



HONG KONG MONETARY AUTHORITY
香港金融管理局



SECURITIES AND
FUTURES COMMISSION
證券及期貨事務監察委員會

List of prescribed persons that have reached the clearing threshold under the
Securities and Futures (OTC Derivative Transactions – Clearing and Record Keeping
Obligations and Designation of Central Counterparties) Rules
(Clearing Rules)

Based on information currently available to the Hong Kong Monetary Authority (**HKMA**) and/or the Securities and Futures Commission (**SFC**), the following prescribed persons have reached the clearing threshold set out under Schedule 2 to the Clearing Rules -

(In alphabetical order)

- 1 Australia and New Zealand Banking Group Limited
- 2 Bank of China (Hong Kong) Limited
- 3 Bank of Communications Co., Ltd.
- 4 Bank of East Asia, Limited (The)
- 5 BNP Paribas
- 6 China CITIC Bank International Limited
- 7 Citibank, N.A.
- 8 Credit Agricole Corporate and Investment Bank
- 9 Credit Suisse (Hong Kong) Limited
- 10 DBS Bank Ltd.
- 11 Hang Seng Bank, Limited
- 12 Hongkong and Shanghai Banking Corporation Limited (The)
- 13 Industrial and Commercial Bank of China (Asia) Limited
- 14 JPMorgan Chase Bank, National Association
- 15 Mizuho Bank, Ltd.
- 16 MUFG Bank, Ltd. (Note 1)
- 17 Oversea-Chinese Banking Corporation Limited
- 18 Standard Chartered Bank (Hong Kong) Limited
- 19 Sumitomo Mitsui Banking Corporation (Note 2)
- 20 United Overseas Bank Ltd.

Note:

1. The Bank of Tokyo-Mitsubishi UFJ, Ltd., an existing on-list prescribed person, was renamed as “MUFG Bank, Ltd.”.

2. Newly added to the list. Mandatory clearing will apply to specified OTC derivative transactions entered into with this entity from 1 January 2022 subject to other relevant conditions specified in the Clearing Rules being met.

Disclaimer:

1. The prescribed persons on the list have consented to the publication of their respective names. Neither the HKMA nor the SFC assumes any responsibility for the accuracy, completeness or reliability of the list, and neither the HKMA nor the SFC shall be held responsible for any consequential effect, nor be held liable for any loss or damage, howsoever caused, arising from the use of or reliance upon any information provided above. Please also refer to the [Disclaimer](#) section of our website.

2. It is the responsibility of each prescribed person to ensure its compliance with the Clearing Rules, including ascertaining whether each of its counterparties has reached the clearing threshold where applicable.

31 August 2021