



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	16,043,846	\$ 1,630,054,754
2	CLP HOLDINGS	19,439,504	\$ 1,611,534,882
3	HK & CHINA GAS	244,493,752	\$ 3,848,331,656
4	WHARF HOLDINGS	30,636,333	\$ 2,020,466,161
5	HSBC HOLDINGS	27,175,562	\$ 1,850,655,772
6	POWER ASSETS	18,410,571	\$ 1,309,912,127
8	PCCW	65,634,942	\$ 300,608,034
10	HANG LUNG GROUP	7,197,574	\$ 228,882,853
11	HANG SENG BANK	14,344,475	\$ 2,336,714,978
12	HENDERSON LAND	11,207,307	\$ 556,442,793
14	HYSAN DEV	7,319,548	\$ 268,627,412
16	SHK PPT	16,498,206	\$ 1,913,791,896
17	NEW WORLD DEV	48,880,545	\$ 480,495,757
19	SWIRE PACIFIC A	7,035,069	\$ 527,630,175
20	WHEELLOCK	7,613,941	\$ 456,836,460
23	BANK OF E ASIA	56,087,358	\$ 1,831,252,239
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	68,896,552	\$ 2,793,755,184
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	23,452,000	\$ 24,155,560
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	6,000,000	\$ 24,120,000
39	CH BEIDAHUANG	26,229,714	\$ 10,491,886
41	GREAT EAGLE H	158,707	\$ 6,110,220
43	C.P. POKPHAND	0	\$ 0
44	HAECO	0	\$ 0
45	HK&S HOTELS	1,583,301	\$ 14,408,039
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	489,500	\$ 15,982,175
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,603,500	\$ 46,581,675
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	2,450,000	\$ 661,500
66	MTR CORPORATION	54,390,265	\$ 2,537,305,862
69	SHANGRI-LA ASIA	12,243,446	\$ 136,391,988
71	MIRAMAR HOTEL	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	3,182,000	\$ 11,900,680
83	SINO LAND	25,655,062	\$ 340,699,223
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	175,000	\$ 1,312,500
95	LVGEM CHINA	2,560,000	\$ 5,273,600
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	25,225,102	\$ 482,303,950
102	SUMMIT ASCENT	69,666,929	\$ 138,637,189
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	10,698,000	\$ 34,661,520
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	81,550,760	\$ 236,497,204
123	YUEXIU PROPERTY	73,676,600	\$ 90,622,218
127	CHINESE EST H	0	\$ 0
129	ASIA STANDARD	0	\$ 0
133	CHINA MERCHANTS	194,000	\$ 2,254,280
135	KUNLUN ENERGY	309,014,504	\$ 2,175,462,108
136	HENGTEN NET	0	\$ 0
142	FIRST PACIFIC	13,571,094	\$ 78,169,501
144	CHINA MER PORT	11,736,851	\$ 254,102,824
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	659,300	\$ 19,350,455
151	WANT WANT CHINA	797,205,954	\$ 4,336,800,390
152	SHENZHEN INT'L	7,171,040	\$ 94,657,728
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	2,040,000	\$ 4,855,200
164	CHINA BAOLI TEC	16,291,229	\$ 3,176,790
165	CHINA EB LTD	20,242,000	\$ 349,781,760
168	TSINGTAO BREW	25,423,111	\$ 859,301,152
171	SILVER GRANT	1,850,000	\$ 2,146,000
173	K. WAH INT'L	1,386,767	\$ 6,906,100
175	GEELY AUTO	668,783,655	\$ 7,436,874,244
177	JIANGSU EXPRESS	19,899,267	\$ 217,299,996
178	SA SA INT'L	32,685,216	\$ 107,861,213
179	JOHNSON ELEC H	2,114,706	\$ 50,647,209
182	CONCORD NE	74,670,000	\$ 26,134,500
186	GRANDE HOLDINGS	0	\$ 0
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	21,005,347	\$ 15,333,903



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
198	SMI HOLDINGS	270,647,846	\$ 192,159,971
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	20,146,724	\$ 350,150,063
202	EVERCHINA INT'L	0	\$ 0
207	JOY CITY PPT	38,800,000	\$ 46,948,000
215	HUTCHTEL HK	28,552,000	\$ 64,813,040
217	CHINA CHENGTONG	1,266,163	\$ 544,450
218	SHENWANHONGYUAN	8,035,000	\$ 23,542,550
220	U-PRESID CHINA	90,449,980	\$ 514,660,386
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
232	AVIC INT'L	51,294,000	\$ 22,569,360
235	CHINA STRATEGIC	199,013,308	\$ 28,657,916
241	ALI HEALTH	120,246,000	\$ 389,597,040
242	SHUN TAK HOLD	36,745,597	\$ 102,520,216
243	QPL INT'L	0	\$ 0
245	CN MINSHENG FIN	39,428,531	\$ 20,108,551
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	458,000	\$ 6,128,040
256	CITYCHAMP	13,432,000	\$ 22,700,080
257	CHINA EB INT'L	104,405,568	\$ 1,044,055,680
258	TOMSON GROUP	0	\$ 0
259	YEEBO (INT'L H)	0	\$ 0
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	85,262,228	\$ 978,810,377
268	KINGDEE INT'L	208,876,175	\$ 666,314,998
270	GUANGDONG INV	24,365,701	\$ 276,794,363
272	SHUI ON LAND	56,825,353	\$ 97,739,607
273	MASON FIN	91,514,585	\$ 10,524,177
275	MASTER GLORY GP	62,580,000	\$ 12,203,100
283	GOLDIN PPT	3,544,000	\$ 31,789,680
285	BYD ELECTRONIC	69,086,483	\$ 913,323,305
286	CS HEALTH	0	\$ 0
288	WH GROUP	157,868,835	\$ 1,078,244,143
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	27,405,513	\$ 503,713,329
293	CATHAY PAC AIR	103,204,908	\$ 1,124,933,497
297	SINOFERT	175,067,347	\$ 176,818,020
299	NEW SPORTS	121,250,000	\$ 6,305,000
303	VTECH HOLDINGS	3,678,821	\$ 377,447,035



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
308	CHINA TRAVEL HK	169,522,491	\$ 372,949,480
315	SMARTONE TELE	11,712,948	\$ 128,608,169
316	OOIL	7,209,001	\$ 299,173,542
317	COMEC	14,944,200	\$ 204,735,540
321	TEXWINCA HOLD	12,689,907	\$ 65,479,920
322	TINGYI	204,833,403	\$ 2,097,494,047
323	MAANSHAN IRON	124,622,067	\$ 316,540,050
327	PAX GLOBAL	97,785,547	\$ 455,680,649
329	DRAGONITE INT'L	0	\$ 0
330	ESPRIT HOLDINGS	49,538,514	\$ 295,744,929
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	3,401,000	\$ 14,182,170
337	GREENLAND HK	20,784,766	\$ 57,573,802
338	SHANGHAI PEICHEM	69,757,894	\$ 306,237,155
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	3,865,000	\$ 100,683,250
342	NEWOCEAN ENERGY	47,591,714	\$ 120,882,954
345	VITASOY INT'L	10,241,407	\$ 157,103,183
347	ANGANG STEEL	109,923,708	\$ 535,328,458
354	CHINASOFT INT'L	52,084,000	\$ 229,169,600
358	JIANGXI COPPER	51,523,542	\$ 594,581,675
363	SHANGHAI IND H	1,055,000	\$ 25,794,750
368	SINOTRANS SHIP	54,514,000	\$ 88,312,680
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	183,348,614	\$ 1,076,256,364
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
377	HUAJUN HOLD	0	\$ 0
378	FDG KINETIC	5,120,000	\$ 5,939,200
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	68,257,584	\$ 832,742,525
386	SINOPEC CORP	95,246,175	\$ 595,288,594
388	HKEX	38,156,681	\$ 7,459,631,136
390	CHINA RAILWAY	131,975,090	\$ 874,994,847
392	BEIJING ENT	7,998,500	\$ 298,743,975
400	COGOBUY	32,073,014	\$ 343,822,710
404	HSIN CHONG GP	11,523,525	\$ 4,033,234
405	YUEXIU REIT	6,867,000	\$ 31,382,190
410	SOHO CHINA	76,679,191	\$ 323,586,186
412	CHINA INNO FIN	12,359,323	\$ 4,202,170



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	805,000	\$ 7,261,100
419	HUAYI TENCENT	129,753,328	\$ 48,008,731
425	MINTH GROUP	12,711,473	\$ 392,784,516
433	NORTH MINING	91,494,082	\$ 14,639,053
434	BOYAA	6,381,981	\$ 23,740,969
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	71,148,000	\$ 212,021,040
440	DAH SING	2,043,027	\$ 121,560,107
451	GCL NEWENERGY	124,308,528	\$ 48,480,326
460	SIHUAN PHARM	98,508,195	\$ 355,614,584
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	2,465,000	\$ 9,909,300
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	0	\$ 0
486	RUSAL	0	\$ 0
488	LAI SUN DEV	0	\$ 0
489	DONGFENG GROUP	73,422,869	\$ 622,625,929
493	GOME	1,405,964,249	\$ 1,420,023,891
494	LI & FUNG	669,436,330	\$ 2,135,501,893
496	KASEN	0	\$ 0
497	CSI PROPERTIES	0	\$ 0
506	CHINA FOODS	10,670,000	\$ 31,049,700
511	TVB	6,545,048	\$ 195,696,935
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,868,000	\$ 6,239,120
520	XIABUXIABU	0	\$ 0
521	HNA HOLDING	55,424,000	\$ 14,410,240
522	ASM PACIFIC	26,187,638	\$ 3,111,091,394
525	GUANGSHEN RAIL	23,757,900	\$ 99,070,443
526	LISI GP HOLD	0	\$ 0
527	RUIFENG RENEW	0	\$ 0
530	GOLDIN FIN HOLD	4,266,000	\$ 12,755,340
535	GEMDALE PPT	0	\$ 0
538	AJISEN (CHINA)	223,000	\$ 733,670
546	FUFENG GROUP	21,077,422	\$ 109,602,594
547	DIGITAL DOMAIN	161,005,761	\$ 56,352,016
548	SHENZHENEXPRESS	1,178,000	\$ 8,375,580
551	YUE YUEN IND	7,373,618	\$ 241,854,670
552	CHINACOMSERVICE	12,848,343	\$ 60,130,245
553	NANJING PANDA	216,000	\$ 1,084,320



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
555	REXLOT HOLDINGS	6,350,000	\$ 730,250
563	SH IND URBAN	10,938,200	\$ 17,829,266
566	HANERGY TFP	233,428,779	\$ 912,706,526
570	TRAD CHI MED	87,797,781	\$ 381,920,347
576	ZHEJIANGEXPRESS	24,553,800	\$ 228,104,802
577	THE 13 HOLDINGS	9,019,500	\$ 19,391,925
579	JNCEC	24,695,058	\$ 56,057,782
580	SUN.KING ELEC	0	\$ 0
586	CONCH VENTURE	24,796,501	\$ 370,459,725
588	BEIJING N STAR	5,660,000	\$ 17,093,200
590	LUK FOOK HOLD	3,701,442	\$ 102,529,943
598	SINOTRANS	91,302,486	\$ 336,906,173
603	CHINA OIL & GAS	7,200,000	\$ 4,032,000
604	SHENZHEN INVEST	10,046,455	\$ 34,157,947
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	21,045,951	\$ 77,449,100
607	FULLSHARE	735,382,437	\$ 2,485,592,637
621	TAUNG GOLD	0	\$ 0
622	ENERCHINA HOLD	54,294,966	\$ 12,813,612
630	AMCO UNITED	0	\$ 0
631	SANY INT'L	11,169,329	\$ 16,307,220
633	CH ALL ACCESS	12,634,000	\$ 27,415,780
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	50,485,288	\$ 64,621,169
651	CHI OCEAN IND	386,544,095	\$ 76,535,731
656	FOSUN INTL	91,687,250	\$ 1,080,075,805
658	C TRANSMISSION	30,712,939	\$ 243,553,606
659	NWS HOLDINGS	3,410,957	\$ 51,437,232
665	HAITONG INT'L	128,236,900	\$ 542,442,087
669	TECHTRONIC IND	11,798,227	\$ 411,168,211
670	CHINA EAST AIR	103,302,756	\$ 446,267,906
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	94,363,010	\$ 23,590,753
683	KERRY PPT	10,606,451	\$ 302,283,854
686	UNITED PV	112,786,296	\$ 134,215,692
688	CHINA OVERSEAS	52,328,100	\$ 1,153,834,605
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	12,048,270	\$ 138,555,105
696	TRAVELSKY TECH	13,558,370	\$ 295,572,466
697	SHOUGANG INT'L	6,216,880	\$ 1,516,919
698	TONGDA GROUP	245,025,093	\$ 661,567,751



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
699	CAR INC	103,887,706	\$ 753,185,869
700	TENCENT	120,541,438	\$ 31,123,799,292
702	SINO OIL & GAS	91,135,315	\$ 17,406,845
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	6,952,978	\$ 29,202,508
715	CHINA OCEANWIDE	20,352,755	\$ 15,671,621
716	SINGAMAS CONT	0	\$ 0
717	EMPEROR CAPITAL	20,370,974	\$ 13,037,423
718	TAI UNITED HOLD	0	\$ 0
721	C FIN INT INV	64,001,827	\$ 18,880,539
728	CHINA TELECOM	110,629,221	\$ 420,391,040
729	FDG EVEHICLES	482,417,220	\$ 166,433,941
732	TRULY INT'L	185,282,402	\$ 490,998,365
735	C P NEW ENERGY	5,253,923	\$ 26,479,772
737	HOPEWELL INFR	6,968,500	\$ 30,731,085
750	SINGYES SOLAR	43,387,974	\$ 152,291,789
751	SKYWORTHDIGITAL	107,052,818	\$ 464,609,230
752	PICO FAR EAST	508,000	\$ 1,590,040
753	AIR CHINA	50,655,277	\$ 358,639,361
754	HOPSON DEV HOLD	1,258,000	\$ 8,982,120
762	CHINA UNICOM	195,640,489	\$ 2,034,661,086
763	ZTE	42,333,886	\$ 609,607,958
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	8,315,041	\$ 187,088,423
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	71,627,697	\$ 73,060,251
798	CEOVU	0	\$ 0
799	IGG	40,859,870	\$ 480,512,071
801	GOLDEN MEDITECH	6,348,057	\$ 7,681,149
806	VALUE PARTNERS	79,424,247	\$ 571,854,578
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	3,190,000	\$ 21,819,600
813	SHIMAO PROPERTY	19,875,911	\$ 238,510,932
815	CHI SILVER GP	41,065,766	\$ 62,009,307
816	HUADIAN FUXIN	180,082,145	\$ 320,546,218
817	CHINA JINMAO	70,476,670	\$ 166,324,941
818	HI SUN TECH	16,223,181	\$ 21,414,599
819	TIANNENG POWER	41,681,550	\$ 291,770,850
823	LINK REIT	32,213,063	\$ 1,871,578,960
826	TIANGONG INT'L	1,880,000	\$ 1,372,400
831	CR ASIA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
832	CENTRAL CHINA	0	\$ 0
836	CHINA RES POWER	15,026,653	\$ 216,984,869
845	GLORIOUS PPT H	17,614,000	\$ 14,267,340
853	MICROPORT	0	\$ 0
855	CHINA WATER	30,344,245	\$ 154,452,207
856	VST HOLDINGS	0	\$ 0
857	PETROCHINA	187,775,698	\$ 997,088,956
861	DC HOLDINGS	68,765,491	\$ 423,595,425
867	CMS	42,713,242	\$ 603,965,242
868	XINYI GLASS	57,189,432	\$ 408,904,439
874	BAIYUNSHAN PH	1,728,000	\$ 37,065,600
875	CHINA FIN INV	0	\$ 0
877	O-NET TECH GP	0	\$ 0
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	50,838,370	\$ 365,527,880
881	ZHONGSHENG HLDG	30,755,284	\$ 336,462,807
882	TIANJIN DEV	4,110,000	\$ 19,481,400
883	CNOOC	149,140,050	\$ 1,351,208,853
884	CIFI HOLD GP	40,388,047	\$ 108,643,846
885	RENTIAN TECH	27,270,000	\$ 11,044,350
895	DONGJIANG ENV	3,996,412	\$ 47,477,375
902	HUANENG POWER	216,585,979	\$ 1,247,535,239
903	TPV TECHNOLOGY	2,183,000	\$ 4,518,810
906	COFCO PACKAGING	0	\$ 0
914	ANHUI CONCH	199,495,677	\$ 4,967,442,357
916	CHINA LONGYUAN	63,027,007	\$ 373,750,152
921	HISENSE KELON	1,921,100	\$ 21,093,678
931	CHINA LNG	615,768,546	\$ 101,601,810
933	BRIGHTOIL	47,517,022	\$ 101,686,427
934	SINOPEC KANTONS	37,221,877	\$ 154,470,790
939	CCB	981,523,013	\$ 6,252,301,593
941	CHINA MOBILE	18,234,032	\$ 1,551,716,123
950	LEE'S PHARM	1,284,001	\$ 9,771,248
951	CHAOWEI POWER	15,562,791	\$ 72,366,978
956	CHINA SUNTIEN	28,825,094	\$ 45,831,899
958	HN RENEWABLES	206,910,870	\$ 544,175,588
960	LONGFOR PPT	26,914,662	\$ 359,579,884
963	BLOOMAGE BIOTEC	6,070,850	\$ 79,042,467
966	CHINA TAIPING	34,565,536	\$ 694,767,274
968	XINYI SOLAR	355,723,640	\$ 853,736,736
973	L'OCCITANE	1,653,911	\$ 25,205,604



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
976	CHIHO-TIANDE	10,881,636	\$ 43,091,279
978	CHI MER LAND	7,912,000	\$ 10,443,840
981	SMIC	379,281,260	\$ 3,178,376,959
985	NETMIND FIN	0	\$ 0
988	LOUDONG GN RES	0	\$ 0
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	37,717,807	\$ 101,083,723
992	LENOVO GROUP	796,966,604	\$ 3,905,136,360
993	HUARONG INT FIN	0	\$ 0
995	ANHUIEXPRESSWAY	212,000	\$ 1,286,840
996	CARNIVAL GROUP	164,558,223	\$ 131,646,578
998	CITIC BANK	321,775,550	\$ 1,567,046,929
999	I.T	0	\$ 0
1003	HUANXI MEDIA	2,747,278	\$ 5,851,702
1004	C SMARTERENERGY	0	\$ 0
1019	CONVOY	45,439,324	\$ 9,360,501
1027	CHINA JICHENG	80,320,000	\$ 14,457,600
1028	C.BANNER	416,000	\$ 1,227,200
1029	IRC	0	\$ 0
1030	FUTURE LAND	25,590,000	\$ 56,298,000
1031	KINGSTON FIN	118,853,155	\$ 323,280,582
1033	SINOPEC SSC	134,425,242	\$ 166,687,300
1038	CKI HOLDINGS	38,234,473	\$ 2,626,708,295
1039	FORTUNET E-COMM	0	\$ 0
1044	HENGAN INT'L	53,518,482	\$ 3,069,284,943
1045	APT SATELLITE	1,857,114	\$ 7,874,163
1051	G-RESOURCES	48,309,000	\$ 6,425,097
1052	YUEXIUTRANSPORT	22,767,913	\$ 134,103,008
1053	CHONGQING IRON	6,965,200	\$ 7,522,416
1055	CHINA SOUTH AIR	117,081,857	\$ 633,412,846
1060	ALI PICTURES	949,916,607	\$ 1,196,894,925
1065	TIANJIN CAPITAL	10,439,923	\$ 56,479,983
1066	WEIGAO GROUP	29,864,345	\$ 177,394,209
1068	YURUN FOOD	82,248,045	\$ 87,182,928
1070	TCL MULTIMEDIA	24,416,055	\$ 90,827,725
1071	HUADIAN POWER	66,024,671	\$ 229,765,855
1072	DONGFANG ELEC	11,550,456	\$ 74,500,441
1076	IMPERIAL PAC	592,062,952	\$ 76,968,184
1083	TOWNGAS CHINA	27,521,220	\$ 132,377,068
1086	GOODBABY INTL	14,129,396	\$ 51,713,589
1088	CHINA SHENHUA	51,174,422	\$ 934,444,946



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1089	LEYOU TECH H	45,840,000	\$ 73,344,000
1093	CSPC PHARMA	56,005,737	\$ 632,864,828
1098	ROAD KING INFRA	157,000	\$ 1,805,500
1099	SINOPHARM	11,425,824	\$ 391,334,472
1103	DASHENG AGR FIN	13,396,346	\$ 9,779,333
1106	SINO HAIJING	0	\$ 0
1108	LUOYANG GLASS	3,194,000	\$ 14,021,660
1109	CHINA RES LAND	33,672,703	\$ 697,024,952
1111	CHONG HING BANK	0	\$ 0
1112	BIOSTIME	27,645,745	\$ 598,530,379
1113	CK PROPERTY	9,457,921	\$ 542,884,665
1114	BRILLIANCE CHI	192,310,976	\$ 2,553,889,761
1115	TIBET WATER	20,491,000	\$ 64,546,650
1117	CH MODERN D	63,062,853	\$ 108,468,107
1122	QINGLING MOTORS	502,000	\$ 1,275,080
1128	WYNN MACAU	129,437,262	\$ 2,083,939,918
1129	WATER INDUSTRY	7,768,240	\$ 13,439,055
1133	HARBIN ELECTRIC	1,774,000	\$ 7,858,820
1136	TCC INT'L HOLD	3,770,000	\$ 13,308,100
1138	COSCO SHIP ENGY	35,402,057	\$ 149,396,681
1140	OP FIN INV	836,000	\$ 2,273,920
1141	SKYWAY SEC	63,400,000	\$ 22,507,000
1157	ZOOMLION	60,005,262	\$ 215,418,891
1165	SFCE	61,096,826	\$ 29,326,476
1168	SINOLINK HOLD	3,462,000	\$ 3,011,940
1169	HAIER ELEC	4,588,360	\$ 86,352,935
1171	YANZHOU COAL	106,246,807	\$ 617,293,949
1176	ZHUGUANG HOLD	6,410,000	\$ 7,371,500
1177	SINO BIOPHARM	180,977,338	\$ 1,183,591,791
1186	CHINA RAIL CONS	189,793,403	\$ 2,118,094,377
1188	HYBRID KINETIC	185,876,485	\$ 36,245,915
1193	CHINA RES GAS	49,974,132	\$ 1,241,857,180
1194	MUNSUN CAPITAL	282,142,320	\$ 42,321,348
1196	REALORD GROUP	5,462,000	\$ 23,814,320
1199	COSCO SHIP PORT	41,638,042	\$ 361,418,205
1205	CITIC RESOURCES	52,262,192	\$ 53,830,058
1206	TECHNOVATOR	21,743,685	\$ 62,404,376
1208	MMG	89,038,445	\$ 211,021,115
1211	BYD COMPANY	113,777,620	\$ 4,955,015,351
1212	LIFESTYLE INT'L	824,000	\$ 8,833,280
1219	TENWOW INT'L	3,012,000	\$ 4,879,440



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	2,064,993	\$ 4,088,686
1230	YASHILI INT'L	32,689,000	\$ 42,495,700
1233	TIMES PPT	377,000	\$ 1,839,760
1234	CHINA LILANG	476,000	\$ 2,313,360
1238	POWERLONG	3,408,371	\$ 11,792,964
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	0	\$ 0
1253	GREENLAND BROAD	31,412,000	\$ 48,060,360
1270	LANGHAM-SS	0	\$ 0
1278	CHINANEWTOWN	0	\$ 0
1282	CHINA GOLDJOY	92,808,000	\$ 58,469,040
1288	ABC	451,037,686	\$ 1,637,266,800
1293	GRAND BAOXIN	15,251,472	\$ 50,939,916
1297	SINOSOFT TECH	32,210,054	\$ 77,626,230
1299	AIA	59,228,155	\$ 3,281,239,787
1302	LIFETECH SCI	35,522,000	\$ 66,781,360
1308	SITC	2,201,000	\$ 12,985,900
1310	HKBN	11,889,351	\$ 96,660,424
1313	CHINARES CEMENT	64,958,246	\$ 251,388,412
1315	VISION FAME	98,266,000	\$ 96,300,680
1316	NEXTEER	13,768,996	\$ 170,735,550
1317	MAPLELEAF EDU	48,150,000	\$ 340,420,500
1330	DYNAGREEN ENV	2,566,000	\$ 11,341,720
1332	CHINA TOUYUN	0	\$ 0
1333	CHINA ZHONGWANG	118,634,800	\$ 404,544,668
1336	NCI	10,727,974	\$ 438,237,738
1339	PICC GROUP	82,287,325	\$ 264,965,187
1347	HUA HONG SEMI	0	\$ 0
1349	FUDANZHANGJIANG	3,764,500	\$ 23,151,675
1359	CHINA CINDA	138,966,943	\$ 407,173,143
1361	361 DEGREES	15,990,000	\$ 40,134,900
1363	CTEG	185,963,393	\$ 288,243,259
1366	JIANGNAN GP	56,248,000	\$ 52,873,120
1368	XTEP INT'L	36,244,600	\$ 107,646,462
1371	CHINA LOTSYN	268,146,598	\$ 55,238,199
1375	CC SECURITIES	36,250,640	\$ 141,377,496
1378	CHINAHONGQIAO	101,151,585	\$ 713,118,674
1381	CANVEST ENV	9,099,327	\$ 38,763,133
1382	PACIFICTEXTILES	16,858,245	\$ 147,509,644
1383	SUNCENTURY GP	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1385	SHANGHAI FUDAN	64,000	\$ 371,840
1387	RENHE COMM	759,563,323	\$ 147,355,285
1389	MAJOR HLDGS	3,742,000	\$ 4,340,720
1398	ICBC	116,555,797	\$ 592,103,449
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	19,432,000	\$ 46,248,160
1431	YST DAIRY	0	\$ 0
1432	CHINA SHENGMU	26,048,000	\$ 38,551,040
1448	FU SHOU YUAN	18,380,000	\$ 88,775,400
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	924,000	\$ 8,352,960
1462	GOLD-FIN HLDG	0	\$ 0
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	0	\$ 0
1508	CHINA RE	57,727,000	\$ 102,176,790
1509	HARMONICARE	1,756,000	\$ 6,848,400
1513	LIVZON PHARMA	61,035	\$ 3,002,922
1515	CRPHOENIXHEALTH	57,073,056	\$ 584,428,093
1528	RS MACALLINE	1,027,200	\$ 8,289,504
1530	3SBIO	74,770,177	\$ 767,142,016
1548	GENSCRIPT BIO	1,042,960	\$ 3,629,501
1558	HEC PHARM	0	\$ 0
1566	CHINA ANIMATION	5,911,120	\$ 19,388,474
1573	CH UNIENERGY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	0	\$ 0
1585	YADEA	0	\$ 0
1589	CNLP	10,572,000	\$ 30,553,080
1599	UCD	85,000	\$ 403,750
1600	TIAN LUN GAS	0	\$ 0
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	869,000	\$ 1,442,540
1616	SILVERMAN HL	3,340,228	\$ 3,407,033
1618	MCC	25,921,650	\$ 73,099,053
1622	REDCO PPT	2,096,800	\$ 6,353,304
1623	HILONG	2,499,000	\$ 3,973,410
1628	YUZHOU PPT	8,668,400	\$ 30,599,452
1632	FOODWISE HLDG	0	\$ 0
1636	CMRU	2,616,000	\$ 7,769,520
1658	PSBC	115,420,168	\$ 572,484,033



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1661	WISDOM SPORTS	42,404,000	\$ 66,150,240
1666	TONG REN TANG	5,042,800	\$ 62,833,288
1668	CHINASOUTHCITY	127,908,715	\$ 189,304,898
1680	MACAU LEGEND	50,355,693	\$ 69,490,856
1681	CONSUN PHARMA	238,000	\$ 1,275,680
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	48,710,000	\$ 219,195,000
1766	CRRC	360,099,950	\$ 2,661,138,631
1776	GF SEC	47,881,180	\$ 751,734,526
1777	FANTASIA	7,734,000	\$ 8,816,760
1778	COLOUR LIFE	2,953,804	\$ 12,937,662
1786	CRCCE	5,838,000	\$ 20,608,140
1788	GUOTAI JUNAN I	185,624,498	\$ 454,780,020
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	82,522,943	\$ 899,500,079
1803	BJ SPORTS & ENT	577,500	\$ 981,750
1811	CGN NEW ENERGY	26,654,000	\$ 29,585,940
1812	CHENMING PAPER	3,840,500	\$ 33,527,565
1813	KWG PROPERTY	33,187,965	\$ 182,533,808
1816	CGN POWER	562,553,845	\$ 1,316,375,997
1818	ZHAOJIN MINING	82,299,287	\$ 522,600,472
1828	DCH HOLDINGS	5,496,000	\$ 18,521,520
1829	CMEC	7,584,000	\$ 44,366,400
1833	INTIME	14,211,849	\$ 141,834,253
1836	STELLA HOLDINGS	4,851,641	\$ 68,311,105
1848	CALC	839,000	\$ 7,920,160
1880	BELLE INT'L	46,012,001	\$ 280,213,086
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	4,988,351	\$ 93,581,465
1883	CITIC TELECOM	5,673,000	\$ 13,615,200
1886	HUIYUAN JUICE	5,372,000	\$ 14,558,120
1888	KB LAMINATES	812,000	\$ 7,827,680
1893	SINOMA	16,206,094	\$ 41,325,540
1898	CHINA COAL	173,138,075	\$ 602,520,501
1899	XINGDA INT'L	14,756,940	\$ 49,730,888
1910	SAMSONITE	32,302,928	\$ 990,084,743
1913	PRADA	11,676,227	\$ 398,743,152
1918	SUNAC	269,431,104	\$ 2,769,751,749
1919	COSCO SHIP HOLD	145,006,340	\$ 477,070,859
1928	SANDS CHINA LTD	96,908,575	\$ 3,343,345,838



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1929	CHOW TAI FOOK	15,844,937	\$ 133,097,471
1958	BAIC MOTOR	50,174,094	\$ 369,281,332
1963	BCQ	0	\$ 0
1966	CHINA SCE PPT	0	\$ 0
1970	IMAX CHINA	7,062,497	\$ 260,606,139
1972	SWIREPROPERTIES	22,123,762	\$ 551,987,862
1980	TIANGE	23,986,534	\$ 138,642,167
1988	MINSHENG BANK	523,811,885	\$ 4,001,922,801
1999	MAN WAH HLDGS	7,826,791	\$ 54,004,858
2005	SSY GROUP	13,327,038	\$ 40,914,007
2006	JINJIANG HOTELS	50,548,498	\$ 111,712,181
2007	COUNTRY GARDEN	351,058,365	\$ 2,692,617,660
2008	PHOENIX TV	10,556,000	\$ 13,511,680
2009	BBMG	238,904,743	\$ 910,227,071
2014	OZNER WATER	33,170,000	\$ 66,008,300
2016	CZBANK	0	\$ 0
2018	AAC TECH	51,753,600	\$ 4,968,345,600
2020	ANTA SPORTS	76,588,752	\$ 1,650,487,606
2038	FIH	18,232,066	\$ 48,497,296
2039	CIMC	5,385,217	\$ 66,022,760
2066	SHENGJINGBANK	2,024,500	\$ 13,543,905
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	276,000	\$ 1,923,720
2128	CHINA LESSO	19,141,000	\$ 114,846,000
2136	LIFESTYLE CHI	407,167	\$ 989,416
2168	YINGDE GASES	0	\$ 0
2186	LUYE PHARMA	144,226,035	\$ 674,977,844
2196	FOSUN PHARMA	21,280,347	\$ 636,282,375
2199	REGINA MIRACLE	24,070,000	\$ 143,457,200
2200	HOSA INT'L	6,840,090	\$ 16,895,022
2202	CHINA VANKE	48,597,838	\$ 955,433,495
2208	GOLDWIND	36,706,034	\$ 425,789,994
2226	HONWORLD GP	119,500	\$ 493,535
2233	WESTCHINACEMENT	98,969,843	\$ 108,866,827
2238	GAC GROUP	129,097,459	\$ 1,642,119,678
2255	HAICHANG HLDG	5,938,000	\$ 10,153,980
2277	HUARONG INV	0	\$ 0
2280	HC INTL	27,280,000	\$ 190,960,000
2282	MGM CHINA	61,946,129	\$ 1,043,172,812
2298	COSMO LADY	75,673,664	\$ 208,102,576
2300	AMVIG HOLDINGS	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2313	SHENZHOU INTL	80,818,509	\$ 4,263,176,350
2314	LEE & MAN PAPER	27,436,553	\$ 175,593,939
2318	PING AN	994,106,576	\$ 45,778,607,825
2319	MENGNU DAIRY	65,954,397	\$ 974,805,988
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	48,822,035	\$ 21,969,916
2328	PICC P&C	62,254,123	\$ 800,588,022
2329	GUORUI PPT	6,090,000	\$ 13,885,200
2331	LI NING	98,677,038	\$ 510,160,286
2333	GREATWALL MOTOR	704,099,940	\$ 5,766,578,509
2338	WEICHAI POWER	160,035,512	\$ 2,000,443,900
2342	COMBA	6,521,347	\$ 7,434,336
2343	PACIFIC BASIN	192,458,273	\$ 267,516,999
2348	DAWNRAYS PHARMA	698,000	\$ 3,357,380
2355	BAOYE GROUP	0	\$ 0
2356	DAHSHING BANKING	1,151,477	\$ 18,676,957
2357	AVICHINA	127,365,308	\$ 647,015,765
2358	JIU RONG HOLD	0	\$ 0
2369	COOLPAD GROUP	80,300,148	\$ 57,816,107
2378	PRU	0	\$ 0
2380	CHINA POWER	99,882,413	\$ 289,658,998
2382	SUNNY OPTICAL	29,847,336	\$ 1,904,260,037
2383	TOM GROUP	1,871,003	\$ 3,461,356
2386	SINOPEC SEG	7,813,878	\$ 57,822,697
2388	BOC HONG KONG	50,280,253	\$ 1,649,192,298
2389	BJ ENT M&H	28,980,000	\$ 15,649,200
2393	YESTAR HEALTH	5,512,968	\$ 21,169,797
2399	FORDOO	0	\$ 0
2588	BOC AVIATION	1,750,968	\$ 72,665,172
2600	CHALCO	595,989,847	\$ 2,127,683,754
2601	CPIC	18,108,313	\$ 526,951,908
2607	SH PHARMA	6,860,221	\$ 146,808,729
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	88,403,634	\$ 2,170,309,215
2638	HKELECTRIC-SS	194,420,873	\$ 1,357,057,694
2666	UNI MEDICAL	29,680,552	\$ 197,672,476
2669	CHINA OVS PPT	95,369,557	\$ 128,748,902
2678	TEXHONG TEXTILE	3,378,595	\$ 32,975,087
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	14,485,714	\$ 601,157,131



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2689	ND PAPER	78,375,409	\$ 699,108,648
2698	WEIQIAO TEXTILE	0	\$ 0
2727	SH ELECTRIC	320,211,618	\$ 1,133,549,128
2768	JIAYUAN INTL	0	\$ 0
2777	R&F PROPERTIES	66,743,457	\$ 816,939,914
2778	CHAMPION REIT	9,187,000	\$ 48,415,490
2799	CHINA HUARONG	251,662,766	\$ 848,103,521
2800	TRACKER FUND	15,193,927	\$ 385,166,049
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2816	X DBCSI300REAL	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	58,346,906	\$ 684,992,676
2823	X ISHARES A50	132,566,063	\$ 1,503,299,154
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	2,765,200	\$ 285,921,680
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2844	X DBCSI300FIN	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	186,212,818	\$ 309,113,278
2868	BJ CAPITAL LAND	17,110,770	\$ 57,834,403
2869	GREENTOWN SER	11,846,000	\$ 34,945,700
2877	SHINEWAY PHARM	1,800,000	\$ 16,308,000
2880	DALIAN PORT	55,809,030	\$ 76,458,371



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2883	CHINA OILFIELD	164,838,868	\$ 1,101,123,638
2888	STANCHART	717,750	\$ 53,974,800
2899	ZIJIN MINING	161,872,933	\$ 419,250,896
3005	X DBCSI300IND	0	\$ 0
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3009	X DBMSCIEM	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3013	X DBMSCIASIAHDY	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3017	X DBCSI300ENER	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3025	X DBCSI300CONDI	0	\$ 0
3026	X DBAUDCASH	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3035	X DBMSCIEMASIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3045	X DBMSCIINDIA	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3052	X DBCSI300UTIL	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3057	X DBCSI300HEAL	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3061	X DBCSI300BANK	0	\$ 0
3062	X DBCSI300MAT	0	\$ 0
3063	X DBCSI300TRANS	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3074	ISHAREMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3105	X DBMSCIBANGLA	0	\$ 0
3106	X DBMSCIPAKIST	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3124	F SAM HSIF	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3134	F SAM HSIFRF	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	40,796,520	\$ 1,599,223,584
3199	CSOP 5YCVBOND	0	\$ 0
3301	RONSHINECHINA	3,441,500	\$ 23,436,615
3308	GOLDEN EAGLE	31,193,992	\$ 358,730,908
3311	CHINA STATE CON	50,977,470	\$ 693,293,592
3320	CHINARES PHARMA	0	\$ 0
3323	CNBM	212,893,876	\$ 970,796,075
3328	BANKCOMM	105,064,264	\$ 619,879,158
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	643,249,086	\$ 5,287,507,487
3336	JU TENG INTL	2,638,000	\$ 7,702,960
3337	ANTON OILFIELD	47,840,345	\$ 38,750,679
3339	LONKING	32,523,400	\$ 69,274,842
3360	FE HORIZON	42,843,340	\$ 317,040,716
3369	QHD PORT	3,428,500	\$ 7,816,980
3377	SINO-OCEAN GP	24,731,794	\$ 91,260,320
3378	XIAMEN PORT	2,820,000	\$ 4,258,200
3380	LOGAN PPT	16,196,953	\$ 69,484,928
3382	TIANJINPORT DEV	3,704,000	\$ 4,926,320
3383	AGILE GROUP	132,617,791	\$ 830,187,372
3389	HENGDELI	8,316,000	\$ 7,484,400
3393	WASION GROUP	46,522,889	\$ 177,717,436
3396	LEGENDHOLDING	2,400,086	\$ 54,121,939
3606	FUYAO GLASS	31,645,145	\$ 892,393,089
3618	CQRC BANK	46,846,865	\$ 243,135,229
3633	ZHONGYU GAS	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3636	POLY CULTURE	466,300	\$ 9,326,000
3669	YONGDA AUTO	15,475,241	\$ 101,981,838
3698	HUIZHANG BANK	0	\$ 0
3709	KORADIOR	1,290,000	\$ 10,345,800
3799	DALI FOODS	60,854,500	\$ 273,845,250
3800	GCL-POLY ENERGY	2,031,556,550	\$ 1,909,663,157
3808	SINOTRUK	46,910,000	\$ 247,684,800
3813	POU SHENG INT'L	93,931,392	\$ 174,712,389
3818	CHINA DONGXIANG	41,285,726	\$ 62,341,446
3836	HARMONY AUTO	44,431,979	\$ 126,631,140
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	2,611,853	\$ 5,955,025
3886	TOWN HEALTH	99,629,001	\$ 123,539,961
3888	KINGSOFT	65,902,239	\$ 1,436,668,810
3898	CRRRC TIMES ELEC	39,341,840	\$ 1,614,982,532
3899	CIMC ENRIC	18,598,132	\$ 84,063,557
3900	GREENTOWN CHINA	67,083,131	\$ 480,315,218
3908	CICC	5,690,196	\$ 64,868,234
3918	NAGACORP	10,656,398	\$ 44,863,436
3933	UNITED LAB	134,721,538	\$ 617,024,644
3958	DFZQ	1,915,600	\$ 13,543,292
3966	CH BAOFENG INTL	0	\$ 0
3968	CM BANK	157,095,778	\$ 3,275,446,971
3969	CHINA CRSC	1,199,000	\$ 7,026,140
3983	CHINA BLUECHEM	28,007,807	\$ 58,256,239
3988	BANK OF CHINA	219,688,636	\$ 834,816,817
3989	CAPITAL ENV	20,535,824	\$ 4,435,738
3993	CMOC	182,124,205	\$ 422,528,156
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	4,484,000	\$ 2,735,240
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	94,392,450	\$ 1,476,297,918
6066	CSC	0	\$ 0
6099	CMSC	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6136	KANGDA ENV	3,630,000	\$ 6,606,600
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6166	CHINA VAST	0	\$ 0
6178	EB SECURITIES	545,200	\$ 6,029,912
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	4,656,000	\$ 20,253,600
6808	SUNART RETAIL	155,039,979	\$ 1,071,326,255
6818	CEB BANK	131,196,512	\$ 468,371,548
6823	HKT-SS	15,105,216	\$ 151,958,473
6826	HAOHAI BIOTEC	0	\$ 0
6828	BG BLUE SKY	42,184,000	\$ 23,623,040
6837	HAITONG SEC	91,252,235	\$ 1,153,428,250
6839	YUNNAN WATER	319,000	\$ 1,189,870
6863	HUIZHAN DAIRY	424,797,767	\$ 178,415,062
6869	YOFC	6,295,000	\$ 92,158,800
6881	CGS	112,634,463	\$ 762,535,315
6886	HTSC	34,695,300	\$ 500,306,226
6889	DYNAM JAPAN	208,200	\$ 3,297,888
6899	OURGAME	2,282,000	\$ 5,956,020
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7250	FL2 SAMSUNGK200	0	\$ 0
7255	FL2SAMSUNGTOPIX	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7311	FI SAMSUNGTOPIX	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7322	XI MR S&P500	0	\$ 0
7326	FI SAMSUNGK200	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	3,697,733	\$ 4,252,393
8008	SUNEVISION	0	\$ 0
8021	WLS HOLDINGS	0	\$ 0
8032	VIVA CHINA	11,096,000	\$ 9,209,680
8057	MADISON WINE	0	\$ 0
8058	LUOXIN PHARMA	0	\$ 0
8078	HMV DIGIT CHINA	9,840,000	\$ 3,099,600
8083	INNOVATIONPAY	38,328,146	\$ 18,589,151
8095	BEIDA JADE BIRD	0	\$ 0
8137	HONBRIDGE	2,664,000	\$ 2,131,200
8138	TONGRENTANGCM	198,000	\$ 2,336,400
8158	CRMI	24,160,000	\$ 6,644,000
8193	GC PRO SER	0	\$ 0
8207	CREDIT CHINA	124,476,000	\$ 131,944,560
8237	LINK HOLDINGS	0	\$ 0
8265	POWERWELL PAC	724,000	\$ 2,642,600
8279	AGTECH HOLDINGS	32,500,000	\$ 45,175,000
8325	CHINA SMARTPAY	7,530,000	\$ 8,057,100
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 12/05/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82822	CSOP A50 ETF-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESE50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHAREMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YRGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0

