

G.N. 4894

SECURITIES AND FUTURES ORDINANCE (Chapter 571) (the 'SFO')
(Notice under section 402)

NOTICE SPECIFYING THE FORM OF THE RETURN TO BE SUBMITTED
UNDER SECTION 56 OF THE SECURITIES AND FUTURES
(FINANCIAL RESOURCES) RULES (Chapter 571N) (the 'FRR')

Notice is hereby given that pursuant to section 402 of the SFO, the Securities and Futures Commission (the 'Commission') specifies that, with effect from 1 November 2024, the electronic form published on the Commission's website (<https://www.sfc.hk/en/Forms/Intermediaries/Financial-returns>) shall be used for the purpose of submitting a return to the Commission required under section 56 of the FRR in respect of any period ending on or after 24 October 2024 and supersede the electronic form specified in the notice gazetted on 25 May 2023 (G.N. 3132) for the same purpose.

23 August 2024

Eric YIP
*Executive Director, Intermediaries
Securities and Futures Commission*