

Table C1 - Number of Licensees / Registrants

表 C1 - 持牌人 / 註冊人數目

| As at end<br>截至 | Licensed Corporations (LC)<br>持牌法團 |                                |                                             |                              | Registered Institutions (RI)<br>註冊機構 |                                |                                             |                              | Licensed Representatives (LR)<br>持牌代表 |                                |                                             |                              | Responsible/ Approved Officers (RO/AO)<br>負責人員 / 核准人員 |                                |                                             |                              | Total<br>總計 |
|-----------------|------------------------------------|--------------------------------|---------------------------------------------|------------------------------|--------------------------------------|--------------------------------|---------------------------------------------|------------------------------|---------------------------------------|--------------------------------|---------------------------------------------|------------------------------|-------------------------------------------------------|--------------------------------|---------------------------------------------|------------------------------|-------------|
|                 | SEHK<br>Participants<br>聯交所參與者     | HKFE<br>Participants<br>期交所參與者 | SEHK/HKFE<br>Participants<br>聯交所/期交所<br>參與者 | Non-<br>Participants<br>非參與者 | SEHK<br>Participants<br>聯交所參與者       | HKFE<br>Participants<br>期交所參與者 | SEHK/HKFE<br>Participants<br>聯交所/期交所<br>參與者 | Non-<br>Participants<br>非參與者 | SEHK<br>Participants<br>聯交所參與者        | HKFE<br>Participants<br>期交所參與者 | SEHK/HKFE<br>Participants<br>聯交所/期交所<br>參與者 | Non-<br>Participants<br>非參與者 | SEHK<br>Participants<br>聯交所參與者                        | HKFE<br>Participants<br>期交所參與者 | SEHK/HKFE<br>Participants<br>聯交所/期交所<br>參與者 | Non-<br>Participants<br>非參與者 |             |
| 2003            | 452                                | 123                            | 3                                           | 740                          | 0                                    | 0                              | 0                                           | 98                           | 7,350                                 | 421                            | 150                                         | 8,455                        | 1,173                                                 | 44                             | 14                                          | 1,178                        | 20,201      |
| 2004            | 436                                | 116                            | 9                                           | 736                          | 0                                    | 0                              | 0                                           | 97                           | 7,660                                 | 398                            | 424                                         | 9,875                        | 1,157                                                 | 52                             | 44                                          | 1,466                        | 22,470      |
| 2005            | 413                                | 113                            | 19                                          | 742                          | 0                                    | 0                              | 0                                           | 82                           | 7,816                                 | 365                            | 883                                         | 11,701                       | 1,224                                                 | 60                             | 116                                         | 1,758                        | 25,292      |
| 2006            | 408                                | 112                            | 23                                          | 769                          | 0                                    | 0                              | 0                                           | 88                           | 8,153                                 | 423                            | 1,195                                       | 13,643                       | 1,207                                                 | 63                             | 125                                         | 1,930                        | 28,139      |
| 2007            | 420                                | 109                            | 29                                          | 858                          | 0                                    | 0                              | 0                                           | 99                           | 9,466                                 | 380                            | 1,721                                       | 16,391                       | 1,328                                                 | 69                             | 157                                         | 2,247                        | 33,274      |
| 2008            | 421                                | 108                            | 40                                          | 978                          | 0                                    | 0                              | 0                                           | 102                          | 10,161                                | 819                            | 2,316                                       | 18,235                       | 1,374                                                 | 97                             | 210                                         | 2,484                        | 37,345      |
| 2009            | 422                                | 116                            | 51                                          | 1,019                        | 0                                    | 0                              | 0                                           | 107                          | 10,556                                | 836                            | 2,672                                       | 15,944                       | 1,382                                                 | 123                            | 262                                         | 2,570                        | 36,060      |
| 2010            | 431                                | 116                            | 63                                          | 1,121                        | 0                                    | 0                              | 0                                           | 109                          | 11,323                                | 904                            | 3,273                                       | 16,060                       | 1,444                                                 | 120                            | 358                                         | 2,809                        | 38,131      |
| 2011            | 447                                | 117                            | 66                                          | 1,174                        | 0                                    | 0                              | 0                                           | 110                          | 11,770                                | 986                            | 3,869                                       | 15,721                       | 1,541                                                 | 138                            | 367                                         | 3,100                        | 39,406      |
| 2012            | 460                                | 117                            | 68                                          | 1,252                        | 0                                    | 0                              | 0                                           | 117                          | 11,688                                | 959                            | 3,846                                       | 15,206                       | 1,602                                                 | 155                            | 394                                         | 3,372                        | 39,236      |
| 2013            | 452                                | 112                            | 67                                          | 1,325                        | 0                                    | 0                              | 0                                           | 121                          | 10,739                                | 883                            | 4,921                                       | 14,775                       | 1,564                                                 | 145                            | 461                                         | 3,541                        | 39,106      |
| 2014            | 448                                | 111                            | 67                                          | 1,408                        | 0                                    | 0                              | 0                                           | 118                          | 10,834                                | 868                            | 4,818                                       | 15,040                       | 1,585                                                 | 149                            | 481                                         | 3,812                        | 39,739      |
| 2015            | 462                                | 111                            | 68                                          | 1,531                        | 0                                    | 0                              | 0                                           | 119                          | 11,157                                | 908                            | 4,845                                       | 15,860                       | 1,633                                                 | 161                            | 477                                         | 4,134                        | 41,466      |
| 2016            | 501                                | 111                            | 69                                          | 1,730                        | 0                                    | 0                              | 0                                           | 121                          | 11,344                                | 938                            | 4,750                                       | 16,011                       | 1,791                                                 | 186                            | 503                                         | 4,610                        | 42,665      |
| 2017            | 555                                | 114                            | 71                                          | 1,920                        | 0                                    | 0                              | 0                                           | 119                          | 12,017                                | 914                            | 4,844                                       | 15,845                       | 1,996                                                 | 191                            | 527                                         | 5,056                        | 44,169      |
| 2018            | 577                                | 116                            | 78                                          | 2,134                        | 0                                    | 0                              | 0                                           | 117                          | 12,479                                | 885                            | 4,940                                       | 16,481                       | 2,146                                                 | 203                            | 551                                         | 5,664                        | 46,371      |
| 2019            | 606                                | 114                            | 80                                          | 2,284                        | 0                                    | 0                              | 0                                           | 114                          | 12,447                                | 874                            | 4,948                                       | 16,967                       | 2,205                                                 | 202                            | 588                                         | 6,008                        | 47,437      |
| 2020            | 597                                | 108                            | 87                                          | 2,330                        | 0                                    | 0                              | 0                                           | 112                          | 11,914                                | 831                            | 4,950                                       | 17,056                       | 2,174                                                 | 191                            | 659                                         | 6,208                        | 47,217      |
| 2021            | 595                                | 101                            | 93                                          | 2,421                        | 0                                    | 0                              | 0                                           | 111                          | 12,172                                | 835                            | 5,179                                       | 17,772                       | 2,163                                                 | 184                            | 684                                         | 6,347                        | 48,657      |
| 2022            | 577                                | 91                             | 84                                          | 2,501                        | 0                                    | 0                              | 0                                           | 111                          | 11,539                                | 775                            | 5,350                                       | 18,216                       | 2,099                                                 | 146                            | 677                                         | 6,512                        | 48,678      |
| 2023            | 551                                | 86                             | 76                                          | 2,544                        | 0                                    | 0                              | 0                                           | 112                          | 11,317                                | 503                            | 5,353                                       | 18,103                       | 2,002                                                 | 117                            | 631                                         | 6,696                        | 48,091      |
| 2024            | 519                                | 81                             | 73                                          | 2,632                        | 0                                    | 0                              | 0                                           | 109                          | 11,198                                | 288                            | 5,203                                       | 18,737                       | 1,936                                                 | 94                             | 637                                         | 7,052                        | 48,559      |
|                 |                                    |                                |                                             |                              |                                      |                                |                                             |                              |                                       |                                |                                             |                              |                                                       |                                |                                             |                              |             |
| 2023            |                                    |                                |                                             |                              |                                      |                                |                                             |                              |                                       |                                |                                             |                              |                                                       |                                |                                             |                              |             |
| 03/2023         | 559                                | 91                             | 83                                          | 2,521                        | 0                                    | 0                              | 0                                           | 112                          | 11,358                                | 737                            | 5,269                                       | 18,080                       | 2,075                                                 | 143                            | 668                                         | 6,598                        | 48,294      |
| 06/2023         | 554                                | 90                             | 82                                          | 2,519                        | 0                                    | 0                              | 0                                           | 113                          | 11,315                                | 660                            | 5,249                                       | 18,104                       | 2,041                                                 | 144                            | 673                                         | 6,621                        | 48,165      |
| 09/2023         | 555                                | 88                             | 78                                          | 2,515                        | 0                                    | 0                              | 0                                           | 112                          | 11,441                                | 601                            | 5,302                                       | 18,244                       | 2,025                                                 | 133                            | 648                                         | 6,620                        | 48,362      |
| 12/2023         | 551                                | 86                             | 76                                          | 2,544                        | 0                                    | 0                              | 0                                           | 112                          | 11,317                                | 503                            | 5,353                                       | 18,103                       | 2,002                                                 | 117                            | 631                                         | 6,696                        | 48,091      |
|                 |                                    |                                |                                             |                              |                                      |                                |                                             |                              |                                       |                                |                                             |                              |                                                       |                                |                                             |                              |             |
| 2024            |                                    |                                |                                             |                              |                                      |                                |                                             |                              |                                       |                                |                                             |                              |                                                       |                                |                                             |                              |             |
| 03/2024         | 545                                | 86                             | 74                                          | 2,541                        | 0                                    | 0                              | 0                                           | 112                          | 11,259                                | 373                            | 5,283                                       | 18,159                       | 2,003                                                 | 113                            | 619                                         | 6,684                        | 47,851      |
| 06/2024         | 532                                | 84                             | 74                                          | 2,569                        | 0                                    | 0                              | 0                                           | 111                          | 11,200                                | 303                            | 5,219                                       | 18,214                       | 1,974                                                 | 109                            | 623                                         | 6,772                        | 47,784      |
| 09/2024         | 529                                | 82                             | 73                                          | 2,606                        | 0                                    | 0                              | 0                                           | 111                          | 11,181                                | 288                            | 5,254                                       | 18,583                       | 1,960                                                 | 99                             | 626                                         | 6,871                        | 48,263      |
| 12/2024         | 519                                | 81                             | 73                                          | 2,632                        | 0                                    | 0                              | 0                                           | 109                          | 11,198                                | 288                            | 5,203                                       | 18,737                       | 1,936                                                 | 94                             | 637                                         | 7,052                        | 48,559      |
|                 |                                    |                                |                                             |                              |                                      |                                |                                             |                              |                                       |                                |                                             |                              |                                                       |                                |                                             |                              |             |
| 2025            |                                    |                                |                                             |                              |                                      |                                |                                             |                              |                                       |                                |                                             |                              |                                                       |                                |                                             |                              |             |
| 03/2025         | 515                                | 81                             | 72                                          | 2,660                        | 0                                    | 0                              | 0                                           | 108                          | 11,174                                | 259                            | 5,215                                       | 18,961                       | 1,943                                                 | 105                            | 623                                         | 7,109                        | 48,825      |
| 06/2025         | 498                                | 81                             | 73                                          | 2,701                        | 0                                    | 0                              | 0                                           | 108                          | 11,156                                | 272                            | 5,181                                       | 19,305                       | 1,886                                                 | 107                            | 617                                         | 7,166                        | 49,151      |
| 09/2025         | 493                                | 78                             | 73                                          | 2,735                        | 0                                    | 0                              | 0                                           | 109                          | 11,243                                | 266                            | 5,369                                       | 19,751                       | 1,866                                                 | 104                            | 622                                         | 7,236                        | 49,945      |

Remarks: LC includes licensed corporations, deemed licensed corporations and temporary licensed corporations

RI includes registered institutions and deemed registered institutions

LR includes licensed representatives, provisional licensed representatives, deemed licensed representatives and temporary licensed representatives

RO/AO includes responsible officers, provisional responsible officers, deemed responsible officers and temporary approved officers

Source: Securities and Futures Commission

備註: 持牌法團包括持牌法團、當作已獲發牌的法團及短期持牌法團

註冊機構包括註冊機構及當作已獲註冊的機構

持牌代表包括持牌代表、臨時持牌代表、當作已獲發牌的代表及短期持牌代表

負責人員/ 核准人員包括負責人員、臨時負責人員、當作負責人員及短期核准人員

資料來源: 證券及期貨事務監察委員會