

**NOTICE UNDER SECTION 208(1) OF  
THE SECURITIES AND FUTURES ORDINANCE (CAP. 571) (SFO)**

**WHEREAS**

1. A restriction notice (**Restriction Notice**) was issued pursuant to sections 204 and 205 of the SFO on 26 January 2021 to Realord Asia Pacific Securities Limited (**Specified Corporation**) in relation to the following client accounts (**Accounts**):

Account number	Restriction Amount (HK\$)
200070	13,657,475.98
200067	14,230,237.35

2. For the reasons set out in the Statement of Reasons of even date, the Securities and Futures Commission (**Commission**) considers it appropriate to exercise the powers conferred by section 208 of the SFO to withdraw the Restriction Notice in respect of the Accounts.

**THE COMMISSION HEREBY GIVES NOTICE THAT:-**

3. Pursuant to section 208 of the SFO, the Commission withdraws the prohibitions and requirements imposed by the Restriction Notice on the Specified Corporation in respect of the Accounts.

This Notice takes effect at the time of service upon the Specified Corporation.

Dated this 14<sup>th</sup> day of November 2025.

For and on behalf of  
Securities and Futures Commission

Julia Leung  
Chief Executive Officer

**STATEMENT OF REASONS PURSUANT TO SECTION 209(2) OF  
THE SECURITIES AND FUTURES ORDINANCE  
(CAP.571) (SFO)**

1. Realord Asia Pacific Securities Limited (**Specified Corporation**) is a corporation licensed under the SFO to carry on Type 1 regulated activities.
2. The Securities and Futures Commission (**Commission**) issued a restriction notice (**Restriction Notice**) to the Specified Corporation on 26 January 2021 in respect of the following client accounts (**Accounts**) held with the Specified Corporation:

<b>Account number</b>	<b>Restriction Amount (HK\$)</b>
200070	13,657,475.98
200067	14,230,237.35

3. The Restriction Notice prohibits the Specified Corporation from disposing of or dealing with, or assisting, counselling or procuring another person to dispose of or deal with, any assets in any way in the Accounts up to the respective Restriction Amounts, including:
  - (a) entering into transactions in respect of any securities;
  - (b) processing any withdrawals or transfers of securities and/or cash or any transfers of money arising from the disposal of securities;
  - (c) disposing of or dealing with any securities and/or cash on the instructions of any authorised person of the Accounts or by any person acting on their behalf; and/or
  - (d) assisting another person to dispose of any relevant property or deal with any relevant property in a specified manner.
4. The Restriction Notice further requires the Specified Corporation to notify the Commission immediately upon receipt of any instructions from any authorised person of the Accounts, and/or by any person acting on his/her behalf, regarding:
  - (a) any request to withdraw or transfer any securities and/or cash from the Accounts; and/or
  - (b) any request to dispose of or deal with any securities and/or cash which concern those assets subject to the prohibitions in paragraph 3 above.

5. The Commission has conducted a review of the prohibitions imposed by the Restriction Notice issued against the Accounts. Based on the evidence obtained to date during the Commission's investigation, the Commission has decided to withdraw the prohibitions and requirements imposed by the Restriction Notice against the Accounts held with the Specified Corporation.
6. For the above reason, the Commission considers it appropriate to withdraw the prohibitions and requirements imposed by the Restriction Notice on the Specified Corporation in respect of the Accounts pursuant to section 208 of the SFO.

Dated this 14<sup>th</sup> day of November 2025.

For and on behalf of  
Securities and Futures Commission

Julia Leung  
Chief Executive Officer