



## Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1          | CKH HOLDINGS    | 15,835,708                                     | \$ 1,551,899,384                             |
| 2          | CLP HOLDINGS    | 21,997,625                                     | \$ 1,835,701,806                             |
| 3          | HK & CHINA GAS  | 267,683,911                                    | \$ 3,902,831,422                             |
| 4          | WHARF HOLDINGS  | 15,411,874                                     | \$ 1,045,695,651                             |
| 5          | HSBC HOLDINGS   | 22,985,598                                     | \$ 1,571,065,623                             |
| 6          | POWER ASSETS    | 18,063,133                                     | \$ 1,264,419,310                             |
| 8          | PCCW            | 55,208,845                                     | \$ 240,158,476                               |
| 10         | HANG LUNG GROUP | 4,785,895                                      | \$ 151,234,282                               |
| 11         | HANG SENG BANK  | 12,814,101                                     | \$ 2,068,195,901                             |
| 12         | HENDERSON LAND  | 9,077,464                                      | \$ 404,854,894                               |
| 14         | HYSAN DEV       | 4,894,522                                      | \$ 184,523,479                               |
| 16         | SHK PPT         | 11,002,670                                     | \$ 1,298,315,060                             |
| 17         | NEW WORLD DEV   | 41,552,059                                     | \$ 428,817,249                               |
| 19         | SWIRE PACIFIC A | 6,879,910                                      | \$ 532,849,030                               |
| 20         | WHEELLOCK       | 5,336,768                                      | \$ 319,405,565                               |
| 23         | BANK OF E ASIA  | 56,338,918                                     | \$ 1,878,902,915                             |
| 25         | CHEVALIER INT'L | 0  | \$ 0   |
| 26         | CHINA MOTOR BUS | 0  | \$ 0   |
| 27         | GALAXY ENT      | 61,200,536                                     | \$ 2,879,485,219                             |
| 28         | TIAN AN         | 0  | \$ 0   |
| 31         | CHINA AEROSPACE | 24,900,000                                     | \$ 25,149,000                                |
| 32         | CROSS-HAR(HOLD) | 0  | \$ 0   |
| 34         | KOWLOON DEV     | 0  | \$ 0   |
| 35         | FE CONSORT INTL | 0  | \$ 0   |
| 38         | FIRST TRACTOR   | 6,169,992                                      | \$ 24,926,768                                |
| 39         | CH BEIDAHUANG   | 20,541,714                                     | \$ 8,011,268                                 |
| 41         | GREAT EAGLE H   | 329,377  | \$ 12,812,765                                |
| 43         | C.P. POKPHAND   | 0  | \$ 0   |
| 44         | HAECO           | 38,408   | \$ 2,075,952                                 |
| 45         | HK&S HOTELS     | 1,444,952                                      | \$ 15,749,977                                |
| 50         | HK FERRY (HOLD) | 0  | \$ 0   |
| 51         | HARBOUR CENTRE  | 0  | \$ 0   |
| 52         | FAIRWOOD HOLD   | 571,202  | \$ 18,735,426                                |
| 53         | GUOCO GROUP     | 0  | \$ 0   |
| 54         | HOPEWELL HOLD   | 1,650,157                                      | \$ 48,927,155                                |
| 56         | ALLIED PPT (HK) | 0  | \$ 0   |
| 62         | TRANSPORT INT'L | 0  | \$ 0   |
| 64         | GET NICE        | 2,426,000                                      | \$ 667,150                                   |
| 66         | MTR CORPORATION | 63,292,450                                     | \$ 2,775,373,933                             |
| 69         | SHANGRI-LA ASIA | 6,263,446                                      | \$ 80,923,722                                |
| 71         | MIRAMAR HOTEL   | 324,001  | \$ 5,987,538                                 |



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|------------|-----------------|--|--|
| 75         | Y.T. REALTY     | 0  | \$ 0   |
| 78         | REGAL INT'L     | 0  | \$ 0   |
| 81         | CH OVS G OCEANS | 4,957,000                                      | \$ 20,769,830                                |
| 83         | SINO LAND       | 17,517,628                                     | \$ 230,882,337                               |
| 86         | SUN HUNG KAI CO | 0  | \$ 0   |
| 88         | TAI CHEUNG HOLD | 148,000  | \$ 1,228,400                                 |
| 95         | LVGEM CHINA     | 1,324,000                                      | \$ 2,648,000                                 |
| 100        | CLEAR MEDIA     | 0  | \$ 0   |
| 101        | HANG LUNG PPT   | 16,723,645                                     | \$ 328,452,388                               |
| 102        | SUMMIT ASCENT   | 67,902,490                                     | \$ 126,977,656                               |
| 105        | ASSO INT HOTELS | 0  | \$ 0   |
| 107        | SICHUAN EXPRESS | 7,776,000                                      | \$ 24,883,200                                |
| 116        | CHOW SANG SANG  | 0  | \$ 0   |
| 119        | POLY PROPERTY   | 81,993,610                                     | \$ 260,739,680                               |
| 123        | YUEXIU PROPERTY | 88,880,600                                     | \$ 114,655,974                               |
| 127        | CHINESE EST H   | 0  | \$ 0   |
| 129        | ASIA STANDARD   | 0  | \$ 0   |
| 133        | CHINA MERCHANTS | 34,000   | \$ 382,160                                   |
| 135        | KUNLUN ENERGY   | 307,730,201                                    | \$ 1,991,014,400                             |
| 136        | HENG TEN NET    | 320,876,255                                    | \$ 37,542,522                                |
| 142        | FIRST PACIFIC   | 12,798,746                                     | \$ 70,905,053                                |
| 144        | CHINA MER PORT  | 11,007,788                                     | \$ 236,667,442                               |
| 147        | IB SETTLEMENT   | 0  | \$ 0   |
| 148        | KINGBOARD CHEM  | 1,022,300                                      | \$ 30,464,540                                |
| 151        | WANT WANT CHINA | 790,509,977                                    | \$ 4,158,082,479                             |
| 152        | SHENZHEN INT'L  | 7,268,321                                      | \$ 97,686,234                                |
| 158        | MELBOURNE ENT   | 0  | \$ 0   |
| 163        | EMPEROR INT'L   | 1,172,000                                      | \$ 2,883,120                                 |
| 164        | CHINA BAOLI TEC | 35,868,502                                     | \$ 6,743,278                                 |
| 165        | CHINA EB LTD    | 18,612,199                                     | \$ 327,202,458                               |
| 168        | TSINGTAO BREW   | 21,316,070                                     | \$ 750,325,664                               |
| 171        | SILVER GRANT    | 1,422,000                                      | \$ 1,777,500                                 |
| 173        | K. WAH INT'L    | 1,451,814                                      | \$ 6,983,225                                 |
| 175        | GEELY AUTO      | 302,069,125                                    | \$ 4,271,257,428                             |
| 177        | JIANGSU EXPRESS | 15,903,063                                     | \$ 172,707,264                               |
| 178        | SA SA INT'L     | 28,911,022                                     | \$ 90,491,499                                |
| 179        | JOHNSON ELEC H  | 2,354,706                                      | \$ 64,048,003                                |
| 182        | CONCORD NE      | 65,090,000                                     | \$ 21,154,250                                |
| 186        | GRANDE HOLDINGS | 0  | \$ 0   |
| 194        | LIU CHONG HING  | 0  | \$ 0   |
| 196        | HONGHUA GROUP   | 27,877,347                                     | \$ 18,399,049                                |



Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

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|------------|-----------------|--|--|
| 198        | SMI HOLDINGS    | 44,654,400                                     | \$ 154,057,680                               |
| 199        | ITC PROPERTIES  | 0  | \$ 0   |
| 200        | MELCO INT'L DEV | 15,901,593                                     | \$ 328,367,895                               |
| 202        | EVERCHINA INT'L | 0  | \$ 0   |
| 207        | JOY CITY PPT    | 22,642,000                                     | \$ 24,906,200                                |
| 215        | HUTCHTEL HK     | 33,808,000                                     | \$ 90,605,440                                |
| 217        | CHINA CHENGTONG | 2,752,203                                      | \$ 1,100,881                                 |
| 218        | SHENWANHONGYUAN | 8,615,000                                      | \$ 25,758,850                                |
| 220        | U-PRESID CHINA  | 75,661,560                                     | \$ 442,620,126                               |
| 222        | MIN XIN HOLD    | 0  | \$ 0   |
| 225        | POKFULAM        | 0  | \$ 0   |
| 232        | AVIC INT'L      | 47,404,000                                     | \$ 18,487,560                                |
| 235        | CHINA STRATEGIC | 188,560,000                                    | \$ 25,078,480                                |
| 241        | ALI HEALTH      | 216,271,081                                    | \$ 785,064,024                               |
| 242        | SHUN TAK HOLD   | 33,147,019                                     | \$ 118,334,858                               |
| 243        | QPL INT'L       | 0  | \$ 0   |
| 245        | CN MINSHENG FIN | 48,738,531                                     | \$ 24,369,266                                |
| 247        | TST PROPERTIES  | 0  | \$ 0   |
| 251        | SEA HOLDINGS    | 456,000  | \$ 4,705,920                                 |
| 256        | CITYCHAMP       | 15,831,322                                     | \$ 26,913,247                                |
| 257        | CHINA EB INT'L  | 94,173,748                                     | \$ 889,941,919                               |
| 258        | TOMSON GROUP    | 760,000  | \$ 2,865,200                                 |
| 259        | YEEBO (INT'L H) | 300,000  | \$ 927,000                                   |
| 261        | CCT LAND        | 0  | \$ 0   |
| 266        | TIAN TECK LAND  | 0  | \$ 0   |
| 267        | CITIC           | 100,811,638                                    | \$ 1,229,901,984                             |
| 268        | KINGDEE INT'L   | 204,594,013                                    | \$ 626,057,680                               |
| 270        | GUANGDONG INV   | 16,641,844                                     | \$ 181,728,936                               |
| 272        | SHUI ON LAND    | 44,231,267                                     | \$ 82,270,157                                |
| 273        | MASON FIN       | 157,943,844                                    | \$ 16,110,272                                |
| 275        | MASTER GLORY GP | 72,020,000                                     | \$ 10,442,900                                |
| 283        | GOLDIN PPT      | 0  | \$ 0   |
| 285        | BYD ELECTRONIC  | 49,836,297                                     | \$ 833,262,886                               |
| 286        | CS HEALTH       | 718,000  | \$ 437,980                                   |
| 288        | WH GROUP        | 24,823,469                                     | \$ 186,176,018                               |
| 289        | WING ON CO      | 0  | \$ 0   |
| 291        | CHINA RES BEER  | 33,238,390                                     | \$ 666,429,720                               |
| 293        | CATHAY PAC AIR  | 49,578,821                                     | \$ 613,785,804                               |
| 297        | SINOFERT        | 169,843,734                                    | \$ 168,145,297                               |
| 299        | NEW SPORTS      | 126,140,000                                    | \$ 6,180,860                                 |
| 303        | VTECH HOLDINGS  | 1,672,239                                      | \$ 207,524,860                               |



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|------------|------------------|--|--|
| 308        | CHINA TRAVEL HK  | 147,418,352                                    | \$ 325,794,558                               |
| 315        | SMARTONE TELE    | 15,721,313                                     | \$ 160,986,245                               |
| 316        | OOIL             | 9,370,688                                      | \$ 453,541,299                               |
| 317        | COMEC            | 12,657,073                                     | \$ 173,401,900                               |
| 321        | TEXWINCA HOLD    | 12,653,907                                     | \$ 56,183,347                                |
| 322        | TINGYI           | 192,550,144                                    | \$ 1,756,057,313                             |
| 323        | MAANSHAN IRON    | 77,212,748                                     | \$ 216,967,822                               |
| 327        | PAX GLOBAL       | 93,618,000                                     | \$ 458,728,200                               |
| 329        | DRAGONITE INT'L  | 0  | \$ 0   |
| 330        | ESPRIT HOLDINGS  | 43,894,200                                     | \$ 179,088,336                               |
| 332        | YUANHENG GAS     | 0  | \$ 0   |
| 336        | HUABAO INTL      | 5,035,707                                      | \$ 21,703,897                                |
| 337        | GREENLAND HK     | 18,250,400                                     | \$ 51,466,128                                |
| 338        | SHANGHAI PEICHEM | 73,726,181                                     | \$ 317,759,840                               |
| 340        | CHINA MINING     | 0  | \$ 0   |
| 341        | CAFE DE CORAL H  | 1,464,000                                      | \$ 38,210,400                                |
| 342        | NEWOCEAN ENERGY  | 46,887,817                                     | \$ 112,530,761                               |
| 345        | VITASOY INT'L    | 9,268,334                                      | \$ 148,849,444                               |
| 347        | ANGANG STEEL     | 87,678,634                                     | \$ 454,175,324                               |
| 354        | CHINASOFT INT'L  | 45,287,887                                     | \$ 187,944,731                               |
| 358        | JIANGXI COPPER   | 30,938,362                                     | \$ 363,835,137                               |
| 363        | SHANGHAI IND H   | 446,000  | \$ 10,168,800                                |
| 368        | SINOTRANS SHIP   | 45,739,500                                     | \$ 76,384,965                                |
| 369        | WING TAI PPT     | 0  | \$ 0   |
| 371        | BJ ENT WATER     | 212,058,166                                    | \$ 1,314,760,629                             |
| 373        | ALLIED GROUP     | 0  | \$ 0   |
| 376        | YUNFENG FIN      | 0  | \$ 0   |
| 377        | HUAJUN HOLD      | 0  | \$ 0   |
| 378        | FDG KINETIC      | 7,844,000                                      | \$ 10,354,080                                |
| 382        | WELLING HOLDING  | 704,000  | \$ 1,330,560                                 |
| 383        | CHINA MED&HCARE  | 0  | \$ 0   |
| 384        | CHINA GAS HOLD   | 57,071,323                                     | \$ 736,220,067                               |
| 386        | SINOPEC CORP     | 113,405,098                                    | \$ 714,452,117                               |
| 388        | HKEX             | 32,818,896                                     | \$ 6,485,013,850                             |
| 390        | CHINA RAILWAY    | 136,055,628                                    | \$ 836,742,112                               |
| 392        | BEIJING ENT      | 2,932,534                                      | \$ 110,263,278                               |
| 400        | COGOBUY          | 28,059,558                                     | \$ 131,038,136                               |
| 404        | HSIN CHONG GP    | 10,339,858                                     | \$ 3,618,950                                 |
| 405        | YUEXIU REIT      | 0  | \$ 0   |
| 410        | SOHO CHINA       | 45,073,500                                     | \$ 169,025,625                               |
| 412        | CHINA INNO FIN   | 8,387,323                                      | \$ 2,851,690                                 |



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|------------|-----------------|--|--|
| 413        | SC HOLDINGS     | 0  | \$ 0   |
| 416        | BANKOFJINZHOU   | 797,000  | \$ 6,854,200                                 |
| 419        | HUAYI TENCENT   | 137,053,328                                    | \$ 47,283,398                                |
| 425        | MINTH GROUP     | 21,507,007                                     | \$ 679,621,421                               |
| 433        | NORTH MINING    | 92,054,082                                     | \$ 16,661,789                                |
| 434        | BOYAA           | 7,321,981                                      | \$ 25,993,033                                |
| 435        | SUNLIGHT REIT   | 0  | \$ 0   |
| 439        | KUANGCHI        | 69,997,000                                     | \$ 184,792,080                               |
| 440        | DAH SING        | 1,732,903                                      | \$ 105,013,922                               |
| 451        | GCL NEWENERGY   | 132,590,000                                    | \$ 45,080,600                                |
| 460        | SIHUAN PHARM    | 187,081,035                                    | \$ 613,625,795                               |
| 467        | UNITEDENERGY GP | 0  | \$ 0   |
| 468        | GAPACK          | 3,054,000                                      | \$ 15,270,000                                |
| 472        | NEW SILKROAD    | 0  | \$ 0   |
| 480        | HKR INT'L       | 0  | \$ 0   |
| 486        | RUSAL           | 0  | \$ 0   |
| 488        | LAI SUN DEV     | 0  | \$ 0   |
| 489        | DONGFENG GROUP  | 75,856,449                                     | \$ 750,978,845                               |
| 493        | GOME            | 1,447,831,408                                  | \$ 1,418,874,780                             |
| 494        | LI & FUNG       | 638,544,418                                    | \$ 1,839,007,924                             |
| 496        | KASEN           | 0  | \$ 0   |
| 497        | CSI PROPERTIES  | 0  | \$ 0   |
| 506        | CHINA FOODS     | 14,724,000                                     | \$ 45,644,400                                |
| 511        | TVB             | 6,125,748                                      | \$ 169,376,932                               |
| 512        | CHINAGRANDPHARM | 0  | \$ 0   |
| 517        | COSCO SHIP INTL | 2,296,000                                      | \$ 7,002,800                                 |
| 520        | XIABUXIABU      | 1,400,000                                      | \$ 9,926,000                                 |
| 521        | HNA HOLDING     | 45,964,000                                     | \$ 14,478,660                                |
| 522        | ASM PACIFIC     | 27,674,682                                     | \$ 3,099,564,384                             |
| 525        | GUANGSHEN RAIL  | 19,432,200                                     | \$ 79,283,376                                |
| 526        | LISI GP HOLD    | 0  | \$ 0   |
| 527        | RUIFENG RENEW   | 1,760,000                                      | \$ 1,232,000                                 |
| 530        | GOLDIN FIN HOLD | 12,928,000                                     | \$ 45,506,560                                |
| 535        | GEMDALE PPT     | 0  | \$ 0   |
| 538        | AJISEN (CHINA)  | 958,000  | \$ 3,103,920                                 |
| 546        | FUFENG GROUP    | 40,882,981                                     | \$ 177,840,967                               |
| 547        | DIGITAL DOMAIN  | 171,845,761                                    | \$ 53,272,186                                |
| 548        | SHENZHENEXPRESS | 1,020,000                                      | \$ 7,262,400                                 |
| 551        | YUE YUEN IND    | 6,797,694                                      | \$ 213,447,592                               |
| 552        | CHINACOMSERVICE | 4,528,000                                      | \$ 20,511,840                                |
| 553        | NANJING PANDA   | 216,000  | \$ 967,680                                   |



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|------------|-----------------|--|--|
| 555        | REXLOT HOLDINGS | 29,276,474                                     | \$ 3,278,965                                 |
| 563        | SH IND URBAN    | 14,770,200                                     | \$ 24,666,234                                |
| 566        | HANERGY TFP     | 180,828,779                                    | \$ 707,040,526                               |
| 570        | TRAD CHI MED    | 76,075,052                                     | \$ 327,883,474                               |
| 576        | ZHEJIANGEXPRESS | 17,896,851                                     | \$ 168,409,368                               |
| 577        | THE 13 HOLDINGS | 8,324,000                                      | \$ 17,979,840                                |
| 579        | JNCEC           | 21,159,058                                     | \$ 49,512,196                                |
| 580        | SUN.KING ELEC   | 0  | \$ 0   |
| 586        | CONCH VENTURE   | 31,381,875                                     | \$ 466,334,663                               |
| 588        | BEIJING N STAR  | 3,904,000                                      | \$ 12,258,560                                |
| 590        | LUK FOOK HOLD   | 2,501,603                                      | \$ 64,166,117                                |
| 598        | SINOTRANS       | 8,333,583                                      | \$ 31,584,280                                |
| 603        | CHINA OIL & GAS | 7,200,000                                      | \$ 3,888,000                                 |
| 604        | SHENZHEN INVEST | 9,232,507                                      | \$ 31,852,149                                |
| 605        | C FIN SERVICES  | 0  | \$ 0   |
| 606        | CHINA AGRI      | 6,684,000                                      | \$ 21,789,840                                |
| 607        | FULLSHARE       | 775,938,437                                    | \$ 2,451,965,461                             |
| 621        | TAUNG GOLD      | 0  | \$ 0   |
| 622        | ENERCHINA HOLD  | 73,777,773                                     | \$ 15,124,443                                |
| 630        | AMCO UNITED     | 0  | \$ 0   |
| 631        | SANY INT'L      | 7,562,000                                      | \$ 10,208,700                                |
| 633        | CH ALL ACCESS   | 11,072,000                                     | \$ 23,915,520                                |
| 636        | KERRY LOG NET   | 0  | \$ 0   |
| 639        | SHOUGANG RES    | 37,099,288                                     | \$ 47,487,089                                |
| 651        | CHI OCEAN IND   | 373,084,095                                    | \$ 71,259,062                                |
| 656        | FOSUN INTL      | 78,514,840                                     | \$ 978,294,906                               |
| 658        | C TRANSMISSION  | 32,103,465                                     | \$ 267,421,863                               |
| 659        | NWS HOLDINGS    | 2,034,000                                      | \$ 31,323,600                                |
| 665        | HAITONG INT'L   | 131,850,456                                    | \$ 584,097,520                               |
| 669        | TECHTRONIC IND  | 12,077,781                                     | \$ 434,800,116                               |
| 670        | CHINA EAST AIR  | 72,270,488                                     | \$ 324,494,491                               |
| 678        | GENTING HK      | 0  | \$ 0   |
| 680        | NAN HAI CORP    | 116,350,000                                    | \$ 29,669,250                                |
| 683        | KERRY PPT       | 8,417,751                                      | \$ 224,333,064                               |
| 686        | UNITED PV       | 136,645,765                                    | \$ 140,745,138                               |
| 688        | CHINA OVERSEAS  | 42,745,436                                     | \$ 978,870,484                               |
| 693        | TAN CHONG INT'L | 0  | \$ 0   |
| 694        | BEIJING AIRPORT | 9,798,921                                      | \$ 107,396,174                               |
| 696        | TRAVELSKY TECH  | 6,133,770                                      | \$ 128,502,482                               |
| 697        | SHOUGANG INT'L  | 0  | \$ 0   |
| 698        | TONGDA GROUP    | 311,683,662                                    | \$ 713,755,586                               |



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|------------|-----------------|--|--|
| 699        | CAR INC         | 103,492,160                                    | \$ 744,108,630                               |
| 700        | TENCENT         | 99,879,461                                     | \$ 27,227,141,069                            |
| 702        | SINO OIL & GAS  | 84,610,000                                     | \$ 15,568,240                                |
| 708        | EVERG HEALTH    | 0  | \$ 0   |
| 709        | GIORDANO INT'L  | 7,094,978                                      | \$ 27,954,213                                |
| 715        | CHINA OCEANWIDE | 18,488,755                                     | \$ 14,236,341                                |
| 716        | SINGAMAS CONT   | 928,000  | \$ 1,104,320                                 |
| 717        | EMPEROR CAPITAL | 19,632,974                                     | \$ 13,939,412                                |
| 718        | TAI UNITED HOLD | 0  | \$ 0   |
| 721        | C FIN INT INV   | 66,041,827                                     | \$ 19,812,548                                |
| 728        | CHINA TELECOM   | 61,604,733                                     | \$ 232,249,843                               |
| 729        | FDG EVEHICLES   | 469,682,114                                    | \$ 159,691,919                               |
| 732        | TRULY INT'L     | 154,572,912                                    | \$ 417,346,862                               |
| 735        | C P NEW ENERGY  | 3,109,923                                      | \$ 15,363,020                                |
| 737        | HOPEWELL INFR   | 8,160,500                                      | \$ 35,906,200                                |
| 750        | SINGYES SOLAR   | 41,734,460                                     | \$ 141,897,164                               |
| 751        | SKYWORTHDIGITAL | 122,287,207                                    | \$ 572,304,129                               |
| 752        | PICO FAR EAST   | 508,000  | \$ 1,706,880                                 |
| 753        | AIR CHINA       | 31,510,635                                     | \$ 243,892,315                               |
| 754        | HOPSON DEV HOLD | 528,000  | \$ 3,764,640                                 |
| 762        | CHINA UNICOM    | 206,070,039                                    | \$ 2,328,591,441                             |
| 763        | ZTE             | 41,487,863                                     | \$ 707,782,943                               |
| 775        | CKLIFE SCIENCES | 0  | \$ 0   |
| 777        | NETDRAGON       | 8,383,827                                      | \$ 178,575,515                               |
| 778        | FORTUNE REIT    | 0  | \$ 0   |
| 787        | GLOBAL BRANDS   | 71,647,697                                     | \$ 65,199,404                                |
| 798        | CEOVU           | 0  | \$ 0   |
| 799        | IGG             | 58,254,950                                     | \$ 671,097,024                               |
| 801        | GOLDEN MEDITECH | 6,865,847                                      | \$ 7,827,066                                 |
| 806        | VALUE PARTNERS  | 81,096,387                                     | \$ 592,003,625                               |
| 808        | PROSPERITY REIT | 0  | \$ 0   |
| 811        | XINHUA WINSHARE | 3,146,000                                      | \$ 20,857,980                                |
| 813        | SHIMAO PROPERTY | 14,351,192                                     | \$ 192,880,020                               |
| 815        | CHI SILVER GP   | 40,696,449                                     | \$ 59,416,816                                |
| 816        | HUADIAN FUXIN   | 143,011,789                                    | \$ 255,991,102                               |
| 817        | CHINA JINMAO    | 65,203,907                                     | \$ 163,009,768                               |
| 818        | HI SUN TECH     | 16,403,181                                     | \$ 21,488,167                                |
| 819        | TIANNENG POWER  | 49,591,177                                     | \$ 320,854,915                               |
| 823        | LINK REIT       | 25,078,977                                     | \$ 1,573,705,807                             |
| 826        | TIANGONG INT'L  | 0  | \$ 0   |
| 831        | CR ASIA         | 0  | \$ 0   |



## Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 832        | CENTRAL CHINA   | 0  | \$ 0   |
| 836        | CHINA RES POWER | 10,270,028                                     | \$ 155,899,025                               |
| 845        | GLORIOUS PPT H  | 15,838,000                                     | \$ 13,620,680                                |
| 853        | MICROPORT       | 0  | \$ 0   |
| 855        | CHINA WATER     | 34,726,245                                     | \$ 156,268,103                               |
| 856        | VST HOLDINGS    | 0  | \$ 0   |
| 857        | PETROCHINA      | 332,288,099                                    | \$ 1,651,471,852                             |
| 861        | DC HOLDINGS     | 62,928,758                                     | \$ 379,460,411                               |
| 867        | CMS             | 30,524,572                                     | \$ 414,523,688                               |
| 868        | XINYI GLASS     | 34,121,438                                     | \$ 255,569,571                               |
| 874        | BAIYUNSHAN PH   | 1,132,000                                      | \$ 24,507,800                                |
| 875        | CHINA FIN INV   | 0  | \$ 0   |
| 877        | O-NET TECH GP   | 16,009,009                                     | \$ 64,036,036                                |
| 878        | SOUNDWILL HOLD  | 0  | \$ 0   |
| 880        | SJM HOLDINGS    | 66,620,111                                     | \$ 540,955,301                               |
| 881        | ZHONGSHENG HLDG | 22,855,653                                     | \$ 304,894,411                               |
| 882        | TIANJIN DEV     | 3,040,000                                      | \$ 13,284,800                                |
| 883        | CNOOC           | 207,086,476                                    | \$ 1,787,156,288                             |
| 884        | CIFI HOLD GP    | 47,929,283                                     | \$ 144,267,142                               |
| 885        | RENTIAN TECH    | 21,488,990                                     | \$ 8,488,151                                 |
| 895        | DONGJIANG ENV   | 3,589,812                                      | \$ 46,380,371                                |
| 902        | HUANENG POWER   | 156,229,622                                    | \$ 884,259,661                               |
| 903        | TPV TECHNOLOGY  | 2,183,000                                      | \$ 3,951,230                                 |
| 906        | COFCO PACKAGING | 0  | \$ 0   |
| 914        | ANHUI CONCH     | 159,226,581                                    | \$ 4,076,200,474                             |
| 916        | CHINA LONGYUAN  | 72,545,302                                     | \$ 410,606,409                               |
| 921        | HISENSE KELON   | 1,904,000                                      | \$ 23,304,960                                |
| 931        | CHINA LNG       | 501,955,846                                    | \$ 80,814,891                                |
| 933        | BRIGHTOIL       | 26,338,539                                     | \$ 55,047,547                                |
| 934        | SINOPEC KANTONS | 41,817,649                                     | \$ 173,543,243                               |
| 939        | CCB             | 968,153,240                                    | \$ 6,186,499,204                             |
| 941        | CHINA MOBILE    | 22,337,747                                     | \$ 1,879,721,410                             |
| 950        | LEE'S PHARM     | 853,501  | \$ 5,769,667                                 |
| 951        | CHAOWEI POWER   | 31,124,792                                     | \$ 150,643,993                               |
| 956        | CHINA SUNTIEN   | 27,865,094                                     | \$ 39,847,084                                |
| 958        | HN RENEWABLES   | 113,284,522                                    | \$ 269,617,162                               |
| 960        | LONGFOR PPT     | 16,938,965                                     | \$ 258,827,385                               |
| 963        | BLOOMAGE BIOTEC | 3,679,827                                      | \$ 52,621,526                                |
| 966        | CHINA TAIPING   | 27,383,056                                     | \$ 526,849,997                               |
| 968        | XINYI SOLAR     | 337,959,851                                    | \$ 790,826,051                               |
| 973        | L'OCCITANE      | 1,271,183                                      | \$ 20,745,707                                |





## Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 976        | CHIHO-TIANDE    | 12,033,851                                     | \$ 42,599,833                                |
| 978        | CHI MER LAND    | 7,786,000                                      | \$ 11,523,280                                |
| 981        | SMIC            | 397,192,243                                    | \$ 3,558,842,497                             |
| 985        | NETMIND FIN     | 0  | \$ 0   |
| 988        | LOUDONG GN RES  | 0  | \$ 0   |
| 989        | GROUND INTL DEV | 0  | \$ 0   |
| 991        | DATANG POWER    | 34,958,000                                     | \$ 87,744,580                                |
| 992        | LENOVO GROUP    | 812,573,012                                    | \$ 4,103,493,711                             |
| 993        | HUARONG INT FIN | 0  | \$ 0   |
| 995        | ANHUIEXPRESSWAY | 210,000  | \$ 1,230,600                                 |
| 996        | CARNIVAL GROUP  | 167,928,223                                    | \$ 119,229,038                               |
| 998        | CITIC BANK      | 401,303,819                                    | \$ 1,894,154,026                             |
| 999        | I.T             | 0  | \$ 0   |
| 1003       | HUANXI MEDIA    | 1,567,278                                      | \$ 3,291,284                                 |
| 1004       | C SMARTERENERGY | 0  | \$ 0   |
| 1019       | CONVOY          | 96,737,154                                     | \$ 14,123,624                                |
| 1027       | CHINA JICHENG   | 69,030,000                                     | \$ 19,328,400                                |
| 1028       | C.BANNER        | 0  | \$ 0   |
| 1029       | IRC             | 9,666,000                                      | \$ 2,464,830                                 |
| 1030       | FUTURE LAND     | 36,662,676                                     | \$ 100,089,105                               |
| 1031       | KINGSTON FIN    | 118,456,933                                    | \$ 300,880,610                               |
| 1033       | SINOPEC SSC     | 132,845,056                                    | \$ 166,056,320                               |
| 1038       | CKI HOLDINGS    | 40,299,054                                     | \$ 2,659,737,564                             |
| 1039       | FORTUNET E-COMM | 0  | \$ 0   |
| 1044       | HENGAN INT'L    | 55,871,157                                     | \$ 3,086,881,424                             |
| 1045       | APT SATELLITE   | 1,984,114                                      | \$ 8,432,485                                 |
| 1051       | G-RESOURCES     | 59,307,000                                     | \$ 6,760,998                                 |
| 1052       | YUEXIUTRANSPORT | 24,715,913                                     | \$ 139,150,590                               |
| 1053       | CHONGQING IRON  | 9,216,809                                      | \$ 10,138,490                                |
| 1055       | CHINA SOUTH AIR | 99,999,599                                     | \$ 647,997,402                               |
| 1060       | ALI PICTURES    | 1,003,793,086                                  | \$ 1,355,120,666                             |
| 1065       | TIANJIN CAPITAL | 11,592,000                                     | \$ 59,698,800                                |
| 1066       | WEIGAO GROUP    | 30,332,912                                     | \$ 183,514,118                               |
| 1068       | YURUN FOOD      | 54,711,035                                     | \$ 54,711,035                                |
| 1070       | TCL MULTIMEDIA  | 23,841,055                                     | \$ 87,735,082                                |
| 1071       | HUADIAN POWER   | 49,254,723                                     | \$ 173,376,625                               |
| 1072       | DONGFANG ELEC   | 9,953,910                                      | \$ 68,283,823                                |
| 1076       | IMPERIAL PAC    | 325,180,855                                    | \$ 41,948,330                                |
| 1083       | TOWNGAS CHINA   | 20,902,936                                     | \$ 101,170,210                               |
| 1086       | GOODBABY INTL   | 24,914,669                                     | \$ 83,962,435                                |
| 1088       | CHINA SHENHUA   | 41,582,360                                     | \$ 805,034,490                               |



Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1089       | LEYOU TECH H    | 42,890,000                                     | \$ 69,481,800                                |
| 1093       | CSPC PHARMA     | 45,622,830                                     | \$ 528,312,371                               |
| 1098       | ROAD KING INFRA | 258,000  | \$ 2,657,400                                 |
| 1099       | SINOPHARM       | 12,168,825                                     | \$ 428,342,640                               |
| 1103       | DASHENG AGR FIN | 28,747,999                                     | \$ 19,836,119                                |
| 1106       | SINO HAIJING    | 0  | \$ 0   |
| 1108       | LUOYANG GLASS   | 2,596,000                                      | \$ 11,915,640                                |
| 1109       | CHINA RES LAND  | 26,360,732                                     | \$ 577,300,031                               |
| 1111       | CHONG HING BANK | 0  | \$ 0   |
| 1112       | H&H INTL HLDG   | 23,300,163                                     | \$ 475,323,325                               |
| 1113       | CK PROPERTY     | 10,797,660                                     | \$ 659,737,026                               |
| 1114       | BRILLIANCE CHI  | 145,938,571                                    | \$ 2,066,490,165                             |
| 1115       | TIBET WATER     | 42,747,000                                     | \$ 134,225,580                               |
| 1117       | CH MODERN D     | 79,455,798                                     | \$ 120,772,813                               |
| 1122       | QINGLING MOTORS | 450,000  | \$ 1,102,500                                 |
| 1128       | WYNN MACAU      | 88,085,146                                     | \$ 1,606,673,063                             |
| 1129       | WATER INDUSTRY  | 6,096,240                                      | \$ 10,424,570                                |
| 1133       | HARBIN ELECTRIC | 1,533,000                                      | \$ 6,239,310                                 |
| 1136       | TCC INT'L HOLD  | 2,848,000                                      | \$ 9,968,000                                 |
| 1138       | COSCO SHIP ENGY | 23,474,057                                     | \$ 100,938,445                               |
| 1140       | OP FIN INV      | 892,000  | \$ 2,087,280                                 |
| 1141       | CMBC CAPITAL    | 68,133,075                                     | \$ 28,956,557                                |
| 1157       | ZOOMLION        | 69,954,326                                     | \$ 248,337,857                               |
| 1165       | SFCE            | 59,927,348                                     | \$ 26,368,033                                |
| 1168       | SINOLINK HOLD   | 3,430,000                                      | \$ 2,881,200                                 |
| 1169       | HAIER ELEC      | 6,393,240                                      | \$ 127,609,070                               |
| 1171       | YANZHOU COAL    | 95,518,964                                     | \$ 611,321,370                               |
| 1176       | ZHUGUANG HOLD   | 5,354,000                                      | \$ 5,461,080                                 |
| 1177       | SINO BIOPHARM   | 150,583,156                                    | \$ 1,046,552,934                             |
| 1186       | CHINA RAIL CONS | 169,781,213                                    | \$ 1,718,185,876                             |
| 1188       | HYBRID KINETIC  | 178,177,985                                    | \$ 34,744,707                                |
| 1193       | CHINA RES GAS   | 52,180,556                                     | \$ 1,249,724,316                             |
| 1194       | MUNSUN CAPITAL  | 309,204,901                                    | \$ 31,538,900                                |
| 1196       | REALORD GROUP   | 5,508,000                                      | \$ 22,968,360                                |
| 1199       | COSCO SHIP PORT | 45,269,275                                     | \$ 408,781,553                               |
| 1205       | CITIC RESOURCES | 49,024,192                                     | \$ 42,651,047                                |
| 1206       | TECHNOVATOR     | 17,146,000                                     | \$ 46,980,040                                |
| 1208       | MMG             | 92,006,445                                     | \$ 229,096,048                               |
| 1211       | BYD COMPANY     | 104,243,492                                    | \$ 5,154,840,679                             |
| 1212       | LIFESTYLE INT'L | 1,065,000                                      | \$ 12,034,500                                |
| 1219       | TENWOW INT'L    | 2,472,273                                      | \$ 3,906,191                                 |



Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1221       | SINO HOTELS     | 0  | \$ 0   |
| 1224       | C C LAND        | 2,123,993                                      | \$ 3,780,708                                 |
| 1230       | YASHILI INT'L   | 28,339,000                                     | \$ 43,075,280                                |
| 1233       | TIMES PPT       | 385,000  | \$ 1,867,250                                 |
| 1234       | CHINA LILANG    | 244,000  | \$ 1,293,200                                 |
| 1238       | POWERLONG       | 4,305,938                                      | \$ 14,511,011                                |
| 1243       | WANG ON PPT     | 0  | \$ 0   |
| 1250       | BE CLEAN ENERGY | 0  | \$ 0   |
| 1253       | GREENLAND BROAD | 30,144,000                                     | \$ 50,641,920                                |
| 1270       | LANGHAM-SS      | 0  | \$ 0   |
| 1278       | CHINANEWTON     | 0  | \$ 0   |
| 1282       | CHINA GOLDJOY   | 137,320,000                                    | \$ 74,152,800                                |
| 1288       | ABC             | 260,251,077                                    | \$ 939,506,388                               |
| 1293       | GRAND BAOXIN    | 8,318,471                                      | \$ 27,783,693                                |
| 1297       | SINOSOFT TECH   | 31,043,696                                     | \$ 75,746,618                                |
| 1299       | AIA             | 64,653,041                                     | \$ 3,614,104,992                             |
| 1302       | LIFETECH SCI    | 30,394,000                                     | \$ 59,572,240                                |
| 1308       | SITC            | 2,662,572                                      | \$ 14,910,403                                |
| 1310       | HKBN            | 12,020,524                                     | \$ 99,890,554                                |
| 1313       | CHINARES CEMENT | 37,351,046                                     | \$ 146,042,590                               |
| 1315       | VISION FAME     | 100,780,000                                    | \$ 72,561,600                                |
| 1316       | NEXTEER         | 15,650,000                                     | \$ 187,800,000                               |
| 1317       | MAPLELEAF EDU   | 41,068,000                                     | \$ 283,369,200                               |
| 1330       | DYNAGREEN ENV   | 1,829,000                                      | \$ 7,517,190                                 |
| 1332       | CHINA TOUYUN    | 0  | \$ 0   |
| 1333       | CHINA ZHONGWANG | 116,639,451                                    | \$ 402,406,106                               |
| 1336       | NCI             | 6,267,750                                      | \$ 249,456,450                               |
| 1339       | PICC GROUP      | 57,103,007                                     | \$ 186,726,833                               |
| 1347       | HUA HONG SEMI   | 0  | \$ 0   |
| 1349       | FUDANZHANGJIANG | 3,370,500                                      | \$ 16,987,320                                |
| 1359       | CHINA CINDA     | 91,874,142                                     | \$ 265,516,270                               |
| 1361       | 361 DEGREES     | 13,875,797                                     | \$ 36,354,588                                |
| 1363       | CTEG            | 210,191,113                                    | \$ 266,942,714                               |
| 1366       | JIANGNAN GP     | 44,212,013                                     | \$ 30,948,409                                |
| 1368       | XTEP INT'L      | 40,714,600                                     | \$ 120,108,070                               |
| 1371       | CHINA LOTSYN    | 231,926,598                                    | \$ 37,108,256                                |
| 1375       | CC SECURITIES   | 28,740,749                                     | \$ 107,490,401                               |
| 1378       | CHINAHONGQIAO   | 103,237,585                                    | \$ 727,824,974                               |
| 1381       | CANVEST ENV     | 6,697,327                                      | \$ 27,459,041                                |
| 1382       | PACIFICTEXTILES | 16,145,000                                     | \$ 145,305,000                               |
| 1383       | SUNCITY GROUP   | 0  | \$ 0   |



Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1385       | SHANGHAI FUDAN  | 114,000  | \$ 623,580                                   |
| 1387       | RENHE COMM      | 744,819,323                                    | \$ 143,005,310                               |
| 1389       | MAJOR HLDGS     | 7,458,000                                      | \$ 8,427,540                                 |
| 1398       | ICBC            | 170,188,337                                    | \$ 883,277,469                               |
| 1426       | SPRING REIT     | 0  | \$ 0   |
| 1428       | BRIGHT SMART    | 21,428,000                                     | \$ 49,927,240                                |
| 1431       | YST DAIRY       | 0  | \$ 0   |
| 1432       | CHINA SHENGMU   | 36,922,998                                     | \$ 59,446,027                                |
| 1448       | FU SHOU YUAN    | 15,728,000                                     | \$ 75,022,560                                |
| 1456       | GUOLIAN SEC     | 0  | \$ 0   |
| 1458       | ZHOU HEI YA     | 31,277,887                                     | \$ 258,668,125                               |
| 1462       | GOLD-FIN HLDG   | 0  | \$ 0   |
| 1476       | HENGTOU SEC     | 0  | \$ 0   |
| 1478       | Q TECH          | 20,376,000                                     | \$ 121,644,720                               |
| 1508       | CHINA RE        | 28,334,000                                     | \$ 49,301,160                                |
| 1509       | HARMONICARE     | 1,773,000                                      | \$ 6,932,430                                 |
| 1513       | LIVZON PHARMA   | 87,535   | \$ 4,656,862                                 |
| 1515       | CRPHOENIXHEALTH | 52,930,498                                     | \$ 533,539,420                               |
| 1528       | RS MACALLINE    | 1,185,200                                      | \$ 9,303,820                                 |
| 1530       | 3SBIO           | 71,344,319                                     | \$ 749,115,350                               |
| 1548       | GENSCRIPT BIO   | 8,170,960                                      | \$ 30,804,519                                |
| 1558       | HEC PHARM       | 0  | \$ 0   |
| 1566       | CHINA ANIMATION | 6,567,000                                      | \$ 21,080,070                                |
| 1573       | CH UNIENERGY    | 0  | \$ 0   |
| 1578       | BANK OF TIANJIN | 0  | \$ 0   |
| 1579       | YIHAI INTL      | 0  | \$ 0   |
| 1585       | YADEA           | 0  | \$ 0   |
| 1589       | CNLP            | 14,516,000                                     | \$ 36,144,840                                |
| 1599       | UCD             | 85,000   | \$ 357,000                                   |
| 1600       | TIAN LUN GAS    | 0  | \$ 0   |
| 1606       | CDB LEASING     | 0  | \$ 0   |
| 1608       | VPOWER GROUP    | 0  | \$ 0   |
| 1610       | COFCO MEAT      | 9,276,425                                      | \$ 14,100,166                                |
| 1616       | SILVERMAN HL    | 2,520,228                                      | \$ 1,915,373                                 |
| 1618       | MCC             | 24,124,650                                     | \$ 62,241,597                                |
| 1622       | REDCO PPT       | 1,654,800                                      | \$ 5,493,936                                 |
| 1623       | HILONG          | 9,260,000                                      | \$ 11,667,600                                |
| 1628       | YUZHOU PPT      | 6,547,400                                      | \$ 27,171,710                                |
| 1632       | FOODWISE HLDG   | 154,000  | \$ 2,741,200                                 |
| 1636       | CMRU            | 1,256,000                                      | \$ 3,755,440                                 |
| 1658       | PSBC            | 95,041,350                                     | \$ 427,686,075                               |



Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1661       | WISDOM SPORTS   | 34,660,000                                     | \$ 40,205,600                                |
| 1666       | TONG REN TANG   | 3,508,000                                      | \$ 43,148,400                                |
| 1668       | CHINASOUTHCITY  | 118,053,412                                    | \$ 171,177,447                               |
| 1680       | MACAU LEGEND    | 41,517,693                                     | \$ 61,031,009                                |
| 1681       | CONSUN PHARMA   | 0  | \$ 0   |
| 1717       | AUSNUTRIA       | 0  | \$ 0   |
| 1728       | ZHENGTONGAUTO   | 53,836,164                                     | \$ 308,481,220                               |
| 1766       | CRRC            | 360,475,519                                    | \$ 2,584,609,471                             |
| 1776       | GF SEC          | 42,409,927                                     | \$ 664,987,655                               |
| 1777       | FANTASIA        | 3,064,500                                      | \$ 3,432,240                                 |
| 1778       | COLOUR LIFE     | 4,128,800                                      | \$ 18,455,736                                |
| 1786       | CRCCE           | 6,049,100                                      | \$ 20,990,377                                |
| 1788       | GUOTAI JUNAN I  | 204,751,410                                    | \$ 485,260,842                               |
| 1798       | DATANG RENEW    | 0  | \$ 0   |
| 1799       | XINTE ENERGY    | 0  | \$ 0   |
| 1800       | CHINA COMM CONS | 81,921,242                                     | \$ 817,573,995                               |
| 1803       | BJ SPORTS & ENT | 275,000  | \$ 627,000                                   |
| 1811       | CGN NEW ENERGY  | 19,592,000                                     | \$ 21,943,040                                |
| 1812       | CHENMING PAPER  | 2,310,000                                      | \$ 21,806,400                                |
| 1813       | KWG PROPERTY    | 34,149,608                                     | \$ 183,383,395                               |
| 1816       | CGN POWER       | 545,720,166                                    | \$ 1,195,127,164                             |
| 1818       | ZHAOJIN MINING  | 89,550,849                                     | \$ 569,543,400                               |
| 1828       | DCH HOLDINGS    | 1,857,000                                      | \$ 6,462,360                                 |
| 1829       | CMEC            | 6,685,951                                      | \$ 39,380,251                                |
| 1836       | STELLA HOLDINGS | 4,360,085                                      | \$ 57,117,114                                |
| 1848       | CALC            | 1,856,500                                      | \$ 16,708,500                                |
| 1880       | BELLE INT'L     | 32,690,001                                     | \$ 199,409,006                               |
| 1881       | REGAL REIT      | 0  | \$ 0   |
| 1882       | HAITIAN INT'L   | 6,721,000                                      | \$ 137,444,450                               |
| 1883       | CITIC TELECOM   | 6,147,000                                      | \$ 14,875,740                                |
| 1886       | HUIYUAN JUICE   | 8,231,127                                      | \$ 20,577,818                                |
| 1888       | KB LAMINATES    | 4,216,000                                      | \$ 37,606,720                                |
| 1893       | SINOMA          | 14,248,049                                     | \$ 33,340,435                                |
| 1898       | CHINA COAL      | 145,549,061                                    | \$ 535,620,544                               |
| 1899       | XINGDA INT'L    | 14,179,529                                     | \$ 40,978,839                                |
| 1910       | SAMSONITE       | 26,223,121                                     | \$ 826,028,312                               |
| 1913       | PRADA           | 10,950,016                                     | \$ 317,550,464                               |
| 1918       | SUNAC           | 232,548,113                                    | \$ 3,176,607,224                             |
| 1919       | COSCO SHIP HOLD | 101,779,075                                    | \$ 336,888,738                               |
| 1928       | SANDS CHINA LTD | 94,881,852                                     | \$ 3,520,116,709                             |
| 1929       | CHOW TAI FOOK   | 15,198,046                                     | \$ 129,487,352                               |



Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 1958       | BAIC MOTOR      | 26,937,049                                     | \$ 216,843,244                               |
| 1963       | BCQ             | 985,759  | \$ 6,269,427                                 |
| 1966       | CHINA SCE PPT   | 839,000  | \$ 2,508,610                                 |
| 1970       | IMAX CHINA      | 8,490,035                                      | \$ 267,011,601                               |
| 1972       | SWIREPROPERTIES | 16,429,407                                     | \$ 418,128,408                               |
| 1980       | TIANGE          | 20,364,046                                     | \$ 123,202,478                               |
| 1988       | MINSHENG BANK   | 508,942,954                                    | \$ 3,923,950,175                             |
| 1999       | MAN WAH HLDGS   | 74,649,183                                     | \$ 538,967,101                               |
| 2005       | SSY GROUP       | 7,852,105                                      | \$ 25,440,820                                |
| 2006       | JINJIANG HOTELS | 48,516,498                                     | \$ 106,736,296                               |
| 2007       | COUNTRY GARDEN  | 366,508,826                                    | \$ 3,232,607,845                             |
| 2008       | PHOENIX TV      | 10,194,000                                     | \$ 11,926,980                                |
| 2009       | BBMG            | 177,206,733                                    | \$ 698,194,528                               |
| 2014       | OZNER WATER     | 33,063,000                                     | \$ 54,884,580                                |
| 2016       | CZBANK          | 0  | \$ 0   |
| 2018       | AAC TECH        | 49,647,379                                     | \$ 4,766,148,384                             |
| 2020       | ANTA SPORTS     | 81,115,612                                     | \$ 1,861,603,295                             |
| 2038       | FIH             | 32,046,238                                     | \$ 74,667,735                                |
| 2039       | CIMC            | 2,701,700                                      | \$ 35,932,610                                |
| 2066       | SHENGJINGBANK   | 1,510,500                                      | \$ 9,878,670                                 |
| 2099       | CHINAGOLDINTL   | 0  | \$ 0   |
| 2111       | BEST PACIFIC    | 0  | \$ 0   |
| 2128       | CHINA LESSO     | 22,555,028                                     | \$ 132,172,464                               |
| 2136       | LIFESTYLE CHI   | 956,167  | \$ 2,572,089                                 |
| 2168       | YINGDE GASES    | 0  | \$ 0   |
| 2186       | LUYE PHARMA     | 159,158,839                                    | \$ 716,214,776                               |
| 2196       | FOSUN PHARMA    | 10,907,176                                     | \$ 345,757,479                               |
| 2199       | REGINA MIRACLE  | 19,825,000                                     | \$ 113,200,750                               |
| 2200       | HOSA INT'L      | 7,094,090                                      | \$ 17,238,639                                |
| 2202       | CHINA VANKE     | 33,077,149                                     | \$ 702,889,416                               |
| 2208       | GOLDWIND        | 33,191,129                                     | \$ 355,808,903                               |
| 2226       | HONWORLD GP     | 0  | \$ 0   |
| 2233       | WESTCHINACEMENT | 87,890,000                                     | \$ 100,194,600                               |
| 2238       | GAC GROUP       | 56,877,273                                     | \$ 782,631,276                               |
| 2255       | HAICHANG HLDG   | 5,933,000                                      | \$ 9,967,440                                 |
| 2277       | HUARONG INV     | 0  | \$ 0   |
| 2280       | HC INTL         | 27,412,000                                     | \$ 152,136,600                               |
| 2282       | MGM CHINA       | 60,050,821                                     | \$ 1,016,059,891                             |
| 2298       | COSMO LADY      | 69,503,870                                     | \$ 224,497,500                               |
| 2300       | AMVIG HOLDINGS  | 0  | \$ 0   |
| 2313       | SHENZHOU INTL   | 20,506,024                                     | \$ 1,066,313,248                             |



Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2314       | LEE & MAN PAPER | 23,823,361                                     | \$ 159,140,051                               |
| 2318       | PING AN         | 1,014,704,790                                  | \$ 50,887,445,219                            |
| 2319       | MENGNU DAIRY    | 67,183,383                                     | \$ 1,027,905,760                             |
| 2322       | NOBLE CENTURY   | 0  | \$ 0   |
| 2323       | CHINA HKBRIDGE  | 0  | \$ 0   |
| 2326       | BEP INT'L HOLD  | 87,632,035                                     | \$ 32,423,853                                |
| 2328       | PICC P&C        | 51,302,455                                     | \$ 653,593,277                               |
| 2329       | GUORUI PPT      | 4,521,000                                      | \$ 10,986,030                                |
| 2331       | LI NING         | 71,954,823                                     | \$ 438,924,420                               |
| 2333       | GREATWALL MOTOR | 507,898,679                                    | \$ 5,109,460,711                             |
| 2338       | WEICHAJ POWER   | 145,547,285                                    | \$ 1,790,231,606                             |
| 2342       | COMBA           | 7,090,747                                      | \$ 7,587,099                                 |
| 2343       | PACIFIC BASIN   | 197,902,159                                    | \$ 320,601,498                               |
| 2348       | DAWNRAJS PHARMA | 228,000  | \$ 1,003,200                                 |
| 2355       | BAOYE GROUP     | 0  | \$ 0   |
| 2356       | DAHJING BANKING | 958,121  | \$ 15,540,723                                |
| 2357       | AVICHINA        | 124,875,748                                    | \$ 576,925,956                               |
| 2358       | JIU RONG HOLD   | 0  | \$ 0   |
| 2369       | COOLPAD GROUP   | 80,568,148                                     | \$ 58,009,067                                |
| 2378       | PRU             | 0  | \$ 0   |
| 2380       | CHINA POWER     | 93,018,266                                     | \$ 259,520,962                               |
| 2382       | SUNNY OPTICAL   | 27,423,767                                     | \$ 1,774,317,725                             |
| 2383       | TOM GROUP       | 1,809,003                                      | \$ 3,491,376                                 |
| 2386       | SINOPEC SEG     | 5,483,553                                      | \$ 40,029,937                                |
| 2388       | BOC HONG KONG   | 47,806,619                                     | \$ 1,654,109,017                             |
| 2389       | BJ ENT M&H      | 30,174,000                                     | \$ 15,087,000                                |
| 2393       | YESTAR HEALTH   | 6,560,468                                      | \$ 23,617,685                                |
| 2399       | FORDOO          | 0  | \$ 0   |
| 2588       | BOC AVIATION    | 1,940,403                                      | \$ 77,907,180                                |
| 2600       | CHALCO          | 565,064,648                                    | \$ 2,135,944,369                             |
| 2601       | CPIC            | 9,000,964                                      | \$ 267,778,679                               |
| 2607       | SH PHARMA       | 4,396,021                                      | \$ 94,514,452                                |
| 2608       | SUNSHINE 100    | 0  | \$ 0   |
| 2628       | CHINA LIFE      | 80,076,788                                     | \$ 1,921,842,912                             |
| 2638       | HKELECTRIC-SS   | 194,555,629                                    | \$ 1,402,746,085                             |
| 2666       | UNI MEDICAL     | 25,246,000                                     | \$ 160,059,640                               |
| 2669       | CHINA OVS PPT   | 88,605,482                                     | \$ 133,794,278                               |
| 2678       | TEXHONG TEXTILE | 3,715,000                                      | \$ 34,549,500                                |
| 2686       | AAG ENERGY      | 0  | \$ 0   |
| 2688       | ENN ENERGY      | 17,107,064                                     | \$ 709,943,156                               |
| 2689       | ND PAPER        | 82,887,694                                     | \$ 792,406,355                               |



Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2698       | WEIQIAO TEXTILE | 0  | \$ 0   |
| 2727       | SH ELECTRIC     | 254,685,528                                    | \$ 899,039,914                               |
| 2768       | JIAYUAN INTL    | 0  | \$ 0   |
| 2777       | R&F PROPERTIES  | 72,178,533                                     | \$ 870,473,108                               |
| 2778       | CHAMPION REIT   | 0  | \$ 0   |
| 2799       | CHINA HUARONG   | 261,627,989                                    | \$ 800,581,646                               |
| 2800       | TRACKER FUND    | 9,256,800                                      | \$ 240,676,800                               |
| 2801       | ISHARES CHINA   | 0  | \$ 0   |
| 2802       | ISHARES EM ASIA | 0  | \$ 0   |
| 2805       | VG FTSE ASIAXJ  | 0  | \$ 0   |
| 2808       | EFUND CG BOND   | 0  | \$ 0   |
| 2811       | HT CSI300 ETF   | 0  | \$ 0   |
| 2816       | X DBCSI300REAL  | 0  | \$ 0   |
| 2817       | WISE HKLMCONS   | 0  | \$ 0   |
| 2819       | ABF HK IDX ETF  | 0  | \$ 0   |
| 2821       | ABF PAIF        | 0  | \$ 0   |
| 2822       | CSOP A50 ETF    | 37,919,136                                     | \$ 470,197,286                               |
| 2823       | X ISHARES A50   | 166,104,334                                    | \$ 1,973,319,488                             |
| 2824       | LIPPO HK&M PROP | 0  | \$ 0   |
| 2825       | WISECSIHK100ETF | 0  | \$ 0   |
| 2827       | X WISECSI300ETF | 0  | \$ 0   |
| 2828       | HS H ETF        | 2,106,200                                      | \$ 220,729,760                               |
| 2832       | BOSERA FA50     | 0  | \$ 0   |
| 2833       | HS HSI ETF      | 0  | \$ 0   |
| 2834       | ISHARESND100    | 0  | \$ 0   |
| 2835       | MR KOSPI200 ETF | 0  | \$ 0   |
| 2836       | ISHARES INDIA   | 0  | \$ 0   |
| 2838       | HS FCI50 ETF    | 0  | \$ 0   |
| 2839       | WISE HKLMREAL   | 0  | \$ 0   |
| 2840       | SPDR GOLD TRUST | 0  | \$ 0   |
| 2843       | AMUNDI A50      | 0  | \$ 0   |
| 2844       | X DBCSI300FIN   | 0  | \$ 0   |
| 2846       | ISHARESCSI300   | 0  | \$ 0   |
| 2847       | ISHARESFS100    | 0  | \$ 0   |
| 2848       | DBMSCIKOREA     | 0  | \$ 0   |
| 2866       | COSCO SHIP DEV  | 139,816,276                                    | \$ 232,095,018                               |
| 2868       | BJ CAPITAL LAND | 12,299,800                                     | \$ 44,033,284                                |
| 2869       | GREENTOWN SER   | 952,000  | \$ 4,007,920                                 |
| 2877       | SHINEWAY PHARM  | 2,640,000                                      | \$ 20,512,800                                |
| 2880       | DALIAN PORT     | 48,012,630                                     | \$ 71,538,819                                |
| 2883       | CHINA OILFIELD  | 169,914,048                                    | \$ 1,097,644,750                             |





Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 2888       | STANCHART       | 2,940,792                                      | \$ 221,441,638                               |
| 2899       | ZIJIN MINING    | 163,005,154                                    | \$ 422,183,349                               |
| 3005       | X DBCSI300IND   | 0  | \$ 0   |
| 3007       | DBFXIC50        | 0  | \$ 0   |
| 3008       | C-SHS CSI 300   | 0  | \$ 0   |
| 3009       | X DBMSCIEM      | 0  | \$ 0   |
| 3010       | ISHARES AXJ     | 0  | \$ 0   |
| 3012       | AMUNDI HK35     | 0  | \$ 0   |
| 3013       | X DBMSCIASIAHDY | 0  | \$ 0   |
| 3015       | X DBNIFTY50     | 0  | \$ 0   |
| 3016       | DBMSCIPHIL      | 0  | \$ 0   |
| 3017       | X DBCSI300ENER  | 0  | \$ 0   |
| 3019       | X DBMSCIWORLD   | 0  | \$ 0   |
| 3020       | X DBMSCIUSA     | 0  | \$ 0   |
| 3021       | CSOP MSCI T50   | 0  | \$ 0   |
| 3024       | WISE SSE50ETF   | 0  | \$ 0   |
| 3025       | X DBCSI300CONDI | 0  | \$ 0   |
| 3026       | X DBAUDCASH     | 0  | \$ 0   |
| 3027       | X DBMSCIRUSSIA  | 0  | \$ 0   |
| 3035       | X DBMSCIEMASIA  | 0  | \$ 0   |
| 3036       | DBMSCITAIWAN    | 0  | \$ 0   |
| 3040       | MR MSCI CN ETF  | 0  | \$ 0   |
| 3041       | VALUE KOREA ETF | 0  | \$ 0   |
| 3043       | DBMSCIPACEXJ    | 0  | \$ 0   |
| 3045       | X DBMSCIINDIA   | 0  | \$ 0   |
| 3046       | VALUE CHINA ETF | 0  | \$ 0   |
| 3048       | DBMSCIBRAZIL    | 0  | \$ 0   |
| 3049       | X DBCSI300      | 0  | \$ 0   |
| 3052       | X DBCSI300UTIL  | 0  | \$ 0   |
| 3054       | MR ASIA CON ETF | 0  | \$ 0   |
| 3055       | DBMSCICHINA     | 0  | \$ 0   |
| 3056       | MR GL CON ETF   | 0  | \$ 0   |
| 3057       | X DBCSI300HEAL  | 0  | \$ 0   |
| 3060       | VALUE TW ETF    | 0  | \$ 0   |
| 3061       | X DBCSI300BANK  | 0  | \$ 0   |
| 3062       | X DBCSI300MAT   | 0  | \$ 0   |
| 3063       | X DBCSI300TRANS | 0  | \$ 0   |
| 3065       | DBMSCISG        | 0  | \$ 0   |
| 3070       | PING AN HKDIV   | 0  | \$ 0   |
| 3073       | SPDR GREATCHINA | 0  | \$ 0   |
| 3074       | ISHARESMSCITW   | 0  | \$ 0   |



Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3081       | VALUEGOLD ETF   | 0  | \$ 0   |
| 3082       | DBMSCIMALAY     | 0  | \$ 0   |
| 3084       | VALUE JAPAN ETF | 0  | \$ 0   |
| 3085       | VGFTSEASIAJHDY  | 0  | \$ 0   |
| 3086       | BMO NASDAQ100   | 0  | \$ 0   |
| 3087       | X DBFTSEVIET    | 0  | \$ 0   |
| 3092       | DBMSCITHAI      | 0  | \$ 0   |
| 3095       | VALUE A SHARE   | 0  | \$ 0   |
| 3097       | F MR OIL ETF    | 0  | \$ 0   |
| 3099       | X DBMSCIINDO    | 0  | \$ 0   |
| 3100       | EFUND CSI100    | 0  | \$ 0   |
| 3101       | VG FTSE DEV EUR | 0  | \$ 0   |
| 3102       | XIE GARY ETF    | 0  | \$ 0   |
| 3105       | X DBMSCIBANGLA  | 0  | \$ 0   |
| 3106       | X DBMSCIPAKIST  | 0  | \$ 0   |
| 3107       | C-SHS CSI STA   | 0  | \$ 0   |
| 3110       | MR HS HDIVY ETF | 0  | \$ 0   |
| 3115       | ISHARESHSI      | 0  | \$ 0   |
| 3116       | XIE GOLD MINERS | 0  | \$ 0   |
| 3118       | HGI MSCI CN A   | 0  | \$ 0   |
| 3120       | EFUND CES 120   | 0  | \$ 0   |
| 3121       | BMO AP REAL EST | 0  | \$ 0   |
| 3122       | CSOP CNSTBOND   | 0  | \$ 0   |
| 3124       | F SAM HSIF      | 0  | \$ 0   |
| 3126       | VG FTSE JAPAN   | 0  | \$ 0   |
| 3127       | MR CSI300 ETF   | 0  | \$ 0   |
| 3128       | HS AINDTOPETF   | 0  | \$ 0   |
| 3129       | CSOP 300SMART   | 0  | \$ 0   |
| 3132       | C-SHS CSI HLC   | 0  | \$ 0   |
| 3134       | F SAM HSIFRF    | 0  | \$ 0   |
| 3135       | F CSOP OIL ETF  | 0  | \$ 0   |
| 3136       | HGI MSCIA50     | 0  | \$ 0   |
| 3137       | CSOP CES A80    | 0  | \$ 0   |
| 3140       | VG S&P 500      | 0  | \$ 0   |
| 3141       | BMO ASIA IGBOND | 0  | \$ 0   |
| 3143       | BMO HK BANKS    | 0  | \$ 0   |
| 3145       | BMO ASIA HIGHDV | 0  | \$ 0   |
| 3146       | ISHARESDAX      | 0  | \$ 0   |
| 3147       | CSOP CHINEXT    | 0  | \$ 0   |
| 3149       | CSOP MSCI A I   | 0  | \$ 0   |
| 3150       | HGI CSI500      | 0  | \$ 0   |



Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

| Stock Code | Stock Name       | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|------------------|--|--|
| 3153       | MR A HEALTH ETF  | 0  | \$ 0   |
| 3155       | ISHARESE50       | 0  | \$ 0   |
| 3156       | GFI MSCI A I     | 0  | \$ 0   |
| 3157       | CAM SCSMALLCAP   | 0  | \$ 0   |
| 3160       | BMO JAPAN HDG    | 0  | \$ 0   |
| 3161       | XIE CHIMER ETF   | 0  | \$ 0   |
| 3162       | ISHAREMSCIAI     | 0  | \$ 0   |
| 3165       | BMO EU QLT Y HDG | 0  | \$ 0   |
| 3167       | CSOP NEW CHINA   | 0  | \$ 0   |
| 3170       | ISHARESKS200     | 0  | \$ 0   |
| 3171       | COMSTAGE DAX     | 0  | \$ 0   |
| 3175       | F SAMSUNG OIL    | 0  | \$ 0   |
| 3177       | COMSTAGE DIVDAX  | 0  | \$ 0   |
| 3180       | CAM CES A80      | 0  | \$ 0   |
| 3188       | CAM CSI300       | 42,813,070                                     | \$ 1,772,461,098                             |
| 3199       | CSOP 5YCVBOND    | 0  | \$ 0   |
| 3301       | RONSHINECHINA    | 3,332,000                                      | \$ 25,889,640                                |
| 3308       | GOLDEN EAGLE     | 30,688,140                                     | \$ 327,749,335                               |
| 3311       | CHINA STATE CON  | 28,827,071                                     | \$ 360,338,388                               |
| 3320       | CHINARES PHARMA  | 11,470,500                                     | \$ 107,249,175                               |
| 3323       | CNBM             | 251,297,134                                    | \$ 1,145,914,931                             |
| 3328       | BANKCOMM         | 138,232,583                                    | \$ 800,366,656                               |
| 3331       | VINDA INT'L      | 0  | \$ 0   |
| 3333       | EVERGRANDE       | 450,149,728                                    | \$ 6,266,084,214                             |
| 3336       | JU TENG INTL     | 2,992,000                                      | \$ 9,724,000                                 |
| 3337       | ANTON OILFIELD   | 67,214,000                                     | \$ 47,721,940                                |
| 3339       | LONKING          | 29,787,800                                     | \$ 70,299,208                                |
| 3360       | FE HORIZON       | 33,537,727                                     | \$ 228,391,921                               |
| 3369       | QHD PORT         | 1,604,500                                      | \$ 4,203,790                                 |
| 3377       | SINO-OCEAN GP    | 26,866,401                                     | \$ 98,062,364                                |
| 3378       | XIAMEN PORT      | 1,802,000                                      | \$ 2,721,020                                 |
| 3380       | LOGAN PPT        | 12,415,795                                     | \$ 59,223,342                                |
| 3382       | TIANJINPORT DEV  | 3,062,000                                      | \$ 3,888,740                                 |
| 3383       | AGILE GROUP      | 143,305,745                                    | \$ 968,746,836                               |
| 3389       | HENGDELI         | 9,576,000                                      | \$ 6,703,200                                 |
| 3393       | WASION GROUP     | 43,322,889                                     | \$ 155,962,400                               |
| 3396       | LEGENDHOLDING    | 2,052,302                                      | \$ 45,561,104                                |
| 3606       | FUYAO GLASS      | 28,878,427                                     | \$ 863,464,967                               |
| 3618       | CQRC BANK        | 47,371,021                                     | \$ 249,645,281                               |
| 3633       | ZHONGYU GAS      | 0  | \$ 0   |
| 3636       | POLY CULTURE     | 370,300  | \$ 6,850,550                                 |



Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 3669       | YONGDA AUTO     | 40,121,310                                     | \$ 321,772,906                               |
| 3698       | HUIZHANG BANK   | 5,689,058                                      | \$ 20,878,843                                |
| 3709       | KORADIOR        | 1,343,000                                      | \$ 11,012,600                                |
| 3799       | DALI FOODS      | 97,061,000                                     | \$ 438,715,720                               |
| 3800       | GCL-POLY ENERGY | 1,970,045,051                                  | \$ 1,438,132,887                             |
| 3808       | SINOTRUK        | 51,087,500                                     | \$ 255,948,375                               |
| 3813       | POU SHENG INT'L | 137,784,177                                    | \$ 191,520,006                               |
| 3818       | CHINA DONGXIANG | 57,481,726                                     | \$ 82,773,685                                |
| 3836       | HARMONY AUTO    | 74,269,246                                     | \$ 280,737,750                               |
| 3866       | BQD             | 0  | \$ 0   |
| 3883       | CHINA AOYUAN    | 4,377,853                                      | \$ 10,156,619                                |
| 3886       | TOWN HEALTH     | 116,582,474                                    | \$ 103,758,402                               |
| 3888       | KINGSOFT        | 53,391,352                                     | \$ 1,091,853,148                             |
| 3898       | CRRC TIMES ELEC | 36,582,134                                     | \$ 1,437,677,866                             |
| 3899       | CIMC ENRIC      | 14,252,286                                     | \$ 69,836,201                                |
| 3900       | GREENTOWN CHINA | 65,751,677                                     | \$ 537,191,201                               |
| 3908       | CICC            | 5,092,196                                      | \$ 56,523,376                                |
| 3918       | NAGACORP        | 12,166,687                                     | \$ 41,488,403                                |
| 3933       | UNITED LAB      | 136,571,214                                    | \$ 708,804,601                               |
| 3958       | DFZQ            | 3,468,000                                      | \$ 25,871,280                                |
| 3966       | CH BAOFENG INTL | 0  | \$ 0   |
| 3968       | CM BANK         | 173,552,396                                    | \$ 3,731,376,514                             |
| 3969       | CHINA CRSC      | 529,000  | \$ 3,142,260                                 |
| 3983       | CHINA BLUECHEM  | 24,011,807                                     | \$ 45,862,551                                |
| 3988       | BANK OF CHINA   | 216,750,052                                    | \$ 825,817,698                               |
| 3989       | CAPITAL ENV     | 14,435,395                                     | \$ 3,536,672                                 |
| 3993       | CMOC            | 187,489,847                                    | \$ 532,471,165                               |
| 3996       | CH ENERGY ENG   | 0  | \$ 0   |
| 3998       | BOSIDENG        | 4,484,000                                      | \$ 2,555,880                                 |
| 4332       | AMGEN-T         | 0  | \$ 0   |
| 4333       | CISCO-T         | 0  | \$ 0   |
| 4335       | INTEL-T         | 0  | \$ 0   |
| 4336       | APPL MATERIAL-T | 0  | \$ 0   |
| 4337       | STARBUCKS-T     | 0  | \$ 0   |
| 4338       | MICROSOFT-T     | 0  | \$ 0   |
| 4362       | ISHARES KOR-TR  | 0  | \$ 0   |
| 4363       | ISHARES TWN-TR  | 0  | \$ 0   |
| 6030       | CITIC SEC       | 95,269,701                                     | \$ 1,512,882,852                             |
| 6066       | CSC             | 2,410,000                                      | \$ 15,713,200                                |
| 6099       | CMSC            | 6,065,950                                      | \$ 74,489,866                                |
| 6136       | KANGDA ENV      | 4,739,000                                      | \$ 7,866,740                                 |



Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 6138       | HARBIN BANK     | 0  | \$ 0   |
| 6139       | JINMAO HOTEL-SS | 0  | \$ 0   |
| 6166       | CHINA VAST      | 0  | \$ 0   |
| 6178       | EB SECURITIES   | 700,400  | \$ 7,690,392                                 |
| 6196       | BANKOFZHENGZHOU | 0  | \$ 0   |
| 6198       | QINGDAO PORT    | 668,000  | \$ 2,859,040                                 |
| 6808       | SUNART RETAIL   | 142,883,172                                    | \$ 1,024,472,343                             |
| 6818       | CEB BANK        | 161,337,419                                    | \$ 585,654,831                               |
| 6823       | HKT-SS          | 16,991,489                                     | \$ 173,313,188                               |
| 6826       | HAOHAI BIOTEC   | 0  | \$ 0   |
| 6828       | BG BLUE SKY     | 40,208,000                                     | \$ 21,712,320                                |
| 6837       | HAITONG SEC     | 84,668,335                                     | \$ 1,061,740,921                             |
| 6839       | YUNNAN WATER    | 557,000  | \$ 1,910,510                                 |
| 6863       | HUIZHAN DAIRY   | 403,520,767                                    | \$ 169,478,722                               |
| 6869       | YOFC            | 4,031,500                                      | \$ 63,617,070                                |
| 6881       | CGS             | 83,273,630                                     | \$ 580,417,201                               |
| 6886       | HTSC            | 33,276,375                                     | \$ 483,838,493                               |
| 6889       | DYNAM JAPAN     | 241,800  | \$ 3,143,400                                 |
| 6899       | OURGAME         | 2,405,000                                      | \$ 4,930,250                                 |
| 7200       | FL2 CSOP HSI    | 0  | \$ 0   |
| 7202       | FL2CSOP NIFTY50 | 0  | \$ 0   |
| 7205       | FL2SAMSUNGHSI   | 0  | \$ 0   |
| 7210       | XL2 XIE CHIMER  | 0  | \$ 0   |
| 7221       | FL2CAMDXNHSI    | 0  | \$ 0   |
| 7222       | XL2 MR S&P500   | 0  | \$ 0   |
| 7225       | FL2 MR TOPIX    | 0  | \$ 0   |
| 7228       | FL2SAMSUNGHSCEI | 0  | \$ 0   |
| 7230       | FL2 MR HSCEI    | 0  | \$ 0   |
| 7231       | FL2 MR HSI      | 0  | \$ 0   |
| 7250       | FL2 SAMSUNGK200 | 0  | \$ 0   |
| 7255       | FL2SAMSUNGTOPIX | 0  | \$ 0   |
| 7261       | FL2CAMDXNNDQ100 | 0  | \$ 0   |
| 7267       | FL2CAMDXNHSCEI  | 0  | \$ 0   |
| 7288       | FL2 CSOP HSCEI  | 0  | \$ 0   |
| 7300       | FI CSOP HSI     | 0  | \$ 0   |
| 7302       | FI EFUND YT HSI | 0  | \$ 0   |
| 7311       | FI SAMSUNGTOPIX | 0  | \$ 0   |
| 7312       | FISAMSUNGHSI    | 0  | \$ 0   |
| 7315       | FI MR TOPIX     | 0  | \$ 0   |
| 7321       | FICAMDXNHSI     | 0  | \$ 0   |
| 7322       | XI MR S&P500    | 0  | \$ 0   |



Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

| Stock Code | Stock Name           | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|----------------------|--|--|
| 7326       | FI SAMSUNGK200       | 0  | \$ 0   |
| 7328       | FISAMSUNGHSCEI       | 0  | \$ 0   |
| 7331       | FICAMDXNNDQ100       | 0  | \$ 0   |
| 7335       | FICSOP NIFTY50       | 0  | \$ 0   |
| 7336       | FI MR HSI            | 0  | \$ 0   |
| 7341       | FICAMDXNHSCEI        | 0  | \$ 0   |
| 7362       | FI MR HSCEI          | 0  | \$ 0   |
| 7388       | FI CSOP HSCEI        | 0  | \$ 0   |
| 8005       | YUXING INFOTECH      | 4,803,054                                      | \$ 4,947,146                                 |
| 8008       | SUNEVISION           | 0  | \$ 0   |
| 8021       | WLS HOLDINGS         | 0  | \$ 0   |
| 8032       | VIVA CHINA           | 14,952,000                                     | \$ 10,765,440                                |
| 8057       | MADISON WINE         | 1,004,000                                      | \$ 1,907,600                                 |
| 8078       | HMV DIGIT CHINA      | 3,640,000                                      | \$ 1,001,000                                 |
| 8083       | INNOVATIONPAY        | 37,692,146                                     | \$ 19,599,916                                |
| 8095       | BEIDA JADE BIRD      | 430,000  | \$ 735,300                                   |
| 8137       | HONBRIDGE            | 0  | \$ 0   |
| 8138       | TONGRENTANGCM        | 207,000  | \$ 2,318,400                                 |
| 8158       | CRMI                 | 10,075,000                                     | \$ 2,619,500                                 |
| 8193       | GC PRO SER           | 0  | \$ 0   |
| 8207       | CREDIT CHINA         | 121,972,000                                    | \$ 125,631,160                               |
| 8237       | LINK HOLDINGS        | 0  | \$ 0   |
| 8265       | POWERWELL PAC        | 576,000  | \$ 1,860,480                                 |
| 8279       | AGTECH HOLDINGS      | 34,604,000                                     | \$ 42,908,960                                |
| 8325       | CHINA SMARTPAY       | 7,060,000                                      | \$ 6,707,000                                 |
| 9010       | ISHARES AXJ-U        | 0  | \$ 0   |
| 9074       | ISHARES MSCITW-U     | 0  | \$ 0   |
| 9081       | VALUEGOLD ETF-U      | 0  | \$ 0   |
| 9115       | ISHARES HSI-U        | 0  | \$ 0   |
| 9146       | ISHARES DAX-U        | 0  | \$ 0   |
| 9155       | ISHARES S&P500-U     | 0  | \$ 0   |
| 9170       | ISHARES KOSDAQ-U     | 0  | \$ 0   |
| 9834       | ISHARES S&P500-EUR-U | 0  | \$ 0   |
| 9836       | ISHARES INDIA-U      | 0  | \$ 0   |
| 9846       | ISHARES CSI300-U     | 0  | \$ 0   |
| 9847       | ISHARES FTSE100-U    | 0  | \$ 0   |
| 80737      | HOPEWELL INFR-R      | 0  | \$ 0   |
| 82808      | EFUND CG BOND-R      | 0  | \$ 0   |
| 82811      | HT CSI300 ETF-R      | 0  | \$ 0   |
| 82822      | CSOP A50 ETF-R       | 0  | \$ 0   |
| 82828      | HS H ETF-R           | 0  | \$ 0   |



Aggregated Reportable Short Positions of Specified Shares as of 16/06/2017

| Stock Code | Stock Name      | Aggregated Reportable Short Positions (Shares) | Aggregated Reportable Short Positions (HK\$) |
|------------|-----------------|--|--|
| 82832      | BOSERA FA50-R   | 0  | \$ 0   |
| 82833      | HS HSI ETF-R    | 0  | \$ 0   |
| 82834      | ISHARESND100-R  | 0  | \$ 0   |
| 82836      | ISHARES INDIA-R | 0  | \$ 0   |
| 82843      | AMUNDI A50-R    | 0  | \$ 0   |
| 82846      | ISHARESCSI300-R | 0  | \$ 0   |
| 82847      | ISHARESFS100-R  | 0  | \$ 0   |
| 83008      | C-SHS CSI 300-R | 0  | \$ 0   |
| 83010      | ISHARES AXJ-R   | 0  | \$ 0   |
| 83012      | AMUNDI HK35-R   | 0  | \$ 0   |
| 83074      | ISHARESMSCITW-R | 0  | \$ 0   |
| 83081      | VALUEGOLD ETF-R | 0  | \$ 0   |
| 83095      | VALUE A SHARE-R | 0  | \$ 0   |
| 83100      | EFUND CSI100-R  | 0  | \$ 0   |
| 83107      | C-SHS CSI STA-R | 0  | \$ 0   |
| 83115      | ISHARESHSI-R    | 0  | \$ 0   |
| 83118      | HGI MSCI CN A-R | 0  | \$ 0   |
| 83120      | EFUND CES 120-R | 0  | \$ 0   |
| 83122      | CSOP CNSTBOND-R | 0  | \$ 0   |
| 83127      | MR CSI300 ETF-R | 0  | \$ 0   |
| 83128      | HS AINDTOPETF-R | 0  | \$ 0   |
| 83129      | CSOP 300SMART-R | 0  | \$ 0   |
| 83132      | C-SHS CSI HLC-R | 0  | \$ 0   |
| 83136      | HGI MSCIA50-R   | 0  | \$ 0   |
| 83137      | CSOP CES A80-R  | 0  | \$ 0   |
| 83146      | ISHARESDAX-R    | 0  | \$ 0   |
| 83147      | CSOP CHINEXT-R  | 0  | \$ 0   |
| 83149      | CSOP MSCI A I-R | 0  | \$ 0   |
| 83150      | HGI CSI500-R    | 0  | \$ 0   |
| 83155      | ISHARESES50-R   | 0  | \$ 0   |
| 83156      | GFI MSCI A I-R  | 0  | \$ 0   |
| 83162      | ISHARESMSCIAI-R | 0  | \$ 0   |
| 83168      | HS RMB GOLD ETF | 0  | \$ 0   |
| 83170      | ISHARESXS200-R  | 0  | \$ 0   |
| 83180      | CAM CES A80-R   | 0  | \$ 0   |
| 83188      | CAM CSI300-R    | 0  | \$ 0   |
| 83199      | CSOP 5YCVBOND-R | 0  | \$ 0   |
| 87001      | HUI XIAN REIT   | 0  | \$ 0   |