Notice under section 208 of the Securities and Futures Ordinance Cap. 571 (SFO)

On 29 August 2023, the Securities and Futures Commission (**Commission**) gave notice under sections 204 and 205 of the SFO to Futu Securities International (Hong Kong) Limited (**Specified Corporation**) in respect of the following client accounts (**2023 Notice**):

Account No.	Restricted Amount (HKD)
1001100120761348	336,343,480
1001100320052576	721,480
1001100120820070	150,300

It appears to the Commission that, in light of the latest evidence gathered, the decrease in the account balances and the changes in account number resulting from an internal system upgrade by the Specified Corporation, the Commission should exercise the powers conferred by section 208 of the SFO to vary the 2023 Notice.

THE COMMISSION GIVES NOTICE THAT:

The 2023 Notice is varied as follows:

1. The table setting out the client accounts in paragraph 1 is replaced with the following table:

Account No.	Restricted Amount (HKD)
1001252538639785	336,343,480
1001210133238075	721,480
1001280887019060	150,300

2. All other prohibitions and requirements set out in the 2023 Notice remain unchanged and in force.

This Notice takes effect at the time of service upon the Specified Corporation.

Dated this 8th day of August 2025

For and on behalf of the Commission

Julia Leung Chief Executive Officer

Statement of Reasons

Under section 209(2) of the Securities and Futures Ordinance (Cap. 571) (SFO)

- Futu Securities International (Hong Kong) Limited (Specified Corporation) is a corporation licensed under the SFO to carry on Types 1, 2, 3, 4, 5, 7 and 9 regulated activities.
- On 29 August 2023, the Securities and Futures Commission (Commission) issued a restriction notice (Restriction Notice) and an accompanying Statement of Reasons to the Specified Corporation, imposing prohibitions and requirements on the accounts of its clients as specified in the Restriction Notice.
- 3. The Commission believes that, in light of the latest evidence gathered by the Commission, including changes in account balances and/or changes to account numbers resulting from an internal system upgrade by the Specified Corporation, and for the same reasons set out in the Statement of Reasons dated 29 August 2023, it should exercise the powers conferred by section 208 of the SFO to vary the Restriction Notice to adequately preserve the cash and securities in the accounts of the clients of the Specified Corporation, pending any subsequent proceedings which may be initiated by the Commission.

Dated this 8th day of August 2025 For and on behalf of the Commission

Julia Leung
Chief Executive Officer