

SECURITIES AND FUTURES (OTC DERIVATIVE TRANSACTIONS—
CLEARING AND RECORD KEEPING OBLIGATIONS AND DESIGNATION OF
CENTRAL COUNTERPARTIES) RULES

Pursuant to rule 3(1) of the Securities and Futures (OTC Derivative Transactions—Clearing and Record Keeping Obligations and Designation of Central Counterparties) Rules (Cap. 571 sub. leg. AN) ('Clearing Rules'), and having obtained the consent of the Monetary Authority, the Securities and Futures Commission ('Commission') designates from 1 January 2026 Morgan Stanley Bank, N.A. as a financial services provider for the purposes of the Clearing Rules, and it (despite any subsequent change of name) continues to be a financial services provider until the Commission revokes its designation under rule 3(3) of the Clearing Rules.

The full list of financial services providers including the persons designated in previous Government Notices ^[Note] (whose designations have not been revoked) is as follows: —

(in alphabetical order)

1. Agricultural Bank of China Limited
2. Banco Santander, S.A.
3. Bank of America, National Association
4. Bank of America Europe Designated Activity Company
5. Bank of China (UK) Ltd
6. Bank of Communications Co., Ltd. (formerly stated as Bank of Communications Co., Ltd)
7. Banque Palatine S.A.
8. Barclays Bank Ireland Plc
9. Barclays Bank PLC
10. Barclays Bank UK PLC
11. Barclays Capital Inc.
12. BNP Paribas
13. BNP Paribas Fortis SA/NV
14. BNP Paribas Securities Corp.
15. BofA Securities, Inc.
16. BofA Securities Europe SA
17. BofA Securities Japan Co., Ltd
18. CACEIS Bank SA
19. Citibank, N.A.
20. Citibank Europe Plc
21. Citigroup Global Markets Europe AG
22. Citigroup Global Markets Inc.
23. Citigroup Global Markets Japan Inc.
24. Citigroup Global Markets Limited
25. Crédit Agricole Corporate and Investment Bank
26. Crédit Foncier de France
27. Crédit Suisse Bank (Europe), S.A.
28. Crédit Suisse International
29. Crédit Suisse (Schweiz) AG
30. Crédit Suisse Securities (Japan) Limited

31. Credit Suisse Securities (USA) LLC
32. Deutsche Bank Aktiengesellschaft
33. Deutsche Bank Securities Inc.
34. Goldman Sachs & Co. LLC
35. Goldman Sachs Bank Europe SE
36. Goldman Sachs Bank USA
37. Goldman Sachs Financial Markets Pty Ltd
38. Goldman Sachs International
39. Goldman Sachs Japan Co., Ltd.
40. HSBC Bank plc
41. HSBC Bank USA, National Association
42. HSBC Continental Europe
43. HSBC Securities (USA) Inc.
44. HSBC UK Bank plc
45. ING Bank N.V.
46. ING Bank Slaski S.A.
47. ING-DiBa AG
48. J.P. Morgan SE
49. J.P. Morgan Securities LLC
50. J.P. Morgan Securities plc
51. JPMorgan Chase Bank, National Association
52. JPMorgan Securities Japan Co., Ltd.
53. Merrill Lynch Capital Services Inc.
54. Merrill Lynch International
55. Mitsubishi UFJ Morgan Stanley Securities Co., Ltd.
56. Mizuho Bank, Ltd.
57. Mizuho Capital Markets LLC
58. Mizuho International plc
59. Mizuho Securities USA LLC
60. Morgan Stanley & Co. International plc
61. Morgan Stanley & Co. LLC
62. Morgan Stanley Bank, N.A.
63. Morgan Stanley Capital Services LLC
64. Morgan Stanley Europe SE
65. Morgan Stanley MUFG Securities Co., Ltd.
66. MUFG Bank, Ltd.
67. MUFG Securities EMEA plc
68. National Westminster Bank Plc
69. NATIXIS
70. NatWest Markets N.V.
71. NatWest Markets plc
72. Nomura Financial Products & Services, Inc.
73. Nomura Financial Products Europe GmbH
74. Nomura Global Financial Products, Inc.
75. Nomura International plc
76. Nomura Securities Co., Ltd.
77. Nomura Securities International, Inc.
78. Nordea Bank Abp
79. RBC Capital Markets, LLC
80. RBC Europe Limited

81. Royal Bank of Canada
82. Santander Financial Services Plc
83. Santander US Capital Markets LLC
84. SG Americas Securities LLC
85. SMBC Capital Markets Inc.
86. SMBC Nikko Securities Inc.
87. Societe Generale
88. Societe Generale International Limited
89. Standard Chartered Bank
90. Sumitomo Mitsui Banking Corporation
91. Sumitomo Mitsui Trust Bank, Limited
92. TD Bank, N.A.
93. TD Bank USA, N.A.
94. TD Global Finance Unlimited Company
95. The Bank of New York Mellon
96. Toronto-Dominion Bank
97. UBS AG
98. UBS Europe SE
99. UBS Securities LLC
100. UBS Switzerland AG
101. UniCredit Bank Austria AG
102. UniCredit Bank GmbH
103. UniCredit S.p.A.
104. Wells Fargo Bank, National Association
105. Wells Fargo Securities, LLC

Note:

- (a) Government Notice No. 4776 in Gazette No. 34 of Vol. 20 published on 26 August 2016;
- (b) Government Notice No. 9212 in Gazette No. 50 of Vol. 22 published on 14 December 2018;
- (c) Government Notice No. 7083 in Gazette No. 47 of Vol. 23 published on 22 November 2019;
- (d) Government Notice No. 7127 in Gazette No. 49 of Vol. 24 published on 4 December 2020;
- (e) Government Notice No. 7596 in Gazette No. 48 of Vol. 25 published on 3 December 2021;
- (f) Government Notice No. 6961 in Gazette No. 48 of Vol. 26 published on 2 December 2022;
- (g) Government Notice No. 7228 in Gazette No. 48 of Vol. 27 published on 1 December 2023;
- (h) Government Notice No. 7341 in Gazette No. 49 of Vol. 28 published on 6 December 2024.

12 December 2025

Rico LEUNG
*Commission Member and Executive Director,
Securities and Futures Commission*