

L.N. 97 of 2015

**Securities and Futures (Stock Markets, Futures Markets
and Clearing Houses) Notice**

(Made by the Financial Secretary under section 392A of the Securities
and Futures Ordinance (Cap. 571))

1. Commencement

This Notice comes into operation on 10 July 2015.

2. Prescribed markets

The stock markets, futures markets and clearing houses set
out in the Schedule are prescribed for the purpose of section
1B(2)(c) of Part 1 of Schedule 1 to the Ordinance.

Schedule

[s. 2]

Prescribed Markets

Part 1

Stock Markets and Futures Markets

1. Athens Exchange Derivatives Market operated by Hellenic Exchanges – Athens Stock Exchange S.A.
2. Athens Exchange Securities Market operated by Hellenic Exchanges – Athens Stock Exchange S.A.
3. Baden-Wuerttembergische Wertpapierbörse (Regulierter Markt) operated by Börse Stuttgart AG
4. Bolsa de Madrid operated by Sociedad Rectora de la Bolsa de Valores de Madrid, S.A., Sociedad Unipersonal

5. Bourse de Luxembourg operated by Société de la Bourse de Luxembourg S.A.
6. Budapesti Értéktőzsde (Budapest Stock Exchange) operated by Budapesti Értéktőzsde Zártkörűen Működő Részvénytársaság
7. Electronic Bond Market operated by Borsa Italiana S.p.A.
8. Electronic Open-end Funds and ETC Market operated by Borsa Italiana S.p.A.
9. Electronic Share Market operated by Borsa Italiana S.p.A.
10. Eurex Deutschland operated by Eurex Frankfurt AG
11. Euronext Brussels Derivatives operated by Euronext Brussels N.V./S.A.

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12. Euronext Brussels operated by Euronext Brussels N.V./S.A.
13. Euronext Lisbon operated by Euronext Lisbon – Sociedad Gestora de Mercados Regulamentados, S.A.
14. Euronext Paris operated by Euronext Paris S.A.
15. European Energy Exchange operated by European Energy Exchange AG
16. Frankfurter Wertpapierbörse (Regulierter Markt) operated by Deutsche Börse AG
17. Frankfurter Wertpapierbörse Xetra (Regulierter Markt) operated by Deutsche Börse AG
18. Italian Derivatives Market operated by Borsa Italiana S.p.A.

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19. London Stock Exchange Derivatives Market operated by London Stock Exchange plc
20. London Stock Exchange – Regulated Market operated by London Stock Exchange plc
21. Market for Investment Vehicles (MIV) operated by Borsa Italiana S.p.A.
22. MEFF Exchange operated by MEFF Sociedad Rectora del Mercado de Productos Derivados, S.A., Sociedad Unipersonal
23. MEFF – Segmento Derivados Energía operated by MEFF Sociedad Rectora del Mercado de Productos Derivados, S.A., Sociedad Unipersonal

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24. Mercado Continuo Español operated by Sociedad Rectora de la Bolsa de Valores de Madrid, S.A., Sociedad Unipersonal
25. NASDAQ OMX Copenhagen A/S operated by NASDAQ OMX Copenhagen A/S
26. NASDAQ OMX Helsinki (Arvopaperipörssi) operated by NASDAQ OMX Helsinki Ltd.
27. NASDAQ OMX Stockholm AB operated by NASDAQ OMX Stockholm AB
28. NYSE Euronext – Euronext Amsterdam operated by Euronext Amsterdam N.V.
29. Oslo Axess operated by Oslo Børs ASA
30. Oslo Børs ASA operated by Oslo Børs ASA

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31. Securitised Derivatives Market operated by Borsa Italiana S.p.A.
32. The London International Financial Futures and Options Exchange (LIFFE) operated by LIFFE Administration and Management
33. Warsaw Stock Exchange/Bonds/Catalyst/Main Market operated by Warsaw Stock Exchange S.A.
34. Warsaw Stock Exchange/Commodity Derivatives operated by Warsaw Stock Exchange S.A.
35. Warsaw Stock Exchange/Equities/Main Market operated by Warsaw Stock Exchange S.A.
36. Warsaw Stock Exchange/ETPs operated by Warsaw Stock Exchange S.A.

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37. Warsaw Stock Exchange/Financial Derivatives operated by Warsaw Stock Exchange S.A.
38. Wiener Börse AG Amtlicher Handel (Official Market) operated by Wiener Börse AG
39. Wiener Börse AG Geregelter Freiverkehr (Second Regulated Market) operated by Wiener Börse AG
40. Any stock markets or futures markets operated by the following market operators—
 - (a) Asia Pacific Exchange Limited;
 - (b) ASX Limited;
 - (c) Australian Securities Exchange Limited;
 - (d) BM&FBOVESPA S.A. – Bolsa de Valores, Mercadorias e Futuros;

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- (e) Board of Trade of the City of Chicago, Inc.;
- (f) Bursa Istanbul Inc.;
- (g) BOX Options Exchange LLC;
- (h) BSE Ltd.;
- (i) Bursa Malaysia Derivatives Berhad;
- (j) Bursa Malaysia Securities Berhad;
- (k) CBOE Futures Exchange, LLC;
- (l) Chicago Board Options Exchange, Incorporated;
- (m) Chicago Mercantile Exchange, Inc.;

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- (n) China Financial Futures Exchange;
- (o) Commodity Exchange, Inc.;
- (p) Dalian Commodity Exchange;
- (q) Dubai Mercantile Exchange Limited;
- (r) Eurex Zürich AG;
- (s) Euronext UK Markets Limited;
- (t) FEX Global Pty Ltd.;
- (u) GreTai Securities Market;
- (v) ICE Futures Canada, Inc.;
- (w) ICE Futures Europe Limited;
- (x) ICE Futures U.S., Inc.;

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- (y) Indonesian Stock Exchange;
- (z) International Securities Exchange, LLC;
- (za) JSE Limited;
- (zb) Korea Exchange, Inc.;
- (zc) Mercado Mexicano de Derivados, S.A. de C.V.;
- (zd) Minneapolis Grain Exchange, Inc.;
- (ze) Montréal Exchange Inc.;
- (zf) Multi Commodity Exchange of India Limited;
- (zg) Nagoya Stock Exchange, Inc.;

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- (zh) NASDAQ OMX PHLX LLC;
- (zi) National Commodity & Derivatives Exchange Limited;
- (zj) National Stock Exchange of Australia Limited;
- (zk) National Stock Exchange of India Limited;
- (zl) New York Mercantile Exchange, Inc.;
- (zm) New York Stock Exchange LLC;
- (zn) New Zealand Exchange Limited;
- (zo) NYSE Arca, Inc.;
- (zp) NYSE MKT LLC;

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- (zq) OJSC Moscow Exchange MICEX-RTS;
- (zr) OneChicago, LLC;
- (zs) Osaka Exchange, Inc.;
- (zt) Shanghai Futures Exchange;
- (zu) Shanghai Stock Exchange;
- (zv) Shenzhen Stock Exchange;
- (zw) SIM Venture Securities Exchange Ltd.;
- (zx) Singapore Exchange Derivatives Trading Limited;
- (zy) Singapore Exchange Securities Trading Limited;
- (zz) SIX Structured Products Exchange Ltd.;
- (zza) SIX Swiss Exchange Ltd.;

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- (zzb) Tel Aviv Stock Exchange Ltd.;
- (zzc) Thailand Futures Exchange Public Company Limited;
- (zzd) The London Metal Exchange Limited;
- (zze) The NASDAQ Stock Market LLC;
- (zzf) The Philippine Stock Exchange, Inc.;
- (zzg) The Stock Exchange of Thailand;
- (zzh) The Taiwan Futures Exchange Corporation;
- (zzi) Tokyo Commodity Exchange, Inc.;
- (zzj) Tokyo Financial Exchange Inc.;
- (zzk) Tokyo Stock Exchange, Inc.;
- (zzl) TSX Inc.;

(zzm) Turkish Derivatives Exchange;

(zzn) Zhengzhou Commodity Exchange.

Part 2

Clearing Houses

1. Asigna, Compensación y Liquidación
2. ASX Clear (Futures) Pty Limited
3. ASX Clear Pty Limited
4. Athens Exchange Clearing House S.A.
5. BME Clearing S.A.
6. BM&FBOVESPA S.A. – Bolsa de Valores, Mercadorias e Futuros
7. Bursa Malaysia Derivatives Clearing Berhad

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8. Bursa Malaysia Securities Clearing Sdn. Bhd.
9. Canadian Derivatives Clearing Corporation
10. Cassa di Compensazione e Garanzia S.p.A.
11. CCP Austria Abwicklungsstelle für Börsengeschäfte GmbH (CCP.A)
12. CDS Clearing and Depository Services Inc.
13. Chicago Mercantile Exchange, Inc.
14. China Financial Futures Exchange
15. China Securities Depository and Clearing Corporation Limited
16. CJSC JSCB National Clearing Centre
17. Dalian Commodity Exchange
18. Eurex Clearing AG

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19. European Central Counterparty N.V.
20. European Commodity Clearing AG
21. GreTai Securities Market
22. ICE Clear Canada, Inc.
23. ICE Clear Europe Limited
24. ICE Clear U.S., Inc.
25. India Clearing Corporation Limited
26. Indonesian Clearing and Guarantee Corporation
27. Istanbul Clearing, Settlement and Custody Bank Inc.
(Takasbank)
28. Japan Commodity Clearing House Co., Ltd.
29. Japan Securities Clearing Corporation

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30. JSE Clear (Pty) Ltd.
31. KDPW_CCP S.A.
32. KELER Central Counterparty Ltd.
33. Korea Exchange, Inc.
34. LCH.Clearnet Limited
35. LCH.Clearnet S.A.
36. LME Clear Ltd.
37. MAOF (Derivatives) Clearing House Ltd.
38. Minneapolis Grain Exchange, Inc.
39. Multi Commodity Exchange of India Limited

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40. NASDAQ OMX Clearing AB
41. National Securities Clearing Corporation
42. National Securities Clearing Corporation Limited
43. New Zealand Clearing Limited
44. Oslo Clearing ASA
45. Securities Clearing Corporation of the Philippines
46. Shanghai Futures Exchange
47. Singapore Exchange Derivatives Clearing Limited
48. SIX SIS AG
49. SIX X-Clear Ltd.
50. Sociedad de Gestión de los Sistemas de Registro, Compensación y Liquidación de Valores, S.A., Sociedad Unipersonal

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51. TASE Clearing House Ltd.
52. Thailand Clearing House Co., Ltd.
53. The Central Depository (Pte) Limited
54. The Options Clearing Corporation
55. The Taiwan Depository & Clearing Corporation
56. The Taiwan Futures Exchange Corporation
57. Tokyo Financial Exchange Inc.
58. Zhengzhou Commodity Exchange

John TSANG
Financial Secretary

6 May 2015

Explanatory Note

This Notice prescribes certain stock markets, futures markets and clearing houses for the purpose of section 1B(2)(c) of Part 1 of Schedule 1 to the Securities and Futures Ordinance (Cap. 571).

2. This Notice comes into operation on 10 July 2015.