



Aggregated Reportable Short Positions of Specified Shares as of 02/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	15,949,017	\$ 1,625,204,832
2	CLP HOLDINGS	19,372,744	\$ 1,639,902,780
3	HK & CHINA GAS	243,394,427	\$ 4,064,686,931
4	WHARF HOLDINGS	20,247,463	\$ 1,384,926,469
5	HSBC HOLDINGS	28,235,113	\$ 1,931,281,729
6	POWER ASSETS	18,037,406	\$ 1,286,067,048
8	PCCW	54,185,747	\$ 246,545,149
10	HANG LUNG GROUP	5,651,895	\$ 188,490,698
11	HANG SENG BANK	13,209,449	\$ 2,179,559,085
12	HENDERSON LAND	10,866,859	\$ 559,099,896
14	HYSAN DEV	6,837,522	\$ 248,543,925
16	SHK PPT	13,033,007	\$ 1,541,804,728
17	NEW WORLD DEV	32,556,885	\$ 325,243,281
19	SWIRE PACIFIC A	6,254,773	\$ 485,370,385
20	WHEELLOCK	5,824,052	\$ 350,899,133
23	BANK OF E ASIA	54,568,513	\$ 1,800,760,929
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	63,721,470	\$ 2,889,768,665
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	24,702,000	\$ 25,196,040
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	6,228,000	\$ 24,849,720
39	CH BEIDAHUANG	24,741,714	\$ 9,772,977
41	GREAT EAGLE H	334,377	\$ 12,890,233
43	C.P. POKPHAND	0	\$ 0
44	HAECO	0	\$ 0
45	HK&S HOTELS	1,551,801	\$ 14,540,375
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	712,567	\$ 23,300,941
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,723,647	\$ 51,709,410
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	2,450,000	\$ 686,000
66	MTR CORPORATION	63,695,892	\$ 2,821,728,016
69	SHANGRI-LA ASIA	11,262,446	\$ 145,060,304
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	2,953,000	\$ 12,254,950
83	SINO LAND	21,864,214	\$ 298,665,163
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	175,000	\$ 1,382,500
95	LVGEM CHINA	1,344,000	\$ 2,661,120
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	17,423,397	\$ 359,793,148
102	SUMMIT ASCENT	68,883,757	\$ 132,945,651
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	9,610,000	\$ 31,616,900
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	83,705,947	\$ 281,251,982
123	YUEXIU PROPERTY	89,886,200	\$ 123,144,094
127	CHINESE EST H	0	\$ 0
129	ASIA STANDARD	0	\$ 0
133	CHINA MERCHANTS	194,000	\$ 2,227,120
135	KUNLUN ENERGY	313,322,393	\$ 2,158,791,288
136	HENGTEN NET	189,736,000	\$ 22,958,056
142	FIRST PACIFIC	11,504,774	\$ 70,639,312
144	CHINA MER PORT	11,165,065	\$ 252,888,722
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	1,193,300	\$ 33,412,400
151	WANT WANT CHINA	788,636,789	\$ 4,503,116,065
152	SHENZHEN INT'L	6,142,540	\$ 80,221,572
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	1,172,000	\$ 2,953,440
164	CHINA BAOLI TEC	34,668,502	\$ 6,621,684
165	CHINA EB LTD	15,466,690	\$ 274,688,414
168	TSINGTAO BREW	22,285,537	\$ 796,707,948
171	SILVER GRANT	1,558,000	\$ 1,869,600
173	K. WAH INT'L	1,372,814	\$ 7,083,720
175	GEELY AUTO	571,261,319	\$ 8,100,485,503
177	JIANGSU EXPRESS	19,957,084	\$ 223,519,341
178	SA SA INT'L	26,750,552	\$ 98,709,537
179	JOHNSON ELEC H	2,806,206	\$ 79,415,630
182	CONCORD NE	65,630,000	\$ 22,970,500
186	GRANDE HOLDINGS	0	\$ 0
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	25,628,347	\$ 18,452,410



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
198	SMI HOLDINGS	235,011,646	\$ 166,858,269
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	23,055,275	\$ 471,480,374
202	EVERCHINA INT'L	0	\$ 0
207	JOY CITY PPT	21,776,000	\$ 25,913,440
215	HUTCHTEL HK	35,432,000	\$ 83,973,840
217	CHINA CHENGTONG	2,643,330	\$ 1,017,682
218	SHENWANHONGYUAN	8,760,000	\$ 27,856,800
220	U-PRESID CHINA	76,619,495	\$ 459,716,970
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
232	AVIC INT'L	51,230,000	\$ 21,516,600
235	CHINA STRATEGIC	203,509,924	\$ 27,066,820
241	ALI HEALTH	166,827,889	\$ 640,619,094
242	SHUN TAK HOLD	35,527,564	\$ 98,411,352
243	QPL INT'L	0	\$ 0
245	CN MINSHENG FIN	47,568,531	\$ 24,735,636
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	458,000	\$ 5,102,120
256	CITYCHAMP	15,689,322	\$ 26,828,741
257	CHINA EB INT'L	105,086,515	\$ 1,049,814,285
258	TOMSON GROUP	0	\$ 0
259	YEEBO (INT'L H)	0	\$ 0
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	95,545,589	\$ 1,169,478,009
268	KINGDEE INT'L	206,781,622	\$ 641,023,028
270	GUANGDONG INV	15,212,584	\$ 173,423,458
272	SHUI ON LAND	44,878,319	\$ 86,615,156
273	MASON FIN	162,183,442	\$ 16,704,895
275	MASTER GLORY GP	72,490,000	\$ 11,090,970
283	GOLDIN PPT	0	\$ 0
285	BYD ELECTRONIC	60,943,797	\$ 926,345,714
286	CS HEALTH	0	\$ 0
288	WH GROUP	27,374,153	\$ 202,294,991
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	31,552,331	\$ 635,779,470
293	CATHAY PAC AIR	64,119,073	\$ 745,063,628
297	SINOFERT	170,932,791	\$ 165,804,807
299	NEW SPORTS	139,750,000	\$ 6,568,250
303	VTECH HOLDINGS	1,972,606	\$ 232,570,247



Aggregated Reportable Short Positions of Specified Shares as of 02/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
308	CHINA TRAVEL HK	154,714,352	\$ 345,013,005
315	SMARTONE TELE	14,591,114	\$ 156,124,920
316	OOIL	7,897,713	\$ 379,090,224
317	COMEC	14,605,873	\$ 200,684,695
321	TEXWINCA HOLD	13,613,905	\$ 57,586,818
322	TINGYI	201,950,294	\$ 1,942,761,828
323	MAANSHAN IRON	79,600,067	\$ 222,084,187
327	PAX GLOBAL	96,552,297	\$ 447,037,135
329	DRAGONITE INT'L	0	\$ 0
330	ESPRIT HOLDINGS	39,797,578	\$ 220,478,582
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	6,094,178	\$ 26,814,383
337	GREENLAND HK	18,701,225	\$ 52,737,455
338	SHANGHAI PEICHEM	63,445,860	\$ 277,258,408
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	3,843,000	\$ 97,612,200
342	NEWOCEAN ENERGY	47,441,803	\$ 115,283,581
345	VITASOY INT'L	9,726,300	\$ 158,733,216
347	ANGANG STEEL	96,337,147	\$ 497,099,679
354	CHINASOFT INT'L	46,194,000	\$ 202,791,660
358	JIANGXI COPPER	38,832,093	\$ 447,345,711
363	SHANGHAI IND H	671,000	\$ 16,305,300
368	SINOTRANS SHIP	48,925,500	\$ 87,576,645
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	217,582,297	\$ 1,351,186,064
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
377	HUAJUN HOLD	0	\$ 0
378	FDG KINETIC	5,072,000	\$ 6,897,920
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	62,241,323	\$ 770,547,579
386	SINOPEC CORP	83,334,428	\$ 535,007,028
388	HKEX	32,831,457	\$ 6,730,448,685
390	CHINA RAILWAY	135,749,006	\$ 868,793,638
392	BEIJING ENT	4,177,534	\$ 156,030,895
400	COGOBUY	25,110,098	\$ 127,810,399
404	HSIN CHONG GP	11,523,811	\$ 4,033,334
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	44,772,500	\$ 175,060,475
412	CHINA INNO FIN	8,387,323	\$ 2,809,753



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	805,000	\$ 7,317,450
419	HUAYI TENCENT	144,103,328	\$ 50,436,165
425	MINTH GROUP	24,561,438	\$ 794,562,519
433	NORTH MINING	102,564,082	\$ 17,025,638
434	BOYAA	7,033,981	\$ 25,744,370
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	68,801,000	\$ 196,770,860
440	DAH SING	1,822,811	\$ 109,642,082
451	GCL NEWENERGY	134,005,345	\$ 46,901,871
460	SIHUAN PHARM	165,218,948	\$ 568,353,181
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	14,749,000	\$ 74,924,920
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	0	\$ 0
486	RUSAL	0	\$ 0
488	LAI SUN DEV	0	\$ 0
489	DONGFENG GROUP	67,690,430	\$ 601,091,018
493	GOME	1,434,580,371	\$ 1,391,542,960
494	LI & FUNG	658,095,545	\$ 2,125,648,610
496	KASEN	0	\$ 0
497	CSI PROPERTIES	0	\$ 0
506	CHINA FOODS	7,396,000	\$ 22,705,720
511	TVB	5,962,948	\$ 174,714,376
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,364,000	\$ 4,514,840
520	XIABUXIABU	0	\$ 0
521	HNA HOLDING	50,154,000	\$ 13,792,350
522	ASM PACIFIC	26,646,269	\$ 3,104,290,339
525	GUANGSHEN RAIL	19,757,100	\$ 84,955,530
526	LISI GP HOLD	0	\$ 0
527	RUIFENG RENEW	1,404,000	\$ 996,840
530	GOLDIN FIN HOLD	11,228,000	\$ 38,287,480
535	GEMDALE PPT	0	\$ 0
538	AJISEN (CHINA)	838,000	\$ 2,765,400
546	FUFENG GROUP	34,196,982	\$ 147,047,023
547	DIGITAL DOMAIN	179,445,761	\$ 56,525,415
548	SHENZHENEXPRESS	1,400,000	\$ 10,164,000
551	YUE YUEN IND	6,342,752	\$ 204,870,890
552	CHINACOMSERVICE	9,354,343	\$ 43,965,412
553	NANJING PANDA	216,000	\$ 926,640



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555	REXLOT HOLDINGS	22,941,474	\$ 2,707,094
563	SH IND URBAN	14,060,200	\$ 25,308,360
566	HANERGY TFP	180,828,779	\$ 707,040,526
570	TRAD CHI MED	68,407,052	\$ 297,570,676
576	ZHEJIANGEXPRESS	17,492,851	\$ 167,931,370
577	THE 13 HOLDINGS	9,168,000	\$ 19,252,800
579	JNCEC	18,858,000	\$ 44,316,300
580	SUN.KING ELEC	0	\$ 0
586	CONCH VENTURE	27,343,890	\$ 409,611,472
588	BEIJING N STAR	3,722,000	\$ 11,984,840
590	LUK FOOK HOLD	2,934,597	\$ 76,886,441
598	SINOTRANS	9,282,583	\$ 34,995,338
603	CHINA OIL & GAS	7,200,000	\$ 4,032,000
604	SHENZHEN INVEST	13,651,431	\$ 50,919,838
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	6,803,000	\$ 23,334,290
607	FULLSHARE	749,679,937	\$ 2,406,472,598
621	TAUNG GOLD	0	\$ 0
622	ENERCHINA HOLD	70,090,773	\$ 14,859,244
630	AMCO UNITED	0	\$ 0
631	SANY INT'L	10,162,000	\$ 13,312,220
633	CH ALL ACCESS	11,828,000	\$ 26,613,000
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	41,635,288	\$ 52,876,816
651	CHI OCEAN IND	416,234,095	\$ 79,916,946
656	FOSUN INTL	76,330,222	\$ 931,228,708
658	C TRANSMISSION	29,324,202	\$ 234,593,616
659	NWS HOLDINGS	2,707,758	\$ 40,887,146
665	HAITONG INT'L	146,501,274	\$ 665,115,784
669	TECHTRONIC IND	10,977,448	\$ 399,579,107
670	CHINA EAST AIR	90,617,114	\$ 425,900,436
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	112,100,000	\$ 28,585,500
683	KERRY PPT	10,856,608	\$ 293,671,246
686	UNITED PV	120,456,914	\$ 140,934,589
688	CHINA OVERSEAS	35,314,464	\$ 826,358,458
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	9,672,921	\$ 109,497,466
696	TRAVELSKY TECH	10,222,111	\$ 241,241,820
697	SHOUGANG INT'L	4,494,880	\$ 1,092,256
698	TONGDA GROUP	312,980,764	\$ 701,076,911



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699	CAR INC	105,488,898	\$ 732,092,952
700	TENCENT	106,712,769	\$ 28,812,447,630
702	SINO OIL & GAS	91,610,000	\$ 17,497,510
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	6,952,978	\$ 28,090,031
715	CHINA OCEANWIDE	20,252,755	\$ 15,594,621
716	SINGAMAS CONT	0	\$ 0
717	EMPEROR CAPITAL	19,596,974	\$ 13,521,912
718	TAI UNITED HOLD	0	\$ 0
721	C FIN INT INV	68,381,827	\$ 18,805,002
728	CHINA TELECOM	96,337,065	\$ 370,897,700
729	FDG EVEHICLES	488,152,548	\$ 168,412,629
732	TRULY INT'L	175,136,595	\$ 458,857,879
735	C P NEW ENERGY	4,428,923	\$ 21,923,169
737	HOPEWELL INFR	7,130,500	\$ 31,231,590
750	SINGYES SOLAR	41,441,574	\$ 142,144,599
751	SKYWORTHDIGITAL	109,550,999	\$ 466,687,256
752	PICO FAR EAST	508,000	\$ 1,737,360
753	AIR CHINA	41,169,950	\$ 323,184,108
754	HOPSON DEV HOLD	646,000	\$ 4,748,100
762	CHINA UNICOM	204,425,186	\$ 2,293,650,587
763	ZTE	38,386,066	\$ 631,834,646
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	8,745,286	\$ 183,651,006
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	62,175,697	\$ 65,284,482
798	CEOVU	0	\$ 0
799	IGG	54,206,350	\$ 616,868,263
801	GOLDEN MEDITECH	6,840,057	\$ 8,002,867
806	VALUE PARTNERS	78,689,370	\$ 598,039,212
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,922,000	\$ 19,489,740
813	SHIMAO PROPERTY	15,495,843	\$ 209,813,714
815	CHI SILVER GP	40,932,449	\$ 59,761,376
816	HUADIAN FUXIN	148,091,789	\$ 278,412,563
817	CHINA JINMAO	64,174,324	\$ 163,644,526
818	HI SUN TECH	16,271,181	\$ 21,315,247
819	TIANNENG POWER	49,439,415	\$ 302,569,220
823	LINK REIT	23,831,158	\$ 1,477,531,796
826	TIANGONG INT'L	0	\$ 0
831	CR ASIA	0	\$ 0



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832	CENTRAL CHINA	0	\$ 0
836	CHINA RES POWER	12,266,660	\$ 196,021,227
845	GLORIOUS PPT H	15,666,000	\$ 13,629,420
853	MICROPORT	0	\$ 0
855	CHINA WATER	31,516,245	\$ 160,102,525
856	VST HOLDINGS	0	\$ 0
857	PETROCHINA	285,635,457	\$ 1,476,735,313
861	DC HOLDINGS	64,934,487	\$ 396,100,371
867	CMS	35,228,012	\$ 493,192,168
868	XINYI GLASS	37,321,956	\$ 287,005,842
874	BAIYUNSHAN PH	1,280,000	\$ 27,712,000
875	CHINA FIN INV	0	\$ 0
877	O-NET TECH GP	10,683,009	\$ 45,402,788
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	54,507,976	\$ 414,260,618
881	ZHONGSHENG HLDG	24,959,700	\$ 331,464,816
882	TIANJIN DEV	2,854,000	\$ 12,357,820
883	CNOOC	184,151,591	\$ 1,644,473,708
884	CIFI HOLD GP	43,474,289	\$ 133,031,324
885	RENTIAN TECH	22,068,990	\$ 8,827,596
895	DONGJIANG ENV	3,925,312	\$ 50,086,981
902	HUANENG POWER	155,030,582	\$ 941,035,633
903	TPV TECHNOLOGY	2,183,000	\$ 3,929,400
906	COFCO PACKAGING	0	\$ 0
914	ANHUI CONCH	170,659,721	\$ 4,411,553,788
916	CHINA LONGYUAN	59,372,515	\$ 347,922,938
921	HISENSE KELON	1,646,200	\$ 18,536,212
931	CHINA LNG	514,855,522	\$ 81,862,028
933	BRIGHTOIL	38,761,488	\$ 81,786,740
934	SINOPEC KANTONS	39,789,649	\$ 167,514,422
939	CCB	955,243,278	\$ 6,304,605,635
941	CHINA MOBILE	20,657,358	\$ 1,766,204,109
950	LEE'S PHARM	928,001	\$ 6,273,287
951	CHAOWEI POWER	22,478,791	\$ 98,232,317
956	CHINA SUNTIEN	28,182,094	\$ 42,273,141
958	HN RENEWABLES	115,208,486	\$ 293,781,639
960	LONGFOR PPT	22,497,216	\$ 359,055,567
963	BLOOMAGE BIOTEC	5,044,827	\$ 65,078,268
966	CHINA TAIPING	31,629,087	\$ 651,559,192
968	XINYI SOLAR	340,264,417	\$ 813,231,957
973	L'OCCITANE	1,273,297	\$ 19,736,104



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976	CHIHO-TIANDE	11,771,851	\$ 41,907,790
978	CHI MER LAND	7,956,000	\$ 12,729,600
981	SMIC	414,192,276	\$ 3,338,389,745
985	NETMIND FIN	0	\$ 0
988	LOUDONG GN RES	0	\$ 0
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	37,288,000	\$ 103,287,760
992	LENOVO GROUP	819,441,468	\$ 4,154,568,243
993	HUARONG INT FIN	0	\$ 0
995	ANHUIEXPRESSWAY	120,000	\$ 717,600
996	CARNIVAL GROUP	154,778,223	\$ 122,274,796
998	CITIC BANK	382,570,727	\$ 1,828,688,075
999	I.T	0	\$ 0
1003	HUANXI MEDIA	1,567,278	\$ 3,212,920
1004	C SMARTERENERGY	0	\$ 0
1019	CONVOY	71,609,068	\$ 11,815,496
1027	CHINA JICHENG	69,030,000	\$ 17,947,800
1028	C.BANNER	502,000	\$ 1,485,920
1029	IRC	9,558,000	\$ 2,437,290
1030	FUTURE LAND	29,612,875	\$ 71,070,900
1031	KINGSTON FIN	117,270,933	\$ 314,286,100
1033	SINOPEC SSC	132,127,056	\$ 170,443,902
1038	CKI HOLDINGS	39,164,787	\$ 2,676,913,191
1039	FORTUNET E-COMM	0	\$ 0
1044	HENGAN INT'L	55,432,358	\$ 3,018,291,893
1045	APT SATELLITE	2,064,114	\$ 8,648,638
1051	G-RESOURCES	55,416,000	\$ 7,259,496
1052	YUEXIUTRANSPORT	24,273,913	\$ 137,390,348
1053	CHONGQING IRON	8,876,809	\$ 9,586,954
1055	CHINA SOUTH AIR	106,280,758	\$ 655,752,277
1060	ALI PICTURES	966,166,424	\$ 1,275,339,680
1065	TIANJIN CAPITAL	11,341,923	\$ 55,235,165
1066	WEIGAO GROUP	30,586,098	\$ 186,575,198
1068	YURUN FOOD	59,720,035	\$ 64,497,638
1070	TCL MULTIMEDIA	24,225,055	\$ 91,812,958
1071	HUADIAN POWER	52,785,225	\$ 185,803,992
1072	DONGFANG ELEC	10,755,056	\$ 71,951,325
1076	IMPERIAL PAC	324,960,855	\$ 40,945,068
1083	TOWNGAS CHINA	22,726,625	\$ 110,224,131
1086	GOODBABY INTL	17,528,655	\$ 65,206,597
1088	CHINA SHENHUA	46,997,376	\$ 899,529,777



Aggregated Reportable Short Positions of Specified Shares as of 02/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1089	LEYOU TECH H	46,445,000	\$ 75,240,900
1093	CSPC PHARMA	49,018,830	\$ 579,402,571
1098	ROAD KING INFRA	0	\$ 0
1099	SINOPHARM	11,568,825	\$ 410,693,288
1103	DASHENG AGR FIN	26,019,582	\$ 16,912,728
1106	SINO HAIJING	0	\$ 0
1108	LUOYANG GLASS	2,640,000	\$ 10,718,400
1109	CHINA RES LAND	29,797,018	\$ 682,351,712
1111	CHONG HING BANK	0	\$ 0
1112	BIOSTIME	23,886,644	\$ 494,453,531
1113	CK PROPERTY	9,902,800	\$ 590,702,020
1114	BRILLIANCE CHI	163,877,601	\$ 2,379,502,767
1115	TIBET WATER	33,905,000	\$ 102,732,150
1117	CH MODERN D	78,913,734	\$ 118,370,601
1122	QINGLING MOTORS	502,000	\$ 1,350,380
1128	WYNN MACAU	91,169,070	\$ 1,630,102,972
1129	WATER INDUSTRY	6,688,240	\$ 10,968,714
1133	HARBIN ELECTRIC	1,612,000	\$ 6,931,600
1136	TCC INT'L HOLD	3,860,000	\$ 13,664,400
1138	COSCO SHIP ENGY	24,332,471	\$ 106,576,223
1140	OP FIN INV	1,324,000	\$ 3,217,320
1141	SKYWAY SEC	87,529,075	\$ 42,889,247
1157	ZOOMLION	68,382,016	\$ 235,917,955
1165	SFCE	56,345,348	\$ 25,637,133
1168	SINOLINK HOLD	2,718,000	\$ 2,364,660
1169	HAIER ELEC	8,327,500	\$ 171,962,875
1171	YANZHOU COAL	105,136,136	\$ 619,251,841
1176	ZHUGUANG HOLD	5,788,000	\$ 6,366,800
1177	SINO BIOPHARM	181,691,106	\$ 1,270,020,831
1186	CHINA RAIL CONS	183,466,758	\$ 1,941,078,300
1188	HYBRID KINETIC	185,876,485	\$ 36,245,915
1193	CHINA RES GAS	53,865,756	\$ 1,317,017,734
1194	MUNSUN CAPITAL	330,688,124	\$ 47,949,778
1196	REALORD GROUP	5,616,000	\$ 23,980,320
1199	COSCO SHIP PORT	47,854,338	\$ 427,339,238
1205	CITIC RESOURCES	50,094,192	\$ 49,593,250
1206	TECHNOVATOR	19,614,000	\$ 54,919,200
1208	MMG	91,990,445	\$ 228,136,304
1211	BYD COMPANY	107,775,455	\$ 4,952,282,157
1212	LIFESTYLE INT'L	1,109,000	\$ 11,955,020
1219	TENWOW INT'L	2,521,000	\$ 3,857,130



Aggregated Reportable Short Positions of Specified Shares as of 02/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	2,097,993	\$ 4,028,147
1230	YASHILI INT'L	31,426,000	\$ 45,567,700
1233	TIMES PPT	385,000	\$ 1,925,000
1234	CHINA LILANG	862,000	\$ 4,249,660
1238	POWERLONG	3,200,938	\$ 10,691,133
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	0	\$ 0
1253	GREENLAND BROAD	31,456,000	\$ 54,733,440
1270	LANGHAM-SS	0	\$ 0
1278	CHINANEWTOWN	0	\$ 0
1282	CHINA GOLDJOY	111,732,000	\$ 70,391,160
1288	ABC	358,193,455	\$ 1,339,643,522
1293	GRAND BAOXIN	14,734,972	\$ 57,466,391
1297	SINOSOFT TECH	32,326,696	\$ 76,614,270
1299	AIA	59,574,771	\$ 3,297,463,575
1302	LIFETECH SCI	33,958,000	\$ 64,180,620
1308	SITC	1,296,000	\$ 7,361,280
1310	HKBN	12,047,508	\$ 101,199,067
1313	CHINARES CEMENT	49,756,561	\$ 194,548,154
1315	VISION FAME	100,144,000	\$ 89,128,160
1316	NEXTEER	14,354,000	\$ 173,683,400
1317	MAPLELEAF EDU	42,442,000	\$ 304,309,140
1330	DYNAGREEN ENV	2,298,000	\$ 9,398,820
1332	CHINA TOUYUN	0	\$ 0
1333	CHINA ZHONGWANG	117,271,600	\$ 386,996,280
1336	NCI	8,866,610	\$ 373,727,612
1339	PICC GROUP	61,266,055	\$ 202,790,642
1347	HUA HONG SEMI	0	\$ 0
1349	FUDANZHANGJIANG	3,744,500	\$ 21,942,770
1359	CHINA CINDA	115,016,971	\$ 342,750,574
1361	361 DEGREES	11,439,000	\$ 28,597,500
1363	CTEG	206,017,393	\$ 276,063,307
1366	JIANGNAN GP	49,693,984	\$ 38,264,368
1368	XTEP INT'L	41,894,679	\$ 122,751,409
1371	CHINA LOTSYN	226,486,598	\$ 40,088,128
1375	CC SECURITIES	30,719,749	\$ 118,578,231
1378	CHINAHONGQIAO	101,151,585	\$ 713,118,674
1381	CANVEST ENV	5,079,000	\$ 21,077,850
1382	PACIFICTEXTILES	17,188,872	\$ 148,511,854
1383	SUNCENTURY GP	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 02/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1385	SHANGHAI FUDAN	84,000	\$ 450,240
1387	RENHE COMM	755,095,323	\$ 147,998,683
1389	MAJOR HLDGS	6,618,000	\$ 7,941,600
1398	ICBC	111,138,587	\$ 600,148,370
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	20,062,000	\$ 50,756,860
1431	YST DAIRY	0	\$ 0
1432	CHINA SHENGMU	34,337,000	\$ 58,372,900
1448	FU SHOU YUAN	17,442,000	\$ 86,686,740
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	5,074,500	\$ 42,016,860
1462	GOLD-FIN HLDG	0	\$ 0
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	16,243,000	\$ 100,219,310
1508	CHINA RE	40,871,000	\$ 72,341,670
1509	HARMONICARE	1,797,000	\$ 6,774,690
1513	LIVZON PHARMA	158,835	\$ 8,624,741
1515	CRPHOENIXHEALTH	53,011,901	\$ 552,384,008
1528	RS MACALLINE	1,646,800	\$ 14,146,012
1530	3SBIO	74,567,931	\$ 818,755,882
1548	GENSCRIPT BIO	2,406,960	\$ 8,255,873
1558	HEC PHARM	0	\$ 0
1566	CHINA ANIMATION	6,739,000	\$ 21,901,750
1573	CH UNIENERGY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	0	\$ 0
1585	YADEA	856,000	\$ 1,420,960
1589	CNLP	12,476,000	\$ 33,310,920
1599	UCD	85,000	\$ 389,300
1600	TIAN LUN GAS	0	\$ 0
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	0	\$ 0
1616	SILVERMAN HL	2,846,228	\$ 2,732,379
1618	MCC	25,563,450	\$ 66,720,605
1622	REDCO PPT	1,854,800	\$ 5,842,620
1623	HILONG	6,431,000	\$ 8,810,470
1628	YUZHOU PPT	4,965,000	\$ 19,860,000
1632	FOODWISE HLDG	114,000	\$ 2,302,800
1636	CMRU	1,256,000	\$ 3,780,560
1658	PSBC	90,383,159	\$ 417,570,195



Aggregated Reportable Short Positions of Specified Shares as of 02/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1661	WISDOM SPORTS	37,207,000	\$ 52,089,800
1666	TONG REN TANG	4,490,800	\$ 54,608,128
1668	CHINASOUTHCITY	122,514,412	\$ 181,321,330
1680	MACAU LEGEND	43,259,693	\$ 60,130,973
1681	CONSUN PHARMA	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	49,839,284	\$ 254,678,741
1766	CRRC	354,704,123	\$ 2,589,340,098
1776	GF SEC	43,335,717	\$ 692,504,758
1777	FANTASIA	4,195,500	\$ 4,908,735
1778	COLOUR LIFE	4,170,804	\$ 18,768,618
1786	CRCCE	6,038,100	\$ 20,589,921
1788	GUOTAI JUNAN I	197,035,568	\$ 502,440,698
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	85,106,242	\$ 869,785,793
1803	BJ SPORTS & ENT	300,000	\$ 564,000
1811	CGN NEW ENERGY	22,374,000	\$ 25,282,620
1812	CHENMING PAPER	3,340,750	\$ 30,968,753
1813	KWG PROPERTY	37,701,136	\$ 232,238,998
1816	CGN POWER	546,327,377	\$ 1,229,236,598
1818	ZHAOJIN MINING	78,187,680	\$ 501,964,906
1828	DCH HOLDINGS	3,009,000	\$ 11,343,930
1829	CMEC	7,033,951	\$ 40,023,181
1836	STELLA HOLDINGS	4,534,141	\$ 58,399,736
1848	CALC	1,491,500	\$ 13,826,205
1880	BELLE INT'L	37,271,001	\$ 225,862,266
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	4,006,000	\$ 75,072,440
1883	CITIC TELECOM	9,628,672	\$ 23,782,820
1886	HUIYUAN JUICE	8,828,127	\$ 23,041,411
1888	KB LAMINATES	1,683,500	\$ 15,067,325
1893	SINOMA	13,515,049	\$ 31,625,215
1898	CHINA COAL	160,269,425	\$ 541,710,657
1899	XINGDA INT'L	13,477,529	\$ 40,297,812
1910	SAMSONITE	33,679,890	\$ 1,079,440,475
1913	PRADA	9,071,439	\$ 290,739,620
1918	SUNAC	253,905,177	\$ 3,351,548,336
1919	COSCO SHIP HOLD	108,235,991	\$ 378,825,969
1928	SANDS CHINA LTD	89,139,719	\$ 3,128,804,137
1929	CHOW TAI FOOK	13,890,152	\$ 111,676,822



Aggregated Reportable Short Positions of Specified Shares as of 02/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1958	BAIC MOTOR	40,013,125	\$ 304,099,750
1963	BCQ	612,500	\$ 3,877,125
1966	CHINA SCE PPT	0	\$ 0
1970	IMAX CHINA	6,117,079	\$ 209,204,102
1972	SWIREPROPERTIES	21,917,927	\$ 580,825,066
1980	TIANGE	20,411,494	\$ 121,652,504
1988	MINSHENG BANK	523,239,754	\$ 4,076,037,684
1999	MAN WAH HLDGS	46,079,070	\$ 329,004,560
2005	SSY GROUP	8,776,105	\$ 27,381,448
2006	JINJIANG HOTELS	51,220,498	\$ 113,709,506
2007	COUNTRY GARDEN	387,934,274	\$ 3,646,582,176
2008	PHOENIX TV	10,338,000	\$ 12,198,840
2009	BBMG	223,774,765	\$ 843,630,864
2014	OZNER WATER	33,172,000	\$ 63,026,800
2016	CZBANK	0	\$ 0
2018	AAC TECH	53,786,301	\$ 4,442,748,463
2020	ANTA SPORTS	78,701,804	\$ 1,829,816,943
2038	FIH	30,244,000	\$ 72,888,040
2039	CIMC	3,185,800	\$ 40,714,524
2066	SHENGJINGBANK	1,590,500	\$ 10,051,960
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	0	\$ 0
2128	CHINA LESSO	22,128,028	\$ 129,448,964
2136	LIFESTYLE CHI	864,667	\$ 2,187,608
2168	YINGDE GASES	0	\$ 0
2186	LUYE PHARMA	140,179,995	\$ 653,238,777
2196	FOSUN PHARMA	10,943,676	\$ 338,706,772
2199	REGINA MIRACLE	20,568,000	\$ 122,585,280
2200	HOSA INT'L	7,000,090	\$ 17,080,220
2202	CHINA VANKE	30,105,249	\$ 606,620,767
2208	GOLDWIND	30,442,131	\$ 356,172,933
2226	HONWORLD GP	0	\$ 0
2233	WESTCHINACEMENT	93,438,000	\$ 106,519,320
2238	GAC GROUP	127,887,057	\$ 1,626,723,365
2255	HAICHANG HLDG	5,954,000	\$ 9,585,940
2277	HUARONG INV	0	\$ 0
2280	HC INTL	28,432,000	\$ 177,415,680
2282	MGM CHINA	55,579,841	\$ 944,857,297
2298	COSMO LADY	68,024,745	\$ 209,516,215
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHOU INTL	25,385,060	\$ 1,375,870,252



Aggregated Reportable Short Positions of Specified Shares as of 02/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2314	LEE & MAN PAPER	30,505,149	\$ 208,960,271
2318	PING AN	1,020,119,572	\$ 51,720,062,300
2319	MENGNU DAIRY	64,868,901	\$ 1,027,523,392
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	67,232,035	\$ 25,212,013
2328	PICC P&C	62,671,842	\$ 814,733,946
2329	GUORUI PPT	4,806,000	\$ 11,534,400
2331	LI NING	92,584,951	\$ 493,477,789
2333	GREATWALL MOTOR	671,050,494	\$ 5,737,481,724
2338	WEICHAJ POWER	158,766,466	\$ 2,083,016,034
2342	COMBA	5,582,747	\$ 6,364,332
2343	PACIFIC BASIN	199,139,096	\$ 308,665,599
2348	DAWNRAJS PHARMA	0	\$ 0
2355	BAOYE GROUP	0	\$ 0
2356	DAHSING BANKING	795,241	\$ 12,787,475
2357	AVICHINA	138,362,464	\$ 666,907,076
2358	JIU RONG HOLD	0	\$ 0
2369	COOLPAD GROUP	80,300,148	\$ 57,816,107
2378	PRU	0	\$ 0
2380	CHINA POWER	71,994,023	\$ 214,542,189
2382	SUNNY OPTICAL	30,123,879	\$ 1,867,680,498
2383	TOM GROUP	1,849,003	\$ 3,550,086
2386	SINOPEC SEG	5,183,878	\$ 37,531,277
2388	BOC HONG KONG	50,462,338	\$ 1,786,366,765
2389	BJ ENT M&H	24,324,000	\$ 12,040,380
2393	YESTAR HEALTH	5,445,468	\$ 20,747,233
2399	FORDOO	377,000	\$ 2,431,650
2588	BOC AVIATION	1,769,718	\$ 71,231,150
2600	CHALCO	561,321,124	\$ 1,998,303,201
2601	CPIC	11,681,987	\$ 363,309,796
2607	SH PHARMA	4,591,881	\$ 104,006,105
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	82,676,055	\$ 2,087,570,389
2638	HKELECTRIC-SS	187,390,129	\$ 1,347,335,028
2666	UNI MEDICAL	29,629,001	\$ 199,403,177
2669	CHINA OVS PPT	89,061,371	\$ 122,904,692
2678	TEXHONG TEXTILE	3,452,000	\$ 33,518,920
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	15,272,001	\$ 641,424,042
2689	ND PAPER	93,199,970	\$ 899,379,711



Aggregated Reportable Short Positions of Specified Shares as of 02/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2698	WEIQIAO TEXTILE	0	\$ 0
2727	SH ELECTRIC	327,418,136	\$ 1,090,302,393
2768	JIAYUAN INTL	640,000	\$ 2,419,200
2777	R&F PROPERTIES	74,135,220	\$ 931,138,363
2778	CHAMPION REIT	6,137,000	\$ 31,237,330
2799	CHINA HUARONG	242,914,348	\$ 779,755,057
2800	TRACKER FUND	4,806,800	\$ 126,178,500
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2816	X DBCSI300REAL	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	41,114,256	\$ 514,750,485
2823	X ISHARES A50	146,613,990	\$ 1,753,503,320
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	1,398,800	\$ 150,091,240
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2844	X DBCSI300FIN	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	149,823,153	\$ 253,201,129
2868	BJ CAPITAL LAND	12,673,800	\$ 46,512,846
2869	GREENTOWN SER	1,206,000	\$ 4,510,440
2877	SHINEWAY PHARM	2,827,000	\$ 24,312,200
2880	DALIAN PORT	49,733,630	\$ 67,140,401
2883	CHINA OILFIELD	158,479,479	\$ 1,068,151,688



Aggregated Reportable Short Positions of Specified Shares as of 02/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2888	STANCHART	2,128,541	\$ 160,172,710
2899	ZIJIN MINING	153,857,404	\$ 395,413,528
3005	X DBCSI300IND	0	\$ 0
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3009	X DBMSCIEM	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3013	X DBMSCIASIAHDY	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3017	X DBCSI300ENER	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3025	X DBCSI300CONDI	0	\$ 0
3026	X DBAUDCASH	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3035	X DBMSCIEMASIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3045	X DBMSCIINDIA	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3052	X DBCSI300UTIL	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3057	X DBCSI300HEAL	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3061	X DBCSI300BANK	0	\$ 0
3062	X DBCSI300MAT	0	\$ 0
3063	X DBCSI300TRANS	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 02/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3105	X DBMSCIBANGLA	0	\$ 0
3106	X DBMSCIPAKIST	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3124	F SAM HSIF	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3134	F SAM HSIFRF	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 02/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHAREMSCIAI	0	\$ 0
3165	BMO EU QLT Y HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESKS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	47,323,145	\$ 1,930,784,316
3199	CSOP 5YCVBOND	0	\$ 0
3301	RONSHINECHINA	4,011,000	\$ 27,756,120
3308	GOLDEN EAGLE	30,767,692	\$ 352,597,750
3311	CHINA STATE CON	35,595,470	\$ 468,436,385
3320	CHINARES PHARMA	0	\$ 0
3323	CNBM	227,609,110	\$ 980,995,264
3328	BANKCOMM	124,797,583	\$ 750,033,474
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	487,094,646	\$ 6,955,711,545
3336	JU TENG INTL	4,122,000	\$ 12,984,300
3337	ANTON OILFIELD	60,050,000	\$ 46,238,500
3339	LONKING	31,293,200	\$ 71,348,496
3360	FE HORIZON	37,060,727	\$ 264,242,984
3369	QHD PORT	2,318,200	\$ 5,494,134
3377	SINO-OCEAN GP	27,887,901	\$ 108,483,935
3378	XIAMEN PORT	1,486,000	\$ 2,243,860
3380	LOGAN PPT	11,848,946	\$ 56,756,451
3382	TIANJINPORT DEV	1,284,000	\$ 1,656,360
3383	AGILE GROUP	139,278,942	\$ 1,005,593,961
3389	HENGDELI	8,316,000	\$ 5,821,200
3393	WASION GROUP	47,588,889	\$ 175,127,112
3396	LEGENDHOLDING	1,830,300	\$ 40,998,720
3606	FUYAO GLASS	30,868,427	\$ 933,769,917
3618	CQRC BANK	43,261,500	\$ 228,420,720
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	538,800	\$ 10,592,808



Aggregated Reportable Short Positions of Specified Shares as of 02/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3669	YONGDA AUTO	19,140,000	\$ 139,722,000
3698	HUIZHANG BANK	5,689,058	\$ 21,333,968
3709	KORADIOR	1,336,000	\$ 10,420,800
3799	DALI FOODS	60,398,500	\$ 280,249,040
3800	GCL-POLY ENERGY	2,074,592,697	\$ 1,659,674,158
3808	SINOTRUK	55,316,000	\$ 273,814,200
3813	POU SHENG INT'L	131,800,177	\$ 200,336,269
3818	CHINA DONGXIANG	49,667,726	\$ 66,554,753
3836	HARMONY AUTO	62,595,717	\$ 230,978,196
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	4,322,853	\$ 10,115,476
3886	TOWN HEALTH	119,206,474	\$ 110,862,021
3888	KINGSOFT	56,319,213	\$ 1,208,047,119
3898	CRRC TIMES ELEC	40,601,437	\$ 1,676,839,348
3899	CIMC ENRIC	15,824,286	\$ 83,868,716
3900	GREENTOWN CHINA	63,698,531	\$ 521,053,984
3908	CICC	5,732,196	\$ 63,054,156
3918	NAGACORP	12,972,587	\$ 52,279,526
3933	UNITED LAB	135,941,604	\$ 724,568,749
3958	DFZQ	2,826,000	\$ 20,516,760
3966	CH BAOFENG INTL	0	\$ 0
3968	CM BANK	165,643,448	\$ 3,876,056,683
3969	CHINA CRSC	561,000	\$ 3,225,750
3983	CHINA BLUECHEM	24,817,807	\$ 48,394,724
3988	BANK OF CHINA	186,961,615	\$ 740,367,995
3989	CAPITAL ENV	21,313,824	\$ 4,582,472
3993	CMOC	178,787,486	\$ 430,877,841
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	4,484,000	\$ 2,600,720
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	93,712,701	\$ 1,523,768,518
6066	CSC	2,040,000	\$ 14,626,800
6099	CMSC	493,000	\$ 5,965,300
6136	KANGDA ENV	3,608,000	\$ 5,808,880



Aggregated Reportable Short Positions of Specified Shares as of 02/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6166	CHINA VAST	0	\$ 0
6178	EB SECURITIES	841,000	\$ 9,486,480
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	0	\$ 0
6808	SUNART RETAIL	150,827,412	\$ 1,122,155,945
6818	CEB BANK	152,268,419	\$ 555,779,729
6823	HKT-SS	14,892,582	\$ 153,989,298
6826	HAOHAI BIOTEC	0	\$ 0
6828	BG BLUE SKY	38,392,000	\$ 21,115,600
6837	HAITONG SEC	90,981,307	\$ 1,193,674,748
6839	YUNNAN WATER	368,000	\$ 1,262,240
6863	HUIZHAN DAIRY	403,596,767	\$ 169,510,642
6869	YOFC	6,383,500	\$ 91,028,710
6881	CGS	88,206,763	\$ 635,088,694
6886	HTSC	32,390,786	\$ 492,987,763
6889	DYNAM JAPAN	219,800	\$ 3,099,180
6899	OURGAME	2,297,000	\$ 4,846,670
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7250	FL2 SAMSUNGK200	0	\$ 0
7255	FL2SAMSUNGTOPIX	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7311	FI SAMSUNGTOPIX	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 02/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7326	FI SAMSUNGK200	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	5,149,054	\$ 4,685,639
8008	SUNEVISION	0	\$ 0
8021	WLS HOLDINGS	0	\$ 0
8032	VIVA CHINA	12,968,000	\$ 9,466,640
8057	MADISON WINE	948,000	\$ 1,753,800
8058	LUOXIN PHARMA	0	\$ 0
8078	HMV DIGIT CHINA	23,440,000	\$ 7,618,000
8083	INNOVATIONPAY	41,116,146	\$ 18,091,104
8095	BEIDA JADE BIRD	202,000	\$ 373,700
8137	HONBRIDGE	2,416,000	\$ 2,295,200
8138	TONGRENTANGCM	207,000	\$ 2,417,760
8158	CRMI	16,605,000	\$ 4,400,325
8193	GC PRO SER	0	\$ 0
8207	CREDIT CHINA	121,824,000	\$ 125,478,720
8237	LINK HOLDINGS	0	\$ 0
8265	POWERWELL PAC	736,000	\$ 2,333,120
8279	AGTECH HOLDINGS	32,088,000	\$ 43,960,560
8325	CHINA SMARTPAY	7,530,000	\$ 7,454,700
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESESE50-U	0	\$ 0
9170	ISHARES KKS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	9,001,200	\$ 110,894,784



Aggregated Reportable Short Positions of Specified Shares as of 02/06/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHAREMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0

