



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	22,404,699	\$ 2,278,557,888
2	CLP HOLDINGS	25,075,541	\$ 2,077,508,572
3	HK & CHINA GAS	204,804,092	\$ 3,027,004,480
4	WHARF HOLDINGS	13,976,825	\$ 1,041,972,304
5	HSBC HOLDINGS	32,273,297	\$ 2,449,543,242
6	POWER ASSETS	17,389,174	\$ 1,197,244,630
8	PCCW	55,230,756	\$ 239,701,481
10	HANG LUNG GROUP	3,047,963	\$ 90,219,705
11	HANG SENG BANK	9,662,415	\$ 1,739,234,700
12	HENDERSON LAND	6,877,166	\$ 335,261,843
14	HYSAN DEV	3,859,938	\$ 139,536,759
16	SHK PPT	10,793,891	\$ 1,399,967,663
17	NEW WORLD DEV	64,013,644	\$ 688,786,809
19	SWIRE PACIFIC A	9,700,475	\$ 775,552,976
20	WHEELLOCK	3,671,971	\$ 211,872,727
23	BANK OF E ASIA	50,364,367	\$ 1,800,526,120
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	56,717,312	\$ 2,892,582,912
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	24,968,002	\$ 23,969,282
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	526,000	\$ 2,156,600
38	FIRST TRACTOR	7,397,899	\$ 27,002,331
39	CH BEIDAHUANG	17,920,000	\$ 6,988,800
41	GREAT EAGLE H	158,707	\$ 6,705,371
43	C.P. POKPHAND	0	\$ 0
44	HAECO	38,008	\$ 1,995,420
45	HK&S HOTELS	9,996,194	\$ 133,949,000
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	1,483,702	\$ 48,813,796
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,399,000	\$ 42,879,350
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	60,300,422	\$ 2,788,894,518
69	SHANGRI-LA ASIA	9,249,196	\$ 124,864,146
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	20,583,780	\$ 85,628,525
83	SINO LAND	19,330,388	\$ 262,506,669
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	145,000	\$ 1,152,750
95	LVGEM CHINA	4,066,286	\$ 8,783,178
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	13,640,297	\$ 259,165,643
102	SUMMIT ASCENT	71,261,030	\$ 119,005,920
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	6,763,260	\$ 21,507,167
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	77,184,347	\$ 317,227,666
123	YUEXIU PROPERTY	14,624,000	\$ 20,912,320
127	CHINESE EST H	421,000	\$ 5,397,220
129	ASIA STANDARD	1,986,000	\$ 4,230,180
133	CHINA MERCHANTS	34,000	\$ 428,400
135	KUNLUN ENERGY	304,627,053	\$ 2,278,610,356
136	HENGTEN NET	922,080,255	\$ 258,182,471
142	FIRST PACIFIC	8,755,242	\$ 54,807,815
144	CHINA MER PORT	11,759,243	\$ 299,860,697
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	3,336,202	\$ 143,957,116
151	WANT WANT CHINA	759,741,127	\$ 3,988,640,917
152	SHENZHEN INT'L	6,818,470	\$ 97,231,382
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	768,000	\$ 2,211,840
164	CHINA BAOLI TEC	114,084,496	\$ 20,421,125
165	CHINA EB LTD	26,471,205	\$ 476,481,690
168	TSINGTAO BREW	18,958,995	\$ 604,791,941
171	SILVER GRANT	1,870,000	\$ 2,206,600
173	K. WAH INT'L	0	\$ 0
175	GEELY AUTO	124,782,055	\$ 2,415,780,585
177	JIANGSU EXPRESS	12,584,493	\$ 151,013,916
178	SA SA INT'L	55,318,613	\$ 159,317,605
179	JOHNSON ELEC H	2,129,359	\$ 61,112,603
182	CONCORD NE	46,610,000	\$ 15,148,250
186	GRANDE HOLDINGS	0	\$ 0
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	28,835,462	\$ 17,589,632
198	SMI HOLDINGS	56,269,092	\$ 217,198,695
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	9,967,221	\$ 188,978,510
207	JOY CITY PPT	14,014,000	\$ 18,498,480
215	HUTCHTEL HK	60,666,018	\$ 174,111,472
217	CHINA CHENGTONG	1,690,040	\$ 861,920
218	SHENWANHONGYUAN	9,100,000	\$ 27,573,000
220	U-PRESID CHINA	27,090,000	\$ 182,857,500
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
232	AVIC INT'L	42,744,000	\$ 15,387,840
235	CHINA STRATEGIC	169,289,978	\$ 20,653,377
241	ALI HEALTH	324,782,303	\$ 1,165,968,468
242	SHUN TAK HOLD	22,257,221	\$ 75,006,835
245	CN MINSHENG FIN	35,627,895	\$ 18,526,505
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	579,186	\$ 4,645,072
256	CITYCHAMP	20,604,000	\$ 35,438,880
257	CHINA EB INT'L	142,616,583	\$ 1,477,507,800
258	TOMSON GROUP	761,614	\$ 2,932,214
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	48,848,640	\$ 585,206,707
268	KINGDEE INT'L	180,825,412	\$ 614,806,401
270	GUANGDONG INV	25,572,137	\$ 299,194,003
272	SHUI ON LAND	25,411,950	\$ 45,741,510
273	MASON GP HOLD	136,988,001	\$ 14,246,752
275	MASTER GLORY GP	66,690,000	\$ 6,735,690
285	BYD ELECTRONIC	66,351,797	\$ 1,426,563,636
288	WH GROUP	187,102,494	\$ 1,573,531,975
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	26,390,239	\$ 516,720,880
293	CATHAY PAC AIR	63,918,774	\$ 750,406,407
297	SINOFERT	155,790,549	\$ 171,369,604
303	VTECH HOLDINGS	1,413,554	\$ 155,490,940
308	CHINA TRAVEL HK	106,283,072	\$ 257,205,034
315	SMARTONE TELE	26,887,534	\$ 260,809,080
316	OOIL	4,985,246	\$ 363,424,433
317	COMEC	10,078,000	\$ 133,231,160



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
321	TEXWINCA HOLD	23,081,307	\$ 102,711,816
322	TINGYI	173,998,468	\$ 1,844,383,761
323	MAANSHAN IRON	71,973,151	\$ 308,764,818
327	PAX GLOBAL	102,003,800	\$ 428,415,960
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	79,038,319	\$ 361,995,501
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	1,613,143	\$ 7,517,246
337	GREENLAND HK	7,352,664	\$ 19,999,246
338	SHANGHAI PECEM	44,517,310	\$ 215,908,954
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	2,675,049	\$ 67,143,730
342	NEWOCEAN ENERGY	44,992,246	\$ 94,033,794
345	VITASOY INT'L	5,097,475	\$ 89,307,762
347	ANGANG STEEL	50,815,716	\$ 360,791,584
354	CHINASOFT INT'L	66,422,990	\$ 280,969,248
358	JIANGXI COPPER	50,085,894	\$ 688,180,184
363	SHANGHAI IND H	239,000	\$ 5,676,250
368	SINOTRANS SHIP	40,800,195	\$ 97,920,468
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	167,514,989	\$ 1,103,923,778
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	7,862,000	\$ 11,321,280
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	3,940,000	\$ 1,260,800
384	CHINA GAS HOLD	74,609,679	\$ 1,483,240,419
386	SINOPEC CORP	197,825,389	\$ 1,196,843,603
388	HKEX	30,466,392	\$ 6,550,274,280
390	CHINA RAILWAY	92,677,590	\$ 593,136,576
392	BEIJING ENT	5,512,034	\$ 237,017,462
400	COGOBUY	40,725,558	\$ 217,881,735
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	52,194,000	\$ 234,351,060
412	CHINA INNO FIN	4,170,000	\$ 1,376,100
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	781,000	\$ 6,372,960
419	HUAYI TENCENT	156,135,369	\$ 45,279,257
425	MINTH GROUP	16,779,876	\$ 626,728,369
433	NORTH MINING	146,104,966	\$ 20,308,590
434	BOYAA	4,512,981	\$ 13,809,722



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	53,503,639	\$ 139,644,498
440	DAH SING	493,340	\$ 27,923,044
451	GCL NEWENERGY	163,260,000	\$ 71,018,100
460	SIHUAN PHARM	216,781,866	\$ 646,009,961
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	4,867,312	\$ 23,411,771
480	HKR INT'L	0	\$ 0
486	RUSAL	6,969,000	\$ 39,096,090
488	LAI SUN DEV	135,300	\$ 1,953,732
489	DONGFENG GROUP	118,051,829	\$ 1,194,684,509
493	GOME RETAIL	1,432,428,273	\$ 1,174,591,184
494	LI & FUNG	431,605,914	\$ 1,527,884,936
497	CSI PROPERTIES	3,000,000	\$ 1,200,000
506	CHINA FOODS	31,513,582	\$ 133,617,588
508	DINGYI GP INV	7,487,431	\$ 7,337,682
511	TVB	7,733,748	\$ 204,944,322
512	CHINAGRANDPHARM	1,152,000	\$ 3,191,040
517	COSCO SHIP INTL	1,722,000	\$ 5,665,380
520	XIABUXIABU	0	\$ 0
521	HNA HOLDING	49,294,000	\$ 16,020,550
522	ASM PACIFIC	30,533,353	\$ 2,944,941,897
525	GUANGSHEN RAIL	33,593,393	\$ 140,084,449
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	13,372,000	\$ 48,139,200
535	GEMDALE PPT	10,816,000	\$ 8,436,480
538	AJISEN (CHINA)	1,162,846	\$ 3,790,878
546	FUFENG GROUP	45,695,329	\$ 231,675,318
547	DIGITAL DOMAIN	176,555,639	\$ 43,785,798
548	SHENZHENEXPRESS	1,220,000	\$ 9,333,000
551	YUE YUEN IND	6,677,930	\$ 221,373,380
552	CHINACOMSERVICE	11,107,388	\$ 47,206,399
563	SH IND URBAN	22,259,557	\$ 38,954,225
570	TRAD CHI MED	70,371,052	\$ 330,040,234
576	ZHEJIANGEXPRESS	11,223,243	\$ 111,559,035
579	JNCEC	15,160,819	\$ 32,140,936
580	SUN.KING ELEC	668,000	\$ 1,135,600
581	CHINA ORIENTAL	3,326,000	\$ 21,319,660
586	CONCH VENTURE	26,606,133	\$ 378,871,334
588	BEIJING N STAR	2,762,000	\$ 8,838,400
590	LUK FOOK HOLD	2,207,000	\$ 60,030,400



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
598	SINOTRANS	7,789,580	\$ 34,352,048
603	CHINA OIL & GAS	7,200,000	\$ 3,888,000
604	SHENZHEN INVEST	16,339,362	\$ 57,677,948
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	5,584,000	\$ 20,884,160
607	FULLSHARE	864,493,387	\$ 2,679,929,500
622	ENERCHINA HOLD	79,127,938	\$ 17,724,658
626	PUBLIC FIN HOLD	0	\$ 0
633	CH ALL ACCESS	3,346,000	\$ 7,260,820
636	KERRY LOG NET	347,709	\$ 3,838,707
639	SHOUGANG RES	36,606,670	\$ 70,284,806
651	CHI OCEAN IND	342,415,000	\$ 22,256,975
656	FOSUN INTL	121,990,556	\$ 1,688,349,295
658	C TRANSMISSION	27,759,069	\$ 222,072,552
659	NWS HOLDINGS	5,426,204	\$ 83,780,590
665	HAITONG INT'L	164,206,022	\$ 770,126,243
669	TECHTRONIC IND	7,977,935	\$ 323,904,161
670	CHINA EAST AIR	82,107,184	\$ 348,134,460
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	101,950,000	\$ 25,997,250
683	KERRY PPT	9,515,043	\$ 293,063,324
686	PANDA GREEN	133,217,273	\$ 133,217,273
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	42,919,718	\$ 1,160,978,372
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	7,977,368	\$ 106,896,731
696	TRAVELSKY TECH	8,361,773	\$ 178,105,765
697	SHOUGANG INT'L	0	\$ 0
698	TONGDA GROUP	690,847,970	\$ 1,492,231,615
699	CAR INC	84,104,661	\$ 580,322,161
700	TENCENT	107,008,741	\$ 34,906,251,314
702	SINO OIL & GAS	90,760,000	\$ 14,703,120
708	EVERG HEALTH	4,465,000	\$ 7,635,150
709	GIORDANO INT'L	7,032,000	\$ 32,558,160
715	CHINA OCEANWIDE	19,394,045	\$ 12,800,070
716	SINGAMAS CONT	2,360,000	\$ 3,776,000
717	EMPEROR CAPITAL	21,096,974	\$ 14,556,912
718	TAI UNITED HOLD	1,055,000	\$ 1,371,500
721	C FIN INT INV	65,522,718	\$ 16,380,680
728	CHINA TELECOM	75,195,981	\$ 307,551,562
729	FDG EVEHICLES	445,058,897	\$ 137,968,258



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732	TRULY INT'L	143,745,874	\$ 347,865,015
735	CP CLEAN ENERGY	1,294,403	\$ 5,785,981
737	HOPEWELL INFR	6,521,000	\$ 31,105,170
750	SINGYES SOLAR	49,106,443	\$ 122,275,043
751	SKYWORTHDIGITAL	91,112,963	\$ 335,295,704
752	PICO FAR EAST	412,000	\$ 1,339,000
753	AIR CHINA	36,256,430	\$ 253,069,881
754	HOPSON DEV HOLD	0	\$ 0
762	CHINA UNICOM	205,774,178	\$ 2,345,825,629
763	ZTE	35,726,698	\$ 750,260,658
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	7,357,059	\$ 234,322,329
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	68,228,922	\$ 46,395,667
798	CEOVU	0	\$ 0
799	IGG	57,490,470	\$ 701,383,734
806	VALUE PARTNERS	96,196,225	\$ 647,400,594
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	3,324,000	\$ 21,240,360
813	SHIMAO PROPERTY	12,357,577	\$ 198,215,535
815	CHI SILVER GP	25,852,718	\$ 41,105,822
816	HUADIAN FUXIN	140,669,235	\$ 237,731,007
817	CHINA JINMAO	71,708,612	\$ 247,394,711
818	HI SUN TECH	13,706,181	\$ 23,985,817
819	TIANNENG POWER	46,508,869	\$ 318,585,753
823	LINK REIT	21,502,517	\$ 1,390,137,724
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	8,110,074	\$ 23,762,517
836	CHINA RES POWER	20,977,027	\$ 301,649,648
838	EVA HOLDINGS	378,000	\$ 389,340
845	GLORIOUS PPT H	20,345,000	\$ 18,513,950
853	MICROPORT	1,692,972	\$ 11,749,226
855	CHINA WATER	43,157,394	\$ 195,502,995
856	VSTECs	0	\$ 0
857	PETROCHINA	483,880,880	\$ 2,448,437,253
860	O LUXE HOLD	11,341,765	\$ 16,105,306
861	DC HOLDINGS	50,115,800	\$ 231,033,838
867	CMS	34,270,876	\$ 490,073,527
868	XINYI GLASS	37,276,480	\$ 290,383,779
874	BAIYUNSHAN PH	1,698,400	\$ 33,798,160
877	O-NET TECH GP	46,030,629	\$ 209,899,668



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878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	82,457,525	\$ 587,097,578
881	ZHONGSHENG HLDG	15,823,923	\$ 265,208,949
882	TIANJIN DEV	2,444,000	\$ 10,264,800
883	CNOOC	131,052,995	\$ 1,233,208,683
884	CIFI HOLD GP	75,172,137	\$ 319,481,582
885	RENTIAN TECH	17,010,000	\$ 6,463,800
887	EMPEROR WATCH&J	33,450,000	\$ 12,711,000
895	DONGJIANG ENV	3,507,838	\$ 37,884,650
902	HUANENG POWER	159,368,705	\$ 827,123,579
906	COFCO PACKAGING	255,000	\$ 1,226,550
914	CONCH CEMENT	98,543,279	\$ 2,902,099,567
915	DAOHE GLOBAL	1,656,000	\$ 4,305,600
916	CHINA LONGYUAN	109,229,337	\$ 640,083,915
921	HISENSE KELON	3,135,000	\$ 28,591,200
931	CHINA LNG	75,304,818	\$ 100,155,408
932	RM GROUP	3,720,000	\$ 27,342,000
933	BRIGHTOIL	34,338,619	\$ 66,616,921
934	SINOPEC KANTONS	21,567,179	\$ 107,188,880
939	CCB	1,016,045,707	\$ 6,919,271,265
941	CHINA MOBILE	37,447,234	\$ 3,104,375,699
950	LEE'S PHARM	827,021	\$ 5,127,530
951	CHAOWEI POWER	42,181,308	\$ 172,099,737
956	CHINA SUNTIEN	23,690,000	\$ 40,273,000
958	HN RENEWABLES	197,683,289	\$ 468,509,395
960	LONGFOR PPT	9,017,000	\$ 167,175,180
963	BLOOMAGE BIOTEC	2,116,866	\$ 30,736,894
966	CHINA TAIPING	26,824,447	\$ 634,398,172
968	XINYI SOLAR	271,576,261	\$ 714,245,566
973	L'OCCITANE	0	\$ 0
976	CHIHO ENV	12,847,399	\$ 56,271,608
978	CHI MER LAND	8,090,000	\$ 12,782,200
980	LIANHUA	6,568,709	\$ 19,246,317
981	SMIC	462,254,452	\$ 3,369,834,955
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	21,759,798	\$ 56,140,279
992	LENOVO GROUP	1,023,023,979	\$ 4,347,851,911
993	HUARONG INT FIN	816,000	\$ 2,088,960
995	ANHUIEXPRESSWAY	340,000	\$ 1,995,800
996	CARNIVAL GROUP	159,877,998	\$ 95,926,799
998	CITIC BANK	294,440,549	\$ 1,516,368,827



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999	I.T	0	\$ 0
1003	HUANXI MEDIA	1,341,727	\$ 2,696,871
1004	C SMARTERENERGY	0	\$ 0
1019	CONVOY	278,322,000	\$ 52,046,214
1028	C.BANNER	1,250,000	\$ 3,612,500
1030	FUTURE LAND	62,550,105	\$ 200,160,336
1031	KINGSTON FIN	135,003,621	\$ 434,711,660
1033	SINOPEC SSC	112,777,545	\$ 152,249,686
1038	CKI HOLDINGS	39,669,799	\$ 2,816,555,729
1039	FORTUNET E-COMM	0	\$ 0
1044	HENGAN INT'L	51,965,540	\$ 3,497,280,842
1045	APT SATELLITE	1,881,614	\$ 7,394,743
1051	G-RESOURCES	19,107,000	\$ 1,891,593
1052	YUEXIUTRANSPORT	30,578,926	\$ 180,721,453
1055	CHINA SOUTH AIR	104,041,514	\$ 630,491,575
1060	ALI PICTURES	1,157,949,239	\$ 1,540,072,488
1061	ESSEX BIO-TECH	0	\$ 0
1065	TIANJIN CAPITAL	14,934,000	\$ 75,566,040
1066	WEIGAO GROUP	22,811,140	\$ 139,604,177
1068	YURUN FOOD	21,430,256	\$ 21,215,953
1070	TCL MULTIMEDIA	24,247,055	\$ 90,199,045
1071	HUADIAN POWER	51,905,758	\$ 166,098,426
1072	DONGFANG ELEC	5,062,510	\$ 42,373,209
1076	IMPERIAL PAC	230,440,855	\$ 29,726,870
1083	TOWNGAS CHINA	10,621,860	\$ 56,189,639
1086	GOODBABY INTL	12,354,397	\$ 50,900,116
1088	CHINA SHENHUA	31,485,677	\$ 639,159,243
1089	LEYOU TECH H	24,935,049	\$ 43,636,336
1090	DA MING INT'L	0	\$ 0
1093	CSPC PHARMA	52,026,446	\$ 651,371,104
1098	ROAD KING INFRA	0	\$ 0
1099	SINOPHARM	19,738,137	\$ 714,520,559
1103	DASHENG AGR FIN	35,088,000	\$ 23,859,840
1106	SINO HAIJING	0	\$ 0
1108	LUOYANG GLASS	2,221,978	\$ 10,354,417
1109	CHINA RES LAND	43,808,230	\$ 1,073,301,635
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	15,391,000	\$ 432,487,100
1113	CK PROPERTY	4,519,877	\$ 307,125,642
1114	BRILLIANCE CHI	131,246,444	\$ 2,651,178,169
1115	TIBET WATER	87,621,000	\$ 261,986,790



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1117	CH MODERN D	58,099,505	\$ 90,054,233
1122	QINGLING MOTORS	468,000	\$ 1,193,400
1128	WYNN MACAU	91,208,495	\$ 1,659,994,609
1129	WATER INDUSTRY	6,853,896	\$ 11,103,312
1133	HARBIN ELECTRIC	5,647,000	\$ 22,362,120
1136	TCC INT'L HOLD	1,796,000	\$ 6,447,640
1138	COSCO SHIP ENGY	11,530,414	\$ 52,002,167
1140	OP FIN INV	936,000	\$ 2,152,800
1141	CMBC CAPITAL	77,629,075	\$ 36,873,811
1157	ZOOMLION	106,218,341	\$ 385,572,578
1165	SFCE	59,071,348	\$ 22,447,112
1168	SINOLINK HOLD	3,738,000	\$ 4,074,420
1169	HAIER ELEC	2,544,180	\$ 53,046,153
1171	YANZHOU COAL	66,647,529	\$ 535,846,133
1176	ZHUGUANG HOLD	6,818,000	\$ 6,272,560
1177	SINO BIOPHARM	104,634,677	\$ 747,091,594
1185	CHINA ENERGINE	0	\$ 0
1186	CHINA RAIL CONS	111,234,206	\$ 1,143,487,638
1193	CHINA RES GAS	29,920,460	\$ 840,764,926
1196	REALORD GROUP	5,520,000	\$ 23,239,200
1199	COSCO SHIP PORT	34,548,106	\$ 314,387,765
1205	CITIC RESOURCES	53,519,837	\$ 49,238,250
1206	TECHNOVATOR	16,272,000	\$ 38,727,360
1208	MMG	72,206,797	\$ 277,996,168
1211	BYD COMPANY	105,242,875	\$ 4,925,366,550
1212	LIFESTYLE INT'L	0	\$ 0
1219	TENWOW INT'L	708,273	\$ 1,161,568
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	1,465,033	\$ 2,519,857
1230	YASHILI INT'L	26,720,000	\$ 41,148,800
1231	NEWTON RES	0	\$ 0
1233	TIMES PPT	857,000	\$ 5,596,210
1234	CHINA LILANG	244,000	\$ 1,505,480
1238	POWERLONG	1,012,000	\$ 4,149,200
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	0	\$ 0
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	17,876,000	\$ 29,495,400
1270	LANGHAM-SS	0	\$ 0
1272	DATANG ENVIRO	0	\$ 0
1278	CHINANEWTOWN	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1282	CHINA GOLDJOY	130,602,815	\$ 66,607,436
1288	ABC	72,380,072	\$ 264,911,064
1293	GRAND BAOXIN	9,927,462	\$ 38,320,003
1297	SINOSOFT TECH	23,184,848	\$ 54,716,241
1299	AIA	51,056,331	\$ 3,088,908,026
1300	TRIGIANT	1,147,647	\$ 1,262,412
1302	LIFETECH SCI	39,454,000	\$ 67,071,800
1308	SITC	17,004,289	\$ 123,111,052
1310	HKBN	19,759,275	\$ 148,194,563
1313	CHINARES CEMENT	27,604,000	\$ 123,665,920
1315	VISION FAME	96,661,856	\$ 52,197,402
1316	NEXTEER	15,051,896	\$ 195,674,648
1317	MAPLELEAF EDU	59,350,000	\$ 402,986,500
1330	DYNAGREEN ENV	1,490,000	\$ 6,004,700
1332	CHINA TOUYUN	5,139,675	\$ 2,184,362
1333	CHINA ZHONGWANG	108,822,651	\$ 423,320,112
1336	NCI	14,144,786	\$ 706,532,061
1339	PICC GROUP	33,591,659	\$ 123,953,222
1347	HUA HONG SEMI	2,441,000	\$ 26,265,160
1349	FUDANZHANGJIANG	2,445,000	\$ 9,780,000
1358	PW MEDTECH	2,134,000	\$ 3,350,380
1359	CHINA CINDA	124,160,936	\$ 365,033,152
1360	MEGAEXPO HLDG	3,425,000	\$ 6,096,500
1361	361 DEGREES	12,064,000	\$ 40,896,960
1363	CTEG	235,905,113	\$ 264,213,727
1366	JIANGNAN GP	34,485,984	\$ 17,932,712
1368	XTEP INT'L	42,707,100	\$ 118,298,667
1375	CC SECURITIES	20,549,224	\$ 75,826,637
1378	CHINAHONGQIAO	92,202,557	\$ 650,028,027
1381	CANVEST ENV	11,780,327	\$ 50,655,406
1382	PACIFICTEXTILES	11,373,975	\$ 90,536,841
1385	SHANGHAI FUDAN	184,000	\$ 995,440
1387	RENHE COMM	611,046,206	\$ 112,432,502
1398	ICBC	426,662,413	\$ 2,474,641,995
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	19,676,112	\$ 48,403,236
1432	CHINA SHENGMU	36,483,000	\$ 45,968,580
1448	FU SHOU YUAN	5,123,000	\$ 26,383,450
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	19,192,187	\$ 136,264,528
1462	GOLD-FIN HLDG	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1478	Q TECH	13,544,000	\$ 265,462,400
1508	CHINA RE	25,691,075	\$ 46,243,935
1509	HARMONICARE	4,219,945	\$ 12,617,636
1513	LIVZON PHARMA	125,034	\$ 5,351,455
1515	CRPHOENIXHEALTH	54,764,192	\$ 541,617,859
1518	NC HEALTHCARE	0	\$ 0
1520	RUNWAY GLOBAL	0	\$ 0
1528	RS MACALLINE	1,054,600	\$ 8,964,100
1530	3SBIO	80,689,065	\$ 908,558,872
1548	GENSCRIPT BIO	11,138,000	\$ 80,193,600
1558	HEC PHARM	0	\$ 0
1559	KWAN ON HLDGS	0	\$ 0
1566	CHINA ANIMATION	8,921,000	\$ 27,387,470
1569	MINSHENG EDU	3,784,000	\$ 5,524,640
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	0	\$ 0
1585	YADEA	4,208,000	\$ 8,205,600
1589	CNLP	13,391,000	\$ 35,218,330
1599	UCD	299,000	\$ 1,515,930
1600	TIAN LUN GAS	0	\$ 0
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	14,020,426	\$ 21,591,456
1616	SILVERMAN HL	2,118,228	\$ 1,609,853
1618	MCC	31,693,650	\$ 82,086,554
1622	REDCO PPT	4,392,800	\$ 15,638,368
1628	YUZHOU PPT	2,353,000	\$ 11,812,060
1632	FOODWISE HLDG	430,000	\$ 5,753,400
1635	DZUG	0	\$ 0
1636	CMRU	2,668,000	\$ 8,137,400
1658	PSBC	113,836,325	\$ 533,892,364
1661	WISDOM SPORTS	33,973,000	\$ 35,671,650
1666	TONG REN TANG	14,117,531	\$ 155,010,490
1668	CHINASOUTHCITY	114,743,547	\$ 188,179,417
1680	MACAU LEGEND	54,955,654	\$ 70,343,237
1681	CONSUN PHARMA	0	\$ 0
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	45,082,265	\$ 339,018,633
1766	CRRC	303,478,269	\$ 2,136,487,014
1776	GF SEC	28,183,981	\$ 476,309,279



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1777	FANTASIA	0	\$ 0
1778	COLOUR LIFE	9,467,800	\$ 48,285,780
1786	CRCCE	17,823,500	\$ 47,945,215
1788	GUOTAI JUNAN I	215,572,197	\$ 558,331,990
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	70,278,940	\$ 729,495,397
1803	BJ SPORTS & ENT	690,000	\$ 1,635,300
1811	CGN NEW ENERGY	14,600,000	\$ 14,746,000
1812	CHENMING PAPER	3,575,000	\$ 42,256,500
1813	KWG PROPERTY	34,746,659	\$ 252,260,744
1816	CGN POWER	621,806,590	\$ 1,349,320,300
1818	ZHAOJIN MINING	80,286,832	\$ 548,359,063
1828	DCH HOLDINGS	1,076,000	\$ 4,131,840
1829	CMEC	3,842,951	\$ 19,714,339
1836	STELLA HOLDINGS	2,978,339	\$ 42,054,147
1848	CALC	3,698,591	\$ 31,733,911
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	8,323,351	\$ 191,437,073
1883	CITIC TELECOM	3,299,000	\$ 7,620,690
1886	HUIYUAN JUICE	13,698,000	\$ 33,286,140
1888	KB LAMINATES	8,467,000	\$ 113,457,800
1893	SINOMA	6,817,000	\$ 24,064,010
1898	CHINA COAL	199,514,388	\$ 804,042,984
1899	XINGDA INT'L	13,133,182	\$ 38,742,887
1910	SAMSONITE	16,415,411	\$ 534,321,628
1913	PRADA	12,757,848	\$ 364,874,453
1918	SUNAC	201,173,873	\$ 4,586,764,304
1919	COSCO SHIP HOLD	59,902,640	\$ 309,696,649
1928	SANDS CHINA LTD	107,674,945	\$ 3,930,135,493
1929	CHOW TAI FOOK	14,545,446	\$ 124,072,654
1958	BAIC MOTOR	87,828,174	\$ 596,353,301
1963	BCQ	1,247,000	\$ 8,093,030
1966	CHINA SCE PPT	5,523,000	\$ 21,153,090
1970	IMAX CHINA	17,498,490	\$ 315,322,790
1972	SWIREPROPERTIES	14,152,107	\$ 382,814,494
1980	TIANGE	15,451,552	\$ 83,747,412
1988	MINSHENG BANK	465,548,574	\$ 3,626,623,391
1999	MAN WAH HLDGS	57,604,740	\$ 420,514,602
2005	SSY GROUP	4,495,519	\$ 16,093,958
2006	JINJIANG HOTELS	37,370,498	\$ 92,305,130



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2007	COUNTRY GARDEN	343,074,238	\$ 3,712,063,255
2008	PHOENIX TV	9,992,000	\$ 11,191,040
2009	BBMG	107,544,365	\$ 438,781,009
2014	OZNER WATER	38,139,000	\$ 72,464,100
2016	CZBANK	0	\$ 0
2018	AAC TECH	35,605,743	\$ 5,059,576,080
2020	ANTA SPORTS	55,445,157	\$ 1,716,027,609
2038	FIH	49,801,916	\$ 123,508,752
2039	CIMC	3,282,100	\$ 51,528,970
2066	SHENGJINGBANK	2,099,000	\$ 13,811,420
2098	ZALL GROUP	7,821,000	\$ 39,183,210
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	11,270,000	\$ 50,376,900
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	21,683,146	\$ 120,341,460
2136	LIFESTYLE CHI	356,500	\$ 1,055,240
2186	LUYE PHARMA	213,660,400	\$ 858,914,808
2196	FOSUN PHARMA	14,692,605	\$ 429,024,066
2198	CHINA SANJIANG	1,278,000	\$ 3,348,360
2199	REGINA MIRACLE	18,313,086	\$ 112,625,479
2200	HOSA INT'L	7,524,090	\$ 17,681,612
2202	CHINA VANKE	25,400,781	\$ 588,028,080
2208	GOLDWIND	47,825,610	\$ 414,648,039
2226	HONWORLD GP	253,500	\$ 1,001,325
2233	WESTCHINACEMENT	77,296,667	\$ 87,345,234
2238	GAC GROUP	36,178,978	\$ 551,367,625
2255	HAICHANG HLDG	5,090,000	\$ 9,162,000
2277	HUARONG INV	0	\$ 0
2280	HC INTL	22,712,000	\$ 163,753,520
2282	MGM CHINA	92,533,651	\$ 1,519,402,549
2298	COSMO LADY	64,586,664	\$ 193,114,125
2300	AMVIG HOLDINGS	740,000	\$ 1,731,600
2313	SHENZHOU INTL	8,064,743	\$ 511,304,706
2314	LEE & MAN PAPER	3,908,660	\$ 36,624,144
2318	PING AN	1,155,351,658	\$ 71,920,640,711
2319	MENGNU DAIRY	54,076,431	\$ 1,053,408,876
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	153,145,472	\$ 28,944,494
2328	PICC P&C	44,424,455	\$ 655,704,956
2329	GUORUI PPT	3,020,000	\$ 7,610,400



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2331	LI NING	33,872,596	\$ 199,170,864
2333	GREATWALL MOTOR	489,347,794	\$ 4,820,075,771
2338	WEICHAI POWER	197,003,156	\$ 1,672,556,794
2342	COMBA	9,610,338	\$ 11,532,406
2343	PACIFIC BASIN	175,319,308	\$ 315,574,754
2348	DAWNRAYS PHARMA	708,800	\$ 3,274,656
2349	CH CITY INFRA	0	\$ 0
2355	BAOYE GROUP	0	\$ 0
2356	DAHSING BANKING	450,922	\$ 7,909,172
2357	AVICHINA	137,157,087	\$ 636,408,884
2362	JINCHUAN INTL	10,440,274	\$ 13,781,162
2369	COOLPAD GROUP	78,428,148	\$ 56,468,267
2378	PRU	0	\$ 0
2380	CHINA POWER	141,161,532	\$ 369,843,214
2382	SUNNY OPTICAL	23,804,486	\$ 2,727,994,096
2383	TOM GROUP	1,596,000	\$ 2,952,600
2386	SINOPEC SEG	11,802,390	\$ 83,206,850
2388	BOC HONG KONG	40,429,522	\$ 1,584,837,262
2389	BJ ENT M&H	30,828,803	\$ 15,260,257
2393	YESTAR HEALTH	7,735,468	\$ 26,919,429
2588	BOC AVIATION	339,400	\$ 14,085,100
2600	CHALCO	559,434,554	\$ 3,334,229,942
2601	CPIC	17,705,446	\$ 655,986,774
2607	SH PHARMA	6,281,378	\$ 121,858,733
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	54,348,213	\$ 1,353,270,504
2638	HKELECTRIC-SS	232,822,853	\$ 1,671,668,085
2666	UNI MEDICAL	21,946,044	\$ 139,796,300
2669	CHINA OVS PPT	65,687,865	\$ 109,698,735
2678	TEXHONG TEXTILE	6,687,154	\$ 57,375,781
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	38,660,776	\$ 1,981,364,770
2689	ND PAPER	68,118,545	\$ 915,513,245
2722	CHONGQING M&E	0	\$ 0
2727	SH ELECTRIC	241,002,028	\$ 838,687,057
2768	JIAYUAN INTL	3,760,000	\$ 17,108,000
2777	R&F PROPERTIES	52,232,784	\$ 927,654,244
2778	CHAMPION REIT	0	\$ 0
2799	CHINA HUARONG	253,523,577	\$ 844,233,511
2800	TRACKER FUND	4,354,500	\$ 125,191,875
2801	ISHARES CHINA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2816	X DBCSI300REAL	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	77,719,798	\$ 1,119,165,091
2823	ISHARES A50	206,169,157	\$ 2,873,998,049
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	3,158,100	\$ 365,392,170
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2844	X DBCSI300FIN	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	76,581,375	\$ 147,802,054
2868	BJ CAPITAL LAND	7,954,951	\$ 30,865,210
2869	GREENTOWN SER	4,072,000	\$ 19,504,880
2877	SHINEWAY PHARM	3,306,000	\$ 23,637,900
2880	DALIAN PORT	43,977,288	\$ 62,007,976
2883	CHINA OILFIELD	179,719,733	\$ 1,148,409,094
2888	STANCHART	8,570,336	\$ 667,200,658
2899	ZIJIN MINING	191,208,678	\$ 571,713,947
3005	X DBCSI300IND	0	\$ 0
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3009	X DBMSCIEM	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3013	X DBMSCIASIAHDY	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3017	X DBCSI300ENER	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3025	X DBCSI300CONDI	0	\$ 0
3026	X DBAUDCASH	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3035	X DBMSCIEMASIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3045	X DBMSCIINDIA	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3052	X DBCSI300UTIL	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3057	X DBCSI300HEAL	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3061	X DBCSI300BANK	0	\$ 0
3062	X DBCSI300MAT	0	\$ 0
3063	X DBCSI300TRANS	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3105	X DBMSCIBANGLA	0	\$ 0
3106	X DBMSCIPAKIST	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3124	F SAM HSIF	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3134	F SAM HSIFRF	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	45,511,166	\$ 2,123,095,894
3199	CSOP 5YCGBOND	0	\$ 0
3301	RONSHINECHINA	3,288,500	\$ 28,675,720
3308	GOLDEN EAGLE	27,145,664	\$ 265,756,051
3311	CHINA STATE CON	52,034,321	\$ 596,313,319
3320	CHINARES PHARMA	18,082,512	\$ 175,219,541
3323	CNBM	230,218,680	\$ 1,148,791,213
3328	BANKCOMM	105,764,010	\$ 627,180,579
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	232,052,237	\$ 5,337,201,451
3336	JU TENG INTL	7,268,667	\$ 24,858,841
3337	ANTON OILFIELD	77,440,010	\$ 56,531,207
3339	LONKING	21,696,900	\$ 66,609,483
3344	ADDCHANCE	0	\$ 0
3360	FE HORIZON	28,436,727	\$ 199,910,191
3369	QHD PORT	0	\$ 0
3377	SINO-OCEAN GP	26,478,805	\$ 136,630,634
3378	XIAMEN PORT	2,510,383	\$ 4,091,924
3380	LOGAN PPT	63,904,396	\$ 464,584,959
3382	TIANJINPORT DEV	2,098,000	\$ 2,706,420
3383	AGILE GROUP	111,532,422	\$ 1,045,058,794
3389	HENGDELI	29,552,475	\$ 13,150,851
3393	WASION GROUP	39,689,789	\$ 131,770,099
3396	LEGENDHOLDING	2,086,402	\$ 37,889,060
3606	FUYAO GLASS	27,194,971	\$ 667,636,538
3618	CQRC BANK	51,414,356	\$ 277,123,379
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	332,400	\$ 5,876,832
3669	YONGDA AUTO	18,566,812	\$ 202,749,587
3698	HUIZHANG BANK	5,200,671	\$ 20,490,644
3708	YAT SING HLDG	0	\$ 0
3709	KORADIOR	1,385,000	\$ 9,071,750
3799	DALI FOODS	85,938,500	\$ 446,020,815
3800	GCL-POLY ENERGY	1,818,444,998	\$ 1,582,047,148
3808	SINOTRUK	57,209,500	\$ 514,885,500



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3813	POU SHENG INT'L	107,928,997	\$ 155,417,756
3818	CHINA DONGXIANG	49,586,198	\$ 67,933,091
3836	HARMONY AUTO	44,948,955	\$ 190,134,080
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	692,000	\$ 2,318,200
3886	TOWN HEALTH	105,681,846	\$ 84,545,477
3888	KINGSOFT	57,887,493	\$ 1,063,972,121
3898	CRRC TIMES ELEC	39,914,170	\$ 1,638,476,679
3899	CIMC ENRIC	23,458,000	\$ 112,129,240
3900	GREENTOWN CHINA	57,712,949	\$ 529,804,872
3908	CICC	12,718,196	\$ 180,598,383
3918	NAGACORP	27,848,512	\$ 131,723,462
3933	UNITED LAB	147,257,370	\$ 871,763,630
3958	DFZQ	235,285	\$ 1,948,160
3968	CM BANK	182,224,067	\$ 5,348,276,366
3969	CHINA CRSC	2,578,000	\$ 15,287,540
3983	CHINA BLUECHEM	25,377,454	\$ 59,637,017
3988	BANK OF CHINA	144,827,562	\$ 595,241,280
3993	CMOC	202,564,357	\$ 869,001,092
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	0	\$ 0
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	88,580,119	\$ 1,521,806,444
6066	CSC	1,114,000	\$ 7,953,960
6068	WISDOM EDU INTL	0	\$ 0
6099	CMSC	3,287,750	\$ 41,754,425
6116	LA CHAPELLE	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6169	YUHUA EDU	2,138,000	\$ 6,649,180
6178	EB SECURITIES	3,732,996	\$ 40,316,357
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	1,853,000	\$ 8,690,570



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6808	SUNART RETAIL	163,176,446	\$ 1,194,451,585
6818	CEB BANK	131,064,597	\$ 490,181,593
6823	HKT-SS	15,966,452	\$ 161,261,165
6826	HAOHAI BIOTEC	9,400	\$ 355,320
6828	BG BLUE SKY	28,704,000	\$ 14,926,080
6837	HAITONG SEC	74,097,139	\$ 964,744,750
6839	YUNNAN WATER	2,407,000	\$ 7,100,650
6863	HUIZHAN DAIRY	362,165,431	\$ 152,109,481
6869	YOFC	1,788,500	\$ 40,688,375
6881	CGS	94,685,458	\$ 663,745,061
6886	HTSC	41,623,896	\$ 726,753,224
6889	DYNAM JAPAN	461,000	\$ 5,854,700
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7250	FL2 SAMSUNGK200	0	\$ 0
7255	FL2SAMSUNGTOPIX	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7311	FI SAMSUNGTOPIX	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7326	FI SAMSUNGK200	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	3,492,000	\$ 2,793,600
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	11,959,673	\$ 8,132,578
8057	MADISON HLDG	1,128,000	\$ 2,086,800
8078	HMV DIGIT CHINA	4,660,000	\$ 1,165,000
8083	INNOVATIONPAY	50,864,866	\$ 21,363,244
8095	BEIDA JADE BIRD	965,000	\$ 1,476,450
8102	LI BAO GE GP	0	\$ 0
8137	HONBRIDGE	0	\$ 0
8138	TONGRENTANGCM	230,000	\$ 2,369,000
8158	CRMI	46,245,000	\$ 9,295,245
8207	CHONGSING HLDGS	71,740,000	\$ 76,044,400
8237	LINK HOLDINGS	0	\$ 0
8265	CHINA TRUSTFUL	872,000	\$ 2,746,800
8279	AGTECH HOLDINGS	19,448,000	\$ 31,116,800
8407	CISI FIN	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 01/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHARESMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCVBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0