



Aggregated Reportable Short Positions of Specified Shares as of 10/01/2014

| Stock Code | Stock Name | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1 | CHEUNG KONG | 9,254,417 | \$ 1,115,157,249 |
| 2 | CLP HOLDINGS | 54,031,506 | \$ 3,266,204,538 |
| 3 | HK & CHINA GAS | 91,574,426 | \$ 1,582,406,081 |
| 4 | WHARF HOLDINGS | 16,711,749 | \$ 996,855,828 |
| 5 | HSBC HOLDINGS | 36,413,323 | \$ 3,109,697,784 |
| 6 | POWER ASSETS | 9,694,197 | \$ 581,651,820 |
| 11 | HANG SENG BANK | 17,860,158 | \$ 2,193,227,402 |
| 12 | HENDERSON LAND | 19,367,559 | \$ 864,761,509 |
| 13 | HUTCHISON | 26,196,922 | \$ 2,758,535,887 |
| 16 | SHK PPT | 18,417,538 | \$ 1,785,580,309 |
| 17 | NEW WORLD DEV | 55,496,670 | \$ 554,411,733 |
| 19 | SWIRE PACIFIC A | 3,962,229 | \$ 353,628,938 |
| 23 | BANK OF E ASIA | 36,405,000 | \$ 1,152,218,250 |
| 27 | GALAXY ENT | 25,483,308 | \$ 1,912,522,265 |
| 53 | GUOCO GROUP | 110,500 | \$ 10,480,925 |
| 56 | ALLIED PPT (HK) | 0 | \$ 0 |
| 66 | MTR CORPORATION | 8,364,502 | \$ 238,806,532 |
| 83 | SINO LAND | 44,167,985 | \$ 465,530,562 |
| 86 | SUN HUNG KAI CO | 462,000 | \$ 1,963,500 |
| 101 | HANG LUNG PPT | 57,132,432 | \$ 1,356,895,260 |
| 133 | CHINA MERCHANTS | 0 | \$ 0 |
| 135 | KUNLUN ENERGY | 96,147,448 | \$ 1,284,529,905 |
| 144 | CHINA MER HOLD | 19,210,596 | \$ 512,922,913 |
| 151 | WANT WANT CHINA | 244,589,819 | \$ 2,622,002,860 |
| 165 | CHINA EB LTD | 3,033,362 | \$ 35,490,335 |
| 168 | TSINGTAO BREW | 24,240,944 | \$ 1,488,393,962 |
| 171 | SILVER GRANT | 0 | \$ 0 |
| 194 | LIU CHONG HING | 0 | \$ 0 |
| 267 | CITIC PACIFIC | 196,621,294 | \$ 2,029,131,754 |
| 291 | CHINA RESOURCES | 48,937,655 | \$ 1,213,653,844 |
| 293 | CATHAY PAC AIR | 22,986,364 | \$ 391,227,915 |
| 302 | WING HANG BANK | 621,904 | \$ 72,762,768 |
| 322 | TINGYI | 67,913,284 | \$ 1,528,048,890 |
| 358 | JIANGXI COPPER | 65,363,789 | \$ 877,182,048 |
| 373 | ALLIED GROUP | 0 | \$ 0 |
| 386 | SINOPEC CORP | 360,624,427 | \$ 2,095,227,921 |
| 388 | HKEX | 28,934,187 | \$ 3,674,641,749 |
| 390 | CHINA RAILWAY | 266,323,186 | \$ 964,089,933 |
| 440 | DAH SING | 0 | \$ 0 |
| 489 | DONGFENG GROUP | 48,810,294 | \$ 549,603,910 |
| 494 | LI & FUNG | 753,359,069 | \$ 8,015,740,494 |



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| 662 | ASIA FINANCIAL | 0 | \$ 0 |
| 665 | HAITONG INT'L | 4,570,000 | \$ 17,548,800 |
| 688 | CHINA OVERSEAS | 162,067,144 | \$ 3,614,097,311 |
| 700 | TENCENT | 25,619,900 | \$ 12,743,338,260 |
| 728 | CHINA TELECOM | 197,754,321 | \$ 731,690,988 |
| 753 | AIR CHINA | 63,028,188 | \$ 331,528,269 |
| 762 | CHINA UNICOM | 113,384,382 | \$ 1,249,495,890 |
| 806 | VALUE PARTNERS | 2,810,870 | \$ 15,965,742 |
| 836 | CHINA RES POWER | 21,770,049 | \$ 411,453,926 |
| 857 | PETROCHINA | 329,087,398 | \$ 2,632,699,184 |
| 883 | CNOOC | 160,114,863 | \$ 2,203,180,515 |
| 902 | HUANENG POWER | 119,546,421 | \$ 907,357,335 |
| 914 | ANHUI CONCH | 191,313,595 | \$ 5,108,072,987 |
| 916 | CHINA LONGYUAN | 109,736,305 | \$ 1,041,397,534 |
| 939 | CCB | 869,357,202 | \$ 4,824,932,471 |
| 941 | CHINA MOBILE | 94,733,135 | \$ 7,370,237,903 |
| 966 | CHINA TAIPING | 5,764,918 | \$ 80,132,360 |
| 992 | LENOVO GROUP | 413,101,525 | \$ 3,796,403,015 |
| 998 | CITIC BANK | 221,571,832 | \$ 877,424,455 |
| 1031 | KINGSTON FIN | 0 | \$ 0 |
| 1044 | HENGAN INT'L | 20,510,768 | \$ 1,819,305,122 |
| 1066 | WEIGAO GROUP | 75,368,721 | \$ 762,731,457 |
| 1088 | CHINA SHENHUA | 110,208,921 | \$ 2,446,638,046 |
| 1099 | SINOPHARM | 65,145,306 | \$ 1,498,342,038 |
| 1109 | CHINA RES LAND | 63,928,373 | \$ 1,273,453,190 |
| 1111 | CHONG HING BANK | 0 | \$ 0 |
| 1157 | ZOOMLION | 249,911,649 | \$ 1,736,885,961 |
| 1171 | YANZHOU COAL | 327,274,477 | \$ 2,042,192,736 |
| 1199 | COSCO PACIFIC | 23,728,305 | \$ 244,401,542 |
| 1288 | ABC | 713,076,654 | \$ 2,545,683,655 |
| 1299 | AIA | 56,053,907 | \$ 2,107,626,903 |
| 1336 | NCI | 5,073,362 | \$ 125,565,710 |
| 1339 | PICC GROUP | 172,713,718 | \$ 616,587,973 |
| 1398 | ICBC | 850,556,203 | \$ 4,210,253,205 |
| 1788 | GUOTAI JUNAN I | 5,433,000 | \$ 20,754,060 |
| 1800 | CHINA COMM CONS | 135,327,691 | \$ 775,427,669 |
| 1880 | BELLE INT'L | 354,224,211 | \$ 3,368,672,247 |
| 1898 | CHINA COAL | 734,419,793 | \$ 3,121,284,120 |
| 1928 | SANDS CHINA LTD | 33,913,103 | \$ 2,168,742,937 |
| 1988 | MINSHENG BANK | 1,183,280,283 | \$ 9,572,737,489 |
| 2238 | GAC GROUP | 87,545,040 | \$ 693,356,717 |



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| 2318 | PING AN | 367,877,161 | \$ 24,702,951,361 |
| 2328 | PICC P&C | 106,320,912 | \$ 1,122,748,831 |
| 2333 | GREATWALL MOTOR | 121,539,041 | \$ 4,727,868,695 |
| 2338 | WEICHAI POWER | 55,740,212 | \$ 1,585,809,031 |
| 2356 | DAHSHING BANKING | 3,260,586 | \$ 42,844,100 |
| 2378 | PRU | 0 | \$ 0 |
| 2388 | BOC HONG KONG | 56,161,542 | \$ 1,364,725,471 |
| 2601 | CPIC | 65,535,958 | \$ 1,848,114,016 |
| 2628 | CHINA LIFE | 154,156,140 | \$ 3,399,142,887 |
| 2883 | CHINA OILFIELD | 51,901,965 | \$ 1,165,199,114 |
| 2888 | STANCHART | 4,878,582 | \$ 808,381,037 |
| 2899 | ZIJIN MINING | 1,116,115,305 | \$ 1,886,234,865 |
| 3323 | CNBM | 411,130,689 | \$ 3,141,038,464 |
| 3328 | BANKCOMM | 217,271,372 | \$ 1,125,465,707 |
| 3360 | FE HORIZON | 25,940,392 | \$ 160,830,430 |
| 3618 | CQRC BANK | 106,444,587 | \$ 376,813,838 |
| 3968 | CM BANK | 253,197,468 | \$ 3,792,898,071 |
| 3988 | BANK OF CHINA | 1,079,921,731 | \$ 3,736,529,189 |
| 6030 | CITIC SEC | 144,711,092 | \$ 2,700,308,977 |
| 6837 | HAITONG SEC | 43,423,461 | \$ 522,818,470 |
| 6881 | CGS | 23,595,000 | \$ 144,637,350 |