G.N. 5260

Notice under Sections 204 and 205 of the Securities and Futures Ordinance Cap. 571 (SFO)

It appears to the Securities and Futures Commission (**Commission**)**,** for the reasons set out in the Statement of Reasons of this date, that the Commission should exercise the powers conferred by sections 204 and 205 of the SFO.

THE COMMISSION GIVES NOTICE THAT:

Except with the Commission's prior written consent, such consent to be granted by any two Executive Directors of the Commission:

1. Under sections 204(1)(a) and 205(1) of the SFO, Interactive Brokers Hong Kong Limited (**Specified Corporation**) is, in respect of the following client accounts (**Accounts**):

	Account no.	**Amount to be restricted (Restriction Amount) (HKD)**
1.	U6317807	514,703,038
2.	U6537398	199,067,344

(a) prohibited from disposing of or dealing with, or assisting, counselling or procuring another person to dispose of or deal with, any assets in any way in the Accounts, up to the Restriction Amount listed in the table above, including:

(i) entering into transactions in respect of any securities;

(ii) processing any withdrawals or transfers of securities and/or cash on the instructions of any authorized person of the Accounts or by any person acting on their behalf;

(iii) disposing of or dealing with any securities and/or cash on the instructions of any authorized person of the Accounts or by any person acting on their behalf; and/or

(iv) assisting another person to dispose of any relevant property or deal with any relevant property in a specified manner; and

(b) required to notify the Commission immediately upon receipt of any instruction(s) from any authorized person of the Accounts, and/or by any person acting on their behalf, regarding:

(i) any request to withdraw or transfer any securities and/or cash from the Accounts; and/or

(ii) any request to dispose of or deal with any securities and/or cash which concern those assets subject to the prohibitions in (a) above.

2. Notwithstanding paragraph 1, and as long as the value of the securities and/or cash in the Account is sufficient to cover the Restriction Amount, the Specified Corporation may deal with or dispose of securities in the Accounts to cover any negative cash balance in

the Accounts. The cash and securities remaining in the Accounts following the disposal of securities shall remain in the Accounts and be subject to the prohibitions and/or requirements in paragraph 1 above.

3. Under section 217 of the SFO, an application may be made to the Securities and Futures Appeals Tribunal for a review of the Commission's decision to impose the prohibitions and/or requirements set out in this Notice. Such application must be made within twenty-one days after the day on which this Notice is served on the Specified Corporation.

4. Under section 208 of the SFO, the Specified Corporation or a person affected by the prohibitions and/or requirement may apply to the Commission for the prohibitions and/or requirements imposed by this Notice to be withdrawn, substituted or varied.

This Notice takes effect at the time of service upon the Specified Corporation.

Dated this 17th day of August 2026

For and on behalf of the Commission

Julia Leung
Chief Executive Officer