



Aggregated Reportable Short Positions of Specified Shares as of 11/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	19,666,151	\$ 1,988,247,866
2	CLP HOLDINGS	25,148,910	\$ 2,084,844,639
3	HK & CHINA GAS	211,676,142	\$ 3,128,573,379
4	WHARF HOLDINGS	13,599,160	\$ 990,698,806
5	HSBC HOLDINGS	39,427,288	\$ 2,947,189,778
6	POWER ASSETS	16,727,960	\$ 1,306,453,676
8	PCCW	49,535,741	\$ 219,938,690
10	HANG LUNG GROUP	3,845,322	\$ 116,513,257
11	HANG SENG BANK	10,248,927	\$ 1,795,612,010
12	HENDERSON LAND	7,154,836	\$ 334,488,583
14	HYSAN DEV	2,906,646	\$ 106,673,908
16	SHK PPT	9,866,085	\$ 1,190,836,460
17	NEW WORLD DEV	55,703,338	\$ 570,402,181
19	SWIRE PACIFIC A	8,864,279	\$ 708,255,892
20	WHEELLOCK	3,657,768	\$ 228,610,500
23	BANK OF E ASIA	53,943,862	\$ 1,815,210,956
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	51,145,338	\$ 2,352,685,548
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	23,670,002	\$ 23,433,302
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	782,000	\$ 3,237,480
38	FIRST TRACTOR	6,961,652	\$ 25,131,564
39	CH BEIDAHUANG	17,920,000	\$ 7,078,400
41	GREAT EAGLE H	158,707	\$ 6,594,276
43	C.P. POKPHAND	0	\$ 0
44	HAECO	38,408	\$ 2,116,281
45	HK&S HOTELS	6,769,192	\$ 85,562,587
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	1,527,743	\$ 48,964,163
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,014,000	\$ 29,862,300
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	62,567,779	\$ 2,790,522,943
69	SHANGRI-LA ASIA	4,680,761	\$ 58,977,589
71	MIRAMAR HOTEL	141,001	\$ 2,464,697



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	12,518,621	\$ 50,825,601
83	SINO LAND	23,049,495	\$ 295,494,526
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	145,000	\$ 1,164,350
95	LVGEM CHINA	4,066,286	\$ 8,579,863
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	15,635,465	\$ 309,269,498
102	SUMMIT ASCENT	69,885,030	\$ 127,190,755
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	6,444,305	\$ 20,235,118
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	88,833,167	\$ 328,682,718
123	YUEXIU PROPERTY	38,444,000	\$ 52,668,280
127	CHINESE EST H	389,500	\$ 5,172,560
129	ASIA STANDARD	2,708,000	\$ 5,686,800
133	CHINA MERCHANTS	34,000	\$ 407,320
135	KUNLUN ENERGY	340,638,451	\$ 2,527,537,306
136	HENGTEN NET	913,784,255	\$ 96,861,131
142	FIRST PACIFIC	8,400,421	\$ 50,318,522
144	CHINA MER PORT	12,057,250	\$ 287,565,413
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	2,198,244	\$ 75,179,945
151	WANT WANT CHINA	770,598,221	\$ 4,253,702,180
152	SHENZHEN INT'L	4,375,588	\$ 59,507,997
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
164	CHINA BAOLI TEC	69,984,496	\$ 12,317,271
165	CHINA EB LTD	25,474,525	\$ 442,237,754
168	TSINGTAO BREW	20,380,616	\$ 681,731,605
171	SILVER GRANT	1,388,000	\$ 1,637,840
173	K. WAH INT'L	1,126,487	\$ 5,069,192
175	GEELY AUTO	143,743,873	\$ 2,647,762,141
177	JIANGSU EXPRESS	12,860,101	\$ 144,033,131
178	SA SA INT'L	47,277,286	\$ 131,430,855
179	JOHNSON ELEC H	2,588,036	\$ 74,406,035
182	CONCORD NE	43,180,000	\$ 14,249,400
186	GRANDE HOLDINGS	0	\$ 0
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 11/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	28,984,462	\$ 17,390,677
198	SMI HOLDINGS	64,511,842	\$ 258,692,486
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	11,048,019	\$ 197,759,540
207	JOY CITY PPT	19,714,000	\$ 22,473,960
215	HUTCHTEL HK	56,139,209	\$ 167,294,843
217	CHINA CHENGTONG	1,690,040	\$ 752,068
218	SHENWANHONGYUAN	8,140,000	\$ 23,687,400
220	U-PRESID CHINA	49,190,124	\$ 323,671,016
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
232	AVIC INT'L	42,742,000	\$ 16,241,960
235	CHINA STRATEGIC	180,904,978	\$ 18,452,308
241	ALI HEALTH	294,061,573	\$ 979,225,038
242	SHUN TAK HOLD	26,277,221	\$ 82,247,702
245	CN MINSHENG FIN	42,558,531	\$ 18,087,376
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	438,000	\$ 3,858,780
256	CITYCHAMP	21,244,096	\$ 35,902,522
257	CHINA EB INT'L	133,910,781	\$ 1,373,924,613
258	TOMSON GROUP	761,614	\$ 2,863,669
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	58,346,040	\$ 683,815,589
268	KINGDEE INT'L	199,153,103	\$ 609,408,495
270	GUANGDONG INV	29,512,165	\$ 319,911,869
272	SHUI ON LAND	36,790,494	\$ 66,958,699
273	MASON GP HOLD	137,210,001	\$ 13,858,210
275	MASTER GLORY GP	70,190,000	\$ 7,720,900
285	BYD ELECTRONIC	69,695,287	\$ 1,282,393,281
288	WH GROUP	40,619,526	\$ 304,646,445
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	33,066,761	\$ 634,881,811
293	CATHAY PAC AIR	68,951,561	\$ 813,628,420
297	SINOFERT	160,085,069	\$ 164,887,621
303	VTECH HOLDINGS	1,304,054	\$ 137,447,292
308	CHINA TRAVEL HK	119,462,950	\$ 266,402,379
315	SMARTONE TELE	18,627,784	\$ 188,140,618
316	OOIL	5,433,014	\$ 388,460,501
317	COMEC	9,322,000	\$ 125,660,560



Aggregated Reportable Short Positions of Specified Shares as of 11/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
321	TEXWINCA HOLD	22,103,377	\$ 106,096,210
322	TINGYI	174,654,536	\$ 1,886,268,989
323	MAANSHAN IRON	78,528,028	\$ 298,406,506
327	PAX GLOBAL	89,655,800	\$ 390,899,288
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	66,009,104	\$ 277,898,328
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	762,000	\$ 3,665,220
337	GREENLAND HK	7,641,400	\$ 19,867,640
338	SHANGHAI PECEM	55,710,234	\$ 255,709,974
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	3,041,049	\$ 76,330,330
342	NEWOCEAN ENERGY	45,387,870	\$ 102,576,586
345	VITASOY INT'L	5,615,241	\$ 90,068,466
347	ANGANG STEEL	54,989,916	\$ 333,238,891
354	CHINASOFT INT'L	51,082,990	\$ 210,461,919
358	JIANGXI COPPER	43,101,951	\$ 557,739,246
363	SHANGHAI IND H	887,000	\$ 19,026,150
368	SINOTRANS SHIP	48,495,184	\$ 106,689,405
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	167,347,880	\$ 1,044,250,771
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	7,690,000	\$ 10,842,900
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	72,616,238	\$ 1,366,637,599
386	SINOPEC CORP	186,465,155	\$ 1,083,362,551
388	HKEX	27,288,898	\$ 5,763,415,258
390	CHINA RAILWAY	112,519,112	\$ 676,239,863
392	BEIJING ENT	3,694,034	\$ 145,544,940
400	COGOBUY	38,081,558	\$ 173,271,089
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	46,095,500	\$ 185,764,865
412	CHINA INNO FIN	8,543,133	\$ 2,520,224
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	781,000	\$ 6,443,250
419	HUAYI TENCENT	152,962,122	\$ 47,418,258
425	MINTH GROUP	21,927,702	\$ 714,843,085
433	NORTH MINING	155,017,883	\$ 22,012,539
434	BOYAA	4,957,981	\$ 14,427,725



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	65,458,639	\$ 162,337,425
440	DAH SING	673,808	\$ 35,846,586
451	GCL NEWENERGY	166,264,000	\$ 59,023,720
460	SIHUAN PHARM	219,035,772	\$ 692,153,040
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	5,445,312	\$ 25,647,420
480	HKR INT'L	0	\$ 0
486	RUSAL	3,210,000	\$ 16,371,000
488	LAI SUN DEV	0	\$ 0
489	DONGFENG GROUP	111,665,162	\$ 1,094,318,588
493	GOME	1,350,998,847	\$ 1,242,918,939
494	LI & FUNG	635,594,045	\$ 1,786,019,266
497	CSI PROPERTIES	3,000,000	\$ 1,170,000
506	CHINA FOODS	26,120,001	\$ 98,994,804
508	DINGYI GP INV	0	\$ 0
511	TVB	6,830,848	\$ 191,946,829
512	CHINAGRANDPHARM	1,644,000	\$ 4,225,080
517	COSCO SHIP INTL	2,112,000	\$ 6,695,040
520	XIABUXIABU	0	\$ 0
521	HNA HOLDING	46,084,000	\$ 13,364,360
522	ASM PACIFIC	30,303,640	\$ 2,883,391,346
525	GUANGSHEN RAIL	20,761,401	\$ 87,405,498
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	14,012,000	\$ 51,143,800
535	GEMDALE PPT	3,734,000	\$ 2,912,520
538	AJISEN (CHINA)	1,759,846	\$ 5,948,279
546	FUFENG GROUP	50,193,982	\$ 225,872,919
547	DIGITAL DOMAIN	172,145,639	\$ 48,200,779
548	SHENZHENEXPRESS	1,525,199	\$ 10,706,897
551	YUE YUEN IND	5,798,408	\$ 186,998,658
552	CHINACOMSERVICE	5,336,000	\$ 23,638,480
563	SH IND URBAN	18,740,000	\$ 32,420,200
570	TRAD CHI MED	88,405,845	\$ 366,884,257
576	ZHEJIANGEXPRESS	13,006,304	\$ 131,103,544
579	JNCEC	12,019,887	\$ 25,482,160
580	SUN.KING ELEC	490,000	\$ 837,900
581	CHINA ORIENTAL	0	\$ 0
586	CONCH VENTURE	27,041,433	\$ 376,416,747
588	BEIJING N STAR	3,806,000	\$ 11,303,820
590	LUK FOOK HOLD	2,294,095	\$ 63,317,022



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598	SINOTRANS	6,558,580	\$ 28,201,894
603	CHINA OIL & GAS	7,200,000	\$ 3,816,000
604	SHENZHEN INVEST	18,318,274	\$ 62,098,949
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	5,753,000	\$ 19,502,670
607	FULLSHARE	874,097,379	\$ 2,744,665,770
622	ENERCHINA HOLD	76,628,761	\$ 12,873,632
626	PUBLIC FIN HOLD	0	\$ 0
633	CH ALL ACCESS	5,682,000	\$ 12,557,220
636	KERRY LOG NET	423,759	\$ 4,703,725
639	SHOUGANG RES	43,930,899	\$ 72,046,674
651	CHI OCEAN IND	317,425,000	\$ 22,537,175
656	FOSUN INTL	124,669,866	\$ 1,468,611,021
658	C TRANSMISSION	22,896,069	\$ 190,037,373
659	NWS HOLDINGS	6,683,721	\$ 104,800,745
665	HAITONG INT'L	144,329,212	\$ 656,697,915
669	TECHTRONIC IND	4,996,101	\$ 167,868,994
670	CHINA EAST AIR	73,749,172	\$ 303,846,589
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	101,800,000	\$ 26,977,000
683	KERRY PPT	6,794,349	\$ 188,543,185
686	PANDA GREEN	129,949,939	\$ 133,848,437
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	50,812,242	\$ 1,285,549,723
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	11,379,951	\$ 136,559,412
696	TRAVELSKY TECH	5,109,500	\$ 102,700,950
697	SHOUGANG INT'L	0	\$ 0
698	TONGDA GROUP	543,391,900	\$ 1,059,614,205
699	CAR INC	89,942,000	\$ 622,398,640
700	TENCENT	109,153,273	\$ 33,903,006,594
702	SINO OIL & GAS	87,420,000	\$ 13,987,200
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	7,032,000	\$ 31,222,080
715	CHINA OCEANWIDE	18,166,045	\$ 11,807,929
716	SINGAMAS CONT	2,864,000	\$ 3,322,240
717	EMPEROR CAPITAL	19,632,974	\$ 13,546,752
718	TAI UNITED HOLD	1,055,000	\$ 1,202,700
721	C FIN INT INV	65,812,718	\$ 17,440,370
728	CHINA TELECOM	61,917,883	\$ 234,049,598
729	FDG EVEHICLES	451,853,897	\$ 140,074,708



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732	TRULY INT'L	149,317,576	\$ 361,348,534
735	CP CLEAN ENERGY	1,690,423	\$ 7,877,371
737	HOPEWELL INFR	9,774,582	\$ 45,451,806
750	SINGYES SOLAR	47,283,443	\$ 129,556,634
751	SKYWORTHDIGITAL	103,000,032	\$ 406,850,126
752	PICO FAR EAST	480,000	\$ 1,584,000
753	AIR CHINA	32,642,544	\$ 231,109,212
754	HOPSON DEV HOLD	0	\$ 0
762	CHINA UNICOM	200,152,592	\$ 2,277,736,497
763	ZTE	31,944,648	\$ 587,781,523
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	7,585,735	\$ 153,231,847
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	67,541,269	\$ 47,954,301
798	CEOVU	0	\$ 0
799	IGG	29,896,470	\$ 352,778,346
806	VALUE PARTNERS	81,487,930	\$ 572,860,148
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	3,071,000	\$ 19,316,590
813	SHIMAO PROPERTY	18,245,643	\$ 252,519,699
815	CHI SILVER GP	32,152,718	\$ 49,515,186
816	HUADIAN FUXIN	153,826,136	\$ 247,660,079
817	CHINA JINMAO	77,189,314	\$ 258,584,202
818	HI SUN TECH	14,513,181	\$ 19,737,926
819	TIANNENG POWER	48,521,869	\$ 295,983,401
823	LINK REIT	17,762,853	\$ 1,110,178,313
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	3,818,000	\$ 9,468,640
836	CHINA RES POWER	17,944,800	\$ 267,018,624
838	EVA HOLDINGS	378,000	\$ 381,780
845	GLORIOUS PPT H	20,543,000	\$ 17,050,690
853	MICROPORT	433,000	\$ 3,000,690
855	CHINA WATER	42,287,394	\$ 184,795,912
856	VSTECs	0	\$ 0
857	PETROCHINA	422,727,512	\$ 2,084,046,634
860	O LUXE HOLD	0	\$ 0
861	DC HOLDINGS	47,346,800	\$ 223,003,428
867	CMS	34,156,780	\$ 435,840,513
868	XINYI GLASS	36,568,059	\$ 269,140,914
874	BAIYUNSHAN PH	932,000	\$ 18,304,480
877	O-NET TECH GP	43,386,838	\$ 201,314,928



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878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	72,302,107	\$ 504,668,707
881	ZHONGSHENG HLDG	18,573,623	\$ 310,922,449
882	TIANJIN DEV	1,844,000	\$ 7,615,720
883	CNOOC	137,248,481	\$ 1,198,179,239
884	CIFI HOLD GP	77,625,198	\$ 329,907,092
885	RENTIAN TECH	17,000,000	\$ 6,375,000
887	EMPEROR WATCH&J	23,370,000	\$ 7,945,800
895	DONGJIANG ENV	1,842,733	\$ 18,980,150
902	HUANENG POWER	172,545,416	\$ 852,374,355
906	COFCO PACKAGING	0	\$ 0
914	CONCH CEMENT	100,785,501	\$ 2,842,151,128
915	DAOHE GLOBAL	528,000	\$ 1,774,080
916	CHINA LONGYUAN	101,424,496	\$ 601,447,261
921	HISENSE KELON	3,720,000	\$ 36,270,000
931	CHINA LNG	113,354,141	\$ 155,295,173
932	RM GROUP	180,000	\$ 1,294,200
933	BRIGHTOIL	34,206,965	\$ 69,782,209
934	SINOPEC KANTONS	29,922,579	\$ 138,242,315
939	CCB	951,142,273	\$ 6,030,242,011
941	CHINA MOBILE	25,385,500	\$ 2,226,308,350
950	LEE'S PHARM	950,521	\$ 5,845,704
951	CHAOWEI POWER	31,150,308	\$ 133,946,324
956	CHINA SUNTIEN	24,723,094	\$ 41,040,336
958	HN RENEWABLES	136,684,141	\$ 318,474,049
960	LONGFOR PPT	18,237,339	\$ 338,849,759
963	BLOOMAGE BIOTEC	2,291,866	\$ 34,011,291
966	CHINA TAIPING	29,671,897	\$ 689,871,605
968	XINYI SOLAR	297,433,375	\$ 773,326,775
973	L'OCCITANE	337,500	\$ 5,899,500
976	CHIHO ENV	14,875,399	\$ 65,005,494
978	CHI MER LAND	8,008,000	\$ 11,931,920
980	LIANHUA	4,094,526	\$ 13,266,264
981	SMIC	455,297,687	\$ 3,278,143,346
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	34,720,454	\$ 83,329,090
992	LENOVO GROUP	829,823,604	\$ 3,925,065,647
993	HUARONG INT FIN	770,000	\$ 2,079,000
995	ANHUIEXPRESSWAY	182,000	\$ 1,055,600
996	CARNIVAL GROUP	150,058,496	\$ 103,540,362
998	CITIC BANK	285,082,176	\$ 1,408,305,949



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999	I.T	0	\$ 0
1003	HUANXI MEDIA	1,061,727	\$ 2,112,837
1004	C SMARTERENERGY	0	\$ 0
1019	CONVOY	246,444,000	\$ 51,753,240
1028	C.BANNER	1,211,000	\$ 3,633,000
1030	FUTURE LAND	73,227,832	\$ 233,596,784
1031	KINGSTON FIN	116,923,386	\$ 329,723,949
1033	SINOPEC SSC	123,204,545	\$ 162,629,999
1038	CKI HOLDINGS	38,820,558	\$ 2,740,731,395
1039	FORTUNET E-COMM	0	\$ 0
1044	HENGAN INT'L	55,236,925	\$ 3,399,832,734
1045	APT SATELLITE	1,976,114	\$ 7,331,383
1051	G-RESOURCES	32,343,000	\$ 3,234,300
1052	YUEXIUTRANSPORT	26,225,913	\$ 148,438,668
1055	CHINA SOUTH AIR	98,043,713	\$ 568,653,535
1060	ALI PICTURES	1,124,000,618	\$ 1,551,120,853
1061	ESSEX BIO-TECH	0	\$ 0
1065	TIANJIN CAPITAL	14,720,000	\$ 69,331,200
1066	WEIGAO GROUP	25,524,016	\$ 153,399,336
1068	YURUN FOOD	31,660,256	\$ 31,976,859
1070	TCL MULTIMEDIA	24,262,055	\$ 90,254,845
1071	HUADIAN POWER	51,333,256	\$ 162,726,422
1072	DONGFANG ELEC	6,723,222	\$ 53,113,454
1076	IMPERIAL PAC	263,160,855	\$ 33,421,429
1083	TOWNGAS CHINA	12,046,905	\$ 62,884,844
1086	GOODBABY INTL	13,928,185	\$ 50,280,748
1088	CHINA SHENHUA	41,668,591	\$ 795,870,088
1089	LEYOU TECH H	24,035,049	\$ 43,984,140
1090	DA MING INT'L	0	\$ 0
1093	CSPC PHARMA	52,609,997	\$ 629,215,564
1098	ROAD KING INFRA	0	\$ 0
1099	SINOPHARM	14,676,351	\$ 477,715,225
1103	DASHENG AGR FIN	32,011,999	\$ 22,728,519
1106	SINO HAIJING	0	\$ 0
1108	LUOYANG GLASS	2,201,978	\$ 9,182,248
1109	CHINA RES LAND	19,128,152	\$ 435,165,458
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	19,466,305	\$ 493,470,832
1113	CK PROPERTY	8,028,474	\$ 546,337,656
1114	BRILLIANCE CHI	163,184,162	\$ 3,280,001,656
1115	TIBET WATER	71,481,000	\$ 213,728,190



Aggregated Reportable Short Positions of Specified Shares as of 11/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1117	CH MODERN D	74,237,041	\$ 104,674,228
1122	QINGLING MOTORS	480,000	\$ 1,209,600
1128	WYNN MACAU	88,406,340	\$ 1,425,110,201
1129	WATER INDUSTRY	6,705,896	\$ 11,400,023
1133	HARBIN ELECTRIC	4,439,000	\$ 18,865,750
1136	TCC INT'L HOLD	1,796,000	\$ 6,393,760
1138	COSCO SHIP ENGY	11,982,414	\$ 53,201,918
1140	OP FIN INV	940,000	\$ 2,068,000
1141	CMBC CAPITAL	99,063,075	\$ 33,681,446
1157	ZOOMLION	72,109,228	\$ 276,178,343
1165	SFCE	55,177,348	\$ 21,519,166
1168	SINOLINK HOLD	2,500,000	\$ 2,625,000
1169	HAIER ELEC	3,485,640	\$ 75,638,388
1171	YANZHOU COAL	68,004,347	\$ 496,431,733
1176	ZHUGUANG HOLD	7,104,000	\$ 6,322,560
1177	SINO BIOPHARM	133,150,017	\$ 890,773,614
1185	CHINA ENERGINE	0	\$ 0
1186	CHINA RAIL CONS	123,585,762	\$ 1,240,801,050
1193	CHINA RES GAS	38,295,119	\$ 1,085,666,624
1196	REALORD GROUP	5,470,000	\$ 22,098,800
1199	COSCO SHIP PORT	36,680,004	\$ 339,290,037
1205	CITIC RESOURCES	53,641,837	\$ 50,959,745
1206	TECHNOVATOR	17,418,000	\$ 41,803,200
1208	MMG	67,041,538	\$ 225,259,568
1211	BYD COMPANY	103,402,779	\$ 5,035,715,337
1212	LIFESTYLE INT'L	455,000	\$ 4,895,800
1219	TENWOW INT'L	652,273	\$ 1,011,023
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	2,411,033	\$ 4,171,087
1230	YASHILI INT'L	27,413,000	\$ 38,378,200
1231	NEWTON RES	0	\$ 0
1233	TIMES PPT	2,115,000	\$ 12,774,600
1234	CHINA LILANG	244,000	\$ 1,305,400
1238	POWERLONG	2,321,680	\$ 7,847,278
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	0	\$ 0
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	17,776,000	\$ 31,108,000
1270	LANGHAM-SS	0	\$ 0
1272	DATANG ENVIRO	0	\$ 0
1278	CHINANEWTON	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 11/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1282	CHINA GOLDJOY	147,394,815	\$ 56,747,004
1288	ABC	94,621,122	\$ 334,012,561
1293	GRAND BAOXIN	8,232,035	\$ 30,129,248
1297	SINOSOFT TECH	24,568,170	\$ 54,049,974
1299	AIA	50,779,201	\$ 2,988,355,979
1300	TRIGIANT	1,147,647	\$ 1,250,935
1302	LIFETECH SCI	39,086,000	\$ 66,837,060
1308	SITC	19,080,686	\$ 132,801,575
1310	HKBN	19,588,747	\$ 150,049,802
1313	CHINARES CEMENT	24,418,000	\$ 112,322,800
1315	VISION FAME	101,104,000	\$ 60,662,400
1316	NEXTEER	18,075,469	\$ 202,083,743
1317	MAPLELEAF EDU	55,786,667	\$ 362,055,469
1330	DYNAGREEN ENV	1,153,000	\$ 4,496,700
1332	CHINA TOUYUN	4,939,675	\$ 2,321,647
1333	CHINA ZHONGWANG	110,734,651	\$ 469,514,920
1336	NCI	14,952,304	\$ 740,886,663
1339	PICC GROUP	30,276,272	\$ 107,783,528
1347	HUA HONG SEMI	1,628,000	\$ 17,159,120
1349	FUDANZHANGJIANG	3,579,317	\$ 14,639,407
1358	PW MEDTECH	2,048,000	\$ 3,604,480
1359	CHINA CINDA	142,698,630	\$ 449,500,685
1360	MEGAEXPO HLDG	290,000	\$ 559,700
1361	361 DEGREES	15,388,000	\$ 42,163,120
1363	CTEG	214,967,113	\$ 303,103,629
1366	JIANGNAN GP	35,435,729	\$ 19,489,651
1368	XTEP INT'L	34,248,600	\$ 105,143,202
1375	CC SECURITIES	21,600,224	\$ 78,624,815
1378	CHINAHONGQIAO	92,698,057	\$ 653,521,302
1381	CANVEST ENV	12,207,327	\$ 51,514,920
1382	PACIFICTEXTILES	10,856,400	\$ 93,582,168
1385	SHANGHAI FUDAN	170,000	\$ 860,200
1387	RENHE COMM	645,873,984	\$ 116,257,317
1398	ICBC	265,390,825	\$ 1,406,571,373
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	19,474,112	\$ 47,322,092
1432	CHINA SHENGMU	33,681,000	\$ 47,490,210
1448	FU SHOU YUAN	15,478,000	\$ 74,139,620
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	13,282,605	\$ 102,541,711
1462	GOLD-FIN HLDG	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 11/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1478	Q TECH	5,859,932	\$ 73,717,945
1508	CHINA RE	12,712,000	\$ 22,754,480
1509	HARMONICARE	4,068,945	\$ 12,084,767
1513	LIVZON PHARMA	149,984	\$ 6,246,834
1515	CRPHOENIXHEALTH	55,690,127	\$ 523,487,194
1518	NC HEALTHCARE	0	\$ 0
1520	RUNWAY GLOBAL	0	\$ 0
1528	RS MACALLINE	784,800	\$ 6,254,856
1530	3SBIO	80,984,558	\$ 785,550,213
1548	GENSCRIPT BIO	10,633,056	\$ 42,532,224
1558	HEC PHARM	0	\$ 0
1559	KWAN ON HLDGS	0	\$ 0
1566	CHINA ANIMATION	8,563,000	\$ 26,459,670
1569	MINSHENG EDU	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	0	\$ 0
1585	YADEA	1,812,000	\$ 3,551,520
1589	CNLP	13,058,000	\$ 33,559,060
1599	UCD	320,000	\$ 1,580,800
1600	TIAN LUN GAS	0	\$ 0
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	11,672,426	\$ 18,208,985
1616	SILVERMAN HL	2,154,228	\$ 1,680,298
1618	MCC	31,963,650	\$ 81,507,308
1622	REDCO PPT	1,278,800	\$ 3,644,580
1628	YUZHOU PPT	3,732,000	\$ 16,607,400
1632	FOODWISE HLDG	482,000	\$ 6,266,000
1635	DZUG	0	\$ 0
1636	CMRU	3,268,000	\$ 9,738,640
1658	PSBC	102,258,325	\$ 461,185,046
1661	WISDOM SPORTS	34,366,000	\$ 35,396,980
1666	TONG REN TANG	10,931,531	\$ 124,182,192
1668	CHINASOUTHCITY	111,440,041	\$ 178,304,066
1680	MACAU LEGEND	53,861,654	\$ 68,942,917
1681	CONSUN PHARMA	0	\$ 0
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	39,857,000	\$ 278,201,860
1766	CRRC	335,658,953	\$ 2,319,403,365
1776	GF SEC	27,552,798	\$ 429,272,593



Aggregated Reportable Short Positions of Specified Shares as of 11/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1777	FANTASIA	0	\$ 0
1778	COLOUR LIFE	8,803,800	\$ 47,716,596
1786	CRCCE	23,229,000	\$ 62,486,010
1788	GUOTAI JUNAN I	228,788,197	\$ 560,531,083
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	59,113,677	\$ 595,865,864
1803	BJ SPORTS & ENT	1,000,000	\$ 2,400,000
1811	CGN NEW ENERGY	13,324,000	\$ 14,256,680
1812	CHENMING PAPER	3,864,000	\$ 43,585,920
1813	KWG PROPERTY	44,396,403	\$ 238,852,648
1816	CGN POWER	597,726,072	\$ 1,219,361,187
1818	ZHAOJIN MINING	88,356,469	\$ 572,549,919
1828	DCH HOLDINGS	1,699,000	\$ 6,846,970
1829	CMEC	6,564,951	\$ 32,824,755
1836	STELLA HOLDINGS	3,578,047	\$ 48,160,513
1848	CALC	2,096,576	\$ 18,659,526
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	9,112,351	\$ 192,270,606
1883	CITIC TELECOM	3,394,000	\$ 7,975,900
1886	HUIYUAN JUICE	11,097,627	\$ 26,745,281
1888	KB LAMINATES	7,016,500	\$ 75,357,210
1893	SINOMA	5,613,000	\$ 17,344,170
1898	CHINA COAL	170,172,909	\$ 661,972,616
1899	XINGDA INT'L	12,773,883	\$ 39,088,082
1910	SAMSONITE	15,394,380	\$ 473,377,185
1913	PRADA	9,089,107	\$ 261,311,826
1918	SUNAC	187,953,605	\$ 3,450,828,188
1919	COSCO SHIP HOLD	57,281,957	\$ 279,535,950
1928	SANDS CHINA LTD	112,441,848	\$ 3,929,842,588
1929	CHOW TAI FOOK	14,010,446	\$ 113,764,822
1958	BAIC MOTOR	98,712,996	\$ 675,196,893
1963	BCQ	1,263,500	\$ 8,174,845
1966	CHINA SCE PPT	964,000	\$ 3,528,240
1970	IMAX CHINA	11,912,231	\$ 207,034,575
1972	SWIREPROPERTIES	17,519,381	\$ 471,271,349
1980	TIANGE	17,493,000	\$ 102,334,050
1988	MINSHENG BANK	463,666,951	\$ 3,537,778,836
1999	MAN WAH HLDGS	63,027,878	\$ 416,614,274
2005	SSY GROUP	5,039,519	\$ 17,134,365
2006	JINJIANG HOTELS	39,214,498	\$ 85,879,751



Aggregated Reportable Short Positions of Specified Shares as of 11/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2007	COUNTRY GARDEN	318,132,446	\$ 3,060,434,131
2008	PHOENIX TV	9,992,000	\$ 11,290,960
2009	BBMG	116,936,875	\$ 468,916,869
2014	OZNER WATER	37,625,000	\$ 71,111,250
2016	CZBANK	0	\$ 0
2018	AAC TECH	42,685,665	\$ 4,554,560,456
2020	ANTA SPORTS	67,785,378	\$ 1,833,594,475
2038	FIH	48,770,501	\$ 121,926,253
2039	CIMC	3,241,000	\$ 49,198,380
2066	SHENGJINGBANK	1,813,000	\$ 12,292,140
2098	ZALL GROUP	5,151,000	\$ 26,064,060
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	8,610,000	\$ 36,678,600
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	24,754,322	\$ 125,504,413
2136	LIFESTYLE CHI	463,500	\$ 1,325,610
2168	YINGDE GASES	0	\$ 0
2186	LUYE PHARMA	198,776,635	\$ 874,617,194
2196	FOSUN PHARMA	11,867,273	\$ 338,217,281
2198	CHINA SANJIANG	0	\$ 0
2199	REGINA MIRACLE	18,726,086	\$ 127,711,907
2200	HOSA INT'L	7,312,090	\$ 17,695,258
2202	CHINA VANKE	36,026,264	\$ 796,180,434
2208	GOLDWIND	49,504,247	\$ 429,696,864
2226	HONWORLD GP	0	\$ 0
2233	WESTCHINACEMENT	77,724,000	\$ 87,050,880
2238	GAC GROUP	49,598,954	\$ 732,080,561
2255	HAICHANG HLDG	4,731,000	\$ 7,853,460
2277	HUARONG INV	0	\$ 0
2280	HC INTL	23,348,000	\$ 141,255,400
2282	MGM CHINA	88,993,892	\$ 1,382,965,082
2298	COSMO LADY	62,279,664	\$ 182,479,416
2300	AMVIG HOLDINGS	262,000	\$ 592,120
2313	SHENZHOU INTL	14,558,447	\$ 760,678,856
2314	LEE & MAN PAPER	6,630,591	\$ 51,188,163
2318	PING AN	1,093,940,240	\$ 61,260,653,440
2319	MENGNU DAIRY	47,504,644	\$ 741,072,446
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	168,755,472	\$ 37,294,959
2328	PICC P&C	40,254,160	\$ 578,854,821



Aggregated Reportable Short Positions of Specified Shares as of 11/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2329	GUORUI PPT	3,547,000	\$ 8,867,500
2331	LI NING	25,961,038	\$ 152,131,683
2333	GREATWALL MOTOR	516,327,730	\$ 5,183,930,409
2338	WEICHAI POWER	231,435,405	\$ 1,800,567,451
2342	COMBA	6,683,380	\$ 7,819,555
2343	PACIFIC BASIN	186,835,481	\$ 304,541,834
2348	DAWNRAYS PHARMA	611,800	\$ 2,740,864
2349	CH CITY INFRA	0	\$ 0
2355	BAOYE GROUP	0	\$ 0
2356	DAHING BANKING	1,851,351	\$ 30,769,454
2357	AVICHINA	136,688,920	\$ 647,905,481
2362	JINCHUAN INTL	0	\$ 0
2369	COOLPAD GROUP	78,428,148	\$ 56,468,267
2378	PRU	0	\$ 0
2380	CHINA POWER	127,939,827	\$ 330,084,754
2382	SUNNY OPTICAL	26,664,157	\$ 2,573,091,151
2383	TOM GROUP	816,000	\$ 1,525,920
2386	SINOPEC SEG	11,517,319	\$ 82,694,350
2388	BOC HONG KONG	37,529,016	\$ 1,418,596,805
2389	BJ ENT M&H	22,596,000	\$ 10,281,180
2393	YESTAR HEALTH	7,472,968	\$ 28,397,278
2588	BOC AVIATION	982,708	\$ 39,799,674
2600	CHALCO	542,837,311	\$ 2,817,325,644
2601	CPIC	22,560,447	\$ 767,055,198
2607	SH PHARMA	5,542,883	\$ 111,966,237
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	70,756,670	\$ 1,666,319,579
2638	HKELECTRIC-SS	219,214,153	\$ 1,567,381,194
2666	UNI MEDICAL	26,746,370	\$ 165,025,103
2669	CHINA OVS PPT	75,484,251	\$ 128,323,227
2678	TEXHONG TEXTILE	5,031,654	\$ 44,630,771
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	34,392,741	\$ 1,759,188,702
2689	ND PAPER	88,697,392	\$ 975,671,312
2722	CHONGQING M&E	0	\$ 0
2727	SH ELECTRIC	236,635,946	\$ 818,760,373
2768	JIAYUAN INTL	2,260,000	\$ 10,373,400
2777	R&F PROPERTIES	68,698,723	\$ 1,046,968,539
2778	CHAMPION REIT	0	\$ 0
2799	CHINA HUARONG	216,006,359	\$ 695,540,476
2800	TRACKER FUND	3,582,000	\$ 99,042,300



Aggregated Reportable Short Positions of Specified Shares as of 11/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2816	X DBCSI300REAL	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	65,728,148	\$ 872,869,805
2823	ISHARES A50	183,875,606	\$ 2,357,285,269
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	3,336,200	\$ 362,311,320
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2844	X DBCSI300FIN	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	74,814,884	\$ 139,155,684
2868	BJ CAPITAL LAND	9,879,105	\$ 37,343,017
2869	GREENTOWN SER	4,258,000	\$ 19,757,120
2877	SHINEWAY PHARM	3,244,000	\$ 23,421,680
2880	DALIAN PORT	45,372,688	\$ 63,521,763
2883	CHINA OILFIELD	184,047,765	\$ 1,227,598,593
2888	STANCHART	9,021,257	\$ 701,853,795
2899	ZIJIN MINING	192,351,534	\$ 552,048,903
3005	X DBCSI300IND	0	\$ 0
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3009	X DBMSCIEM	0	\$ 0
3010	ISHARES AXJ	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 11/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3012	AMUNDI HK35	0	\$ 0
3013	X DBMSCIASIAHDY	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3017	X DBCSI300ENER	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3025	X DBCSI300CONDI	0	\$ 0
3026	X DBAUDCASH	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3035	X DBMSCIEMASIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3045	X DBMSCIINDIA	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3052	X DBCSI300UTIL	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3057	X DBCSI300HEAL	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3061	X DBCSI300BANK	0	\$ 0
3062	X DBCSI300MAT	0	\$ 0
3063	X DBCSI300TRANS	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARES MSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 11/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3105	X DBMSCIBANGLA	0	\$ 0
3106	X DBMSCIPAKIST	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3124	F SAM HSIF	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3134	F SAM HSIFRF	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 11/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	37,267,920	\$ 1,617,427,728
3199	CSOP 5YCGBOND	0	\$ 0
3301	RONSHINECHINA	3,023,000	\$ 24,758,370
3308	GOLDEN EAGLE	27,174,664	\$ 271,746,640
3311	CHINA STATE CON	41,837,729	\$ 519,624,594
3320	CHINARES PHARMA	24,010,578	\$ 218,496,260
3323	CNBM	224,129,748	\$ 1,093,753,170
3328	BANKCOMM	116,226,267	\$ 656,678,409
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	322,057,793	\$ 5,970,951,482
3336	JU TENG INTL	3,125,292	\$ 9,594,646
3337	ANTON OILFIELD	80,514,010	\$ 57,970,087
3339	LONKING	20,783,900	\$ 50,297,038
3344	ADDCHANCE	0	\$ 0
3360	FE HORIZON	32,387,353	\$ 210,193,921
3369	QHD PORT	2,120,000	\$ 5,215,200
3377	SINO-OCEAN GP	36,818,389	\$ 148,009,924
3378	XIAMEN PORT	2,432,000	\$ 3,575,040
3380	LOGAN PPT	44,608,061	\$ 321,178,039
3382	TIANJINPORT DEV	2,098,000	\$ 2,664,460
3383	AGILE GROUP	124,416,459	\$ 1,063,760,724
3389	HENGDELI	15,663,758	\$ 6,422,141
3393	WASION GROUP	42,053,789	\$ 142,982,883
3396	LEGENDHOLDING	2,075,902	\$ 41,393,486
3606	FUYAO GLASS	25,262,382	\$ 625,243,955
3618	CQRC BANK	46,272,701	\$ 253,574,401
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	333,600	\$ 6,038,160
3669	YONGDA AUTO	37,823,146	\$ 364,615,127
3698	HUIZHANG BANK	5,200,671	\$ 19,346,496
3708	YAT SING HLDG	0	\$ 0
3709	KORADIOR	1,385,000	\$ 8,864,000
3799	DALI FOODS	90,197,500	\$ 425,732,200
3800	GCL-POLY ENERGY	1,931,475,807	\$ 1,680,383,952



Aggregated Reportable Short Positions of Specified Shares as of 11/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3808	SINOTRUK	58,122,500	\$ 474,279,600
3813	POU SHENG INT'L	110,383,798	\$ 158,952,669
3818	CHINA DONGXIANG	64,716,891	\$ 99,016,843
3836	HARMONY AUTO	54,998,612	\$ 214,494,587
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	1,040,000	\$ 3,120,000
3886	TOWN HEALTH	110,927,846	\$ 68,775,265
3888	KINGSOFT	54,051,998	\$ 1,091,850,360
3898	CRRC TIMES ELEC	31,306,606	\$ 1,147,387,110
3899	CIMC ENRIC	14,076,000	\$ 73,899,000
3900	GREENTOWN CHINA	46,742,073	\$ 470,225,254
3908	CICC	11,241,396	\$ 144,789,180
3918	NAGACORP	30,772,677	\$ 139,400,227
3933	UNITED LAB	149,706,970	\$ 898,241,820
3958	DFZQ	2,796,000	\$ 21,585,120
3968	CM BANK	173,677,925	\$ 4,350,632,021
3969	CHINA CRSC	1,719,000	\$ 10,004,580
3983	CHINA BLUECHEM	21,253,454	\$ 49,095,479
3988	BANK OF CHINA	79,940,414	\$ 302,174,765
3993	CMOC	137,210,496	\$ 498,074,100
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	0	\$ 0
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	80,713,711	\$ 1,286,576,553
6066	CSC	820,000	\$ 5,740,000
6068	WISDOM EDU INTL	0	\$ 0
6099	CMSC	3,490,975	\$ 42,450,256
6116	LA CHAPELLE	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6169	YUHUA EDU	0	\$ 0
6178	EB SECURITIES	3,214,996	\$ 33,821,758
6196	BANKOFZHENGZHOU	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 11/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6198	QINGDAO PORT	1,383,000	\$ 5,877,750
6808	SUNART RETAIL	148,474,916	\$ 1,052,687,154
6818	CEB BANK	141,500,754	\$ 510,817,722
6823	HKT-SS	15,282,095	\$ 156,794,295
6826	HAOHAI BIOTEC	16,100	\$ 622,265
6828	BG BLUE SKY	30,712,000	\$ 15,970,240
6837	HAITONG SEC	72,106,569	\$ 907,100,638
6839	YUNNAN WATER	1,106,000	\$ 3,517,080
6863	HUIZHAN DAIRY	362,251,431	\$ 152,145,601
6869	YOFC	2,353,000	\$ 38,353,900
6881	CGS	98,627,868	\$ 670,669,502
6886	HTSC	35,938,598	\$ 560,642,129
6889	DYNAM JAPAN	490,800	\$ 6,135,000
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7250	FL2 SAMSUNGK200	0	\$ 0
7255	FL2SAMSUNGTOPIX	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7311	FI SAMSUNGTOPIX	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7326	FI SAMSUNGK200	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 11/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	3,492,000	\$ 2,933,280
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	11,959,673	\$ 8,491,368
8057	MADISON HLDG	1,108,000	\$ 2,060,880
8078	HMV DIGIT CHINA	4,660,000	\$ 1,188,300
8083	INNOVATIONPAY	42,575,024	\$ 17,242,885
8095	BEIDA JADE BIRD	761,000	\$ 1,263,260
8102	LI BAO GE GP	0	\$ 0
8137	HONBRIDGE	0	\$ 0
8138	TONGRENTANGCM	232,000	\$ 2,449,920
8158	CRMI	40,155,000	\$ 8,673,480
8207	CREDIT CHINA	96,680,000	\$ 103,447,600
8237	LINK HOLDINGS	0	\$ 0
8265	CHINA TRUSTFUL	852,000	\$ 2,607,120
8279	AGTECH HOLDINGS	26,332,000	\$ 33,704,960
8407	CISI FIN	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 11/08/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHARESMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCVBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0