

**NOTICE UNDER SECTION 208(1) OF THE  
SECURITIES AND FUTURES ORDINANCE (CHAPTER 571)  
(Ordinance)**

WHEREAS

1. A restriction notice (**Restriction Notice**) was issued pursuant to sections 204 and 205 of the Ordinance on 15 March 2021 in respect of Huatai Financial Holdings (Hong Kong) Limited (**Specified Corporation**) in relation to the following client accounts (**Accounts**):

| Account No. |
|-------------|
| 992021116   |
| 992621115   |

2. For the reasons set out in the Statement of Reasons of even date, the Securities and Futures Commission (**Commission**) considers it appropriate to exercise the powers conferred by section 208 of the Ordinance to withdraw the Restriction Notice in relation to the Accounts.

**THE COMMISSION HEREBY GIVES NOTICE THAT:-**

3. Pursuant to section 208 of the Ordinance, the Commission withdraws the prohibition and requirement imposed by the Restriction Notice on the Specified Corporation in relation to the Accounts.

This Notice takes effect at the time of service upon the Specified Corporation.

Dated this 5<sup>th</sup> day of February 2026.

For and on behalf of the Commission

Julia Leung  
Chief Executive Officer