



Aggregated Reportable Short Positions of Specified Shares as of 17/03/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	13,160,568	\$ 1,277,891,153
2	CLP HOLDINGS	19,761,793	\$ 1,569,086,364
3	HK & CHINA GAS	271,725,012	\$ 4,097,613,181
4	WHARF HOLDINGS	41,373,802	\$ 2,840,311,507
5	HSBC HOLDINGS	44,328,133	\$ 2,843,649,732
6	POWER ASSETS	11,499,962	\$ 784,297,408
8	PCCW	94,453,603	\$ 467,545,335
10	HANG LUNG GROUP	10,046,750	\$ 324,510,025
11	HANG SENG BANK	15,169,799	\$ 2,427,167,840
12	HENDERSON LAND	10,432,423	\$ 481,977,943
14	HYSAN DEV	7,723,000	\$ 278,800,300
16	SHK PPT	14,345,546	\$ 1,648,303,235
17	NEW WORLD DEV	40,805,003	\$ 400,705,129
19	SWIRE PACIFIC A	9,510,855	\$ 742,322,233
20	WHEELLOCK	7,053,632	\$ 437,325,184
23	BANK OF E ASIA	56,664,235	\$ 1,813,255,520
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	60,175,491	\$ 2,434,098,611
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	25,859,126	\$ 27,410,674
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	282,000	\$ 2,239,080
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	4,204,000	\$ 20,683,680
39	CH BEIDAHUANG	14,301,714	\$ 6,006,720
41	GREAT EAGLE H	485,388	\$ 17,692,393
43	C.P. POKPHAND	0	\$ 0
44	HAECO	0	\$ 0
45	HK&S HOTELS	1,623,301	\$ 14,155,185
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	632,000	\$ 18,328,000
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,485,500	\$ 44,713,550
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	57,615,124	\$ 2,457,285,039
69	SHANGRI-LA ASIA	16,486,951	\$ 161,572,120
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	590,000	\$ 2,448,500
83	SINO LAND	29,151,766	\$ 392,965,806
85	CHINA ELECTRON	19,683,900	\$ 33,068,952
86	SUN HUNG KAI CO	1,287,259	\$ 6,526,403
88	TAI CHEUNG HOLD	167,000	\$ 1,219,100
95	LVGEM CHINA	0	\$ 0
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	35,557,271	\$ 720,034,738
102	SUMMIT ASCENT	58,973,711	\$ 148,024,015
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	12,160,000	\$ 40,979,200
109	GOODRESOURCES	22,027,500	\$ 9,471,825
116	CHOW SANG SANG	392,000	\$ 7,056,000
119	POLY PROPERTY	114,805,929	\$ 394,932,396
123	YUEXIU PROPERTY	39,032,200	\$ 52,303,148
127	CHINESE EST H	0	\$ 0
133	CHINA MERCHANTS	194,000	\$ 2,471,560
135	KUNLUN ENERGY	270,742,834	\$ 1,895,199,838
139	CH SOFT POWER	6,006,000	\$ 1,039,038
142	FIRST PACIFIC	13,597,721	\$ 71,931,944
144	CHINA MER PORT	12,706,238	\$ 277,631,300
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	847,800	\$ 22,381,920
151	WANT WANT CHINA	759,069,054	\$ 3,947,159,081
152	SHENZHEN INT'L	11,133,241	\$ 128,922,931
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	1,172,000	\$ 2,906,560
164	CHINA BAOLI TEC	8,425,000	\$ 1,786,100
165	CHINA EB LTD	14,243,412	\$ 233,307,089
168	TSINGTAO BREW	31,023,185	\$ 1,116,834,660
171	SILVER GRANT	1,740,000	\$ 2,070,600
173	K. WAH INT'L	0	\$ 0
175	GEELY AUTO	677,378,884	\$ 7,613,738,656
177	JIANGSU EXPRESS	17,830,782	\$ 195,781,986
178	SA SA INT'L	35,411,223	\$ 111,191,240
179	JOHNSON ELEC H	2,167,206	\$ 50,495,900
182	CONCORD NE	58,800,000	\$ 24,108,000
186	GRANDE HOLDINGS	0	\$ 0
194	LIU CHONG HING	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	14,563,300	\$ 12,378,805
198	SMI HOLDINGS	242,731,936	\$ 184,476,271
200	MELCO INT'L DEV	26,034,537	\$ 357,714,538
202	EVERCHINA INT'L	0	\$ 0
207	JOY CITY PPT	49,512,000	\$ 57,929,040
215	HUTCHTEL HK	27,130,000	\$ 61,313,800
217	CHINA CHENGTONG	1,298,163	\$ 739,953
218	SHENWANHONGYUAN	7,870,000	\$ 27,072,800
220	U-PRESID CHINA	55,691,314	\$ 309,086,793
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
232	AVIC INT'L	42,438,000	\$ 20,158,050
235	CHINA STRATEGIC	187,540,714	\$ 28,881,270
241	ALI HEALTH	63,706,522	\$ 205,772,066
242	SHUN TAK HOLD	28,537,071	\$ 77,906,204
243	QPL INT'L	0	\$ 0
245	CN MINSHENG FIN	32,068,531	\$ 18,920,433
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	458,000	\$ 7,740,200
256	CITYCHAMP	11,748,000	\$ 19,384,200
257	CHINA EB INT'L	137,482,867	\$ 1,509,561,880
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	95,485,275	\$ 1,075,164,197
268	KINGDEE INT'L	187,080,353	\$ 611,752,754
270	GUANGDONG INV	18,607,244	\$ 212,494,726
272	SHUI ON LAND	57,924,943	\$ 108,319,643
273	MASON FIN	30,166,001	\$ 3,408,758
275	MASTER GLORY GP	29,530,000	\$ 6,496,600
283	GOLDIN PPT	4,658,000	\$ 30,649,640
285	BYD ELECTRONIC	42,872,648	\$ 395,714,541
286	CS HEALTH	0	\$ 0
288	WH GROUP	54,239,678	\$ 322,726,084
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	22,908,005	\$ 408,678,809
293	CATHAY PAC AIR	106,707,905	\$ 1,203,665,168
297	SINOFERT	129,322,836	\$ 152,600,946
299	NEW SPORTS	117,140,000	\$ 8,434,080
303	VTECH HOLDINGS	3,571,738	\$ 328,421,309
308	CHINA TRAVEL HK	122,848,545	\$ 287,465,595



Aggregated Reportable Short Positions of Specified Shares as of 17/03/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
315	SMARTONE TELE	10,024,513	\$ 99,944,395
316	OOIL	4,629,315	\$ 204,152,792
317	COMEC	3,942,201	\$ 65,677,069
321	TEXWINCA HOLD	11,396,070	\$ 61,082,935
322	TINGYI	227,499,675	\$ 2,029,297,101
323	MAANSHAN IRON	109,071,488	\$ 337,030,898
327	PAX GLOBAL	79,338,134	\$ 391,930,382
330	ESPRIT HOLDINGS	52,856,061	\$ 364,178,260
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	3,914,000	\$ 17,573,860
337	GREENLAND HK	16,884,741	\$ 37,146,430
338	SHANGHAI PEICHEM	110,067,761	\$ 480,996,116
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	3,923,000	\$ 99,840,350
342	NEWOCEAN ENERGY	47,862,476	\$ 119,656,190
345	VITASOY INT'L	9,664,804	\$ 147,098,317
346	YANCHANG PETRO	2,700,000	\$ 540,000
347	ANGANG STEEL	105,387,610	\$ 628,110,156
354	CHINASOFT INT'L	61,420,367	\$ 260,422,356
358	JIANGXI COPPER	53,959,496	\$ 711,186,157
363	SHANGHAI IND H	997,863	\$ 23,250,208
368	SINOTRANS SHIP	60,006,195	\$ 115,811,956
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	198,403,938	\$ 1,172,567,274
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	5,744,000	\$ 6,663,040
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	78,557,559	\$ 989,825,243
386	SINOPEC CORP	59,775,361	\$ 357,456,659
388	HKEX	42,003,352	\$ 8,253,658,668
390	CHINA RAILWAY	126,836,668	\$ 918,297,476
392	BEIJING ENT	13,882,778	\$ 596,265,315
400	COGOBUY	29,055,728	\$ 349,249,851
404	HSIN CHONG GP	7,722,000	\$ 2,934,360
405	YUEXIU REIT	8,011,000	\$ 36,369,940
410	SOHO CHINA	66,955,625	\$ 277,865,844
412	CHINA INNO FIN	4,181,323	\$ 1,547,090
416	BANKOFJINZHOU	805,000	\$ 7,381,850
419	HUAYI TENCENT	116,223,328	\$ 44,164,865



Aggregated Reportable Short Positions of Specified Shares as of 17/03/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
425	MINTH GROUP	4,975,271	\$ 136,819,953
433	NORTH MINING	91,355,270	\$ 12,515,672
434	BOYAA	2,336,981	\$ 10,329,456
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	67,916,227	\$ 228,198,523
440	DAH SING	2,531,208	\$ 149,088,151
451	GCL NEWENERGY	102,596,251	\$ 46,681,294
460	SIHUAN PHARM	57,585,044	\$ 158,934,721
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	1,916,000	\$ 7,338,280
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	0	\$ 0
486	RUSAL	0	\$ 0
488	LAI SUN DEV	13,903,424	\$ 2,878,009
489	DONGFENG GROUP	56,749,328	\$ 506,771,499
493	GOME	1,433,288,746	\$ 1,504,953,183
494	LI & FUNG	683,036,467	\$ 2,616,029,669
497	CSI PROPERTIES	0	\$ 0
506	CHINA FOODS	3,258,000	\$ 11,403,000
511	TVB	7,096,993	\$ 224,619,828
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	462,000	\$ 1,607,760
519	APPLIED DEV	490,000	\$ 328,300
521	HNA HOLDING	13,354,000	\$ 3,872,660
522	ASM PACIFIC	23,020,331	\$ 2,463,175,417
525	GUANGSHEN RAIL	9,292,600	\$ 46,555,926
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	0	\$ 0
535	GEMDALE PPT	0	\$ 0
538	AJISEN (CHINA)	0	\$ 0
543	PACIFIC ONLINE	339,000	\$ 644,100
546	FUFENG GROUP	57,613,000	\$ 313,414,720
547	DIGITAL DOMAIN	166,456,429	\$ 73,240,829
548	SHENZHENEXPRESS	1,121,400	\$ 8,612,352
551	YUE YUEN IND	7,949,207	\$ 230,129,543
552	CHINACOMSERVICE	12,104,543	\$ 66,817,077
553	NANJING PANDA	480,000	\$ 3,417,600
563	SH IND URBAN	11,613,557	\$ 23,227,114
566	HANERGY TFP	233,428,779	\$ 912,706,526
570	TRAD CHI MED	141,982,199	\$ 567,928,796
576	ZHEJIANGEXPRESS	2,874,000	\$ 27,619,140



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577	THE 13 HOLDINGS	5,853,500	\$ 12,702,095
579	JNCEC	17,056,000	\$ 40,934,400
582	LANDING INTL	136,699,438	\$ 7,655,169
586	CONCH VENTURE	26,676,508	\$ 410,818,223
587	HUA HAN HEALTH	211,770,848	\$ 112,238,549
588	BEIJING N STAR	998,000	\$ 3,003,980
590	LUK FOOK HOLD	5,837,777	\$ 140,690,426
598	SINOTRANS	35,589,186	\$ 133,815,339
603	CHINA OIL & GAS	8,400,000	\$ 5,124,000
604	SHENZHEN INVEST	13,828,311	\$ 50,196,769
606	CHINA AGRI	37,935,446	\$ 151,362,430
607	FULLSHARE	360,777,431	\$ 1,078,724,519
621	TAUNG GOLD	7,200,000	\$ 583,200
622	ENERCHINA HOLD	21,888,928	\$ 6,019,455
631	SANY INT'L	11,285,100	\$ 18,846,117
633	CH ALL ACCESS	13,224,000	\$ 32,266,560
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	58,399,288	\$ 95,774,832
651	CHI OCEAN IND	364,882,928	\$ 70,422,405
656	FOSUN INTL	115,418,206	\$ 1,447,344,303
658	C TRANSMISSION	24,687,215	\$ 217,988,108
659	NWS HOLDINGS	2,139,462	\$ 29,952,468
665	HAITONG INT'L	111,455,765	\$ 532,758,557
669	TECHTRONIC IND	19,685,846	\$ 631,915,657
670	CHINA EAST AIR	154,589,980	\$ 717,297,507
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	39,150,000	\$ 9,983,250
683	KERRY PPT	10,076,582	\$ 258,968,157
686	UNITED PV	62,213,306	\$ 54,125,576
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	55,881,778	\$ 1,391,456,272
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	20,656,401	\$ 192,724,221
696	TRAVELSKY TECH	8,382,169	\$ 154,734,840
697	SHOUGANG INT'L	10,208,880	\$ 2,756,398
698	TONGDA GROUP	259,604,200	\$ 656,798,626
699	CAR INC	85,918,691	\$ 633,220,753
700	TENCENT	116,361,360	\$ 25,832,221,920
702	SINO OIL & GAS	85,730,000	\$ 16,717,350
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	7,808,978	\$ 32,797,708



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715	CHINA OCEANWIDE	22,084,045	\$ 17,446,396
716	SINGAMAS CONT	0	\$ 0
717	EMPEROR CAPITAL	18,558,000	\$ 13,176,180
718	TAI UNITED HOLD	0	\$ 0
721	C FIN INT INV	53,669,109	\$ 21,199,298
726	CM DRAWIN TECH	0	\$ 0
727	CROWNICORP	1,258,000	\$ 1,874,420
728	CHINA TELECOM	82,107,545	\$ 312,008,671
729	FDG EVEHICLES	437,454,869	\$ 142,172,832
732	TRULY INT'L	141,347,100	\$ 422,627,829
735	C P NEW ENERGY	6,055,621	\$ 32,094,791
737	HOPEWELL INFR	11,587,369	\$ 48,551,076
750	SINGYES SOLAR	50,292,994	\$ 182,060,638
751	SKYWORTHDIGITAL	85,784,570	\$ 472,672,981
752	PICO FAR EAST	0	\$ 0
753	AIR CHINA	61,177,414	\$ 382,970,612
754	HOPSON DEV HOLD	1,721,648	\$ 12,843,494
762	CHINA UNICOM	253,103,427	\$ 2,606,965,298
763	ZTE	32,869,412	\$ 467,403,039
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	10,341,896	\$ 238,897,798
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	73,904,368	\$ 65,035,844
798	CEOVU	0	\$ 0
799	IGG	22,190,870	\$ 169,760,156
801	GOLDEN MEDITECH	13,454,071	\$ 17,221,211
806	VALUE PARTNERS	77,468,214	\$ 599,603,976
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	1,830,000	\$ 13,194,300
813	SHIMAO PROPERTY	16,506,493	\$ 212,603,630
815	CHI SILVER GP	36,380,572	\$ 58,208,915
816	HUADIAN FUXIN	29,041,174	\$ 53,726,172
817	CHINA JINMAO	41,978,918	\$ 109,564,976
818	HI SUN TECH	23,063,181	\$ 30,212,767
819	TIANNENG POWER	33,611,011	\$ 254,771,463
820	HSBCDRAGON FUND	0	\$ 0
823	LINK REIT	30,426,995	\$ 1,592,853,188
826	TIANGONG INT'L	1,410,000	\$ 1,367,700
829	SHENGUAN HLDGS	0	\$ 0
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	0	\$ 0



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836	CHINA RES POWER	18,423,606	\$ 264,194,510
845	GLORIOUS PPT H	22,802,000	\$ 21,433,880
848	MAOYE INT'L	3,388,517	\$ 2,676,928
853	MICROPORT	0	\$ 0
855	CHINA WATER	28,010,520	\$ 143,133,757
856	VST HOLDINGS	0	\$ 0
857	PETROCHINA	110,742,544	\$ 648,951,308
861	DC HOLDINGS	77,970,127	\$ 515,382,539
867	CMS	39,932,968	\$ 525,517,859
868	XINYI GLASS	108,335,452	\$ 765,931,646
874	BAIYUNSHAN PH	4,196,080	\$ 96,929,448
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	55,373,847	\$ 353,285,144
881	ZHONGSHENG HLDG	9,473,868	\$ 110,275,824
882	TIANJIN DEV	1,836,000	\$ 7,913,160
883	CNOOC	240,689,868	\$ 2,197,498,495
884	CIFI HOLD GP	41,994,140	\$ 129,341,951
885	RENTIAN TECH	22,426,855	\$ 9,867,816
895	DONGJIANG ENV	3,937,180	\$ 52,443,238
902	HUANENG POWER	270,997,093	\$ 1,563,653,227
903	TPV TECHNOLOGY	0	\$ 0
904	CHINA GREEN	2,216,000	\$ 170,632
906	COFCO PACKAGING	1,485,000	\$ 5,865,750
911	QIANHAI HEALTH	100,914,917	\$ 8,476,853
914	ANHUI CONCH	215,083,840	\$ 6,065,364,288
916	CHINA LONGYUAN	74,411,526	\$ 484,419,034
921	HISENSE KELON	1,160,200	\$ 12,762,200
931	CHINA LNG	643,035,792	\$ 109,959,120
933	BRIGHTOIL	57,888,592	\$ 140,090,393
934	SINOPEC KANTONS	20,362,000	\$ 87,760,220
939	CCB	1,073,459,418	\$ 6,913,078,652
941	CHINA MOBILE	16,297,194	\$ 1,420,300,457
950	LEE'S PHARM	2,037,353	\$ 13,894,747
951	CHAOWEI POWER	1,092,791	\$ 7,365,411
952	QUAM	0	\$ 0
956	CHINA SUNTIEN	31,047,000	\$ 46,880,970
958	HN RENEWABLES	148,313,763	\$ 413,795,399
960	LONGFOR PPT	24,703,692	\$ 327,570,956
963	BLOOMAGE BIOTEC	8,218,350	\$ 93,196,089
966	CHINA TAIPING	45,305,300	\$ 906,106,000
968	XINYI SOLAR	325,003,908	\$ 848,260,200



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973	L'OCCITANE	2,178,139	\$ 34,022,531
976	CHIHO-TIANDE	3,565,636	\$ 18,505,651
978	CHI MER LAND	10,794,000	\$ 13,924,260
980	LIANHUA	9,308,468	\$ 31,276,452
981	SMIC	280,448,706	\$ 3,000,801,154
985	NETMIND FIN	0	\$ 0
988	LOUDONG GN RES	0	\$ 0
991	DATANG POWER	42,653,807	\$ 95,971,066
992	LENOVO GROUP	962,440,602	\$ 4,677,461,326
995	ANHUIEXPRESSWAY	200,000	\$ 1,260,000
996	CARNIVAL GROUP	192,930,000	\$ 163,990,500
998	CITIC BANK	151,267,166	\$ 803,228,651
999	I.T	0	\$ 0
1003	HUANXI MEDIA	630,000	\$ 1,442,700
1019	CONVOY	27,450,000	\$ 5,956,650
1027	CHINA JICHENG	99,020,000	\$ 18,615,760
1028	C.BANNER	593,000	\$ 1,701,910
1030	FUTURE LAND	23,336,876	\$ 57,175,346
1031	KINGSTON FIN	90,898,198	\$ 246,334,117
1033	SINOPEC SSC	108,166,242	\$ 169,821,000
1038	CKI HOLDINGS	21,235,428	\$ 1,327,214,250
1044	HENGAN INT'L	53,255,850	\$ 3,552,165,195
1045	APT SATELLITE	1,574,614	\$ 6,487,410
1051	G-RESOURCES	23,121,000	\$ 3,306,303
1052	YUEXIUTRANSPORT	14,838,000	\$ 85,021,740
1053	CHONGQING IRON	3,602,000	\$ 7,996,440
1055	CHINA SOUTH AIR	130,462,405	\$ 681,013,754
1060	ALI PICTURES	929,606,946	\$ 1,310,745,794
1063	SUNCORP TECH	139,395,490	\$ 7,945,543
1065	TIANJIN CAPITAL	3,007,923	\$ 13,054,386
1066	WEIGAO GROUP	42,766,899	\$ 204,853,446
1068	YURUN FOOD	75,965,913	\$ 99,515,346
1070	TCL MULTIMEDIA	23,765,260	\$ 89,119,725
1071	HUADIAN POWER	67,980,975	\$ 240,652,652
1072	DONGFANG ELEC	4,739,456	\$ 40,143,192
1076	IMPERIAL PAC	456,400,000	\$ 67,090,800
1083	TOWNGAS CHINA	20,764,545	\$ 96,139,843
1086	GOODBABY INTL	11,727,000	\$ 41,513,580
1088	CHINA SHENHUA	80,270,501	\$ 1,321,252,446
1089	LEYOU TECH H	55,141,300	\$ 92,085,971
1093	CSPC PHARMA	49,369,520	\$ 501,594,323



Aggregated Reportable Short Positions of Specified Shares as of 17/03/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1098	ROAD KING INFRA	0	\$ 0
1099	SINOPHARM	9,962,159	\$ 372,584,747
1101	HUARONG ENERGY	26,755,100	\$ 12,173,571
1103	DASHENG AGR FIN	23,987,999	\$ 18,470,759
1108	LUOYANG GLASS	2,268,000	\$ 13,267,800
1109	CHINA RES LAND	45,452,291	\$ 1,024,949,162
1111	CHONG HING BANK	0	\$ 0
1112	BIOSTIME	27,299,600	\$ 685,219,960
1113	CK PROPERTY	6,622,065	\$ 346,334,000
1114	BRILLIANCE CHI	200,438,835	\$ 2,669,845,282
1115	TIBET WATER	17,410,000	\$ 56,408,400
1117	CH MODERN D	63,391,498	\$ 122,345,591
1122	QINGLING MOTORS	0	\$ 0
1128	WYNN MACAU	153,072,813	\$ 2,357,321,320
1129	WATER INDUSTRY	8,680,000	\$ 13,020,000
1133	HARBIN ELECTRIC	2,302,000	\$ 9,000,820
1136	TCC INT'L HOLD	1,022,000	\$ 2,503,900
1138	COSCO SHIP ENGY	18,858,445	\$ 89,766,198
1140	OP FIN INV	616,000	\$ 1,589,280
1141	SKYWAY SEC	76,950,000	\$ 24,624,000
1157	ZOOMLION	41,619,862	\$ 186,456,982
1165	SFCE	59,348,044	\$ 39,169,709
1168	SINOLINK HOLD	2,584,000	\$ 2,299,760
1169	HAIER ELEC	7,100,991	\$ 112,195,658
1171	YANZHOU COAL	88,363,947	\$ 548,740,111
1176	ZHUGUANG HOLD	6,562,000	\$ 4,396,540
1177	SINO BIOPHARM	203,807,420	\$ 1,351,243,195
1186	CHINA RAIL CONS	200,875,273	\$ 2,302,030,629
1188	HYBRID KINETIC	164,882,485	\$ 32,976,497
1192	TITAN PETROCHEM	20,240,000	\$ 2,307,360
1193	CHINA RES GAS	62,043,336	\$ 1,743,417,742
1194	MUNSUN CAPITAL	157,948,901	\$ 30,642,087
1196	REALORD GROUP	4,834,000	\$ 24,218,340
1199	COSCO SHIP PORT	30,820,821	\$ 260,744,146
1205	CITIC RESOURCES	59,756,192	\$ 62,744,002
1206	TECHNOVATOR	16,237,827	\$ 51,473,912
1207	SRE GROUP	0	\$ 0
1208	MMG	71,716,188	\$ 227,340,316
1211	BYD COMPANY	117,997,452	\$ 5,239,086,869
1212	LIFESTYLE INT'L	1,009,000	\$ 10,715,580
1219	TENWOW INT'L	1,806,000	\$ 3,088,260



Aggregated Reportable Short Positions of Specified Shares as of 17/03/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	0	\$ 0
1230	YASHILI INT'L	24,710,000	\$ 38,300,500
1233	TIMES PPT	478,000	\$ 2,485,600
1234	CHINA LILANG	324,000	\$ 1,707,480
1236	NATIONAL AGRI	37,012,200	\$ 47,745,738
1238	POWERLONG	6,848,459	\$ 21,435,677
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	0	\$ 0
1253	GREENLAND BROAD	27,792,000	\$ 38,908,800
1270	LANGHAM-SS	0	\$ 0
1282	CHINA GOLDJOY	101,546,891	\$ 73,113,762
1288	ABC	583,295,725	\$ 2,111,530,525
1293	BAOXIN AUTO	10,978,680	\$ 44,024,507
1297	SINOSOFT TECH	19,710,663	\$ 45,925,845
1299	AIA	43,966,833	\$ 2,189,548,283
1302	LIFETECH SCI	32,574,827	\$ 67,755,640
1303	HUILI RES	452,000	\$ 465,560
1308	SITC	7,316,000	\$ 39,506,400
1310	HKBN	8,626,976	\$ 76,348,738
1313	CHINARES CEMENT	43,141,068	\$ 189,389,289
1315	VISION FAME	98,636,000	\$ 106,526,880
1316	NEXTEER	5,235,996	\$ 60,213,954
1317	MAPLELEAF EDU	42,406,000	\$ 270,550,280
1321	CHINA NEWCITY	0	\$ 0
1330	DYNAGREEN ENV	2,869,000	\$ 12,308,010
1332	CHINA TOUYUN	3,120,000	\$ 858,000
1333	CHINA ZHONGWANG	90,390,000	\$ 324,500,100
1336	NCI	21,361,294	\$ 833,090,466
1339	PICC GROUP	98,373,858	\$ 328,568,686
1345	PIONEER PHARM	921,400	\$ 2,662,846
1347	HUA HONG SEMI	683,000	\$ 6,966,600
1349	FUDANZHANGJIANG	1,609,000	\$ 9,621,820
1358	PW MEDTECH	3,641,000	\$ 6,808,670
1359	CHINA CINDA	261,983,990	\$ 838,348,768
1361	361 DEGREES	14,266,249	\$ 39,945,497
1363	CTEG	148,831,551	\$ 285,756,578
1366	JIANGNAN GP	31,345,989	\$ 32,913,288
1368	XTEP INT'L	12,478,316	\$ 46,419,336
1371	CHINA LOTSYN	340,606,061	\$ 80,383,030
1375	CC SECURITIES	31,922,640	\$ 142,055,748



Aggregated Reportable Short Positions of Specified Shares as of 17/03/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1378	CHINAHONGQIAO	90,876,690	\$ 649,768,334
1381	CANVEST ENV	26,635,450	\$ 122,789,425
1382	PACIFICTEXTILES	18,649,568	\$ 168,032,608
1385	SHANGHAI FUDAN	0	\$ 0
1387	RENHE COMM	757,664,323	\$ 159,109,508
1398	ICBC	110,364,580	\$ 569,481,233
1415	COWELL	12,668,000	\$ 30,276,520
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	6,970,000	\$ 19,446,300
1432	CHINA SHENGMU	16,048,000	\$ 32,416,960
1448	FU SHOU YUAN	34,430,195	\$ 158,723,199
1456	GUOLIAN SEC	0	\$ 0
1462	GOLD-FIN HLDG	0	\$ 0
1476	HENGTOU SEC	0	\$ 0
1508	CHINA RE	94,269,475	\$ 175,341,224
1509	HARMONICARE	1,062,000	\$ 4,662,180
1513	LIVZON PHARMA	0	\$ 0
1515	CRPHOENIXHEALTH	37,269,000	\$ 386,106,840
1528	RS MACALLINE	7,547,000	\$ 69,583,340
1530	3SBIO	84,741,718	\$ 830,468,836
1548	GENSCRIPT BIO	0	\$ 0
1558	HEC PHARM	0	\$ 0
1566	CHINA ANIMATION	3,548,000	\$ 11,885,800
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	280,000	\$ 873,600
1585	YADEA	0	\$ 0
1589	CNLP	1,727,000	\$ 5,612,750
1599	UCD	85,000	\$ 437,750
1600	TIAN LUN GAS	0	\$ 0
1616	SILVERMAN HL	3,696,228	\$ 4,176,738
1618	MCC	40,870,708	\$ 126,290,488
1622	REDCO PPT	1,746,800	\$ 5,397,612
1628	YUZHOU PPT	8,732,400	\$ 27,856,356
1636	CMRU	4,792,000	\$ 14,903,120
1658	PSBC	118,490,286	\$ 573,492,984
1661	WISDOM SPORTS	22,492,000	\$ 41,610,200
1666	TONG REN TANG	2,480,169	\$ 35,317,607
1668	CHINASOUTHCITY	115,196,339	\$ 192,377,886
1680	MACAU LEGEND	53,257,693	\$ 72,963,039
1681	CONSUN PHARMA	0	\$ 0
1685	BOER POWER	18,195,000	\$ 55,858,650



Aggregated Reportable Short Positions of Specified Shares as of 17/03/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	35,228,065	\$ 152,889,802
1766	CRRC	382,338,412	\$ 2,959,299,309
1776	GF SEC	43,986,891	\$ 755,694,787
1777	FANTASIA	2,499,000	\$ 3,123,750
1778	COLOUR LIFE	272,800	\$ 1,369,456
1786	CRCCE	3,962,500	\$ 14,265,000
1788	GUOTAI JUNAN I	139,171,197	\$ 372,978,808
1798	DATANG RENEW	1,746,000	\$ 1,379,340
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	76,622,140	\$ 827,519,112
1803	BJ SPORTS & ENT	260,000	\$ 442,000
1811	CGN NEW ENERGY	15,925,600	\$ 19,429,232
1812	CHENMING PAPER	4,806,750	\$ 47,346,488
1813	KWG PROPERTY	14,710,739	\$ 79,143,776
1816	CGN POWER	676,382,785	\$ 1,623,318,684
1818	ZHAOJIN MINING	75,854,131	\$ 569,664,524
1828	DCH HOLDINGS	2,627,000	\$ 9,273,310
1829	CMEC	5,000,000	\$ 28,800,000
1833	INTIME	33,965,900	\$ 329,808,889
1836	STELLA HOLDINGS	5,304,163	\$ 60,891,791
1848	CALC	1,197,500	\$ 11,591,800
1862	JINGRUI HLDGS	0	\$ 0
1880	BELLE INT'L	59,805,846	\$ 324,147,685
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	3,604,000	\$ 64,367,440
1883	CITIC TELECOM	743,000	\$ 1,850,070
1886	HUIYUAN JUICE	0	\$ 0
1888	KB LAMINATES	819,500	\$ 6,949,360
1893	SINOMA	7,899,149	\$ 20,221,821
1898	CHINA COAL	171,917,393	\$ 691,107,920
1899	XINGDA INT'L	13,743,489	\$ 50,026,300
1910	SAMSONITE	35,828,779	\$ 996,040,056
1913	PRADA	12,480,943	\$ 395,021,846
1918	SUNAC	213,871,809	\$ 1,897,042,946
1919	COSCO SHIP HOLD	165,151,664	\$ 589,591,440
1928	SANDS CHINA LTD	89,978,341	\$ 3,158,239,769
1929	CHOW TAI FOOK	24,305,921	\$ 178,648,519
1958	BAIC MOTOR	38,517,100	\$ 320,462,272
1963	BCQ	0	\$ 0
1966	CHINA SCE PPT	951,000	\$ 2,824,470



Aggregated Reportable Short Positions of Specified Shares as of 17/03/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1970	IMAX CHINA	8,166,104	\$ 303,779,069
1972	SWIREPROPERTIES	15,211,202	\$ 359,744,927
1980	TIANGE	11,973,000	\$ 56,752,020
1988	MINSHENG BANK	382,450,077	\$ 3,361,736,177
1999	MAN WAH HLDGS	5,626,920	\$ 33,536,443
2005	SSY GROUP	24,045,524	\$ 69,251,109
2006	JINJIANG HOTELS	16,567,852	\$ 35,952,239
2007	COUNTRY GARDEN	421,572,844	\$ 2,752,870,671
2008	PHOENIX TV	9,564,000	\$ 12,911,400
2009	BBMG	33,630,432	\$ 127,123,033
2014	OZNER WATER	34,009,000	\$ 74,819,800
2016	CZBANK	0	\$ 0
2018	AAC TECH	43,338,523	\$ 3,733,613,756
2020	ANTA SPORTS	82,759,825	\$ 1,982,097,809
2038	FIH	5,264,854	\$ 16,952,830
2039	CIMC	6,452,400	\$ 86,204,064
2066	SHENGJINGBANK	1,445,000	\$ 10,736,350
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	0	\$ 0
2128	CHINA LESSO	18,780,000	\$ 125,450,400
2136	LIFESTYLE CHI	0	\$ 0
2168	YINGDE GASES	7,348,000	\$ 48,202,880
2186	LUYE PHARMA	121,740,643	\$ 585,572,493
2196	FOSUN PHARMA	18,925,176	\$ 529,904,928
2199	REGINA MIRACLE	26,332,000	\$ 161,941,800
2200	HOSA INT'L	7,298,000	\$ 18,317,980
2202	CHINA VANKE	53,879,235	\$ 1,252,692,214
2208	GOLDWIND	42,138,760	\$ 552,017,756
2211	UNI HEALTH	30,213,111	\$ 8,761,802
2226	HONWORLD GP	119,500	\$ 555,675
2233	WESTCHINACEMENT	128,989,250	\$ 152,207,315
2238	GAC GROUP	96,477,396	\$ 1,192,460,615
2255	HAICHANG HLDG	8,145,000	\$ 14,416,650
2277	HUARONG INV	0	\$ 0
2280	HC INTL	23,782,000	\$ 170,992,580
2282	MGM CHINA	45,054,137	\$ 728,975,937
2298	COSMO LADY	74,170,536	\$ 170,592,233
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHO INTL	70,213,338	\$ 3,658,114,910
2314	LEE & MAN PAPER	11,722,001	\$ 74,669,146
2318	PING AN	868,149,253	\$ 37,330,417,879



Aggregated Reportable Short Positions of Specified Shares as of 17/03/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2319	MENGNU DAIRY	53,070,165	\$ 836,385,800
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	10,870,000	\$ 4,565,400
2328	PICC P&C	23,499,573	\$ 299,384,560
2329	GUORUI PPT	3,909,000	\$ 9,928,860
2331	LI NING	63,434,027	\$ 336,200,343
2333	GREATWALL MOTOR	506,221,224	\$ 4,474,995,620
2338	WEICHAI POWER	185,913,492	\$ 2,695,745,634
2342	COMBA	1,686,000	\$ 2,545,860
2343	PACIFIC BASIN	165,238,919	\$ 295,777,665
2348	DAWNRAYS PHARMA	1,170,000	\$ 5,499,000
2355	BAOYE GROUP	0	\$ 0
2356	DAHING BANKING	1,733,419	\$ 27,006,668
2357	AVICHINA	76,517,372	\$ 417,019,677
2369	COOLPAD GROUP	71,145,093	\$ 50,513,016
2378	PRU	0	\$ 0
2380	CHINA POWER	88,870,726	\$ 279,942,787
2382	SUNNY OPTICAL	34,944,180	\$ 1,955,126,871
2383	TOM GROUP	1,857,003	\$ 3,416,886
2386	SINOPEC SEG	11,582,540	\$ 89,533,034
2388	BOC HONG KONG	47,645,959	\$ 1,529,435,284
2389	BJ ENT M&H	14,160,000	\$ 7,221,600
2393	YESTAR HEALTH	13,010,468	\$ 52,692,395
2488	LAUNCH TECH	399,000	\$ 3,682,770
2588	BOC AVIATION	2,212,600	\$ 93,814,240
2600	CHALCO	626,856,771	\$ 2,519,964,219
2601	CPIC	23,747,103	\$ 700,539,539
2607	SH PHARMA	7,126,315	\$ 147,514,721
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	152,699,203	\$ 3,825,115,035
2638	HKELECTRIC-SS	193,145,231	\$ 1,336,564,999
2666	UNI MEDICAL	17,909,260	\$ 129,663,042
2669	CHINA OVS PPT	95,385,130	\$ 147,846,952
2678	TEXHONG TEXTILE	1,047,095	\$ 11,476,161
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	10,800,838	\$ 480,637,291
2689	ND PAPER	80,458,972	\$ 699,993,056
2698	WEIQIAO TEXTILE	0	\$ 0
2727	SH ELECTRIC	325,855,853	\$ 1,332,750,439
2768	JIAYUAN INTL	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 17/03/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2777	R&F PROPERTIES	51,623,879	\$ 670,077,949
2778	CHAMPION REIT	9,187,000	\$ 42,627,680
2799	CHINA HUARONG	206,126,475	\$ 634,869,543
2800	TRACKER FUND	8,733,950	\$ 214,855,170
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2816	X DBCSI300REAL	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	70,211,056	\$ 811,639,807
2823	X ISHARES A50	116,324,197	\$ 1,302,831,006
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	2,198,122	\$ 232,121,683
2829	X ISHARES A FIN	0	\$ 0
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	40,045	\$ 36,300,793
2843	AMUNDI A50	0	\$ 0
2844	X DBCSI300FIN	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	224,176,769	\$ 396,792,881
2868	BJ CAPITAL LAND	9,669,800	\$ 36,455,146
2869	GREENTOWN SER	31,410,000	\$ 90,146,700
2877	SHINEWAY PHARM	3,127,000	\$ 27,517,600
2880	DALIAN PORT	51,069,600	\$ 72,518,832
2883	CHINA OILFIELD	156,675,569	\$ 1,229,903,217
2888	STANCHART	4,011,294	\$ 284,801,874
2899	ZIJIN MINING	152,479,061	\$ 483,358,623



Aggregated Reportable Short Positions of Specified Shares as of 17/03/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3005	X DBCSI300IND	0	\$ 0
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3009	X DBMSCIEM	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3013	X DBMSCIASIAHDY	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3017	X DBCSI300ENER	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3025	X DBCSI300CONDI	0	\$ 0
3026	X DBAUDCASH	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3035	X DBMSCIEMASIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3045	X DBMSCIINDIA	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3052	X DBCSI300UTIL	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3057	X DBCSI300HEAL	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3061	X DBCSI300BANK	0	\$ 0
3062	X DBCSI300MAT	0	\$ 0
3063	X DBCSI300TRANS	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 17/03/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3105	X DBMSCIBANGLA	0	\$ 0
3106	X DBMSCIPAKIST	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3124	F SAM HSIF	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3134	F SAM HSIFRF	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESES50	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 17/03/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	35,630,770	\$ 1,410,978,492
3199	CSOP 5YCVBOND	0	\$ 0
3301	RONSHINECHINA	1,758,500	\$ 11,236,815
3308	GOLDEN EAGLE	32,430,157	\$ 380,081,440
3311	CHINA STATE CON	50,073,232	\$ 689,007,672
3315	GOLDPAC GROUP	889,000	\$ 2,044,700
3323	CNBM	170,529,472	\$ 960,080,927
3328	BANKCOMM	83,816,489	\$ 518,824,067
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	504,141,931	\$ 3,287,005,390
3336	JU TENG INTL	2,090,000	\$ 6,708,900
3337	ANTON OILFIELD	15,716,000	\$ 15,401,680
3339	LONKING	35,063,056	\$ 85,553,857
3360	FE HORIZON	27,441,190	\$ 202,515,982
3368	PARKSON GROUP	45,837,524	\$ 46,295,899
3369	QHD PORT	1,544,000	\$ 2,979,920
3377	SINO-OCEAN GP	25,179,892	\$ 102,733,959
3378	XIAMEN PORT	3,302,000	\$ 5,580,380
3380	LOGAN PPT	11,269,907	\$ 40,120,869
3382	TIANJINPORT DEV	2,420,000	\$ 3,267,000
3383	AGILE GROUP	63,696,677	\$ 419,124,135
3389	HENGDELI	16,938,976	\$ 19,987,992
3393	WASION GROUP	14,372,889	\$ 62,234,609
3396	LEGENDHOLDING	2,759,400	\$ 54,249,804
3606	FUYAO GLASS	29,486,229	\$ 740,104,348
3618	CQRC BANK	47,205,222	\$ 264,349,243
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	0	\$ 0
3639	YIDA CHINA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 17/03/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3669	YONGDA AUTO	2,917,500	\$ 19,430,550
3709	KORADIOR	1,248,000	\$ 10,358,400
3788	CHINA HANKING	1,198,000	\$ 1,569,380
3799	DALI FOODS	67,075,000	\$ 312,569,500
3800	GCL-POLY ENERGY	1,995,148,572	\$ 2,134,808,972
3808	SINOTRUK	32,073,050	\$ 203,663,868
3813	POU SHENG INT'L	61,323,575	\$ 120,807,443
3818	CHINA DONGXIANG	53,114,028	\$ 83,389,024
3836	HARMONY AUTO	18,858,000	\$ 67,134,480
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	4,474,797	\$ 10,292,033
3886	TOWN HEALTH	55,092,702	\$ 68,314,950
3888	KINGSOFT	56,804,784	\$ 1,070,202,131
3898	CRRC TIMES ELEC	32,887,584	\$ 1,427,321,146
3899	CIMC ENRIC	27,550,132	\$ 140,230,172
3900	GREENTOWN CHINA	49,432,090	\$ 391,996,474
3908	CICC	5,381,396	\$ 61,670,798
3918	NAGACORP	3,094,165	\$ 14,047,509
3933	UNITED LAB	131,825,891	\$ 668,357,267
3948	YITAI COAL	0	\$ 0
3958	DFZQ	3,454,800	\$ 27,672,948
3966	CH BAOFENG INTL	0	\$ 0
3968	CM BANK	150,371,512	\$ 3,232,987,508
3969	CHINA CRSC	3,418,030	\$ 21,601,950
3983	CHINA BLUECHEM	35,068,430	\$ 88,723,128
3988	BANK OF CHINA	232,749,773	\$ 924,016,599
3989	CAPITAL ENV	16,278,429	\$ 4,150,999
3993	CMOC	141,217,647	\$ 416,592,059
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	4,484,000	\$ 3,004,280
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	85,793,453	\$ 1,455,056,963
6136	KANGDA ENV	4,240,000	\$ 8,776,800
6138	HARBIN BANK	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 17/03/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6139	JINMAO HOTEL-SS	0	\$ 0
6166	CHINA VAST	0	\$ 0
6178	EB SECURITIES	404,400	\$ 4,868,976
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	0	\$ 0
6808	SUNART RETAIL	145,133,669	\$ 1,049,316,427
6818	CEB BANK	115,868,835	\$ 468,110,093
6823	HKT-SS	22,853,958	\$ 236,309,926
6826	HAOHAI BIOTEC	0	\$ 0
6828	BG BLUE SKY	49,240,000	\$ 28,559,200
6837	HAITONG SEC	86,654,166	\$ 1,240,887,657
6839	YUNNAN WATER	235,000	\$ 949,400
6863	HUIZHAN DAIRY	518,199,300	\$ 1,518,323,949
6869	YOFC	548,500	\$ 9,873,000
6878	DIFFER GROUP	3,350,000	\$ 2,847,500
6881	CGS	98,052,560	\$ 747,160,507
6886	HTSC	29,773,858	\$ 470,426,956
6889	DYNAM JAPAN	454,600	\$ 5,946,168
6899	OURGAME	1,189,000	\$ 3,709,680
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7250	FL2 SAMSUNGK200	0	\$ 0
7255	FL2SAMSUNGTOPIX	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7311	FI SAMSUNGTOPIX	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7326	FI SAMSUNGK200	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 17/03/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7328	FISAMSUNGHSCFI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	3,149,733	\$ 5,197,059
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	5,192,000	\$ 4,672,800
8058	LUOXIN PHARMA	0	\$ 0
8078	HMV DIGIT CHINA	4,640,000	\$ 1,438,400
8083	INNOVATIONPAY	32,656,146	\$ 17,960,880
8127	SEASON PACIFIC	0	\$ 0
8137	HONBRIDGE	1,630,000	\$ 1,809,300
8138	TONGRENTANGCM	0	\$ 0
8158	CRMI	17,540,000	\$ 4,911,200
8193	GC PRO SER	0	\$ 0
8207	CREDIT CHINA	96,320,000	\$ 100,172,800
8237	LINK HOLDINGS	0	\$ 0
8265	POWERWELL PAC	896,000	\$ 2,867,200
8279	AGTECH HOLDINGS	28,147,953	\$ 43,910,807
8325	CHINA SMARTPAY	6,790,000	\$ 9,641,800
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 17/03/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESESE50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHARESMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCVBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0