

**Notice under Section 208 of the
Securities and Futures Ordinance
Cap. 571 (SFO)**

WHEREAS

1. A restriction notice (**Restriction Notice**) was issued pursuant to sections 204 and 205 of the SFO on 13 May 2021 to Caitong International Securities Co., Ltd (**Specified Corporation**) in relation to the client account numbered 80007101.
2. The Securities and Futures Commission (**Commission**), for the reasons set out in the Statement of Reasons of this date, considers it appropriate to exercise the powers conferred by section 208 of the SFO to withdraw the Restriction Notice.

THE COMMISSION GIVES NOTICE THAT:

3. Pursuant to section 208 of the SFO, the Commission withdraws all prohibition and requirements imposed by the Restriction Notice on the Specified Corporation.

This Notice takes effect at the time of service upon the Specified Corporation.

Dated this 29th day of April 2025

For and on behalf of the Commission

Julia LEUNG
Chief Executive Officer

**Statement of Reasons
under Section 208 and 209(2) of
the Securities and Futures Ordinance Cap. 571 (SFO)**

1. The Securities and Futures Commission (**Commission**) issued a restriction notice on 13 May 2021 (**Restriction Notice**) to Caitong International Securities Co., Ltd (**Specified Corporation**) in relation to the client account numbered 80007101 (**Account**).
2. The Restriction Notice, amongst other things, prohibits the Specified Corporation from disposing of or dealing with, assisting, counselling or procuring another person to dispose of or deal with, any assets in any way in the Account up to the total value of HK\$124,880,056.
3. The holder of the Account requested for withdrawal or variation of the Restriction Notice so that she may pay for her living and legal expenses. Having considered the merits of her request, her current financial circumstances, the total asset balance in the Account, the legal fees she has incurred and is expected to incur, and the basis of the Restriction Notice, the Commission has decided to withdraw the Restriction Notice pursuant to section 208 of the SFO on the condition that the holder of the Account provides an undertaking to use the released assets in settlement of her legal fees (**Undertaking**).
4. The accompanying Notice of even date (**Withdrawal Notice**) will take effect at the time of service upon the Specified Corporation. The Withdrawal Notice will be served on the Specified Corporation as soon as practicable after the Commission has received the Undertaking.
5. The Specified Corporation may close the Account after all assets in the Account has been disposed of.

Dated this 29th day of April 2025

For and on behalf of the Commission

Julia LEUNG
Chief Executive Officer