



Aggregated Reportable Short Positions of Specified Shares as of 22/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	19,918,382	\$ 1,986,858,605
2	CLP HOLDINGS	25,961,303	\$ 2,071,711,979
3	HK & CHINA GAS	212,056,080	\$ 3,117,224,376
4	WHARF HOLDINGS	9,178,019	\$ 651,180,448
5	HSBC HOLDINGS	33,557,864	\$ 2,557,109,237
6	POWER ASSETS	17,598,592	\$ 1,187,025,030
8	PCCW	58,332,017	\$ 248,494,392
10	HANG LUNG GROUP	2,862,163	\$ 82,000,970
11	HANG SENG BANK	10,033,123	\$ 1,836,061,509
12	HENDERSON LAND	9,667,092	\$ 525,406,450
14	HYSAN DEV	4,196,841	\$ 155,492,959
16	SHK PPT	6,073,868	\$ 792,032,387
17	NEW WORLD DEV	49,641,793	\$ 556,980,917
19	SWIRE PACIFIC A	8,775,414	\$ 673,513,025
20	WHEELLOCK	2,585,405	\$ 145,429,031
23	BANK OF E ASIA	48,814,981	\$ 1,667,031,601
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	56,072,883	\$ 3,019,524,750
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	22,802,000	\$ 23,486,060
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	6,299,992	\$ 23,561,970
39	CH BEIDAHUANG	18,624,000	\$ 7,263,360
41	GREAT EAGLE H	158,707	\$ 6,824,401
43	C.P. POKPHAND	0	\$ 0
44	HAECO	35,608	\$ 1,840,934
45	HK&S HOTELS	9,414,194	\$ 126,526,767
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	1,690,202	\$ 51,720,181
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	979,000	\$ 30,055,300
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	60,772,833	\$ 2,756,047,977
69	SHANGRI-LA ASIA	14,554,375	\$ 216,569,100
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	2,416,000	\$ 13,771,200
83	SINO LAND	22,253,067	\$ 305,312,079
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	145,000	\$ 1,171,600
95	LVGEM CHINA	3,122,286	\$ 7,337,372
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	13,660,494	\$ 248,074,571
102	SUMMIT ASCENT	72,857,540	\$ 80,143,294
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	6,181,400	\$ 19,533,224
116	CHOW SANG SANG	160,000	\$ 2,870,400
119	POLY PROPERTY	72,441,473	\$ 317,293,652
123	YUEXIU PROPERTY	20,038,214	\$ 33,664,200
127	CHINESE EST H	602,500	\$ 8,410,900
129	ASIA STANDARD	2,442,000	\$ 5,347,980
133	CHINA MERCHANTS	34,000	\$ 459,000
135	KUNLUN ENERGY	295,786,740	\$ 2,147,411,732
136	HENGTEN NET	991,862,824	\$ 277,721,591
142	FIRST PACIFIC	5,518,367	\$ 33,937,957
144	CHINA MER PORT	16,740,763	\$ 400,104,236
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	2,974,844	\$ 124,943,448
151	WANT WANT CHINA	747,708,782	\$ 3,888,085,666
152	SHENZHEN INT'L	8,922,584	\$ 131,340,436
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
164	CHINA BAOLI TEC	65,109,496	\$ 11,459,271
165	CHINA EB LTD	26,661,889	\$ 503,909,702
168	TSINGTAO BREW	17,683,340	\$ 560,561,878
171	SILVER GRANT	1,658,000	\$ 1,890,120
173	K. WAH INT'L	0	\$ 0
175	GEELY AUTO	137,666,752	\$ 3,214,518,659
177	JIANGSU EXPRESS	10,925,798	\$ 132,202,156
178	SA SA INT'L	63,109,409	\$ 180,492,910
179	JOHNSON ELEC H	2,143,948	\$ 62,924,874
182	CONCORD NE	33,540,000	\$ 12,409,800
186	GRANDE HOLDINGS	0	\$ 0
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	31,524,462	\$ 23,012,857
198	SMI HOLDINGS	43,098,192	\$ 173,685,714
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	11,684,263	\$ 253,548,507
207	JOY CITY PPT	24,009,695	\$ 36,254,639
215	HUTCHTEL HK	70,107,191	\$ 199,805,494
217	CHINA CHENGTONG	1,690,040	\$ 980,223
218	SHENWANHONGYUAN	8,766,805	\$ 25,686,739
220	U-PRESID CHINA	21,492,000	\$ 164,413,800
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	994,000	\$ 1,312,080
232	AVIC INT'L	42,966,000	\$ 31,365,180
235	CHINA STRATEGIC	161,984,978	\$ 18,628,272
241	ALI HEALTH	328,302,061	\$ 1,270,528,976
242	SHUN TAK HOLD	15,447,703	\$ 54,221,438
245	CN MINSHENG FIN	27,017,895	\$ 11,347,516
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	579,186	\$ 4,529,235
256	CITYCHAMP	20,366,000	\$ 35,844,160
257	CHINA EB INT'L	127,198,666	\$ 1,268,170,700
258	TOMSON GROUP	761,614	\$ 3,061,688
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	35,128,297	\$ 406,083,113
268	KINGDEE INT'L	184,158,211	\$ 662,969,560
270	GUANGDONG INV	19,367,081	\$ 214,587,257
272	SHUI ON LAND	44,309,086	\$ 93,492,171
273	MASON GP HOLD	135,016,000	\$ 14,851,760
275	MASTER GLORY GP	72,800,000	\$ 7,425,600
285	BYD ELECTRONIC	57,382,258	\$ 1,382,912,418
288	WH GROUP	147,751,528	\$ 1,189,399,800
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	24,396,429	\$ 528,182,688
293	CATHAY PAC AIR	72,244,495	\$ 840,925,922
297	SINOFERT	123,398,549	\$ 157,950,143
303	VTECH HOLDINGS	1,592,721	\$ 175,995,671
308	CHINA TRAVEL HK	58,705,072	\$ 167,896,506
315	SMARTONE TELE	42,408,118	\$ 393,123,254
316	OOIL	5,983,226	\$ 438,271,305
317	COMEC	14,248,904	\$ 181,531,037



Aggregated Reportable Short Positions of Specified Shares as of 22/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
321	TEXWINCA HOLD	20,439,307	\$ 97,086,708
322	TINGYI	175,622,352	\$ 2,114,493,118
323	MAANSHAN IRON	81,987,155	\$ 308,271,703
327	PAX GLOBAL	103,189,150	\$ 431,330,647
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	88,253,322	\$ 408,612,881
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	1,735,143	\$ 8,415,444
337	GREENLAND HK	2,896,225	\$ 9,760,278
338	SHANGHAI PEICHEM	45,169,850	\$ 211,846,597
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	1,944,000	\$ 47,530,800
342	NEWOCEAN ENERGY	43,854,720	\$ 93,849,101
345	VITASOY INT'L	4,260,000	\$ 74,124,000
347	ANGANG STEEL	64,284,446	\$ 442,919,833
354	CHINASOFT INT'L	87,877,285	\$ 389,296,373
358	JIANGXI COPPER	57,960,694	\$ 731,463,958
363	SHANGHAI IND H	241,000	\$ 5,771,950
368	SINOTRANS SHIP	47,050,830	\$ 126,566,733
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	166,409,227	\$ 1,070,011,330
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	8,686,000	\$ 12,334,120
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	83,085,673	\$ 1,935,896,181
386	SINOPEC CORP	147,984,174	\$ 868,667,101
388	HKEX	32,105,050	\$ 6,915,427,770
390	CHINA RAILWAY	61,924,645	\$ 396,936,974
392	BEIJING ENT	7,464,253	\$ 318,350,390
400	COGOBUY	31,334,000	\$ 158,236,700
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	59,422,851	\$ 298,896,941
412	CHINA INNO FIN	4,170,000	\$ 1,563,750
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	781,000	\$ 6,310,480
419	HUAYI TENCENT	190,890,401	\$ 65,857,188
425	MINTH GROUP	14,582,029	\$ 610,257,914
433	NORTH MINING	145,684,966	\$ 23,455,280
434	BOYAA	3,761,605	\$ 12,074,752



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	53,044,000	\$ 168,149,480
440	DAH SING	621,495	\$ 32,846,011
451	GCL NEWENERGY	124,180,000	\$ 69,540,800
460	SIHUAN PHARM	196,409,472	\$ 579,407,942
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	3,828,924	\$ 17,804,497
480	HKR INT'L	2,598,400	\$ 13,251,840
486	RUSAL	3,552,000	\$ 21,205,440
488	LAI SUN DEV	302,400	\$ 4,354,560
489	DONGFENG GROUP	95,906,909	\$ 1,003,186,268
493	GOME RETAIL	1,793,527,974	\$ 1,596,239,897
494	LI & FUNG	305,625,209	\$ 1,124,700,769
497	CSI PROPERTIES	3,000,000	\$ 1,170,000
506	CHINA FOODS	28,109,582	\$ 118,060,244
508	DINGYI GP INV	10,718,315	\$ 3,805,002
511	TVB	8,705,818	\$ 227,221,850
512	CHINAGRANDPHARM	476,000	\$ 1,342,320
517	COSCO SHIP INTL	1,766,000	\$ 5,863,120
520	XIABUXIABU	0	\$ 0
521	HNA HOLDING	49,154,000	\$ 17,449,670
522	ASM PACIFIC	31,135,759	\$ 3,512,113,615
525	GUANGSHEN RAIL	48,943,494	\$ 222,203,463
526	LISI GP HOLD	1,432,000	\$ 1,904,560
530	GOLDIN FIN HOLD	13,230,000	\$ 48,157,200
535	GEMDALE PPT	38,379,212	\$ 38,763,004
538	AJISEN (CHINA)	969,846	\$ 3,607,827
546	FUFENG GROUP	40,686,984	\$ 222,557,802
547	DIGITAL DOMAIN	182,324,139	\$ 44,851,738
548	SHENZHENEXPRESS	1,914,000	\$ 14,450,700
551	YUE YUEN IND	7,256,139	\$ 216,958,556
552	CHINACOMSERVICE	9,530,962	\$ 38,600,396
563	SH IND URBAN	21,204,000	\$ 38,803,320
570	TRAD CHI MED	66,665,052	\$ 295,992,831
576	ZHEJIANGEXPRESS	10,266,055	\$ 100,094,036
579	JNCEC	15,305,877	\$ 32,907,636
580	SUN.KING ELEC	0	\$ 0
581	CHINA ORIENTAL	2,934,000	\$ 15,843,600
586	CONCH VENTURE	26,227,826	\$ 397,613,842
588	BEIJING N STAR	2,524,000	\$ 8,076,800
590	LUK FOOK HOLD	2,269,000	\$ 63,872,350



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598	SINOTRANS	10,475,118	\$ 40,538,707
603	CHINA OIL & GAS	8,980,000	\$ 4,759,400
604	SHENZHEN INVEST	12,729,975	\$ 48,373,905
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	1,585,000	\$ 6,118,100
607	FULLSHARE	882,140,147	\$ 2,681,706,047
622	ENERCHINA HOLD	113,255,938	\$ 20,725,837
626	PUBLIC FIN HOLD	0	\$ 0
633	CH ALL ACCESS	1,506,000	\$ 3,433,680
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	37,501,000	\$ 65,626,750
651	CHI OCEAN IND	340,308,124	\$ 19,737,871
656	FOSUN INTL	129,775,225	\$ 2,180,223,780
658	C TRANSMISSION	26,983,332	\$ 218,564,989
659	NWS HOLDINGS	5,145,698	\$ 80,993,287
665	HAITONG INT'L	174,511,288	\$ 828,928,618
669	TECHTRONIC IND	6,258,298	\$ 256,590,218
670	CHINA EAST AIR	74,044,656	\$ 303,583,090
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	101,950,000	\$ 25,385,550
683	KERRY PPT	13,710,037	\$ 448,318,210
686	PANDA GREEN	121,949,845	\$ 126,827,839
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	36,864,217	\$ 1,006,393,124
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	6,526,699	\$ 87,066,165
696	TRAVELSKY TECH	8,210,773	\$ 174,068,388
697	SHOUGANG INT'L	11,878,000	\$ 2,838,842
698	TONGDA GROUP	729,761,325	\$ 1,598,177,302
699	CAR INC	79,894,106	\$ 563,253,447
700	TENCENT	104,790,169	\$ 36,278,356,508
702	SINO OIL & GAS	88,830,000	\$ 13,768,650
708	EVERG HEALTH	2,460,000	\$ 7,060,200
709	GIORDANO INT'L	7,080,000	\$ 32,638,800
715	CHINA OCEANWIDE	33,238,019	\$ 21,604,712
716	SINGAMAS CONT	15,742,000	\$ 29,752,380
717	EMPEROR CAPITAL	21,096,974	\$ 14,345,942
718	TAI UNITED HOLD	1,055,000	\$ 1,445,350
721	C FIN INT INV	64,082,718	\$ 15,892,514
728	CHINA TELECOM	63,873,899	\$ 248,469,467
729	FDG EVEHICLES	417,168,897	\$ 160,610,025



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732	TRULY INT'L	139,836,043	\$ 337,004,864
735	CP CLEAN ENERGY	1,244,903	\$ 5,377,981
737	HOPEWELL INFR	6,189,500	\$ 29,957,180
750	SINGYES SOLAR	61,103,966	\$ 172,313,184
751	SKYWORTHDIGITAL	79,799,835	\$ 331,967,314
752	PICO FAR EAST	412,000	\$ 1,334,880
753	AIR CHINA	45,300,923	\$ 306,234,239
754	HOPSON DEV HOLD	0	\$ 0
762	CHINA UNICOM	273,144,570	\$ 3,113,848,098
763	ZTE	35,238,881	\$ 877,448,137
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	4,038,505	\$ 110,453,112
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	56,428,922	\$ 40,064,535
798	CEOVU	0	\$ 0
799	IGG	82,805,164	\$ 876,078,635
806	VALUE PARTNERS	102,033,295	\$ 736,680,390
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	3,403,098	\$ 21,643,703
813	SHIMAO PROPERTY	8,358,867	\$ 145,277,108
815	CHI SILVER GP	26,699,317	\$ 60,340,456
816	HUADIAN FUXIN	129,144,235	\$ 232,459,623
817	CHINA JINMAO	184,390,699	\$ 798,411,727
818	HI SUN TECH	11,690,181	\$ 20,691,620
819	TIANNENG POWER	34,036,550	\$ 270,590,573
823	LINK REIT	21,435,175	\$ 1,357,918,336
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	7,136,001	\$ 25,903,684
836	CHINA RES POWER	22,261,027	\$ 310,318,716
838	EVA HOLDINGS	378,000	\$ 396,900
845	GLORIOUS PPT H	22,143,000	\$ 20,592,990
853	MICROPORT	12,353,987	\$ 89,319,326
855	CHINA WATER	44,531,394	\$ 233,789,819
856	VSTECs	0	\$ 0
857	PETROCHINA	417,529,681	\$ 2,037,544,843
860	O LUXE HOLD	30,761,624	\$ 52,602,377
861	DC HOLDINGS	57,790,080	\$ 259,477,459
867	CMS	37,888,884	\$ 530,444,376
868	XINYI GLASS	43,129,710	\$ 352,369,731
874	BAIYUNSHAN PH	2,116,400	\$ 42,962,920
877	O-NET TECH GP	47,242,629	\$ 247,078,950



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878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	84,390,584	\$ 616,895,169
881	ZHONGSHENG HLDG	15,686,923	\$ 296,482,845
882	TIANJIN DEV	2,260,502	\$ 9,245,453
883	CNOOC	110,437,829	\$ 1,049,159,376
884	CIFI HOLD GP	84,024,242	\$ 423,482,180
885	RENTIAN TECH	16,860,000	\$ 6,406,800
887	EMPEROR WATCH&J	32,630,000	\$ 13,052,000
895	DONGJIANG ENV	3,376,950	\$ 39,240,159
902	HUANENG POWER	151,225,579	\$ 750,078,872
906	COFCO PACKAGING	2,532,147	\$ 11,318,697
914	CONCH CEMENT	87,456,704	\$ 2,833,597,210
915	DAOHE GLOBAL	3,276,000	\$ 7,633,080
916	CHINA LONGYUAN	123,242,320	\$ 725,897,265
921	HISENSE KELON	4,242,000	\$ 39,747,540
931	CHINA LNG	74,201,238	\$ 93,493,560
932	RM GROUP	3,916,000	\$ 28,900,080
933	BRIGHTOIL	30,360,654	\$ 52,827,538
934	SINOPEC KANTONS	17,292,679	\$ 88,884,370
939	CCB	996,255,002	\$ 6,595,208,113
941	CHINA MOBILE	30,652,216	\$ 2,433,785,950
950	LEE'S PHARM	782,521	\$ 4,796,854
951	CHAOWEI POWER	60,732,698	\$ 293,946,258
956	CHINA SUNTIEN	24,222,000	\$ 47,717,340
958	HN RENEWABLES	181,707,932	\$ 468,806,465
960	LONGFOR PPT	13,107,770	\$ 283,783,221
963	BLOOMAGE BIOTEC	1,629,366	\$ 25,450,697
966	CHINA TAIPING	32,073,950	\$ 720,060,178
968	XINYI SOLAR	205,042,607	\$ 574,119,300
973	L'OCCITANE	0	\$ 0
976	CHIHO ENV	11,669,399	\$ 55,079,563
978	CHI MER LAND	9,218,000	\$ 16,500,220
980	LIANHUA	6,874,709	\$ 21,999,069
981	SMIC	500,776,094	\$ 4,321,697,691
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	20,923,798	\$ 51,681,781
992	LENOVO GROUP	1,086,269,472	\$ 4,540,606,393
993	HUARONG INT FIN	792,000	\$ 2,280,960
995	ANHUIEXPRESSWAY	204,000	\$ 1,207,680
996	CARNIVAL GROUP	226,157,330	\$ 107,424,732
998	CITIC BANK	250,481,291	\$ 1,282,464,210



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999	I.T	0	\$ 0
1003	HUANXI MEDIA	3,465,520	\$ 7,208,282
1004	C SMARTERENERGY	0	\$ 0
1019	CONVOY	265,362,000	\$ 51,745,590
1028	C.BANNER	1,150,000	\$ 3,139,500
1030	FUTURE LAND	16,302,187	\$ 72,381,710
1031	KINGSTON FIN	133,949,923	\$ 471,503,729
1033	SINOPEC SSC	113,674,900	\$ 151,187,617
1038	CKI HOLDINGS	41,551,459	\$ 2,819,266,493
1039	FORTUNET E-COMM	0	\$ 0
1044	HENGAN INT'L	52,696,170	\$ 3,662,383,815
1045	APT SATELLITE	1,735,614	\$ 6,473,840
1051	G-RESOURCES	20,889,000	\$ 2,235,123
1052	YUEXIUTRANSPORT	29,301,464	\$ 171,413,564
1055	CHINA SOUTH AIR	119,841,922	\$ 687,892,632
1060	ALI PICTURES	1,211,290,475	\$ 1,550,451,808
1061	ESSEX BIO-TECH	0	\$ 0
1065	TIANJIN CAPITAL	14,490,162	\$ 72,161,007
1066	WEIGAO GROUP	22,454,813	\$ 130,911,560
1068	YURUN FOOD	9,657,841	\$ 8,788,635
1070	TCL MULTIMEDIA	23,659,055	\$ 87,538,504
1071	HUADIAN POWER	51,177,407	\$ 159,673,510
1072	DONGFANG ELEC	4,086,510	\$ 33,713,708
1076	IMPERIAL PAC	218,520,855	\$ 26,659,544
1083	TOWNGAS CHINA	9,991,417	\$ 53,454,081
1086	GOODBABY INTL	10,229,304	\$ 43,986,007
1088	CHINA SHENHUA	30,426,605	\$ 575,062,835
1089	LEYOU TECH H	26,176,101	\$ 46,069,938
1090	DA MING INT'L	0	\$ 0
1093	CSPC PHARMA	47,142,481	\$ 626,052,148
1098	ROAD KING INFRA	1,153,000	\$ 16,003,640
1099	SINOPHARM	23,306,606	\$ 808,739,228
1103	DASHENG AGR FIN	42,547,999	\$ 27,656,199
1106	SINO HAIJING	0	\$ 0
1108	LUOYANG GLASS	2,727,978	\$ 12,385,020
1109	CHINA RES LAND	48,657,529	\$ 1,248,065,619
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	13,916,000	\$ 498,192,800
1113	CK ASSET	3,325,642	\$ 215,501,602
1114	BRILLIANCE CHI	127,516,219	\$ 2,881,866,549
1115	TIBET WATER	117,391,000	\$ 352,173,000



Aggregated Reportable Short Positions of Specified Shares as of 22/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1117	CH MODERN D	58,857,832	\$ 85,343,856
1122	QINGLING MOTORS	468,000	\$ 1,207,440
1128	WYNN MACAU	79,509,330	\$ 1,665,720,464
1129	WATER INDUSTRY	7,289,896	\$ 11,882,530
1133	HARBIN ELECTRIC	6,117,000	\$ 23,428,110
1136	TCC INT'L HOLD	0	\$ 0
1138	COSCO SHIP ENGY	9,639,000	\$ 42,315,210
1140	OP FIN INV	912,000	\$ 2,033,760
1141	CMBC CAPITAL	198,464,075	\$ 132,970,930
1157	ZOOMLION	120,549,030	\$ 418,305,134
1165	SFCE	57,835,348	\$ 27,471,790
1168	SINOLINK HOLD	17,728,000	\$ 31,201,280
1169	HAIER ELEC	3,886,780	\$ 75,481,268
1171	YANZHOU COAL	69,731,425	\$ 547,391,686
1176	ZHUGUANG HOLD	5,214,000	\$ 6,204,660
1177	SINO BIOPHARM	83,452,841	\$ 689,320,467
1185	CHINA ENERGINE	0	\$ 0
1186	CHINA RAIL CONS	103,393,843	\$ 1,021,531,169
1193	CHINA RES GAS	26,009,772	\$ 700,963,355
1196	REALORD GROUP	5,404,000	\$ 21,670,040
1199	COSCO SHIP PORT	33,864,441	\$ 289,879,615
1205	CITIC RESOURCES	53,921,837	\$ 48,529,653
1206	TECHNOVATOR	13,654,000	\$ 34,817,700
1208	MMG	65,956,977	\$ 234,806,838
1211	BYD COMPANY	112,144,643	\$ 7,917,411,796
1212	LIFESTYLE INT'L	0	\$ 0
1219	TENWOW INT'L	708,273	\$ 1,281,974
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	4,471,033	\$ 8,226,701
1230	YASHILI INT'L	27,741,000	\$ 40,779,270
1231	NEWTON RES	0	\$ 0
1233	TIMES PPT	10,724,000	\$ 90,617,800
1234	CHINA LILANG	244,000	\$ 1,564,040
1238	POWERLONG	0	\$ 0
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	37,915,990	\$ 7,469,450
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	17,808,000	\$ 25,999,680
1270	LANGHAM-SS	0	\$ 0
1272	DATANG ENVIRO	0	\$ 0
1278	CHINANEWTON	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 22/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1282	CHINA GOLDJOY	141,586,815	\$ 83,536,221
1288	ABC	62,249,071	\$ 219,739,221
1293	GRAND BAOXIN	9,567,510	\$ 46,498,099
1297	SINOSOFT TECH	21,179,000	\$ 49,135,280
1299	AIA	46,049,138	\$ 2,758,343,366
1300	TRIGIANT	1,139,647	\$ 1,185,233
1302	LIFETECH SCI	76,520,536	\$ 141,562,992
1308	SITC	17,927,289	\$ 126,208,115
1310	HKBN	19,370,192	\$ 156,123,748
1313	CHINARES CEMENT	24,040,000	\$ 121,402,000
1315	VISION FAME	84,810,000	\$ 44,101,200
1316	NEXTEER	13,312,000	\$ 180,776,960
1317	MAPLELEAF EDU	42,639,386	\$ 360,302,812
1330	DYNAGREEN ENV	1,983,000	\$ 8,566,560
1332	CHINA TOUYUN	5,539,675	\$ 2,298,965
1333	CHINA ZHONGWANG	103,704,251	\$ 418,965,174
1336	NCI	14,084,953	\$ 654,950,315
1339	PICC GROUP	36,126,504	\$ 126,081,499
1347	HUA HONG SEMI	3,540,000	\$ 36,816,000
1349	FUDANZHANGJIANG	1,992,000	\$ 8,326,560
1358	PW MEDTECH	2,055,000	\$ 3,575,700
1359	CHINA CINDA	152,302,133	\$ 449,291,292
1360	MEGAEXPO HLDG	4,930,000	\$ 9,514,900
1361	361 DEGREES	14,550,000	\$ 61,255,500
1363	CTEG	239,751,113	\$ 268,521,247
1366	JIANGNAN GP	36,373,984	\$ 18,005,122
1368	XTEP INT'L	53,698,629	\$ 142,301,367
1375	CC SECURITIES	18,397,224	\$ 68,621,646
1378	CHINAHONGQIAO	67,039,766	\$ 472,630,350
1381	CANVEST ENV	14,762,327	\$ 62,739,890
1382	PACIFICTEXTILES	12,193,175	\$ 97,789,264
1385	SHANGHAI FUDAN	124,000	\$ 722,920
1387	RENHE COMM	541,266,153	\$ 97,969,174
1398	ICBC	439,685,971	\$ 2,567,766,071
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	17,832,112	\$ 44,936,922
1432	CHINA SHENGMU	36,749,000	\$ 49,978,640
1448	FU SHOU YUAN	4,224,714	\$ 22,095,254
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	20,836,187	\$ 162,105,535
1462	GOLD-FIN HLDG	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 22/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1478	Q TECH	13,209,000	\$ 181,755,840
1508	CHINA RE	22,090,000	\$ 38,436,600
1509	HARMONICARE	4,066,000	\$ 12,238,660
1513	LIVZON PHARMA	143,635	\$ 6,262,486
1515	CRPHOENIXHEALTH	50,441,500	\$ 487,769,305
1518	NC HEALTHCARE	0	\$ 0
1520	RUNWAY GLOBAL	0	\$ 0
1528	RS MACALLINE	2,649,200	\$ 26,465,508
1530	3SBIO	82,276,138	\$ 1,026,806,202
1548	GENSCRIPT BIO	9,056,666	\$ 74,808,061
1558	HEC PHARM	0	\$ 0
1559	KWAN ON HLDGS	0	\$ 0
1566	CHINA ANIMATION	8,930,000	\$ 27,236,500
1569	MINSHENG EDU	3,734,000	\$ 6,833,220
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	257,000	\$ 1,559,990
1585	YADEA	7,526,000	\$ 18,815,000
1589	CNLP	14,764,000	\$ 35,876,520
1599	UCD	85,000	\$ 423,300
1600	TIAN LUN GAS	610,500	\$ 3,308,910
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	15,496,426	\$ 23,709,532
1616	SILVERMAN HL	2,034,228	\$ 1,403,617
1618	MCC	42,744,860	\$ 113,273,879
1622	REDCO PPT	3,658,800	\$ 14,049,792
1628	YUZHOU PPT	6,769,000	\$ 33,912,690
1632	FOODWISE HLDG	382,000	\$ 3,942,240
1635	DZUG	0	\$ 0
1636	CMRU	3,088,000	\$ 9,294,880
1658	PSBC	127,245,000	\$ 585,327,000
1661	WISDOM SPORTS	33,182,000	\$ 42,141,140
1666	TONG REN TANG	15,242,531	\$ 154,254,414
1668	CHINASOUTHCITY	122,732,041	\$ 244,236,762
1680	MACAU LEGEND	59,273,054	\$ 77,054,970
1681	CONSUN PHARMA	354,000	\$ 2,371,800
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	45,081,500	\$ 401,225,350
1766	CRRC	282,346,701	\$ 1,965,133,039
1776	GF SEC	29,447,426	\$ 515,329,955



Aggregated Reportable Short Positions of Specified Shares as of 22/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1777	FANTASIA	1,327,500	\$ 1,672,650
1778	COLOUR LIFE	9,524,800	\$ 51,433,920
1786	CRCCE	12,973,500	\$ 34,379,775
1788	GUOTAI JUNAN I	213,119,135	\$ 554,109,751
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	63,750,619	\$ 630,493,622
1803	BJ SPORTS & ENT	662,500	\$ 1,490,625
1811	CGN NEW ENERGY	12,148,000	\$ 12,876,880
1812	CHENMING PAPER	8,721,000	\$ 130,466,160
1813	KWG PROPERTY	54,523,647	\$ 514,157,991
1816	CGN POWER	533,010,617	\$ 1,161,963,145
1818	ZHAOJIN MINING	58,680,832	\$ 393,161,574
1828	DCH HOLDINGS	2,371,487	\$ 9,414,803
1829	CMEC	8,940,951	\$ 42,916,565
1836	STELLA HOLDINGS	2,677,339	\$ 37,536,293
1848	CALC	4,039,091	\$ 33,726,410
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	7,758,951	\$ 174,964,345
1883	CITIC TELECOM	3,387,000	\$ 7,722,360
1886	HUIYUAN JUICE	13,196,000	\$ 32,726,080
1888	KB LAMINATES	5,745,000	\$ 75,604,200
1893	SINOMA	4,596,000	\$ 21,876,960
1898	CHINA COAL	204,388,397	\$ 784,851,444
1899	XINGDA INT'L	18,712,232	\$ 55,388,207
1910	SAMSONITE	18,437,119	\$ 596,440,800
1913	PRADA	16,225,094	\$ 425,097,463
1918	SUNAC	169,926,999	\$ 5,879,474,165
1919	COSCO SHIP HOLD	135,579,387	\$ 610,107,242
1928	SANDS CHINA LTD	108,667,693	\$ 4,292,373,874
1929	CHOW TAI FOOK	15,841,460	\$ 140,196,921
1958	BAIC MOTOR	77,745,674	\$ 572,985,617
1963	BCQ	1,340,000	\$ 8,535,800
1966	CHINA SCE PPT	3,437,800	\$ 14,782,540
1970	IMAX CHINA	19,507,211	\$ 470,123,785
1972	SWIREPROPERTIES	9,109,724	\$ 237,308,310
1980	TIANGE	4,199,673	\$ 24,652,081
1988	MINSHENG BANK	395,293,528	\$ 2,940,983,848
1999	MAN WAH HLDGS	54,152,340	\$ 392,604,465
2005	SSY GROUP	2,920,000	\$ 10,015,600
2006	JINJIANG HOTELS	25,185,691	\$ 72,031,076



Aggregated Reportable Short Positions of Specified Shares as of 22/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2007	COUNTRY GARDEN	275,721,185	\$ 3,744,293,692
2008	PHOENIX TV	9,574,000	\$ 11,010,100
2009	BBMG	111,474,334	\$ 452,585,796
2014	OZNER WATER	38,118,000	\$ 75,092,460
2016	CZBANK	0	\$ 0
2018	AAC TECH	30,059,314	\$ 4,106,102,292
2020	ANTA SPORTS	48,729,866	\$ 1,586,157,138
2038	FIH	55,645,916	\$ 137,445,413
2039	CIMC	2,885,300	\$ 43,279,500
2066	SHENGJINGBANK	2,133,500	\$ 13,462,385
2098	ZALL GROUP	0	\$ 0
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	13,030,470	\$ 57,334,068
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	22,086,044	\$ 124,565,288
2136	LIFESTYLE CHI	356,500	\$ 1,023,155
2186	LUYE PHARMA	218,695,204	\$ 995,063,178
2196	FOSUN PHARMA	18,367,755	\$ 618,993,344
2198	CHINA SANJIANG	2,290,000	\$ 6,251,700
2199	REGINA MIRACLE	18,653,086	\$ 131,877,318
2200	HOSA INT'L	6,992,090	\$ 16,151,728
2202	CHINA VANKE	22,694,533	\$ 611,617,664
2208	GOLDWIND	41,333,710	\$ 405,897,032
2226	HONWORLD GP	250,000	\$ 907,500
2233	WESTCHINACEMENT	63,074,667	\$ 80,735,574
2238	GAC GROUP	27,931,876	\$ 520,091,531
2255	HAICHANG HLDG	7,046,000	\$ 14,162,460
2277	HUARONG INV	0	\$ 0
2280	HC INTL	22,916,800	\$ 142,084,160
2282	MGM CHINA	114,826,506	\$ 2,151,848,722
2298	COSMO LADY	64,932,664	\$ 187,006,072
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHOU INTL	8,287,075	\$ 504,682,868
2314	LEE & MAN PAPER	4,220,084	\$ 44,057,677
2318	PING AN	1,190,659,396	\$ 73,344,618,794
2319	MENGNU DAIRY	49,767,693	\$ 1,022,726,091
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	147,666,152	\$ 21,854,590
2328	PICC P&C	46,138,721	\$ 643,173,771
2329	GUORUI PPT	988,000	\$ 2,598,440



Aggregated Reportable Short Positions of Specified Shares as of 22/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2331	LI NING	37,707,410	\$ 266,968,463
2333	GREATWALL MOTOR	436,211,887	\$ 4,397,015,821
2338	WEICHAI POWER	199,384,794	\$ 1,754,586,187
2342	COMBA	9,936,338	\$ 12,917,239
2343	PACIFIC BASIN	211,486,914	\$ 422,973,828
2348	DAWNRAYS PHARMA	819,800	\$ 3,713,694
2349	CH CITY INFRA	0	\$ 0
2355	BAOYE GROUP	0	\$ 0
2356	DAHSING BANKING	282,544	\$ 4,752,390
2357	AVICHINA	137,399,571	\$ 644,403,988
2362	JINCHUAN INTL	12,125,274	\$ 13,216,549
2369	COOLPAD GROUP	78,428,148	\$ 56,468,267
2378	PRU	0	\$ 0
2380	CHINA POWER	189,432,342	\$ 490,629,766
2382	SUNNY OPTICAL	21,317,380	\$ 2,798,971,994
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	17,734,481	\$ 119,885,092
2388	BOC HONG KONG	39,065,845	\$ 1,502,081,740
2389	BJ ENT M&H	35,046,803	\$ 15,245,359
2393	YESTAR HEALTH	8,162,968	\$ 28,570,388
2588	BOC AVIATION	252,400	\$ 10,588,180
2600	CHALCO	539,461,395	\$ 3,776,229,765
2601	CPIC	4,698,338	\$ 159,978,409
2607	SH PHARMA	7,906,715	\$ 154,655,345
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	61,028,807	\$ 1,443,331,286
2638	HKELECTRIC-SS	255,155,574	\$ 1,821,810,798
2666	UNI MEDICAL	20,478,044	\$ 132,492,945
2669	CHINA OVS PPT	56,782,865	\$ 110,158,758
2678	TEXHONG TEXTILE	6,272,020	\$ 64,978,127
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	40,940,855	\$ 2,245,605,897
2689	ND PAPER	76,065,155	\$ 1,247,468,542
2722	CHONGQING M&E	0	\$ 0
2727	SH ELECTRIC	223,088,408	\$ 769,655,008
2768	JIAYUAN INTL	3,048,000	\$ 16,977,360
2777	R&F PROPERTIES	50,060,876	\$ 1,008,726,651
2778	CHAMPION REIT	5,447,000	\$ 30,339,790
2799	CHINA HUARONG	193,228,902	\$ 707,217,781
2800	TRACKER FUND	5,314,000	\$ 152,777,500
2801	ISHARES CHINA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 22/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	80,654,348	\$ 1,148,517,916
2823	ISHARES A50	216,353,557	\$ 2,985,679,087
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	3,137,000	\$ 353,226,200
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	75,989,729	\$ 139,821,101
2868	BJ CAPITAL LAND	5,956,055	\$ 28,172,140
2869	GREENTOWN SER	10,784,000	\$ 52,733,760
2877	SHINeway PHARM	3,555,000	\$ 25,347,150
2880	DALIAN PORT	43,974,767	\$ 60,245,431
2883	CHINA OILFIELD	161,470,127	\$ 1,077,005,747
2888	STANCHART	6,247,701	\$ 482,322,517
2899	ZIJIN MINING	221,939,327	\$ 625,868,902
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 22/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3124	F SAM HSIF	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 22/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3134	F SAM HSIFRF	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESKS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	41,521,857	\$ 1,932,842,443
3199	CSOP 5YCGBOND	0	\$ 0
3301	RONSHINECHINA	3,116,000	\$ 31,066,520
3308	GOLDEN EAGLE	24,247,916	\$ 223,565,786
3311	CHINA STATE CON	60,300,894	\$ 691,048,245
3320	CHINARES PHARMA	15,150,012	\$ 141,198,112
3323	CNBM	232,952,430	\$ 1,367,430,764
3328	BANKCOMM	114,677,732	\$ 658,250,182
3331	VINDA INT'L	315,000	\$ 4,561,200
3333	EVERGRANDE	205,074,776	\$ 6,049,705,892
3336	JU TENG INTL	9,127,937	\$ 30,122,192



Aggregated Reportable Short Positions of Specified Shares as of 22/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3337	ANTON OILFIELD	73,531,602	\$ 56,619,334
3339	LONKING	30,981,700	\$ 106,577,048
3344	ADDCHANCE	0	\$ 0
3360	FE HORIZON	29,113,844	\$ 207,290,569
3369	QHD PORT	2,262,000	\$ 6,197,880
3377	SINO-OCEAN GP	30,175,096	\$ 163,247,269
3378	XIAMEN PORT	2,448,648	\$ 3,770,918
3380	LOGAN PPT	93,626,203	\$ 867,914,902
3382	TIANJINPORT DEV	1,944,000	\$ 2,449,440
3383	AGILE GROUP	91,698,347	\$ 1,115,051,900
3389	HENGDELI	27,168,475	\$ 11,274,917
3393	WASION GROUP	38,449,789	\$ 149,954,177
3396	LEGENDHOLDING	2,558,800	\$ 51,687,760
3606	FUYAO GLASS	27,443,818	\$ 772,543,477
3618	CQRC BANK	48,220,356	\$ 247,370,426
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	396,700	\$ 7,394,488
3669	YONGDA AUTO	12,665,500	\$ 146,919,800
3698	HUIZHANG BANK	5,200,671	\$ 19,554,523
3708	YAT SING HLDG	290,000	\$ 1,110,700
3709	KORADIOR	1,385,000	\$ 8,531,600
3799	DALI FOODS	58,067,000	\$ 323,433,190
3800	GCL-POLY ENERGY	1,847,803,137	\$ 1,977,149,357
3808	SINOTRUK	60,880,375	\$ 663,596,088
3813	POU SHENG INT'L	98,766,729	\$ 145,187,092
3818	CHINA DONGXIANG	43,335,000	\$ 59,802,300
3836	HARMONY AUTO	22,570,384	\$ 111,949,105
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	10,015,000	\$ 51,577,250
3886	TOWN HEALTH	104,249,846	\$ 74,017,391
3888	KINGSOFT	59,070,948	\$ 1,080,998,348
3898	CRRC TIMES ELEC	39,196,717	\$ 1,708,976,861
3899	CIMC ENRIC	25,684,000	\$ 128,420,000
3900	GREENTOWN CHINA	63,157,309	\$ 653,046,575
3908	CICC	18,702,991	\$ 340,394,436
3918	NAGACORP	20,257,441	\$ 98,046,014
3933	UNITED LAB	158,582,376	\$ 903,919,543
3958	DFZQ	2,417,600	\$ 20,186,960
3968	CM BANK	148,927,758	\$ 4,229,548,327
3969	CHINA CRSC	2,034,000	\$ 11,980,260
3983	CHINA BLUECHEM	19,352,298	\$ 46,832,561



Aggregated Reportable Short Positions of Specified Shares as of 22/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3988	BANK OF CHINA	126,267,538	\$ 501,282,126
3993	CMOC	144,575,968	\$ 693,964,646
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	0	\$ 0
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	81,386,114	\$ 1,471,460,941
6066	CSC	4,376,511	\$ 31,510,879
6068	WISDOM EDU INTL	0	\$ 0
6099	CMSC	1,253,000	\$ 16,364,180
6116	LA CHAPELLE	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6169	YUHUA EDU	15,034,000	\$ 57,429,880
6178	EB SECURITIES	5,197,400	\$ 56,443,764
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	1,991,000	\$ 9,735,990
6808	SUNART RETAIL	179,658,622	\$ 1,273,779,630
6818	CEB BANK	133,574,032	\$ 494,223,918
6823	HKT-SS	43,443,752	\$ 412,715,644
6826	HAOHAI BIOTEC	9,100	\$ 324,870
6828	BG BLUE SKY	31,672,000	\$ 16,469,440
6837	HAITONG SEC	77,155,434	\$ 1,007,649,968
6839	YUNNAN WATER	3,895,000	\$ 13,009,300
6863	HUIZHAN DAIRY	362,165,431	\$ 152,109,481
6869	YOFC	3,495,500	\$ 92,455,975
6881	CGS	111,727,289	\$ 784,325,569
6886	HTSC	41,817,434	\$ 764,422,694
6889	DYNAM JAPAN	446,000	\$ 5,387,680
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 22/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCFI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7250	FL2 SAMSUNGK200	0	\$ 0
7255	FL2SAMSUNGTOPIX	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7311	FI SAMSUNGTOPIX	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7326	FI SAMSUNGK200	0	\$ 0
7328	FISAMSUNGHSCFI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	3,496,000	\$ 2,447,200
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	9,504,903	\$ 7,413,824
8057	MADISON HLDG	1,128,000	\$ 2,052,960
8078	HMV DIGIT CHINA	4,660,000	\$ 1,160,340
8083	INNOVATIONPAY	54,016,046	\$ 22,686,739
8095	BEIDA JADE BIRD	788,000	\$ 1,126,840
8102	LI BAO GE GP	250,000	\$ 1,727,500
8137	HONBRIDGE	2,218,000	\$ 1,840,940
8138	TONGRENTANGCM	448,000	\$ 4,623,360
8158	CRMI	50,770,000	\$ 9,900,150
8207	CHONGSING HLDGS	74,308,000	\$ 80,252,640
8237	LINK HOLDINGS	0	\$ 0
8265	CHINA TRUSTFUL	868,000	\$ 2,812,320
8279	AGTECH HOLDINGS	8,856,000	\$ 13,549,680



Aggregated Reportable Short Positions of Specified Shares as of 22/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
8407	CISI FIN	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	8,500,000	\$ 119,510,000
82823	ISHARES A50-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 22/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHARESMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0