



## Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	20,751,467	\$ 2,089,672,727
2	CLP HOLDINGS	25,172,549	\$ 2,040,235,096
3	HK & CHINA GAS	203,759,044	\$ 3,023,784,213
4	WHARF HOLDINGS	11,674,922	\$ 837,675,654
5	HSBC HOLDINGS	34,473,209	\$ 2,597,556,298
6	POWER ASSETS	17,448,658	\$ 1,175,167,116
8	PCCW	58,588,050	\$ 246,069,810
10	HANG LUNG GROUP	2,581,540	\$ 72,024,966
11	HANG SENG BANK	10,338,680	\$ 1,864,064,004
12	HENDERSON LAND	10,887,458	\$ 589,555,851
14	HYSAN DEV	4,124,194	\$ 156,719,372
16	SHK PPT	7,597,044	\$ 1,026,360,644
17	NEW WORLD DEV	55,064,400	\$ 616,721,280
19	SWIRE PACIFIC A	9,484,646	\$ 763,039,771
20	WHEELLOCK	2,291,815	\$ 131,779,363
23	BANK OF E ASIA	48,635,579	\$ 1,682,791,033
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	59,846,869	\$ 3,129,991,249
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	24,072,002	\$ 22,627,682
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	6,631,899	\$ 24,538,026
39	CH BEIDAHUANG	18,360,000	\$ 7,435,800
41	GREAT EAGLE H	158,707	\$ 6,745,048
43	C.P. POKPHAND	0	\$ 0
44	HAECO	38,008	\$ 1,961,213
45	HK&S HOTELS	9,651,194	\$ 129,519,023
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	1,599,202	\$ 50,294,903
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,349,000	\$ 40,739,800
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	59,515,947	\$ 2,740,709,359
69	SHANGRI-LA ASIA	11,145,616	\$ 152,917,852
71	MIRAMAR HOTEL	126,001	\$ 2,205,018



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	4,659,500	\$ 25,627,250
83	SINO LAND	20,997,941	\$ 289,351,627
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	145,000	\$ 1,177,400
95	LVGEM CHINA	4,066,286	\$ 9,108,481
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	12,892,330	\$ 234,898,253
102	SUMMIT ASCENT	73,253,540	\$ 79,113,823
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	6,616,000	\$ 21,038,880
116	CHOW SANG SANG	154,000	\$ 2,824,360
119	POLY PROPERTY	76,217,473	\$ 336,881,231
123	YUEXIU PROPERTY	14,424,000	\$ 23,511,120
127	CHINESE EST H	595,000	\$ 7,854,000
129	ASIA STANDARD	2,118,000	\$ 4,829,040
133	CHINA MERCHANTS	34,000	\$ 420,240
135	KUNLUN ENERGY	299,671,595	\$ 2,229,556,667
136	HENGTEN NET	1,013,771,748	\$ 273,718,372
142	FIRST PACIFIC	5,951,794	\$ 36,960,641
144	CHINA MER PORT	11,349,566	\$ 290,548,890
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	2,915,544	\$ 127,700,827
151	WANT WANT CHINA	755,037,395	\$ 4,054,550,811
152	SHENZHEN INT'L	8,480,388	\$ 121,608,764
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
164	CHINA BAOLI TEC	70,009,496	\$ 13,581,842
165	CHINA EB LTD	26,785,889	\$ 480,538,849
168	TSINGTAO BREW	17,952,915	\$ 565,516,823
171	SILVER GRANT	1,714,000	\$ 1,919,680
173	K. WAH INT'L	0	\$ 0
175	GEELY AUTO	151,955,369	\$ 3,153,073,907
177	JIANGSU EXPRESS	10,110,101	\$ 120,714,606
178	SA SA INT'L	57,623,573	\$ 163,074,712
179	JOHNSON ELEC H	2,248,199	\$ 63,511,622
182	CONCORD NE	36,580,000	\$ 13,351,700
186	GRANDE HOLDINGS	0	\$ 0
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	27,999,462	\$ 17,359,666
198	SMI HOLDINGS	44,865,703	\$ 178,565,498
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	10,424,092	\$ 193,679,629
207	JOY CITY PPT	9,644,000	\$ 14,369,560
215	HUTCHTEL HK	69,120,358	\$ 196,301,817
217	CHINA CHENGTONG	1,690,040	\$ 1,047,825
218	SHENWANHONGYUAN	9,076,805	\$ 26,504,271
220	U-PRESID CHINA	24,013,000	\$ 167,130,480
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
232	AVIC INT'L	42,722,000	\$ 15,593,530
235	CHINA STRATEGIC	162,079,978	\$ 19,773,757
241	ALI HEALTH	331,902,303	\$ 1,151,700,991
242	SHUN TAK HOLD	18,677,703	\$ 63,877,744
245	CN MINSHENG FIN	26,977,895	\$ 11,735,384
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	579,186	\$ 4,529,235
256	CITYCHAMP	20,250,000	\$ 35,032,500
257	CHINA EB INT'L	126,904,174	\$ 1,257,620,364
258	TOMSON GROUP	761,614	\$ 3,008,375
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	39,614,924	\$ 464,286,909
268	KINGDEE INT'L	183,736,213	\$ 646,751,470
270	GUANGDONG INV	21,399,081	\$ 234,961,909
272	SHUI ON LAND	34,147,513	\$ 70,685,352
273	MASON GP HOLD	136,908,001	\$ 15,470,604
275	MASTER GLORY GP	72,470,000	\$ 7,174,530
285	BYD ELECTRONIC	63,466,258	\$ 1,339,138,044
288	WH GROUP	155,908,869	\$ 1,259,743,662
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	22,567,119	\$ 473,909,499
293	CATHAY PAC AIR	69,024,342	\$ 815,867,722
297	SINOFERT	141,648,549	\$ 165,728,802
303	VTECH HOLDINGS	1,543,521	\$ 166,700,268
308	CHINA TRAVEL HK	64,263,072	\$ 176,723,448
315	SMARTONE TELE	37,499,526	\$ 352,120,549
316	OOIL	5,509,226	\$ 407,682,724
317	COMEC	12,786,322	\$ 168,012,271



## Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
321	TEXWINCA HOLD	21,747,842	\$ 102,214,857
322	TINGYI	172,801,672	\$ 2,070,164,031
323	MAANSHAN IRON	53,723,707	\$ 213,820,354
327	PAX GLOBAL	102,535,800	\$ 430,650,360
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	82,474,661	\$ 379,383,441
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	1,712,143	\$ 8,731,929
337	GREENLAND HK	2,985,225	\$ 9,313,902
338	SHANGHAI PECEM	43,545,310	\$ 208,582,035
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	2,205,890	\$ 53,713,422
342	NEWOCEAN ENERGY	45,202,720	\$ 94,473,685
345	VITASOY INT'L	4,663,475	\$ 79,465,614
347	ANGANG STEEL	55,881,193	\$ 401,226,966
354	CHINASOFT INT'L	86,589,190	\$ 380,992,436
358	JIANGXI COPPER	57,265,818	\$ 733,002,470
363	SHANGHAI IND H	242,000	\$ 5,723,300
368	SINOTRANS SHIP	48,602,195	\$ 116,159,246
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	166,511,084	\$ 1,055,680,273
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	8,388,000	\$ 11,910,960
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	78,975,986	\$ 1,654,546,907
386	SINOPEC CORP	160,169,389	\$ 953,007,865
388	HKEX	33,127,383	\$ 6,930,248,524
390	CHINA RAILWAY	61,749,177	\$ 406,927,076
392	BEIJING ENT	6,682,645	\$ 285,683,074
400	COGOBUY	36,537,558	\$ 185,610,795
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	57,051,351	\$ 280,692,647
412	CHINA INNO FIN	4,170,000	\$ 1,355,250
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	781,000	\$ 6,419,820
419	HUAYI TENCENT	182,520,401	\$ 72,095,558
425	MINTH GROUP	14,860,029	\$ 609,261,189
433	NORTH MINING	147,514,966	\$ 24,339,969
434	BOYAA	3,965,194	\$ 12,847,229



## Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	53,147,639	\$ 133,400,574
440	DAH SING	575,895	\$ 30,810,383
451	GCL NEWENERGY	155,578,000	\$ 74,677,440
460	SIHUAN PHARM	218,979,128	\$ 656,937,384
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	4,019,312	\$ 19,493,663
480	HKR INT'L	2,649,600	\$ 13,645,440
486	RUSAL	6,969,000	\$ 39,792,990
488	LAI SUN DEV	135,300	\$ 1,948,320
489	DONGFENG GROUP	113,465,301	\$ 1,127,845,092
493	GOME RETAIL	1,708,872,863	\$ 1,486,719,391
494	LI & FUNG	330,803,669	\$ 1,280,210,199
497	CSI PROPERTIES	3,000,000	\$ 1,170,000
506	CHINA FOODS	30,889,582	\$ 130,045,140
508	DINGYI GP INV	10,618,315	\$ 3,769,502
511	TVB	8,412,018	\$ 217,871,266
512	CHINAGRANDPHARM	544,000	\$ 1,577,600
517	COSCO SHIP INTL	1,722,000	\$ 5,493,180
520	XIABUXIABU	0	\$ 0
521	HNA HOLDING	50,384,000	\$ 16,878,640
522	ASM PACIFIC	32,619,190	\$ 3,679,444,632
525	GUANGSHEN RAIL	45,025,393	\$ 197,661,475
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	13,284,000	\$ 48,885,120
535	GEMDALE PPT	35,081,212	\$ 31,923,903
538	AJISEN (CHINA)	1,231,846	\$ 4,311,461
546	FUFENG GROUP	43,892,329	\$ 244,480,273
547	DIGITAL DOMAIN	180,925,639	\$ 44,688,633
548	SHENZHENEXPRESS	1,334,000	\$ 10,138,400
551	YUE YUEN IND	8,184,832	\$ 251,274,342
552	CHINACOMSERVICE	10,025,388	\$ 40,803,329
563	SH IND URBAN	20,532,000	\$ 37,573,560
570	TRAD CHI MED	68,417,052	\$ 312,665,928
576	ZHEJIANGEXPRESS	10,841,243	\$ 105,160,057
579	JNCEC	15,957,877	\$ 34,788,172
580	SUN.KING ELEC	484,000	\$ 837,320
581	CHINA ORIENTAL	2,172,000	\$ 13,618,440
586	CONCH VENTURE	25,905,133	\$ 380,805,455
588	BEIJING N STAR	2,306,000	\$ 7,402,260
590	LUK FOOK HOLD	2,259,000	\$ 63,026,100



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
598	SINOTRANS	7,286,580	\$ 28,344,796
603	CHINA OIL & GAS	8,460,000	\$ 4,483,800
604	SHENZHEN INVEST	9,848,509	\$ 36,734,939
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	1,624,000	\$ 6,317,360
607	FULLSHARE	890,243,387	\$ 2,733,047,198
622	ENERCHINA HOLD	98,777,938	\$ 16,891,027
626	PUBLIC FIN HOLD	0	\$ 0
633	CH ALL ACCESS	1,106,000	\$ 2,543,800
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	34,692,670	\$ 62,099,879
651	CHI OCEAN IND	340,993,124	\$ 20,118,594
656	FOSUN INTL	122,389,697	\$ 1,835,845,455
658	C TRANSMISSION	27,410,069	\$ 222,569,760
659	NWS HOLDINGS	3,796,370	\$ 55,275,147
665	HAITONG INT'L	177,415,889	\$ 793,049,024
669	TECHTRONIC IND	6,639,463	\$ 269,894,171
670	CHINA EAST AIR	75,321,668	\$ 314,844,572
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	101,950,000	\$ 25,385,550
683	KERRY PPT	13,440,590	\$ 424,050,615
686	PANDA GREEN	125,569,273	\$ 138,126,200
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	39,001,852	\$ 1,086,201,578
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	8,060,743	\$ 108,497,601
696	TRAVELSKY TECH	9,026,773	\$ 193,624,281
697	SHOUGANG INT'L	1,962,000	\$ 463,032
698	TONGDA GROUP	742,067,570	\$ 1,662,231,357
699	CAR INC	83,046,661	\$ 601,257,826
700	TENCENT	109,060,154	\$ 36,949,580,175
702	SINO OIL & GAS	90,940,000	\$ 13,459,120
708	EVERG HEALTH	4,525,000	\$ 8,054,500
709	GIORDANO INT'L	7,032,000	\$ 33,402,000
715	CHINA OCEANWIDE	32,838,019	\$ 21,344,712
716	SINGAMAS CONT	10,606,000	\$ 19,727,160
717	EMPEROR CAPITAL	21,096,974	\$ 14,134,973
718	TAI UNITED HOLD	1,055,000	\$ 1,308,200
721	C FIN INT INV	64,572,718	\$ 15,755,743
728	CHINA TELECOM	57,995,193	\$ 228,501,060
729	FDG EVEHICLES	378,858,897	\$ 126,917,730



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732	TRULY INT'L	153,580,826	\$ 387,023,682
735	CP CLEAN ENERGY	1,271,903	\$ 5,545,497
737	HOPEWELL INFR	6,395,000	\$ 30,504,150
750	SINGYES SOLAR	59,242,014	\$ 169,432,160
751	SKYWORTHDIGITAL	81,009,005	\$ 299,733,319
752	PICO FAR EAST	412,000	\$ 1,355,480
753	AIR CHINA	38,737,823	\$ 271,164,761
754	HOPSON DEV HOLD	0	\$ 0
762	CHINA UNICOM	256,888,258	\$ 2,851,459,664
763	ZTE	35,076,454	\$ 776,943,456
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	5,179,005	\$ 147,601,643
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	64,814,922	\$ 46,666,744
798	CEOVU	0	\$ 0
799	IGG	78,619,164	\$ 845,942,205
806	VALUE PARTNERS	99,595,595	\$ 710,116,592
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	3,303,000	\$ 21,172,230
813	SHIMAO PROPERTY	8,084,107	\$ 134,842,905
815	CHI SILVER GP	26,117,688	\$ 58,242,444
816	HUADIAN FUXIN	130,375,704	\$ 237,283,781
817	CHINA JINMAO	152,334,612	\$ 656,562,178
818	HI SUN TECH	11,747,181	\$ 21,732,285
819	TIANNENG POWER	36,862,869	\$ 279,789,176
823	LINK REIT	20,393,462	\$ 1,319,456,991
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	5,702,000	\$ 19,899,980
836	CHINA RES POWER	21,625,027	\$ 310,535,388
838	EVA HOLDINGS	378,000	\$ 400,680
845	GLORIOUS PPT H	19,810,000	\$ 17,829,000
853	MICROPORT	13,066,987	\$ 92,775,608
855	CHINA WATER	44,971,394	\$ 224,407,256
856	VSTECs	0	\$ 0
857	PETROCHINA	435,792,587	\$ 2,157,173,306
860	O LUXE HOLD	31,733,624	\$ 50,773,798
861	DC HOLDINGS	57,895,261	\$ 261,107,627
867	CMS	36,053,627	\$ 492,492,545
868	XINYI GLASS	52,081,534	\$ 429,151,840
874	BAIYUNSHAN PH	2,120,400	\$ 43,680,240
877	O-NET TECH GP	46,520,629	\$ 226,555,463



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878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	85,552,558	\$ 608,278,687
881	ZHONGSHENG HLDG	17,136,423	\$ 293,718,290
882	TIANJIN DEV	2,244,000	\$ 9,402,360
883	CNOOC	94,157,440	\$ 893,554,106
884	CIFI HOLD GP	90,274,137	\$ 442,343,271
885	RENTIAN TECH	17,020,000	\$ 6,637,800
887	EMPEROR WATCH&J	32,820,000	\$ 13,128,000
895	DONGJIANG ENV	3,725,350	\$ 40,233,780
902	HUANENG POWER	152,846,686	\$ 768,818,831
906	COFCO PACKAGING	2,244,338	\$ 10,503,502
914	CONCH CEMENT	100,805,128	\$ 3,195,522,558
915	DAOHE GLOBAL	3,342,000	\$ 8,588,940
916	CHINA LONGYUAN	117,036,398	\$ 704,559,116
921	HISENSE KELON	2,007,000	\$ 16,056,000
931	CHINA LNG	76,239,238	\$ 96,061,440
932	RM GROUP	3,960,000	\$ 29,383,200
933	BRIGHTOIL	28,110,654	\$ 53,129,136
934	SINOPEC KANTONS	18,491,179	\$ 93,935,189
939	CCB	1,000,959,747	\$ 6,636,363,123
941	CHINA MOBILE	33,913,895	\$ 2,763,982,443
950	LEE'S PHARM	797,521	\$ 4,952,605
951	CHAOWEI POWER	52,704,308	\$ 240,858,688
956	CHINA SUNTIEN	24,328,000	\$ 46,709,760
958	HN RENEWABLES	182,192,997	\$ 466,414,072
960	LONGFOR PPT	12,666,050	\$ 260,920,630
963	BLOOMAGE BIOTEC	1,714,366	\$ 26,641,248
966	CHINA TAIPING	33,822,282	\$ 747,472,432
968	XINYI SOLAR	242,161,539	\$ 697,425,232
973	L'OCCITANE	0	\$ 0
976	CHIHO ENV	11,495,399	\$ 54,373,237
978	CHI MER LAND	9,008,000	\$ 15,223,520
980	LIANHUA	6,997,709	\$ 21,203,058
981	SMIC	487,555,720	\$ 3,983,330,232
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	21,316,204	\$ 55,208,968
992	LENOVO GROUP	1,051,312,596	\$ 4,436,539,155
993	HUARONG INT FIN	893,000	\$ 2,384,310
995	ANHUIEXPRESSWAY	328,000	\$ 1,961,440
996	CARNIVAL GROUP	222,029,830	\$ 105,464,169
998	CITIC BANK	279,876,448	\$ 1,430,168,649





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999	I.T	0	\$ 0
1003	HUANXI MEDIA	3,415,520	\$ 7,172,592
1004	C SMARTERENERGY	0	\$ 0
1019	CONVOY	259,314,000	\$ 50,566,230
1028	C.BANNER	1,150,000	\$ 3,312,000
1030	FUTURE LAND	33,078,105	\$ 140,912,727
1031	KINGSTON FIN	133,898,856	\$ 449,900,156
1033	SINOPEC SSC	112,809,545	\$ 150,036,695
1038	CKI HOLDINGS	40,352,203	\$ 2,800,442,888
1039	FORTUNET E-COMM	0	\$ 0
1044	HENGAN INT'L	53,363,110	\$ 3,732,749,545
1045	APT SATELLITE	1,806,114	\$ 6,863,233
1051	G-RESOURCES	20,097,000	\$ 1,909,215
1052	YUEXIUTRANSPORT	28,882,551	\$ 171,562,353
1055	CHINA SOUTH AIR	111,406,543	\$ 678,465,847
1060	ALI PICTURES	1,212,549,422	\$ 1,539,937,766
1061	ESSEX BIO-TECH	0	\$ 0
1065	TIANJIN CAPITAL	14,066,000	\$ 71,314,620
1066	WEIGAO GROUP	22,376,416	\$ 127,098,043
1068	YURUN FOOD	9,631,841	\$ 9,631,841
1070	TCL MULTIMEDIA	23,739,055	\$ 88,309,285
1071	HUADIAN POWER	53,657,407	\$ 169,557,406
1072	DONGFANG ELEC	4,634,110	\$ 40,085,052
1076	IMPERIAL PAC	218,520,855	\$ 27,096,586
1083	TOWNGAS CHINA	9,913,355	\$ 51,747,713
1086	GOODBABY INTL	10,241,684	\$ 45,985,161
1088	CHINA SHENHUA	33,833,525	\$ 640,806,964
1089	LEYOU TECH H	27,474,801	\$ 47,806,154
1090	DA MING INT'L	0	\$ 0
1093	CSPC PHARMA	48,618,481	\$ 590,228,359
1098	ROAD KING INFRA	826,000	\$ 10,919,720
1099	SINOPHARM	20,421,067	\$ 730,053,145
1103	DASHENG AGR FIN	40,328,000	\$ 26,213,200
1106	SINO HAIJING	0	\$ 0
1108	LUOYANG GLASS	2,471,978	\$ 11,173,341
1109	CHINA RES LAND	51,478,850	\$ 1,297,267,020
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	15,220,000	\$ 490,084,000
1113	CK PROPERTY	3,109,211	\$ 208,006,216
1114	BRILLIANCE CHI	126,498,219	\$ 2,763,986,085
1115	TIBET WATER	111,246,000	\$ 331,513,080



Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1117	CH MODERN D	57,469,662	\$ 85,055,100
1122	QINGLING MOTORS	468,000	\$ 1,212,120
1128	WYNN MACAU	83,274,231	\$ 1,736,267,716
1129	WATER INDUSTRY	7,085,896	\$ 11,691,728
1133	HARBIN ELECTRIC	6,043,000	\$ 23,990,710
1136	TCC INT'L HOLD	1,796,000	\$ 6,393,760
1138	COSCO SHIP ENGY	10,551,000	\$ 45,791,340
1140	OP FIN INV	912,000	\$ 2,042,880
1141	CMBC CAPITAL	236,538,075	\$ 153,749,749
1157	ZOOMLION	119,189,528	\$ 413,587,662
1165	SFCE	58,497,348	\$ 27,201,267
1168	SINOLINK HOLD	7,052,000	\$ 11,071,640
1169	HAIER ELEC	4,407,780	\$ 86,568,799
1171	YANZHOU COAL	67,906,748	\$ 543,933,051
1176	ZHUGUANG HOLD	5,802,000	\$ 6,788,340
1177	SINO BIOPHARM	83,665,989	\$ 648,411,415
1185	CHINA ENERGINE	0	\$ 0
1186	CHINA RAIL CONS	100,816,051	\$ 1,004,127,868
1193	CHINA RES GAS	27,543,668	\$ 724,398,468
1196	REALORD GROUP	5,468,000	\$ 21,981,360
1199	COSCO SHIP PORT	33,861,885	\$ 288,164,641
1205	CITIC RESOURCES	54,179,837	\$ 48,761,853
1206	TECHNOVATOR	14,882,000	\$ 35,419,160
1208	MMG	67,557,737	\$ 245,234,585
1211	BYD COMPANY	108,499,425	\$ 6,124,792,541
1212	LIFESTYLE INT'L	0	\$ 0
1219	TENWOW INT'L	708,273	\$ 1,296,140
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	3,165,033	\$ 6,171,814
1230	YASHILI INT'L	27,875,000	\$ 42,927,500
1231	NEWTON RES	0	\$ 0
1233	TIMES PPT	7,964,000	\$ 58,615,040
1234	CHINA LILANG	244,000	\$ 1,556,720
1238	POWERLONG	0	\$ 0
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	26,377,151	\$ 5,196,299
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	17,812,000	\$ 30,102,280
1270	LANGHAM-SS	0	\$ 0
1272	DATANG ENVIRO	0	\$ 0
1278	CHINANEWTON	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1282	CHINA GOLDJOY	140,698,815	\$ 90,047,242
1288	ABC	73,944,235	\$ 262,502,034
1293	GRAND BAOXIN	6,010,962	\$ 28,431,850
1297	SINOSOFT TECH	21,776,848	\$ 50,957,824
1299	AIA	46,372,117	\$ 2,735,954,903
1300	TRIGIANT	1,139,647	\$ 1,230,819
1302	LIFETECH SCI	73,844,598	\$ 149,166,088
1308	SITC	18,038,289	\$ 130,416,829
1310	HKBN	19,092,521	\$ 148,539,813
1313	CHINARES CEMENT	29,334,000	\$ 145,789,980
1315	VISION FAME	96,163,856	\$ 50,966,844
1316	NEXTEER	16,288,870	\$ 218,270,858
1317	MAPLELEAF EDU	44,670,000	\$ 366,294,000
1330	DYNAGREEN ENV	1,737,000	\$ 7,434,360
1332	CHINA TOUYUN	5,549,675	\$ 2,164,373
1333	CHINA ZHONGWANG	100,707,851	\$ 402,831,404
1336	NCI	9,535,474	\$ 436,247,936
1339	PICC GROUP	41,077,817	\$ 145,004,694
1347	HUA HONG SEMI	3,182,000	\$ 31,629,080
1349	FUDANZHANGJIANG	2,154,000	\$ 9,262,200
1358	PW MEDTECH	2,085,000	\$ 3,648,750
1359	CHINA CINDA	150,684,936	\$ 433,972,616
1360	MEGAEXPO HLDG	4,385,000	\$ 7,805,300
1361	361 DEGREES	13,878,000	\$ 51,903,720
1363	CTEG	239,669,113	\$ 268,429,407
1366	JIANGNAN GP	36,203,984	\$ 18,826,072
1368	XTEP INT'L	52,538,772	\$ 141,854,684
1375	CC SECURITIES	21,001,224	\$ 74,134,321
1378	CHINAHONGQIAO	81,301,287	\$ 573,174,073
1381	CANVEST ENV	13,567,327	\$ 58,068,160
1382	PACIFICTEXTILES	11,899,175	\$ 95,788,359
1385	SHANGHAI FUDAN	156,000	\$ 856,440
1387	RENHE COMM	565,666,871	\$ 102,385,704
1398	ICBC	385,265,156	\$ 2,207,569,344
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	19,056,112	\$ 45,353,547
1432	CHINA SHENGMU	36,983,000	\$ 49,187,390
1448	FU SHOU YUAN	4,338,714	\$ 22,734,861
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	22,502,187	\$ 163,365,878
1462	GOLD-FIN HLDG	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1478	Q TECH	14,673,000	\$ 207,182,760
1508	CHINA RE	22,704,000	\$ 40,640,160
1509	HARMONICARE	4,120,945	\$ 12,816,139
1513	LIVZON PHARMA	143,435	\$ 6,174,877
1515	CRPHOENIXHEALTH	51,470,500	\$ 490,513,865
1518	NC HEALTHCARE	0	\$ 0
1520	RUNWAY GLOBAL	0	\$ 0
1528	RS MACALLINE	1,138,200	\$ 10,460,058
1530	3SBIO	80,390,250	\$ 982,368,855
1548	GENSCRIPT BIO	9,334,666	\$ 79,811,394
1558	HEC PHARM	0	\$ 0
1559	KWAN ON HLDGS	0	\$ 0
1566	CHINA ANIMATION	8,844,000	\$ 27,151,080
1569	MINSHENG EDU	3,734,000	\$ 7,430,660
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	0	\$ 0
1585	YADEA	7,468,000	\$ 17,325,760
1589	CNLP	13,923,000	\$ 34,250,580
1599	UCD	193,000	\$ 965,000
1600	TIAN LUN GAS	610,500	\$ 3,608,055
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	14,830,426	\$ 23,580,377
1616	SILVERMAN HL	2,102,228	\$ 1,513,604
1618	MCC	33,570,650	\$ 87,955,103
1622	REDCO PPT	4,540,800	\$ 17,209,632
1628	YUZHOU PPT	6,665,000	\$ 32,925,100
1632	FOODWISE HLDG	386,000	\$ 4,593,400
1635	DZUG	0	\$ 0
1636	CMRU	3,052,000	\$ 9,217,040
1658	PSBC	119,557,000	\$ 563,113,470
1661	WISDOM SPORTS	32,704,000	\$ 37,609,600
1666	TONG REN TANG	15,699,531	\$ 164,531,085
1668	CHINASOUTHCITY	113,189,547	\$ 199,213,603
1680	MACAU LEGEND	56,920,054	\$ 75,703,672
1681	CONSUN PHARMA	0	\$ 0
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	45,607,265	\$ 383,557,099
1766	CRRC	302,776,023	\$ 2,104,293,360
1776	GF SEC	28,375,982	\$ 470,473,782



Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1777	FANTASIA	0	\$ 0
1778	COLOUR LIFE	9,872,804	\$ 50,548,756
1786	CRCCE	15,153,000	\$ 38,640,150
1788	GUOTAI JUNAN I	220,419,013	\$ 548,843,342
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	68,990,269	\$ 698,181,522
1803	BJ SPORTS & ENT	3,635,000	\$ 8,433,200
1811	CGN NEW ENERGY	12,058,000	\$ 12,299,160
1812	CHENMING PAPER	6,656,500	\$ 93,457,260
1813	KWG PROPERTY	43,223,306	\$ 379,932,860
1816	CGN POWER	538,194,240	\$ 1,178,645,386
1818	ZHAOJIN MINING	56,462,332	\$ 386,202,351
1828	DCH HOLDINGS	1,595,000	\$ 6,411,900
1829	CMEC	6,240,951	\$ 29,519,698
1836	STELLA HOLDINGS	2,775,339	\$ 39,298,800
1848	CALC	4,265,091	\$ 35,784,113
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	7,919,351	\$ 176,997,495
1883	CITIC TELECOM	3,387,000	\$ 7,756,230
1886	HUIYUAN JUICE	13,250,500	\$ 32,066,210
1888	KB LAMINATES	7,135,500	\$ 102,180,360
1893	SINOMA	5,115,000	\$ 23,222,100
1898	CHINA COAL	207,851,308	\$ 814,777,127
1899	XINGDA INT'L	13,817,666	\$ 37,169,522
1910	SAMSONITE	17,317,111	\$ 542,025,574
1913	PRADA	15,246,664	\$ 396,413,264
1918	SUNAC	188,730,333	\$ 6,322,466,156
1919	COSCO SHIP HOLD	86,319,169	\$ 434,185,420
1928	SANDS CHINA LTD	105,182,751	\$ 4,023,240,226
1929	CHOW TAI FOOK	14,010,955	\$ 124,697,500
1958	BAIC MOTOR	79,641,674	\$ 555,898,885
1963	BCQ	1,262,500	\$ 8,016,875
1966	CHINA SCE PPT	2,156,905	\$ 9,490,382
1970	IMAX CHINA	18,793,531	\$ 373,615,396
1972	SWIREPROPERTIES	10,833,847	\$ 289,805,407
1980	TIANGE	2,563,000	\$ 13,507,010
1988	MINSHENG BANK	489,253,974	\$ 3,752,577,981
1999	MAN WAH HLDGS	54,444,940	\$ 386,014,625
2005	SSY GROUP	3,291,519	\$ 11,256,995
2006	JINJIANG HOTELS	27,862,498	\$ 73,556,995



Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2007	COUNTRY GARDEN	312,001,471	\$ 4,230,739,947
2008	PHOENIX TV	9,982,000	\$ 11,379,480
2009	BBMG	105,275,336	\$ 434,787,138
2014	OZNER WATER	38,135,000	\$ 78,176,750
2016	CZBANK	0	\$ 0
2018	AAC TECH	28,920,699	\$ 3,979,488,182
2020	ANTA SPORTS	52,572,134	\$ 1,755,909,276
2038	FIH	55,788,916	\$ 143,377,514
2039	CIMC	3,032,900	\$ 45,857,448
2066	SHENGJINGBANK	2,269,000	\$ 14,612,360
2098	ZALL GROUP	5,151,000	\$ 27,918,420
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	12,682,000	\$ 56,054,440
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	21,967,044	\$ 120,379,401
2136	LIFESTYLE CHI	356,500	\$ 1,023,155
2186	LUYE PHARMA	224,181,704	\$ 961,739,510
2196	FOSUN PHARMA	17,195,105	\$ 528,749,479
2198	CHINA SANJIANG	1,824,000	\$ 4,870,080
2199	REGINA MIRACLE	18,595,086	\$ 127,562,290
2200	HOSA INT'L	7,372,090	\$ 17,250,691
2202	CHINA VANKE	27,785,001	\$ 789,094,028
2208	GOLDWIND	42,492,310	\$ 380,306,175
2226	HONWORLD GP	246,000	\$ 905,280
2233	WESTCHINACEMENT	68,438,000	\$ 86,916,260
2238	GAC GROUP	28,827,858	\$ 487,190,800
2255	HAICHANG HLDG	5,492,000	\$ 10,764,320
2277	HUARONG INV	0	\$ 0
2280	HC INTL	23,752,800	\$ 157,243,536
2282	MGM CHINA	97,483,643	\$ 1,760,554,593
2298	COSMO LADY	65,324,664	\$ 192,054,512
2300	AMVIG HOLDINGS	296,000	\$ 719,280
2313	SHENZHOU INTL	5,602,014	\$ 332,199,430
2314	LEE & MAN PAPER	7,688,084	\$ 78,418,457
2318	PING AN	1,173,207,114	\$ 72,621,520,357
2319	MENGNU DAIRY	50,882,631	\$ 1,053,270,462
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	157,310,809	\$ 26,742,838
2328	PICC P&C	43,167,561	\$ 594,848,991
2329	GUORUI PPT	988,000	\$ 2,618,200



Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2331	LI NING	37,124,668	\$ 243,909,069
2333	GREATWALL MOTOR	466,083,722	\$ 4,604,907,173
2338	WEICHAI POWER	201,622,116	\$ 1,856,939,688
2342	COMBA	10,057,577	\$ 12,270,244
2343	PACIFIC BASIN	189,114,018	\$ 338,514,092
2348	DAWNRAYS PHARMA	824,800	\$ 3,876,560
2349	CH CITY INFRA	0	\$ 0
2355	BAOYE GROUP	0	\$ 0
2356	DAHSHING BANKING	377,122	\$ 6,373,362
2357	AVICHINA	136,903,571	\$ 618,804,141
2362	JINCHUAN INTL	11,849,274	\$ 12,086,259
2369	COOLPAD GROUP	78,428,148	\$ 56,468,267
2378	PRU	0	\$ 0
2380	CHINA POWER	159,840,907	\$ 423,578,404
2382	SUNNY OPTICAL	22,994,030	\$ 2,869,654,944
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	14,034,390	\$ 95,714,540
2388	BOC HONG KONG	41,610,955	\$ 1,618,666,150
2389	BJ ENT M&H	32,574,803	\$ 14,658,661
2393	YESTAR HEALTH	7,807,968	\$ 27,405,968
2588	BOC AVIATION	181,200	\$ 7,610,400
2600	CHALCO	552,395,370	\$ 3,678,953,164
2601	CPIC	5,384,738	\$ 182,273,381
2607	SH PHARMA	7,963,349	\$ 155,126,039
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	60,105,827	\$ 1,427,513,391
2638	HKELECTRIC-SS	249,039,112	\$ 1,783,120,042
2666	UNI MEDICAL	21,514,044	\$ 139,626,146
2669	CHINA OVS PPT	65,452,865	\$ 106,688,170
2678	TEXHONG TEXTILE	6,639,154	\$ 67,852,154
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	39,215,736	\$ 2,015,688,830
2689	ND PAPER	66,268,719	\$ 1,056,323,381
2722	CHONGQING M&E	0	\$ 0
2727	SH ELECTRIC	228,056,168	\$ 798,196,588
2768	JIAYUAN INTL	3,370,000	\$ 18,096,900
2777	R&F PROPERTIES	48,587,276	\$ 909,553,807
2778	CHAMPION REIT	5,457,000	\$ 30,068,070
2799	CHINA HUARONG	204,457,577	\$ 727,868,974
2800	TRACKER FUND	5,321,000	\$ 152,712,700
2801	ISHARES CHINA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2816	X DBCSI300REAL	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	79,945,848	\$ 1,138,428,876
2823	ISHARES A50	211,646,457	\$ 2,916,488,177
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	2,792,300	\$ 317,205,280
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2844	X DBCSI300FIN	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	69,488,375	\$ 131,333,029
2868	BJ CAPITAL LAND	6,227,151	\$ 28,893,981
2869	GREENTOWN SER	8,398,000	\$ 40,730,300
2877	SHINEWAY PHARM	3,561,000	\$ 25,176,270
2880	DALIAN PORT	43,917,288	\$ 60,166,685
2883	CHINA OILFIELD	164,764,127	\$ 1,107,214,933
2888	STANCHART	6,994,956	\$ 546,306,064
2899	ZIJIN MINING	204,407,689	\$ 588,694,144
3005	X DBCSI300IND	0	\$ 0
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3009	X DBMSCIEM	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0





Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3013	X DBMSCIASIAHDY	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3017	X DBCSI300ENER	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3025	X DBCSI300CONDI	0	\$ 0
3026	X DBAUDCASH	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3035	X DBMSCIEMASIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3045	X DBMSCIINDIA	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3052	X DBCSI300UTIL	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3057	X DBCSI300HEAL	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3061	X DBCSI300BANK	0	\$ 0
3062	X DBCSI300MAT	0	\$ 0
3063	X DBCSI300TRANS	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3105	X DBMSCIBANGLA	0	\$ 0
3106	X DBMSCIPAKIST	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3124	F SAM HSIF	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3134	F SAM HSIFRF	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	38,188,832	\$ 1,785,327,896
3199	CSOP 5YCGBOND	0	\$ 0
3301	RONSHINECHINA	3,550,500	\$ 35,327,475
3308	GOLDEN EAGLE	24,234,916	\$ 221,749,481
3311	CHINA STATE CON	53,921,456	\$ 613,626,169
3320	CHINARES PHARMA	14,004,012	\$ 132,197,873
3323	CNBM	228,076,843	\$ 1,265,826,479
3328	BANKCOMM	119,349,443	\$ 687,452,792
3331	VINDA INT'L	0	\$ 0
3333	EVERGRANDE	222,963,056	\$ 5,964,261,748
3336	JU TENG INTL	10,172,070	\$ 34,991,921
3337	ANTON OILFIELD	75,413,602	\$ 55,051,929
3339	LONKING	28,441,700	\$ 95,848,529
3344	ADDCHANCE	0	\$ 0
3360	FE HORIZON	28,337,588	\$ 195,245,981
3369	QHD PORT	2,282,000	\$ 6,549,340
3377	SINO-OCEAN GP	33,252,927	\$ 177,238,101
3378	XIAMEN PORT	2,510,383	\$ 3,840,886
3380	LOGAN PPT	68,422,896	\$ 590,489,592
3382	TIANJINPORT DEV	2,098,000	\$ 2,685,440
3383	AGILE GROUP	105,945,727	\$ 1,250,159,579
3389	HENGDELI	29,880,475	\$ 12,848,604
3393	WASION GROUP	40,301,789	\$ 140,250,226
3396	LEGENDHOLDING	2,121,102	\$ 40,131,250
3606	FUYAO GLASS	26,819,512	\$ 740,218,531
3618	CQRC BANK	49,103,356	\$ 246,007,814
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	379,700	\$ 6,978,886
3669	YONGDA AUTO	16,898,000	\$ 195,678,840
3698	HUIZHANG BANK	5,200,671	\$ 19,918,570
3708	YAT SING HLDG	290,000	\$ 1,102,000
3709	KORADIOR	1,385,000	\$ 8,462,350
3799	DALI FOODS	60,826,500	\$ 320,555,655
3800	GCL-POLY ENERGY	1,787,938,737	\$ 1,823,697,512
3808	SINOTRUK	58,818,000	\$ 605,825,400



## Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3813	POU SHENG INT'L	100,872,332	\$ 141,221,265
3818	CHINA DONGXIANG	47,680,198	\$ 66,752,277
3836	HARMONY AUTO	27,174,726	\$ 125,003,740
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	12,800,000	\$ 62,848,000
3886	TOWN HEALTH	104,191,846	\$ 76,060,048
3888	KINGSOFT	60,091,099	\$ 1,098,465,290
3898	CRRC TIMES ELEC	39,506,677	\$ 1,730,392,453
3899	CIMC ENRIC	26,754,000	\$ 127,081,500
3900	GREENTOWN CHINA	61,040,909	\$ 628,721,363
3908	CICC	17,185,200	\$ 246,092,064
3918	NAGACORP	23,778,492	\$ 115,563,471
3933	UNITED LAB	147,565,176	\$ 855,878,021
3958	DFZQ	1,533,200	\$ 12,955,540
3968	CM BANK	158,897,301	\$ 4,472,959,023
3969	CHINA CRSC	1,985,000	\$ 12,148,200
3983	CHINA BLUECHEM	24,905,454	\$ 58,776,871
3988	BANK OF CHINA	120,575,431	\$ 481,095,970
3993	CMOC	172,710,577	\$ 818,648,135
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	0	\$ 0
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	84,603,939	\$ 1,426,422,412
6066	CSC	2,886,000	\$ 20,202,000
6068	WISDOM EDU INTL	598,000	\$ 2,607,280
6099	CMSC	2,358,750	\$ 30,097,650
6116	LA CHAPELLE	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6169	YUHUA EDU	15,318,000	\$ 57,595,680
6178	EB SECURITIES	5,035,200	\$ 55,991,424
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	2,280,000	\$ 11,035,200



## Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6808	SUNART RETAIL	169,724,427	\$ 1,189,768,233
6818	CEB BANK	134,534,357	\$ 501,813,152
6823	HKT-SS	25,382,819	\$ 248,751,626
6826	HAOHAI BIOTEC	9,100	\$ 344,435
6828	BG BLUE SKY	29,392,000	\$ 15,283,840
6837	HAITONG SEC	82,406,739	\$ 1,046,565,585
6839	YUNNAN WATER	3,411,000	\$ 10,267,110
6863	HUIZHAN DAIRY	362,165,431	\$ 152,109,481
6869	YOFC	4,209,000	\$ 110,907,150
6881	CGS	122,366,202	\$ 838,208,484
6886	HTSC	44,039,021	\$ 752,186,479
6889	DYNAM JAPAN	449,600	\$ 5,530,080
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7250	FL2 SAMSUNGK200	0	\$ 0
7255	FL2SAMSUNGTOPIX	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7311	FI SAMSUNGTOPIX	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7326	FI SAMSUNGK200	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	3,496,000	\$ 2,656,960
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	9,822,037	\$ 6,973,646
8057	MADISON HLDG	1,128,000	\$ 1,985,280
8078	HMV DIGIT CHINA	4,660,000	\$ 1,155,680
8083	INNOVATIONPAY	53,000,866	\$ 21,465,351
8095	BEIDA JADE BIRD	832,000	\$ 1,181,440
8102	LI BAO GE GP	250,000	\$ 1,740,000
8137	HONBRIDGE	2,218,000	\$ 1,818,760
8138	TONGRENTANGCM	427,000	\$ 4,381,020
8158	CRMI	48,585,000	\$ 9,425,490
8207	CHONGSING HLDGS	73,520,000	\$ 77,931,200
8237	LINK HOLDINGS	0	\$ 0
8265	CHINA TRUSTFUL	860,000	\$ 2,803,600
8279	AGTECH HOLDINGS	10,856,000	\$ 17,152,480
8407	CISI FIN	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 15/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHARESMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCVBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0