	
LI Zhengxian	BAK269	Licensed Representative	1	9
LI Zhun	AQM198	Licensed Representative	1	
LIANG Xinyi	BSM424	Licensed Representative	9	1, 2, 4
LIN Long	BMM591	Licensed Representative	1	
LIU Chang	BPX390	Licensed Representative		4
LIU Chin Chung	BQN517	Licensed Representative	9	
LIU Hongyu	BHO167	Licensed Representative		4
LIU Tianyu	BUK641	Licensed Representative		1, 4
LIU YILUN	BXJ342	Licensed Representative	1	

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
LIU Yuchen	BNC426	Licensed Representative	1	
LIU Zeyao	BVO247	Licensed Representative		4
LIU Zhao	BVZ288	Licensed Representative	9	
LIU ZUIJIAN	BUH383	Licensed Representative	1	
LO Yan Kin	AWJ985	Licensed Representative	4, 9	
LU Jiayu	BSE839	Licensed Representative	4	
LU Lu	AKX339	Licensed Representative	4	
LUK Hiu Ming	BUX184	Licensed Representative	2	4, 9
LUO Xuan	BTB637	Licensed Representative	2, 3	
LYU Jinwei	BVT072	Licensed Representative		4
MA Hok Wang	BFN864	Licensed Representative	4	
MA Lei	AGM532	Licensed Representative		1
MA Ninglu	BVN637	Licensed Representative	4	
MA Wenyao	BVS984	Licensed Representative	6	4, 9
MA Wing Yin, Janice	BGA515	Licensed Representative	1	
MALLARD Shane Gill	BSS231	Licensed Representative	9	1, 2, 4
MENG Liuyan	BXH889	Licensed Representative	6	
MENG Yuanyuan	AYJ251	Licensed Representative	4, 9	
MONDEN Yoshiaki	ADZ974	Licensed Representative	1	
NG Wai Ching	AMT784	Licensed Representative		1
NG Wai Kit	AVT524	Licensed Representative	9	
NIE Jiehang	BUB121	Licensed Representative	4	9
NING Jiajun	BUU717	Licensed Representative	1, 6	4
OH Sun Kyung	BPU791	Licensed Representative	9	2
PAN Bingli	BRK188	Licensed Representative	1, 6	9
PANG Bang	BPI693	Licensed Representative	4	
PRACEY-SMITH Robert Dowden	APU806	Licensed Representative	1, 2, 7	4, 5, 9
QIAN Shunqian	BWK211	Licensed Representative	2	
QIU Jiangjie	BQH353	Licensed Representative	4, 6	
SHAO Shuai	BDY279	Licensed Representative		9
SHE Yingjun	BST701	Licensed Representative	6	
SHEN Xuyang	BVK976	Licensed Representative	4	2
SHI Shanni	BRQ325	Licensed Representative	2	
SHING Tsz Kwan	BWK245	Licensed Representative	4	
SIN Wai Chi	BSE177	Licensed Representative	4	
SO Kin Wai	BDY431	Licensed Representative		2
SONG Yifeng	BUJ115	Licensed Representative	4	
SU Luyuan	BXA501	Licensed Representative	9	4
SUN Jixuan	BRI226	Licensed Representative	4	
SUN Peilun	BNC586	Licensed Representative	4	
SUN Runkai	BTD915	Licensed Representative	1	
SZEGDA Monika Joanna	BRQ809	Licensed Representative	9	2, 5
TAM Pui Fei Ralph	BUC200	Licensed Representative		1, 4
TANG Wing Lun	AVL490	Licensed Representative	2	
TANG Ziqing	BNR776	Licensed Representative	4	
THAMBI Shamu Sihabuddin	AXH547	Licensed Representative	4, 5	
TO Sam Hung	APJ479	Licensed Representative		4
TONG Jenny	AIR580	Licensed Representative		4
TONG Kwong Yuen, James	BPV560	Licensed Representative	2, 9	
TSE Tsz Kwan	BJO498	Licensed Representative	1	
TSUI Leslie	BSY168	Licensed Representative	9	6
WAN Yujie	BVE784	Licensed Representative		4
WANG Changhao	BOF494	Licensed Representative		2
WANG Dan	BTA037	Licensed Representative		1
WANG Junyu	BQD350	Licensed Representative	9	1, 4
WANG Qiuyi	BDY723	Licensed Representative	9	1
WANG Shuai	BNB844	Licensed Representative		1
WANG Shuyi	BOX537	Licensed Representative	5	
WANG Xiaotong	BRQ622	Licensed Representative	4, 9	

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	Licence Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
WANG Xingyu	BWG860	Licensed Representative	4	7
WANG Zeyang	BVQ763	Licensed Representative	1, 6	4
WANG Zirong	BXB690	Licensed Representative		1, 9
WEBER Maximilian Frederick	BOH657	Licensed Representative	1	
WEI Menglin	BWV978	Licensed Representative	9	
WONG Chi Ling	ACG593	Licensed Representative		2, 5
WONG Cho Miu	BXM382	Licensed Representative	9	
WONG Jamie Jun Yin	BBN706	Licensed Representative		7
WONG Kok Ho	BSX197	Licensed Representative	2	
WONG Lok Fung	BXJ765	Licensed Representative	2	
WONG Lok Ting, Adrian	AQG752	Licensed Representative	1	
WONG Poh Yoke	BNF407	Licensed Representative	4	
WONG Sheung Long	BNH878	Licensed Representative	4	
WONG Tsz Wai	AYJ435	Licensed Representative	1	
WU Chung Tak	BWN873	Licensed Representative	2, 5	
WU Jiahe	BWS619	Licensed Representative	6	
XI Qi	BNQ524	Licensed Representative		1, 6
XIAO Julia	AYO955	Licensed Representative		9
XIAO Yunfan	BVF007	Licensed Representative	9	
XIONG Shijie	BUC271	Licensed Representative	4	
XU Minruijun	BPX307	Licensed Representative	1	
YANG Clinton Victor	ALI750	Licensed Representative	2	
YANG Shuwei	BRB161	Licensed Representative	1	
YANG Xuewei	BWR894	Licensed Representative		6
YANG Yunqing	BHY837	Licensed Representative	4, 6	
YE SHENGAO	BVN728	Licensed Representative	9	
YE Zian	BUJ113	Licensed Representative		1
YEN Yu Hung	BPJ232	Licensed Representative	1, 2	9
YEUNG Chi Hang Alex	BWD279	Licensed Representative	9	
YEUNG Ching Chuen	BPW655	Licensed Representative	3	
YU Hao	BVR907	Licensed Representative	4	
YUAN JIE	BUT540	Licensed Representative	9	
YUAN Ye	BJK566	Licensed Representative		4
YUEH Siu Yeung	AML326	Licensed Representative		2
ZHANG Chenzhong	BMV326	Licensed Representative	4	
ZHANG Guilin	AWF886	Licensed Representative	6	
ZHANG Huiyuan	BUL872	Licensed Representative	2	
ZHANG Jia	BTF017	Licensed Representative	1	
ZHANG Xiaoyue	BVF704	Licensed Representative	9	
ZHANG Yixue	BBS608	Licensed Representative		4
ZHANG Yuxing	BVM688	Licensed Representative	4	
ZHAO Co Co Ke	BOH322	Licensed Representative	4	
ZHONG Yuchen	BUG354	Licensed Representative	1	
ZHOU Bo	AYZ673	Licensed Representative	1	
ZHOU Fangyu	BQM695	Licensed Representative	1, 4	
ZHOU Kunfeng	BLR401	Licensed Representative		1, 4
ZHOU Yihan	BWR308	Licensed Representative	6	9
ZHOU Zheng	BSW379	Licensed Representative		6
ZHUANG Wei	BCE948	Licensed Representative		9
ZHUANG Yancheng	BGD170	Licensed Representative	1	
BISWAS Shubhomoy	ATJ132	Licensed Representative - Responsible Officer	4	
CHAN Sai Wing	AMU845	Licensed Representative - Responsible Officer	1	
CHEN Yiqiao	BIG732	Licensed Representative - Responsible Officer	9	
CHING Ching	ALF582	Licensed Representative - Responsible Officer	4	
CHOU Si Kit, James	AAG504	Licensed Representative - Responsible Officer		9
CHUNG Ho Hin	BRT495	Licensed Representative - Responsible Officer	4	
CHUNG Man Wing	ABG697	Licensed Representative - Responsible Officer		4
DENG Ling	BOG722	Licensed Representative - Responsible Officer	4	
GLOVER Jethro Alexander John	AED015	Licensed Representative - Responsible Officer		7

**VARIATIONS OF REGULATED ACTIVITIES OF LICENSED PERSONS/REGISTERED INSTITUTIONS DURING 04/2026**

CE Name	CE No.	License Type	Types of Regulated Activity Added	Types of Regulated Activity Removed
HE Mingyu	BNY945	Licensed Representative - Responsible Officer	1	
HSIA Tien Tien	AWU833	Licensed Representative - Responsible Officer	4, 9	
HUANG Shao-po	BRE674	Licensed Representative - Responsible Officer	1	
JEPSEN Brian P	AQS131	Licensed Representative - Responsible Officer		7
JUN Woo Seok	AVF217	Licensed Representative - Responsible Officer		4
LAM Chi Kwong, Jonathan	ACD659	Licensed Representative - Responsible Officer	4	
LAM Tsun Wang	BKQ462	Licensed Representative - Responsible Officer	4	
LI Jian	AGQ919	Licensed Representative - Responsible Officer	9	
LIANG Benyou	BBF106	Licensed Representative - Responsible Officer		2
LIU Jing	BWN287	Licensed Representative - Responsible Officer		1, 2, 4
LUI Wai Man	AIU192	Licensed Representative - Responsible Officer	1	
LUO Jing	ANK801	Licensed Representative - Responsible Officer	2, 5	
OR Ching Yau	BTS731	Licensed Representative - Responsible Officer	9	
POON WONG Yee See	AAC361	Licensed Representative - Responsible Officer		4
SHEN Xiaotong	AWU655	Licensed Representative - Responsible Officer	1	
SUN Xiaolin	BNW056	Licensed Representative - Responsible Officer	9	
TABATA Tetsuya	BBZ941	Licensed Representative - Responsible Officer	3	
WANG Qian	BAT110	Licensed Representative - Responsible Officer	6	
WONG Suet Kan	AFM440	Licensed Representative - Responsible Officer	4, 9	
XI Qi	BNQ524	Licensed Representative - Responsible Officer	4, 9	
XIAO Julia	AYO955	Licensed Representative - Responsible Officer	1, 6	
XIONG Peng	BTX909	Licensed Representative - Responsible Officer	4	
YANG Fan	BRD819	Licensed Representative - Responsible Officer		4
YANG Ka Yan	BPN155	Licensed Representative - Responsible Officer		2
ZHANG Geng Fan	BAP181	Licensed Representative - Responsible Officer		2
ZHU Zhenyu	AQW435	Licensed Representative - Responsible Officer	9	