



Aggregated Reportable Short Positions of Specified Shares as of 21/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	14,489,382	\$ 1,349,685,933
2	CLP HOLDINGS	18,304,138	\$ 1,457,924,592
3	HK & CHINA GAS	243,691,161	\$ 3,747,970,056
4	WHARF HOLDINGS	32,759,947	\$ 2,167,070,494
5	HSBC HOLDINGS	47,168,368	\$ 2,938,589,326
6	POWER ASSETS	14,847,027	\$ 1,002,174,323
8	PCCW	80,330,953	\$ 355,062,812
10	HANG LUNG GROUP	8,170,463	\$ 267,991,186
11	HANG SENG BANK	16,509,968	\$ 2,537,582,082
12	HENDERSON LAND	12,578,322	\$ 616,337,778
14	HYSAN DEV	7,465,847	\$ 274,369,877
16	SHK PPT	14,650,752	\$ 1,706,812,608
17	NEW WORLD DEV	43,367,976	\$ 418,067,289
19	SWIRE PACIFIC A	7,745,822	\$ 573,190,828
20	WHEELLOCK	8,036,722	\$ 494,258,403
23	BANK OF E ASIA	54,454,868	\$ 1,696,269,138
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	60,461,734	\$ 2,554,508,262
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	24,734,000	\$ 26,960,060
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	5,286,000	\$ 22,624,080
39	CH BEIDAHUANG	25,533,714	\$ 10,724,160
41	GREAT EAGLE H	158,707	\$ 6,007,060
43	C.P. POKPHAND	0	\$ 0
44	HAECO	0	\$ 0
45	HK&S HOTELS	1,587,801	\$ 14,480,745
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	656,500	\$ 20,384,325
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,588,500	\$ 45,828,225
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	2,488,000	\$ 671,760
66	MTR CORPORATION	52,840,476	\$ 2,340,833,087
69	SHANGRI-LA ASIA	12,466,992	\$ 135,890,213
71	MIRAMAR HOTEL	160,000	\$ 2,748,800



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	1,602,000	\$ 6,792,480
83	SINO LAND	23,399,369	\$ 301,383,873
85	CHINA ELECTRON	23,579,900	\$ 36,077,247
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	167,000	\$ 1,194,050
95	LVGEM CHINA	2,176,000	\$ 4,199,680
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	26,996,623	\$ 530,753,608
102	SUMMIT ASCENT	69,902,307	\$ 141,901,683
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	10,915,486	\$ 36,894,343
109	GOODRESOURCES	23,097,500	\$ 9,585,463
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	94,034,315	\$ 305,611,524
123	YUEXIU PROPERTY	62,955,400	\$ 84,360,236
127	CHINESE EST H	0	\$ 0
133	CHINA MERCHANTS	194,000	\$ 2,215,480
135	KUNLUN ENERGY	316,914,264	\$ 2,262,767,845
139	CH SOFT POWER	15,932,000	\$ 3,186,400
142	FIRST PACIFIC	11,398,530	\$ 65,199,592
144	CHINA MER PORT	10,296,710	\$ 228,072,127
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	420,800	\$ 11,740,320
151	WANT WANT CHINA	766,606,243	\$ 4,201,002,212
152	SHENZHEN INT'L	8,644,154	\$ 113,929,950
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	1,172,000	\$ 2,730,760
164	CHINA BAOLI TEC	16,225,000	\$ 3,439,700
165	CHINA EB LTD	22,487,948	\$ 383,194,634
168	TSINGTAO BREW	27,957,376	\$ 978,508,160
171	SILVER GRANT	1,842,000	\$ 2,284,080
173	K. WAH INT'L	1,162,194	\$ 5,787,726
175	GEELY AUTO	630,464,716	\$ 7,288,172,117
177	JIANGSU EXPRESS	17,747,267	\$ 195,219,937
178	SA SA INT'L	32,485,242	\$ 99,079,988
179	JOHNSON ELEC H	2,178,206	\$ 52,276,944
182	CONCORD NE	70,010,000	\$ 26,603,800
186	GRANDE HOLDINGS	0	\$ 0
194	LIU CHONG HING	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 21/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	16,745,347	\$ 13,396,278
198	SMI HOLDINGS	247,411,936	\$ 170,714,236
200	MELCO INT'L DEV	23,741,586	\$ 372,268,068
202	EVERCHINA INT'L	0	\$ 0
207	JOY CITY PPT	44,390,000	\$ 53,268,000
215	HUTCHTEL HK	30,388,000	\$ 69,284,640
217	CHINA CHENGTONG	1,266,163	\$ 645,743
218	SHENWANHONGYUAN	8,138,392	\$ 24,659,328
220	U-PRESID CHINA	87,368,292	\$ 489,262,435
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
232	AVIC INT'L	49,054,000	\$ 20,602,680
235	CHINA STRATEGIC	200,382,776	\$ 29,456,268
241	ALI HEALTH	94,043,161	\$ 286,831,641
242	SHUN TAK HOLD	34,935,231	\$ 97,469,294
243	QPL INT'L	0	\$ 0
245	CN MINSHENG FIN	34,448,531	\$ 17,913,236
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	458,000	\$ 8,958,480
256	CITYCHAMP	11,540,000	\$ 18,925,600
257	CHINA EB INT'L	118,565,829	\$ 1,223,599,355
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	94,693,122	\$ 1,034,048,892
268	KINGDEE INT'L	223,821,255	\$ 700,560,528
270	GUANGDONG INV	32,616,377	\$ 388,134,886
272	SHUI ON LAND	60,322,572	\$ 105,564,501
273	MASON FIN	72,113,775	\$ 8,653,653
275	MASTER GLORY GP	59,880,000	\$ 12,155,640
283	GOLDIN PPT	4,598,000	\$ 38,071,440
285	BYD ELECTRONIC	57,140,148	\$ 676,539,352
286	CS HEALTH	0	\$ 0
288	WH GROUP	49,714,007	\$ 345,015,209
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	24,316,788	\$ 463,964,315
293	CATHAY PAC AIR	102,181,044	\$ 1,128,078,726
297	SINOFERT	163,249,347	\$ 161,616,854
299	NEW SPORTS	120,210,000	\$ 8,174,280
303	VTECH HOLDINGS	4,064,953	\$ 405,275,814
308	CHINA TRAVEL HK	167,506,491	\$ 376,889,605



Aggregated Reportable Short Positions of Specified Shares as of 21/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
315	SMARTONE TELE	10,595,838	\$ 108,077,548
316	OOIL	4,733,870	\$ 184,147,543
317	COMEC	10,050,800	\$ 159,204,672
321	TEXWINCA HOLD	12,817,907	\$ 66,781,295
322	TINGYI	226,286,347	\$ 2,308,120,739
323	MAANSHAN IRON	137,822,555	\$ 366,607,996
327	PAX GLOBAL	96,807,726	\$ 459,836,699
330	ESPRIT HOLDINGS	47,981,663	\$ 287,889,978
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	3,931,000	\$ 17,257,090
337	GREENLAND HK	17,582,741	\$ 44,484,335
338	SHANGHAI PECEM	85,100,140	\$ 373,589,615
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	3,865,000	\$ 99,330,500
342	NEWOCEAN ENERGY	48,850,633	\$ 115,776,000
345	VITASOY INT'L	10,553,407	\$ 163,577,809
346	YANCHANG PETRO	0	\$ 0
347	ANGANG STEEL	127,572,594	\$ 671,031,844
354	CHINASOFT INT'L	57,099,559	\$ 258,661,002
358	JIANGXI COPPER	45,307,396	\$ 548,219,492
363	SHANGHAI IND H	869,000	\$ 20,942,900
368	SINOTRANS SHIP	56,741,700	\$ 95,893,473
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	176,968,647	\$ 1,056,502,823
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	5,882,000	\$ 6,352,560
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	70,744,227	\$ 902,696,337
386	SINOPEC CORP	103,831,976	\$ 651,026,490
388	HKEX	38,920,859	\$ 7,426,099,897
390	CHINA RAILWAY	128,049,643	\$ 857,932,608
392	BEIJING ENT	12,771,278	\$ 503,188,353
400	COGOBUY	31,046,658	\$ 339,650,439
404	HSIN CHONG GP	11,523,431	\$ 4,033,201
405	YUEXIU REIT	7,432,000	\$ 33,889,920
410	SOHO CHINA	64,364,689	\$ 272,262,634
412	CHINA INNO FIN	12,455,323	\$ 3,861,150
416	BANKOFJINZHOU	805,000	\$ 7,245,000
419	HUAYI TENCENT	126,523,328	\$ 46,181,015



Aggregated Reportable Short Positions of Specified Shares as of 21/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
425	MINTH GROUP	8,984,525	\$ 275,824,918
433	NORTH MINING	84,583,107	\$ 13,871,630
434	BOYAA	3,947,981	\$ 15,673,485
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	69,199,000	\$ 215,208,890
440	DAH SING	2,354,940	\$ 136,586,520
451	GCL NEWENERGY	113,686,383	\$ 45,474,553
460	SIHUAN PHARM	70,710,195	\$ 236,879,153
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	3,524,000	\$ 13,602,640
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	0	\$ 0
486	RUSAL	0	\$ 0
488	LAI SUN DEV	0	\$ 0
489	DONGFENG GROUP	64,754,328	\$ 530,337,946
493	GOME	1,394,954,746	\$ 1,478,652,031
494	LI & FUNG	682,732,038	\$ 2,198,397,162
497	CSI PROPERTIES	0	\$ 0
506	CHINA FOODS	6,484,000	\$ 19,452,000
511	TVB	6,410,948	\$ 192,648,987
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,818,000	\$ 6,053,940
519	APPLIED DEV	680,000	\$ 442,000
521	HNA HOLDING	38,504,000	\$ 10,396,080
522	ASM PACIFIC	25,586,499	\$ 2,878,481,138
525	GUANGSHEN RAIL	14,834,000	\$ 70,313,160
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	5,350,000	\$ 15,408,000
535	GEMDALE PPT	0	\$ 0
538	AJISEN (CHINA)	0	\$ 0
543	PACIFIC ONLINE	640,000	\$ 1,203,200
546	FUFENG GROUP	63,497,320	\$ 333,995,903
547	DIGITAL DOMAIN	156,635,761	\$ 50,906,622
548	SHENZHENEXPRESS	1,342,000	\$ 9,501,360
551	YUE YUEN IND	8,055,184	\$ 249,307,945
552	CHINACOMSERVICE	6,548,000	\$ 31,168,480
553	NANJING PANDA	216,000	\$ 1,337,040
563	SH IND URBAN	8,776,000	\$ 15,094,720
566	HANERGY TFP	233,428,779	\$ 912,706,526
570	TRAD CHI MED	101,488,199	\$ 451,622,486
576	ZHEJIANGEXPRESS	20,187,200	\$ 188,750,320



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577	THE 13 HOLDINGS	8,237,500	\$ 19,193,375
579	JNCEC	21,631,058	\$ 50,616,676
582	LANDING INTL	101,070,000	\$ 5,356,710
586	CONCH VENTURE	23,801,001	\$ 367,963,475
587	HUA HAN HEALTH	211,770,848	\$ 112,238,549
588	BEIJING N STAR	3,880,000	\$ 11,678,800
590	LUK FOOK HOLD	5,584,064	\$ 151,048,931
598	SINOTRANS	90,131,405	\$ 310,052,033
603	CHINA OIL & GAS	7,200,000	\$ 4,248,000
604	SHENZHEN INVEST	9,942,311	\$ 35,593,473
606	CHINA AGRI	20,416,002	\$ 79,009,928
607	FULLSHARE	620,259,918	\$ 1,786,348,564
621	TAUNG GOLD	9,690,000	\$ 746,130
622	ENERCHINA HOLD	46,722,159	\$ 10,185,431
631	SANY INT'L	11,069,258	\$ 16,935,965
633	CH ALL ACCESS	12,914,000	\$ 29,056,500
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	56,733,288	\$ 79,426,603
651	CHI OCEAN IND	386,694,343	\$ 75,405,397
656	FOSUN INTL	105,383,081	\$ 1,218,228,416
658	C TRANSMISSION	32,446,231	\$ 249,835,979
659	NWS HOLDINGS	1,206,085	\$ 17,174,650
665	HAITONG INT'L	118,259,766	\$ 512,064,787
669	TECHTRONIC IND	11,787,210	\$ 379,548,162
670	CHINA EAST AIR	137,969,995	\$ 591,891,279
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	80,650,000	\$ 21,775,500
683	KERRY PPT	10,555,852	\$ 296,619,441
686	UNITED PV	84,493,818	\$ 104,772,334
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	44,528,529	\$ 1,015,250,461
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	16,954,370	\$ 184,463,546
696	TRAVELSKY TECH	10,478,020	\$ 201,597,105
697	SHOUGANG INT'L	7,068,880	\$ 1,760,151
698	TONGDA GROUP	243,797,680	\$ 668,005,643
699	CAR INC	106,719,435	\$ 781,186,264
700	TENCENT	114,816,913	\$ 27,142,718,233
702	SINO OIL & GAS	86,980,000	\$ 16,439,220
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	7,028,978	\$ 29,310,838



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715	CHINA OCEANWIDE	21,579,019	\$ 16,831,635
716	SINGAMAS CONT	1,315,513	\$ 1,670,702
717	EMPEROR CAPITAL	20,128,044	\$ 12,479,387
718	TAI UNITED HOLD	0	\$ 0
721	C FIN INT INV	57,841,827	\$ 18,220,176
726	CM DRAWIN TECH	0	\$ 0
727	CROWNICORP	1,288,000	\$ 1,803,200
728	CHINA TELECOM	110,175,142	\$ 419,767,291
729	FDG EVEHICLES	427,864,869	\$ 147,613,380
732	TRULY INT'L	166,726,254	\$ 408,479,322
735	C P NEW ENERGY	4,998,621	\$ 23,943,395
737	HOPEWELL INFR	8,857,269	\$ 39,326,274
750	SINGYES SOLAR	47,176,774	\$ 163,231,638
751	SKYWORTHDIGITAL	96,984,767	\$ 425,763,127
752	PICO FAR EAST	508,000	\$ 1,605,280
753	AIR CHINA	50,245,111	\$ 331,617,733
754	HOPSON DEV HOLD	1,396,000	\$ 9,967,440
762	CHINA UNICOM	210,834,685	\$ 2,150,513,787
763	ZTE	40,607,808	\$ 605,868,495
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	8,834,566	\$ 194,360,452
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	71,248,865	\$ 66,973,933
798	CEOVU	0	\$ 0
799	IGG	39,183,870	\$ 409,079,603
801	GOLDEN MEDITECH	6,825,798	\$ 8,600,505
806	VALUE PARTNERS	79,676,259	\$ 569,685,252
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	1,958,000	\$ 13,529,780
813	SHIMAO PROPERTY	14,057,106	\$ 178,806,388
815	CHI SILVER GP	36,275,949	\$ 54,051,164
816	HUADIAN FUXIN	136,318,262	\$ 227,651,498
817	CHINA JINMAO	59,840,465	\$ 152,593,186
818	HI SUN TECH	17,492,181	\$ 23,089,679
819	TIANNENG POWER	36,819,373	\$ 251,844,511
820	HSBCDRAGON FUND	0	\$ 0
823	LINK REIT	35,645,524	\$ 1,990,802,515
826	TIANGONG INT'L	1,410,000	\$ 1,156,200
829	SHENGUAN HLDGS	0	\$ 0
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	0	\$ 0



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836	CHINA RES POWER	18,578,300	\$ 257,123,672
845	GLORIOUS PPT H	19,910,000	\$ 18,118,100
848	MAOYE INT'L	11,250,517	\$ 9,450,434
853	MICROPORT	0	\$ 0
855	CHINA WATER	27,446,000	\$ 140,797,980
856	VST HOLDINGS	0	\$ 0
857	PETROCHINA	140,238,647	\$ 774,117,331
861	DC HOLDINGS	73,562,567	\$ 476,685,434
867	CMS	42,882,565	\$ 579,772,279
868	XINYI GLASS	109,232,183	\$ 749,332,775
874	BAIYUNSHAN PH	2,248,000	\$ 52,603,200
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	51,058,506	\$ 366,600,073
881	ZHONGSHENG HLDG	26,560,153	\$ 293,755,292
882	TIANJIN DEV	2,632,000	\$ 12,475,680
883	CNOOC	138,714,973	\$ 1,255,370,506
884	CIFI HOLD GP	38,178,047	\$ 113,388,800
885	RENTIAN TECH	27,780,000	\$ 11,528,700
895	DONGJIANG ENV	3,774,936	\$ 48,621,176
902	HUANENG POWER	256,243,249	\$ 1,332,464,895
903	TPV TECHNOLOGY	0	\$ 0
904	CHINA GREEN	5,392,000	\$ 258,816
906	COFCO PACKAGING	0	\$ 0
911	QIANHAI HEALTH	128,722,444	\$ 9,911,628
914	ANHUI CONCH	202,921,403	\$ 5,580,338,583
916	CHINA LONGYUAN	66,311,640	\$ 398,532,956
921	HISENSE KELON	1,245,000	\$ 14,367,300
931	CHINA LNG	657,287,895	\$ 109,767,078
933	BRIGHTOIL	48,155,922	\$ 99,682,759
934	SINOPEC KANTONS	29,015,877	\$ 118,094,619
939	CCB	1,041,623,208	\$ 6,437,231,425
941	CHINA MOBILE	15,504,201	\$ 1,300,027,254
950	LEE'S PHARM	1,904,270	\$ 14,091,598
951	CHAOWEI POWER	8,862,791	\$ 42,984,536
952	QUAM	0	\$ 0
956	CHINA SUNTIEN	28,203,094	\$ 46,535,105
958	HN RENEWABLES	221,687,763	\$ 596,340,082
960	LONGFOR PPT	21,762,759	\$ 290,750,460
963	BLOOMAGE BIOTEC	6,445,850	\$ 84,053,884
966	CHINA TAIPING	37,994,673	\$ 702,901,451
968	XINYI SOLAR	350,311,912	\$ 830,239,231



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973	L'OCCITANE	1,715,525	\$ 26,590,638
976	CHIHO-TIANDE	10,157,636	\$ 49,772,416
978	CHI MER LAND	6,726,000	\$ 9,416,400
980	LIANHUA	8,150,168	\$ 29,340,605
981	SMIC	344,378,074	\$ 3,202,716,088
985	NETMIND FIN	0	\$ 0
988	LOUDONG GN RES	0	\$ 0
991	DATANG POWER	50,574,009	\$ 115,814,481
992	LENOVO GROUP	779,142,839	\$ 3,934,671,337
995	ANHUIEXPRESSWAY	102,000	\$ 626,280
996	CARNIVAL GROUP	179,815,223	\$ 143,852,178
998	CITIC BANK	152,935,262	\$ 760,088,252
999	I.T	0	\$ 0
1003	HUANXI MEDIA	2,747,278	\$ 6,208,848
1019	CONVOY	53,359,176	\$ 10,938,631
1027	CHINA JICHENG	80,320,000	\$ 15,260,800
1028	C.BANNER	424,000	\$ 1,267,760
1030	FUTURE LAND	19,012,000	\$ 43,917,720
1031	KINGSTON FIN	112,667,198	\$ 298,568,075
1033	SINOPEC SSC	121,130,242	\$ 178,061,456
1038	CKI HOLDINGS	28,649,802	\$ 1,816,397,447
1044	HENGAN INT'L	55,927,513	\$ 3,291,334,140
1045	APT SATELLITE	1,781,614	\$ 7,750,021
1051	G-RESOURCES	38,637,000	\$ 5,061,447
1052	YUEXIUTRANSPORT	22,300,000	\$ 134,692,000
1053	CHONGQING IRON	3,877,200	\$ 5,815,800
1055	CHINA SOUTH AIR	132,259,357	\$ 694,361,624
1060	ALI PICTURES	897,276,152	\$ 1,139,540,713
1063	SUNCORP TECH	108,273,232	\$ 5,738,481
1065	TIANJIN CAPITAL	2,675,924	\$ 12,871,194
1066	WEIGAO GROUP	40,920,345	\$ 225,061,898
1068	YURUN FOOD	79,267,072	\$ 95,913,157
1070	TCL MULTIMEDIA	23,802,260	\$ 96,161,130
1071	HUADIAN POWER	75,781,654	\$ 243,259,109
1072	DONGFANG ELEC	10,673,711	\$ 73,968,817
1076	IMPERIAL PAC	559,555,712	\$ 77,218,688
1083	TOWNGAS CHINA	25,063,548	\$ 118,049,311
1086	GOODBABY INTL	11,717,172	\$ 40,658,587
1088	CHINA SHENHUA	38,467,298	\$ 684,717,904
1089	LEYOU TECH H	54,370,000	\$ 88,079,400
1093	CSPC PHARMA	58,013,561	\$ 625,386,188



Aggregated Reportable Short Positions of Specified Shares as of 21/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1098	ROAD KING INFRA	337,000	\$ 3,787,880
1099	SINOPHARM	10,652,825	\$ 374,446,799
1101	HUARONG ENERGY	26,719,238	\$ 11,222,080
1103	DASHENG AGR FIN	30,056,000	\$ 23,143,120
1108	LUOYANG GLASS	3,554,000	\$ 18,516,340
1109	CHINA RES LAND	30,192,468	\$ 649,138,062
1111	CHONG HING BANK	0	\$ 0
1112	BIOSTIME	24,831,100	\$ 613,328,170
1113	CK PROPERTY	7,576,326	\$ 415,182,665
1114	BRILLIANCE CHI	163,977,188	\$ 2,026,758,044
1115	TIBET WATER	15,680,000	\$ 50,176,000
1117	CH MODERN D	56,132,662	\$ 93,180,219
1122	QINGLING MOTORS	502,000	\$ 1,234,920
1128	WYNN MACAU	127,713,639	\$ 2,132,817,771
1129	WATER INDUSTRY	8,184,240	\$ 12,685,572
1133	HARBIN ELECTRIC	2,548,000	\$ 12,663,560
1136	TCC INT'L HOLD	0	\$ 0
1138	COSCO SHIP ENGY	32,750,445	\$ 139,516,896
1140	OP FIN INV	1,460,000	\$ 4,029,600
1141	SKYWAY SEC	67,420,000	\$ 27,305,100
1157	ZOOMLION	51,077,812	\$ 196,649,576
1165	SFCE	64,714,827	\$ 33,651,710
1168	SINOLINK HOLD	2,698,000	\$ 2,212,360
1169	HAIER ELEC	5,249,421	\$ 96,589,346
1171	YANZHOU COAL	87,517,668	\$ 600,371,202
1176	ZHUGUANG HOLD	6,696,000	\$ 6,896,880
1177	SINO BIOPHARM	189,093,152	\$ 1,193,177,789
1186	CHINA RAIL CONS	196,170,721	\$ 2,153,954,517
1188	HYBRID KINETIC	185,876,485	\$ 36,245,915
1192	TITAN PETROCHEM	36,700,000	\$ 3,670,000
1193	CHINA RES GAS	44,861,046	\$ 1,195,546,876
1194	MUNSUN CAPITAL	178,484,901	\$ 21,061,218
1196	REALORD GROUP	5,338,000	\$ 23,113,540
1199	COSCO SHIP PORT	33,568,508	\$ 282,311,152
1205	CITIC RESOURCES	53,536,192	\$ 51,930,106
1206	TECHNOVATOR	21,784,000	\$ 64,262,800
1207	SRE GROUP	0	\$ 0
1208	MMG	72,250,445	\$ 188,573,661
1211	BYD COMPANY	111,473,121	\$ 5,083,174,318
1212	LIFESTYLE INT'L	983,500	\$ 10,759,490
1219	TENWOW INT'L	3,431,000	\$ 5,695,460



Aggregated Reportable Short Positions of Specified Shares as of 21/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	5,683,000	\$ 11,479,660
1230	YASHILI INT'L	28,664,000	\$ 39,842,960
1233	TIMES PPT	0	\$ 0
1234	CHINA LILANG	0	\$ 0
1236	NATIONAL AGRI	37,194,202	\$ 44,261,100
1238	POWERLONG	4,988,371	\$ 16,411,741
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	0	\$ 0
1253	GREENLAND BROAD	30,572,000	\$ 47,692,320
1270	LANGHAM-SS	0	\$ 0
1282	CHINA GOLDJOY	107,652,000	\$ 67,820,760
1288	ABC	519,086,622	\$ 1,816,803,177
1293	BAOXIN AUTO	10,118,971	\$ 38,452,090
1297	SINOSOFT TECH	29,898,976	\$ 71,757,542
1299	AIA	63,405,291	\$ 3,154,413,227
1302	LIFETECH SCI	37,243,710	\$ 74,487,420
1303	HUILI RES	370,000	\$ 355,200
1308	SITC	4,900,505	\$ 26,658,747
1310	HKBN	8,370,595	\$ 68,722,585
1313	CHINARES CEMENT	39,482,068	\$ 178,853,768
1315	VISION FAME	99,484,000	\$ 101,473,680
1316	NEXTEER	12,462,996	\$ 147,063,353
1317	MAPLELEAF EDU	44,425,933	\$ 267,444,117
1321	CHINA NEWCITY	0	\$ 0
1330	DYNAGREEN ENV	2,772,000	\$ 12,474,000
1332	CHINA TOUYUN	3,530,000	\$ 1,006,050
1333	CHINA ZHONGWANG	97,156,800	\$ 337,134,096
1336	NCI	13,074,297	\$ 481,787,844
1339	PICC GROUP	93,293,820	\$ 291,076,718
1345	PIONEER PHARM	572,400	\$ 1,762,992
1347	HUA HONG SEMI	243,000	\$ 2,595,240
1349	FUDANZHANGJIANG	2,698,500	\$ 17,027,535
1358	PW MEDTECH	3,970,000	\$ 7,384,200
1359	CHINA CINDA	170,803,723	\$ 495,330,797
1361	361 DEGREES	18,932,249	\$ 52,063,685
1363	CTEG	179,823,393	\$ 253,550,984
1366	JIANGNAN GP	43,112,000	\$ 44,836,480
1368	XTEP INT'L	36,319,186	\$ 111,499,901
1371	CHINA LOTSYN	289,025,709	\$ 70,233,247
1375	CC SECURITIES	42,595,640	\$ 170,382,560



Aggregated Reportable Short Positions of Specified Shares as of 21/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1378	CHINAHONGQIAO	101,151,585	\$ 713,118,674
1381	CANVEST ENV	14,714,861	\$ 66,952,618
1382	PACIFICTEXTILES	18,174,219	\$ 154,299,119
1385	SHANGHAI FUDAN	0	\$ 0
1387	RENHE COMM	760,534,323	\$ 146,022,590
1398	ICBC	110,237,867	\$ 546,779,820
1415	COWELL	13,911,000	\$ 32,969,070
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	17,916,000	\$ 43,177,560
1432	CHINA SHENGMU	15,785,000	\$ 30,780,750
1448	FU SHOU YUAN	21,838,195	\$ 110,064,503
1456	GUOLIAN SEC	0	\$ 0
1462	GOLD-FIN HLDG	0	\$ 0
1476	HENGTOU SEC	0	\$ 0
1508	CHINA RE	84,429,000	\$ 150,283,620
1509	HARMONICARE	1,502,000	\$ 5,992,980
1513	LIVZON PHARMA	0	\$ 0
1515	CRPHOENIXHEALTH	57,870,555	\$ 579,862,961
1528	RS MACALLINE	1,181,400	\$ 10,041,900
1530	3SBIO	79,036,177	\$ 804,588,282
1548	GENSCRIPT BIO	0	\$ 0
1558	HEC PHARM	0	\$ 0
1566	CHINA ANIMATION	4,988,120	\$ 15,912,103
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	0	\$ 0
1585	YADEA	810,000	\$ 1,328,400
1589	CNLP	11,912,000	\$ 33,472,720
1599	UCD	85,000	\$ 416,500
1600	TIAN LUN GAS	0	\$ 0
1616	SILVERMAN HL	3,762,228	\$ 3,950,339
1618	MCC	40,567,450	\$ 121,702,350
1622	REDCO PPT	1,994,800	\$ 6,243,724
1628	YUZHOU PPT	4,983,000	\$ 17,440,500
1636	CMRU	3,028,000	\$ 9,295,960
1658	PSBC	144,747,842	\$ 717,949,296
1661	WISDOM SPORTS	37,824,000	\$ 57,492,480
1666	TONG REN TANG	5,252,969	\$ 68,918,953
1668	CHINASOUTHCITY	115,199,000	\$ 172,798,500
1680	MACAU LEGEND	51,311,693	\$ 72,862,604
1681	CONSUN PHARMA	255,000	\$ 1,354,050
1685	BOER POWER	22,596,550	\$ 58,299,099



Aggregated Reportable Short Positions of Specified Shares as of 21/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	38,243,500	\$ 169,801,140
1766	CRRC	371,777,993	\$ 2,803,206,067
1776	GF SEC	49,084,371	\$ 773,569,687
1777	FANTASIA	7,810,500	\$ 9,763,125
1778	COLOUR LIFE	1,541,800	\$ 6,907,264
1786	CRCCE	5,663,412	\$ 21,181,161
1788	GUOTAI JUNAN I	170,414,658	\$ 412,403,472
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	79,647,300	\$ 872,934,408
1803	BJ SPORTS & ENT	577,500	\$ 1,022,175
1811	CGN NEW ENERGY	25,257,600	\$ 30,309,120
1812	CHENMING PAPER	4,261,050	\$ 41,673,069
1813	KWG PROPERTY	24,185,844	\$ 141,970,904
1816	CGN POWER	549,807,424	\$ 1,275,553,224
1818	ZHAOJIN MINING	79,356,631	\$ 557,083,550
1828	DCH HOLDINGS	3,927,000	\$ 12,998,370
1829	CMEC	6,152,000	\$ 36,481,360
1833	INTIME	15,877,651	\$ 156,077,309
1836	STELLA HOLDINGS	5,477,172	\$ 73,941,822
1848	CALC	225,500	\$ 2,110,680
1862	JINGRUI HLDGS	0	\$ 0
1880	BELLE INT'L	55,962,684	\$ 294,923,345
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	1,725,351	\$ 32,436,599
1883	CITIC TELECOM	5,709,000	\$ 13,701,600
1886	HUIYUAN JUICE	2,132,000	\$ 6,140,160
1888	KB LAMINATES	1,526,000	\$ 14,466,480
1893	SINOMA	12,687,149	\$ 35,397,146
1898	CHINA COAL	159,789,229	\$ 623,177,993
1899	XINGDA INT'L	14,054,040	\$ 42,162,120
1910	SAMSONITE	35,699,918	\$ 1,017,447,663
1913	PRADA	14,772,825	\$ 497,105,561
1918	SUNAC	220,423,213	\$ 2,446,697,664
1919	COSCO SHIP HOLD	165,244,326	\$ 546,958,719
1928	SANDS CHINA LTD	97,414,158	\$ 3,506,909,688
1929	CHOW TAI FOOK	11,428,095	\$ 96,453,122
1958	BAIC MOTOR	53,072,731	\$ 419,274,575
1963	BCQ	0	\$ 0
1966	CHINA SCE PPT	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 21/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1970	IMAX CHINA	8,443,340	\$ 301,849,405
1972	SWIREPROPERTIES	21,692,202	\$ 553,151,151
1980	TIANGE	20,637,494	\$ 112,474,342
1988	MINSHENG BANK	436,973,604	\$ 3,465,200,680
1999	MAN WAH HLDGS	8,425,147	\$ 52,572,917
2005	SSY GROUP	17,009,230	\$ 52,728,613
2006	JINJIANG HOTELS	33,384,498	\$ 70,775,136
2007	COUNTRY GARDEN	387,405,655	\$ 2,983,023,544
2008	PHOENIX TV	10,556,000	\$ 14,567,280
2009	BBMG	212,474,407	\$ 873,269,813
2014	OZNER WATER	33,291,000	\$ 65,583,270
2016	CZBANK	0	\$ 0
2018	AAC TECH	55,775,186	\$ 5,912,169,716
2020	ANTA SPORTS	75,423,608	\$ 1,693,260,000
2038	FIH	7,832,854	\$ 21,148,706
2039	CIMC	6,042,827	\$ 80,248,743
2066	SHENGJINGBANK	1,445,000	\$ 9,638,150
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	0	\$ 0
2128	CHINA LESSO	17,785,000	\$ 114,179,700
2136	LIFESTYLE CHI	0	\$ 0
2168	YINGDE GASES	6,640,000	\$ 39,640,800
2186	LUYE PHARMA	137,310,937	\$ 626,137,873
2196	FOSUN PHARMA	16,756,347	\$ 494,312,237
2199	REGINA MIRACLE	24,467,000	\$ 144,844,640
2200	HOSA INT'L	8,340,090	\$ 20,683,423
2202	CHINA VANKE	48,667,327	\$ 1,000,113,570
2208	GOLDWIND	42,934,327	\$ 478,288,403
2211	UNI HEALTH	32,750,111	\$ 8,122,028
2226	HONWORLD GP	119,500	\$ 501,900
2233	WESTCHINACEMENT	118,047,093	\$ 139,295,570
2238	GAC GROUP	95,136,435	\$ 1,143,539,949
2255	HAICHANG HLDG	6,720,129	\$ 11,491,421
2277	HUARONG INV	0	\$ 0
2280	HC INTL	27,298,000	\$ 191,086,000
2282	MGM CHINA	55,183,922	\$ 962,407,600
2298	COSMO LADY	76,906,664	\$ 181,499,727
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHO INTL	72,972,687	\$ 3,736,201,574
2314	LEE & MAN PAPER	19,214,161	\$ 119,319,940
2318	PING AN	980,386,975	\$ 41,176,252,950



Aggregated Reportable Short Positions of Specified Shares as of 21/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2319	MENGNU DAIRY	63,848,525	\$ 953,896,964
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	42,422,035	\$ 17,180,924
2328	PICC P&C	57,628,168	\$ 671,944,439
2329	GUORUI PPT	5,211,000	\$ 12,245,850
2331	LI NING	94,296,650	\$ 459,224,686
2333	GREATWALL MOTOR	599,314,773	\$ 5,513,695,912
2338	WEICHAI POWER	160,939,756	\$ 2,079,341,648
2342	COMBA	6,008,586	\$ 6,909,874
2343	PACIFIC BASIN	197,185,185	\$ 301,693,333
2348	DAWNRAYS PHARMA	502,000	\$ 2,334,300
2355	BAOYE GROUP	0	\$ 0
2356	DAHING BANKING	713,997	\$ 10,952,714
2357	AVICHINA	112,001,374	\$ 592,487,268
2369	COOLPAD GROUP	80,300,148	\$ 57,816,107
2378	PRU	0	\$ 0
2380	CHINA POWER	85,548,215	\$ 247,234,341
2382	SUNNY OPTICAL	33,773,178	\$ 2,024,702,021
2383	TOM GROUP	1,903,003	\$ 3,634,736
2386	SINOPEC SEG	7,664,653	\$ 58,404,656
2388	BOC HONG KONG	47,899,782	\$ 1,477,708,275
2389	BJ ENT M&H	27,924,000	\$ 16,475,160
2393	YESTAR HEALTH	4,770,468	\$ 18,223,188
2488	LAUNCH TECH	495,000	\$ 5,454,900
2588	BOC AVIATION	1,808,578	\$ 74,875,129
2600	CHALCO	605,668,017	\$ 2,452,955,469
2601	CPIC	23,808,052	\$ 666,625,456
2607	SH PHARMA	8,720,821	\$ 172,149,007
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	97,594,168	\$ 2,215,387,614
2638	HKELECTRIC-SS	191,740,787	\$ 1,301,919,944
2666	UNI MEDICAL	23,196,547	\$ 153,097,210
2669	CHINA OVS PPT	91,915,700	\$ 125,924,509
2678	TEXHONG TEXTILE	3,154,095	\$ 30,910,131
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	11,691,462	\$ 500,394,574
2689	ND PAPER	77,577,338	\$ 653,976,959
2698	WEIQIAO TEXTILE	0	\$ 0
2727	SH ELECTRIC	328,099,088	\$ 1,243,495,544
2768	JIAYUAN INTL	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 21/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2777	R&F PROPERTIES	55,425,153	\$ 740,480,044
2778	CHAMPION REIT	9,187,000	\$ 45,659,390
2799	CHINA HUARONG	209,414,796	\$ 701,539,567
2800	TRACKER FUND	8,201,450	\$ 199,295,235
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2816	X DBCSI300REAL	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	67,897,884	\$ 784,899,539
2823	X ISHARES A50	114,791,778	\$ 1,283,372,078
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	1,538,400	\$ 155,532,240
2829	X ISHARES A FIN	0	\$ 0
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	100,000	\$ 94,700,000
2843	AMUNDI A50	0	\$ 0
2844	X DBCSI300FIN	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	194,371,818	\$ 324,600,936
2868	BJ CAPITAL LAND	12,279,800	\$ 46,540,442
2869	GREENTOWN SER	19,040,000	\$ 55,596,800
2877	SHINEWAY PHARM	2,278,000	\$ 20,046,400
2880	DALIAN PORT	54,567,030	\$ 76,393,842
2883	CHINA OILFIELD	152,121,428	\$ 1,095,274,282
2888	STANCHART	2,415,517	\$ 164,859,035
2899	ZIJIN MINING	162,777,611	\$ 467,171,744



Aggregated Reportable Short Positions of Specified Shares as of 21/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3005	X DBCSI300IND	0	\$ 0
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3009	X DBMSCIEM	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3013	X DBMSCIASIAHDY	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3017	X DBCSI300ENER	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3025	X DBCSI300CONDI	0	\$ 0
3026	X DBAUDCASH	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3035	X DBMSCIEMASIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3045	X DBMSCIINDIA	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3052	X DBCSI300UTIL	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3057	X DBCSI300HEAL	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3061	X DBCSI300BANK	0	\$ 0
3062	X DBCSI300MAT	0	\$ 0
3063	X DBCSI300TRANS	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 21/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3105	X DBMSCIBANGLA	0	\$ 0
3106	X DBMSCIPAKIST	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	1,622,230	\$ 140,404,007
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3124	F SAM HSIF	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3134	F SAM HSIFRF	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESES50	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 21/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	24,211,461	\$ 969,669,013
3199	CSOP 5YCVBOND	0	\$ 0
3301	RONSHINECHINA	3,710,500	\$ 25,342,715
3308	GOLDEN EAGLE	31,413,957	\$ 367,543,297
3311	CHINA STATE CON	48,739,774	\$ 696,003,973
3315	GOLDPAC GROUP	576,000	\$ 1,578,240
3323	CNBM	155,258,105	\$ 836,841,186
3328	BANKCOMM	95,251,982	\$ 558,176,615
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	587,990,605	\$ 5,309,555,163
3336	JU TENG INTL	4,596,000	\$ 12,868,800
3337	ANTON OILFIELD	36,574,000	\$ 31,087,900
3339	LONKING	36,774,400	\$ 80,903,680
3360	FE HORIZON	34,409,286	\$ 237,079,981
3368	PARKSON GROUP	40,109,318	\$ 39,708,225
3369	QHD PORT	2,766,000	\$ 6,334,140
3377	SINO-OCEAN GP	22,826,891	\$ 89,024,875
3378	XIAMEN PORT	2,350,000	\$ 3,760,000
3380	LOGAN PPT	17,142,953	\$ 78,514,725
3382	TIANJINPORT DEV	2,420,000	\$ 3,339,600
3383	AGILE GROUP	112,530,741	\$ 800,093,569
3389	HENGDELI	13,328,000	\$ 13,061,440
3393	WASION GROUP	39,482,889	\$ 148,060,834
3396	LEGENDHOLDING	2,806,100	\$ 64,119,385
3606	FUYAO GLASS	32,177,730	\$ 880,060,916
3618	CQRC BANK	44,570,395	\$ 242,462,949
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	349,800	\$ 7,048,470
3639	YIDA CHINA	0	\$ 0



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3669	YONGDA AUTO	15,982,000	\$ 120,184,640
3709	KORADIOR	1,283,000	\$ 10,777,200
3788	CHINA HANKING	588,000	\$ 735,000
3799	DALI FOODS	64,384,500	\$ 291,661,785
3800	GCL-POLY ENERGY	2,123,993,474	\$ 1,996,553,866
3808	SINOTRUK	37,593,075	\$ 200,747,021
3813	POU SHENG INT'L	85,007,322	\$ 141,112,155
3818	CHINA DONGXIANG	34,260,022	\$ 51,732,633
3836	HARMONY AUTO	38,344,891	\$ 110,816,735
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	2,528,853	\$ 5,942,805
3886	TOWN HEALTH	82,990,669	\$ 102,078,523
3888	KINGSOFT	58,902,306	\$ 1,192,771,697
3898	CRRC TIMES ELEC	28,942,408	\$ 1,189,532,969
3899	CIMC ENRIC	22,796,132	\$ 106,913,859
3900	GREENTOWN CHINA	66,150,455	\$ 502,081,953
3908	CICC	5,950,596	\$ 68,431,854
3918	NAGACORP	7,521,111	\$ 32,115,144
3933	UNITED LAB	132,810,990	\$ 672,023,609
3948	YITAI COAL	0	\$ 0
3958	DFZQ	1,463,200	\$ 10,930,104
3966	CH BAOFENG INTL	0	\$ 0
3968	CM BANK	157,437,805	\$ 3,195,987,442
3969	CHINA CRSC	1,098,000	\$ 6,763,680
3983	CHINA BLUECHEM	26,082,430	\$ 57,120,522
3988	BANK OF CHINA	170,422,601	\$ 627,155,172
3989	CAPITAL ENV	7,594,429	\$ 1,837,852
3993	CMOC	137,043,797	\$ 338,498,179
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	4,484,000	\$ 2,780,080
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	102,509,929	\$ 1,627,857,673
6136	KANGDA ENV	4,053,000	\$ 7,579,110
6138	HARBIN BANK	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6139	JINMAO HOTEL-SS	0	\$ 0
6166	CHINA VAST	0	\$ 0
6178	EB SECURITIES	150,396	\$ 1,747,602
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	0	\$ 0
6808	SUNART RETAIL	153,798,562	\$ 1,236,540,438
6818	CEB BANK	118,214,812	\$ 429,119,768
6823	HKT-SS	18,135,812	\$ 180,451,329
6826	HAOHAI BIOTEC	0	\$ 0
6828	BG BLUE SKY	44,424,000	\$ 25,765,920
6837	HAITONG SEC	92,986,925	\$ 1,162,336,563
6839	YUNNAN WATER	164,000	\$ 618,280
6863	HUIZHAN DAIRY	433,076,569	\$ 181,892,159
6869	YOFC	5,636,500	\$ 84,322,040
6878	DIFFER GROUP	7,813,128	\$ 5,469,190
6881	CGS	111,182,547	\$ 778,277,829
6886	HTSC	38,812,421	\$ 571,318,837
6889	DYNAM JAPAN	0	\$ 0
6899	OURGAME	1,848,000	\$ 5,414,640
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7250	FL2 SAMSUNGK200	0	\$ 0
7255	FL2SAMSUNGTOPIX	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7311	FI SAMSUNGTOPIX	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 21/04/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7326	FI SAMSUNGK200	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	3,457,733	\$ 4,495,053
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	11,096,000	\$ 9,764,480
8058	LUOXIN PHARMA	0	\$ 0
8078	HMV DIGIT CHINA	5,520,000	\$ 1,656,000
8083	INNOVATIONPAY	32,956,146	\$ 17,137,196
8127	SEASON PACIFIC	0	\$ 0
8137	HONBRIDGE	2,300,000	\$ 2,070,000
8138	TONGRENTANGCM	320,000	\$ 3,712,000
8158	CRMI	24,220,000	\$ 6,418,300
8193	GC PRO SER	0	\$ 0
8207	CREDIT CHINA	112,680,000	\$ 117,187,200
8237	LINK HOLDINGS	0	\$ 0
8265	POWERWELL PAC	708,000	\$ 2,350,560
8279	AGTECH HOLDINGS	31,464,000	\$ 47,196,000
8325	CHINA SMARTPAY	7,480,000	\$ 9,724,000
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	354,120	\$ 30,549,932
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0



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82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESE50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHARESMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARES KKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	7,243,200	\$ 286,033,968
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0