

G.N. 3132

SECURITIES AND FUTURES ORDINANCE (Chapter 571) (the 'SFO')
(Notice under section 402)

**NOTICE SPECIFYING THE FORM OF THE RETURN TO BE SUBMITTED UNDER
SECTION 56 OF THE SECURITIES AND FUTURES (FINANCIAL RESOURCES)
RULES (Chapter 571N) (THE 'FRR')**

Notice is hereby given that pursuant to section 402 of the SFO, the Securities and Futures Commission (the 'Commission') specifies that, with effect from 1 December 2023, the electronic form published on the Commission's website (<https://www.sfc.hk/en/Forms/Intermediaries/Financial-returns>) shall be used for the purpose of submitting a return to the Commission required under section 56 of the FRR in respect of any period ending on or after 1 December 2023 and supersede the electronic form specified in the notice gazetted on 13 December 2021 (G.N. 7820) for the same purpose.

25 May 2023

Keith CHOY
*Interim Head, Intermediaries
Securities and Futures Commission*