

SECURITIES AND FUTURES (OTC DERIVATIVE TRANSACTIONS—  
CLEARING AND RECORD KEEPING OBLIGATIONS AND DESIGNATION OF  
CENTRAL COUNTERPARTIES) RULES ('Clearing Rules')

Pursuant to rule 3(1) of the Clearing Rules, and having obtained the consent of the Monetary Authority, the Securities and Futures Commission (the Commission) designates each of the following persons as a financial services provider for the purposes of the Clearing Rules, and each person (despite any subsequent change of name) continues to be a financial services provider until the Commission revokes the designation of the person under rule 3(3) of the Clearing Rules:—

(in alphabetical order)

1. Banco Santander S.A.
2. Bank of America, N.A.
3. Barclays Bank PLC
4. Barclays Capital Inc.
5. BNP Paribas Fortis SA/NV
6. BNP Paribas SA
7. BNP Paribas Securities Corp.
8. Citibank, N.A.
9. Citigroup Global Markets Inc.
10. Citigroup Global Markets Japan Inc.
11. Citigroup Global Markets Limited
12. Credit Agricole Corporate and Investment Bank
13. Credit Foncier de France
14. Credit Suisse AG
15. Credit Suisse International
16. Credit Suisse Securities (Japan) Limited
17. Credit Suisse Securities (USA) LLC
18. Deutsche Bank AG
19. Deutsche Bank Securities Inc.
20. Goldman Sachs & Co.
21. Goldman Sachs Bank USA
22. Goldman Sachs International
23. Goldman Sachs Japan Co., Ltd.
24. HSBC Bank plc
25. HSBC Bank USA, N.A.
26. HSBC France
27. HSBC Securities (USA) Inc.
28. ING Bank N.V.
29. ING Bank Slaski S.A.
30. ING-DiBa AG
31. JPMorgan Chase Bank, N.A.
32. JPMorgan Securities Japan Co., Ltd.
33. J.P. Morgan Securities LLC
34. J.P. Morgan Securities plc
35. Merrill Lynch Capital Services Inc.
36. Merrill Lynch International
37. Merrill Lynch Japan Securities Co., Ltd.
38. Merrill Lynch, Pierce, Fenner & Smith Incorporated

39. Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.
40. Mizuho Bank, Ltd.
41. Mizuho Capital Markets Corporation
42. Mizuho Capital Markets (UK) Ltd.
43. Mizuho International plc
44. Morgan Stanley & Co. International plc
45. Morgan Stanley & Co. LLC
46. Morgan Stanley Capital Services LLC
47. Morgan Stanley MUFG Securities Co., Ltd.
48. MUFG Securities EMEA PLC
49. NATIXIS
50. Nomura Global Financial Products, Inc.
51. Nomura International plc
52. Nomura Securities Co., Ltd.
53. Nomura Securities International, Inc.
54. Nordea Bank AB
55. Nordea Bank Danmark A/S
56. Nordea Bank Finland Plc
57. Nordea Bank Norge ASA
58. SG Americas Securities LLC
59. SMBC Capital Markets Inc.
60. SMBC Nikko Securities Inc.
61. Societe Generale
62. Societe Generale Newedge UK Limited
63. Standard Chartered Bank
64. Sumitomo Mitsui Banking Corporation
65. Sumitomo Mitsui Trust Bank, Limited
66. The Bank of New York Mellon
67. The Bank of Tokyo-Mitsubishi UFJ, Ltd.
68. The Royal Bank of Scotland plc
69. UBS AG
70. UBS Limited
71. UBS Securities LLC
72. UniCredit Bank AG
73. UniCredit Bank Austria AG
74. UniCredit S.p.A.
75. Wells Fargo Bank, N.A.
76. Wells Fargo Securities, LLC

The designation takes effect from 1 September 2016.

22 August 2016

Keith LUI *Commission Member and Executive Director,  
Securities and Futures Commission*