

L.N. 187 of 2002

**SECURITIES AND FUTURES (REGISTRATION OF COMMISSION
DISCIPLINARY ORDERS) RULES**

(Made by the Securities and Futures Commission under
section 397(1) of the Securities and Futures
Ordinance (Cap. 571))

1. Commencement

These Rules shall come into operation on the day appointed for the commencement of the Securities and Futures Ordinance (Cap. 571).

2. Interpretation

In these Rules, “order” (命令) means an order of the Commission made under section 194(2) or 196(2) of the Ordinance.

**3. Registration of Commission disciplinary
orders in Court of First Instance**

The Commission shall, for the purpose of making an application in respect of an order pursuant to section 194(5) or 196(5) of the Ordinance, produce to the Registrar of the High Court a notice in writing requesting that the order be registered, together with the order and a copy of the order.

Andrew Len Tao SHENG
Chairman,
Securities and Futures Commission

25 November 2002

Explanatory Note

These Rules prescribe the manner in which the Securities and Futures Commission applies to register in the Court of First Instance an order made by the Commission under section 194(2) or 196(2) of the Securities and Futures Ordinance (Cap. 571).