



Aggregated Reportable Short Positions of Specified Shares as of 29/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	20,644,262	\$ 2,061,329,561
2	CLP HOLDINGS	27,663,637	\$ 2,214,474,142
3	HK & CHINA GAS	213,202,129	\$ 3,129,807,254
4	WHARF HOLDINGS	9,627,795	\$ 670,094,532
5	HSBC HOLDINGS	29,519,195	\$ 2,259,694,377
6	POWER ASSETS	16,895,358	\$ 1,142,970,969
8	PCCW	58,980,865	\$ 249,489,059
10	HANG LUNG GROUP	2,915,963	\$ 81,792,762
11	HANG SENG BANK	10,382,341	\$ 1,976,797,726
12	HENDERSON LAND	8,526,291	\$ 441,235,559
14	HYSAN DEV	4,024,662	\$ 147,906,329
16	SHK PPT	5,501,925	\$ 698,194,283
17	NEW WORLD DEV	62,815,730	\$ 704,792,491
19	SWIRE PACIFIC A	8,065,733	\$ 611,382,561
20	WHEELLOCK	2,737,558	\$ 150,428,812
23	BANK OF E ASIA	48,887,037	\$ 1,649,937,499
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	55,055,541	\$ 3,028,054,755
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	23,390,000	\$ 24,091,700
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	6,117,992	\$ 22,820,110
39	CH BEIDAHUANG	19,296,000	\$ 7,428,960
41	GREAT EAGLE H	158,707	\$ 6,522,858
43	C.P. POKPHAND	0	\$ 0
44	HAECO	35,608	\$ 1,828,471
45	HK&S HOTELS	8,764,125	\$ 114,284,190
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	1,626,178	\$ 50,899,371
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	962,000	\$ 29,244,800
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	60,322,221	\$ 2,750,693,278
69	SHANGRI-LA ASIA	13,811,881	\$ 199,996,037
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	3,165,624	\$ 15,954,745
83	SINO LAND	24,069,558	\$ 330,234,336
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	145,000	\$ 1,171,600
95	LVGEM CHINA	3,122,286	\$ 7,056,366
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	14,702,771	\$ 272,589,374
102	SUMMIT ASCENT	71,925,540	\$ 78,398,839
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	6,251,500	\$ 19,629,710
116	CHOW SANG SANG	324,000	\$ 5,799,600
119	POLY PROPERTY	69,622,253	\$ 279,185,235
123	YUEXIU PROPERTY	14,362,000	\$ 22,835,580
127	CHINESE EST H	721,000	\$ 9,733,500
129	ASIA STANDARD	2,528,000	\$ 5,435,200
133	CHINA MERCHANTS	34,000	\$ 443,360
135	KUNLUN ENERGY	292,679,832	\$ 2,233,147,118
136	HENGTEN NET	961,058,824	\$ 278,707,059
142	FIRST PACIFIC	7,303,827	\$ 45,502,842
144	CHINA MER PORT	19,612,149	\$ 472,652,791
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	2,775,690	\$ 114,774,782
151	WANT WANT CHINA	735,940,395	\$ 4,040,312,769
152	SHENZHEN INT'L	10,489,214	\$ 153,981,662
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
164	CHINA BAOLI TEC	64,909,496	\$ 10,190,791
165	CHINA EB LTD	25,486,889	\$ 457,744,526
168	TSINGTAO BREW	17,660,915	\$ 528,061,359
171	SILVER GRANT	1,714,000	\$ 1,919,680
173	K. WAH INT'L	651,965	\$ 3,064,236
175	GEELY AUTO	120,369,778	\$ 2,648,135,116
177	JIANGSU EXPRESS	13,096,493	\$ 156,634,056
178	SA SA INT'L	68,516,316	\$ 208,974,764
179	JOHNSON ELEC H	1,765,208	\$ 52,691,459
182	CONCORD NE	32,720,000	\$ 11,779,200
186	GRANDE HOLDINGS	0	\$ 0
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	28,899,462	\$ 19,362,640
198	SMI HOLDINGS	39,201,676	\$ 161,118,888
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	13,106,808	\$ 294,903,180
207	JOY CITY PPT	20,785,695	\$ 29,931,401
215	HUTCHTEL HK	74,591,505	\$ 212,585,789
217	CHINA CHENGTONG	1,690,040	\$ 980,223
218	SHENWANHONGYUAN	9,026,805	\$ 25,726,394
220	U-PRESID CHINA	22,204,000	\$ 170,748,760
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,734,000	\$ 2,098,140
232	AVIC INT'L	46,960,000	\$ 27,236,800
235	CHINA STRATEGIC	162,104,333	\$ 17,993,581
241	ALI HEALTH	329,705,915	\$ 1,285,853,069
242	SHUN TAK HOLD	14,771,666	\$ 51,405,398
245	CN MINSHENG FIN	27,067,895	\$ 12,721,911
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	530,186	\$ 4,161,960
256	CITYCHAMP	20,290,000	\$ 36,724,900
257	CHINA EB INT'L	122,458,224	\$ 1,200,090,595
258	TOMSON GROUP	761,614	\$ 2,985,527
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	36,617,188	\$ 422,562,350
268	KINGDEE INT'L	183,066,200	\$ 629,747,728
270	GUANGDONG INV	18,304,461	\$ 203,911,696
272	SHUI ON LAND	42,629,524	\$ 85,685,343
273	MASON GP HOLD	135,016,001	\$ 14,311,696
275	MASTER GLORY GP	71,520,000	\$ 7,295,040
285	BYD ELECTRONIC	54,440,674	\$ 1,257,579,569
288	WH GROUP	124,052,543	\$ 1,029,636,107
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	24,554,679	\$ 519,331,461
293	CATHAY PAC AIR	73,569,580	\$ 868,121,044
297	SINOFERT	121,221,600	\$ 172,134,672
303	VTECH HOLDINGS	1,728,421	\$ 196,694,310
308	CHINA TRAVEL HK	51,987,072	\$ 148,683,026
315	SMARTONE TELE	44,339,092	\$ 415,457,292
316	OOIL	6,209,542	\$ 455,469,906
317	COMEC	15,141,945	\$ 211,987,230



Aggregated Reportable Short Positions of Specified Shares as of 29/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
321	TEXWINCA HOLD	19,755,307	\$ 92,652,390
322	TINGYI	172,280,352	\$ 2,026,016,940
323	MAANSHAN IRON	78,044,155	\$ 298,128,672
327	PAX GLOBAL	102,350,883	\$ 425,779,673
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	102,139,942	\$ 445,330,147
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	2,389,792	\$ 11,542,695
337	GREENLAND HK	3,391,225	\$ 10,038,026
338	SHANGHAI PECEM	38,872,850	\$ 180,758,753
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	1,814,000	\$ 44,080,200
342	NEWOCEAN ENERGY	43,606,470	\$ 92,881,781
345	VITASOY INT'L	4,138,560	\$ 72,010,944
347	ANGANG STEEL	63,774,619	\$ 439,407,125
354	CHINASOFT INT'L	93,217,190	\$ 403,630,433
358	JIANGXI COPPER	65,563,368	\$ 809,051,961
363	SHANGHAI IND H	524,000	\$ 12,392,600
368	SINOTRANS SHIP	50,898,758	\$ 114,522,206
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	161,716,442	\$ 1,017,196,420
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	10,926,000	\$ 14,750,100
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	82,016,989	\$ 1,919,197,543
386	SINOPEC CORP	166,448,137	\$ 973,721,601
388	HKEX	33,031,642	\$ 6,936,644,820
390	CHINA RAILWAY	63,873,203	\$ 412,620,891
392	BEIJING ENT	6,826,145	\$ 286,698,090
400	COGOBUY	28,863,000	\$ 138,542,400
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	65,023,851	\$ 290,656,614
412	CHINA INNO FIN	4,170,000	\$ 1,542,900
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	781,000	\$ 6,302,670
419	HUAYI TENCENT	198,650,401	\$ 68,534,388
425	MINTH GROUP	13,910,906	\$ 568,956,055
433	NORTH MINING	134,084,966	\$ 21,721,764
434	BOYAA	3,645,194	\$ 11,373,005



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	53,360,000	\$ 166,483,200
440	DAH SING	448,940	\$ 23,838,714
451	GCL NEWENERGY	119,556,000	\$ 62,169,120
460	SIHUAN PHARM	196,009,128	\$ 556,665,924
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	3,588,312	\$ 17,223,898
480	HKR INT'L	2,548,000	\$ 12,663,560
486	RUSAL	0	\$ 0
488	LAI SUN DEV	348,600	\$ 4,901,316
489	DONGFENG GROUP	90,705,464	\$ 936,080,388
493	GOME RETAIL	1,908,387,501	\$ 1,679,381,001
494	LI & FUNG	304,925,936	\$ 1,195,309,669
497	CSI PROPERTIES	3,000,000	\$ 1,140,000
506	CHINA FOODS	30,614,882	\$ 121,847,230
508	DINGYI GP INV	10,928,315	\$ 5,573,441
511	TVB	9,365,102	\$ 243,024,397
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,776,000	\$ 5,896,320
520	XIABUXIABU	686,500	\$ 6,487,425
521	HNA HOLDING	51,144,000	\$ 18,667,560
522	ASM PACIFIC	30,647,916	\$ 3,447,890,550
525	GUANGSHEN RAIL	53,201,600	\$ 243,663,328
526	LISI GP HOLD	1,432,000	\$ 1,832,960
530	GOLDIN FIN HOLD	13,090,000	\$ 47,909,400
535	GEMDALE PPT	63,375,212	\$ 55,770,187
538	AJISEN (CHINA)	872,846	\$ 3,098,603
546	FUFENG GROUP	41,071,984	\$ 219,735,114
547	DIGITAL DOMAIN	184,895,639	\$ 42,895,788
548	SHENZHENEXPRESS	1,604,000	\$ 12,158,320
551	YUE YUEN IND	7,273,457	\$ 216,021,673
552	CHINACOMSERVICE	9,036,000	\$ 36,324,720
563	SH IND URBAN	22,050,000	\$ 37,926,000
570	TRAD CHI MED	65,725,052	\$ 285,903,976
576	ZHEJIANGEXPRESS	12,340,055	\$ 119,821,934
579	JNCEC	13,463,877	\$ 29,351,252
580	SUN.KING ELEC	0	\$ 0
581	CHINA ORIENTAL	2,194,000	\$ 12,264,460
586	CONCH VENTURE	25,093,510	\$ 381,421,352
588	BEIJING N STAR	2,298,000	\$ 6,710,160
590	LUK FOOK HOLD	2,483,345	\$ 78,349,535



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598	SINOTRANS	12,794,580	\$ 50,794,483
603	CHINA OIL & GAS	8,980,000	\$ 4,759,400
604	SHENZHEN INVEST	13,715,635	\$ 48,553,348
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	1,208,000	\$ 4,397,120
607	FULLSHARE	863,227,447	\$ 2,934,973,320
622	ENERCHINA HOLD	118,973,938	\$ 21,058,387
626	PUBLIC FIN HOLD	0	\$ 0
633	CH ALL ACCESS	1,106,000	\$ 2,698,640
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	40,786,422	\$ 72,191,967
651	CHI OCEAN IND	338,588,124	\$ 19,976,699
656	FOSUN INTL	123,196,019	\$ 2,027,806,473
658	C TRANSMISSION	27,005,332	\$ 270,593,427
659	NWS HOLDINGS	5,548,211	\$ 84,443,771
665	HAITONG INT'L	185,442,509	\$ 834,491,291
669	TECHTRONIC IND	6,175,452	\$ 257,516,348
670	CHINA EAST AIR	86,669,442	\$ 334,544,046
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	101,950,000	\$ 25,079,700
683	KERRY PPT	13,836,878	\$ 447,623,003
686	PANDA GREEN	121,671,906	\$ 125,322,063
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	29,780,440	\$ 756,423,176
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	5,252,315	\$ 61,136,947
696	TRAVELSKY TECH	7,016,924	\$ 142,794,403
697	SHOUGANG INT'L	19,159,040	\$ 4,406,579
698	TONGDA GROUP	718,315,470	\$ 1,501,279,332
699	CAR INC	79,518,821	\$ 567,764,382
700	TENCENT	104,784,045	\$ 35,228,395,929
702	SINO OIL & GAS	82,543,271	\$ 12,381,491
708	EVERG HEALTH	2,360,000	\$ 8,094,800
709	GIORDANO INT'L	7,136,000	\$ 33,467,840
715	CHINA OCEANWIDE	34,644,227	\$ 22,518,748
716	SINGAMAS CONT	12,314,000	\$ 21,303,220
717	EMPEROR CAPITAL	22,487,007	\$ 14,391,684
718	TAI UNITED HOLD	1,435,000	\$ 1,922,900
721	C FIN INT INV	63,363,584	\$ 14,953,806
728	CHINA TELECOM	56,272,665	\$ 225,090,660
729	FDG EVEHICLES	410,493,897	\$ 160,092,620



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732	TRULY INT'L	141,400,793	\$ 370,470,078
735	CP CLEAN ENERGY	1,173,403	\$ 5,151,239
737	HOPEWELL INFR	6,490,000	\$ 31,346,700
750	SINGYES SOLAR	62,112,565	\$ 162,113,795
751	SKYWORTHDIGITAL	96,601,119	\$ 385,438,465
752	PICO FAR EAST	412,000	\$ 1,347,240
753	AIR CHINA	51,501,823	\$ 334,246,831
754	HOPSON DEV HOLD	0	\$ 0
762	CHINA UNICOM	277,845,922	\$ 3,017,406,713
763	ZTE	30,565,614	\$ 780,951,438
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	3,403,505	\$ 88,831,481
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	61,649,752	\$ 46,237,314
798	CEOVU	0	\$ 0
799	IGG	85,855,017	\$ 898,043,478
806	VALUE PARTNERS	103,042,167	\$ 726,447,277
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	3,346,000	\$ 21,414,400
813	SHIMAO PROPERTY	13,254,098	\$ 224,524,420
815	CHI SILVER GP	27,875,317	\$ 65,228,242
816	HUADIAN FUXIN	121,297,704	\$ 218,335,867
817	CHINA JINMAO	192,180,394	\$ 755,268,948
818	HI SUN TECH	12,991,181	\$ 23,384,126
819	TIANNENG POWER	32,727,550	\$ 264,111,329
823	LINK REIT	21,511,772	\$ 1,361,695,168
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	8,674,191	\$ 30,359,669
836	CHINA RES POWER	24,580,732	\$ 346,588,321
838	EVA HOLDINGS	378,000	\$ 415,800
845	GLORIOUS PPT H	23,025,000	\$ 20,031,750
853	MICROPORT	12,369,987	\$ 89,063,906
855	CHINA WATER	44,295,394	\$ 245,396,483
856	VSTECs	350,000	\$ 903,000
857	PETROCHINA	341,024,131	\$ 1,688,069,448
860	O LUXE HOLD	22,633,765	\$ 37,798,388
861	DC HOLDINGS	61,063,563	\$ 268,679,677
867	CMS	38,525,884	\$ 525,493,058
868	XINYI GLASS	41,874,991	\$ 323,274,931
874	BAIYUNSHAN PH	2,317,372	\$ 47,274,389
877	O-NET TECH GP	46,555,629	\$ 240,227,046



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878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	89,037,390	\$ 636,617,339
881	ZHONGSHENG HLDG	17,134,923	\$ 289,922,897
882	TIANJIN DEV	2,344,000	\$ 9,376,000
883	CNOOC	128,806,438	\$ 1,298,368,895
884	CIFI HOLD GP	90,013,753	\$ 391,559,826
885	RENTIAN TECH	16,860,000	\$ 6,322,500
887	EMPEROR WATCH&J	31,710,000	\$ 12,842,550
895	DONGJIANG ENV	3,398,550	\$ 39,491,151
902	HUANENG POWER	149,132,831	\$ 720,311,574
906	COFCO PACKAGING	2,140,338	\$ 9,845,555
914	CONCH CEMENT	83,670,430	\$ 2,606,333,895
915	DAOHE GLOBAL	3,113,000	\$ 8,187,190
916	CHINA LONGYUAN	128,301,373	\$ 746,713,991
921	HISENSE KELON	4,697,000	\$ 40,159,350
931	CHINA LNG	73,923,238	\$ 88,707,886
932	RM GROUP	3,644,000	\$ 27,257,120
933	BRIGHTOIL	43,844,654	\$ 65,766,981
934	SINOPEC KANTONS	16,017,679	\$ 84,252,992
939	CCB	969,026,043	\$ 6,279,288,759
941	CHINA MOBILE	30,331,993	\$ 2,400,777,246
950	LEE'S PHARM	902,461	\$ 5,577,209
951	CHAOWEI POWER	65,789,308	\$ 297,367,672
956	CHINA SUNTIEN	24,115,000	\$ 46,783,100
958	HN RENEWABLES	175,121,700	\$ 451,813,986
960	LONGFOR PPT	15,083,275	\$ 297,140,518
963	BLOOMAGE BIOTEC	1,619,366	\$ 25,262,110
966	CHINA TAIPING	29,227,697	\$ 610,858,867
968	XINYI SOLAR	187,624,516	\$ 521,596,154
973	L'OCCITANE	335,750	\$ 5,694,320
976	CHIHO ENV	12,027,399	\$ 50,996,172
978	CHI MER LAND	14,239,000	\$ 22,212,840
980	LIANHUA	7,041,709	\$ 22,533,469
981	SMIC	500,688,802	\$ 4,416,075,234
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	28,393,681	\$ 70,984,203
992	LENOVO GROUP	1,072,843,353	\$ 4,623,954,851
993	HUARONG INT FIN	797,000	\$ 2,303,330
995	ANHUIEXPRESSWAY	500,000	\$ 2,975,000
996	CARNIVAL GROUP	168,739,582	\$ 70,026,927
998	CITIC BANK	234,996,500	\$ 1,165,582,640



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999	I.T	0	\$ 0
1003	HUANXI MEDIA	3,455,520	\$ 7,049,261
1004	C SMARTERENERGY	0	\$ 0
1019	CONVOY	275,244,000	\$ 54,498,312
1028	C.BANNER	1,147,000	\$ 3,154,250
1030	FUTURE LAND	11,430,000	\$ 43,891,200
1031	KINGSTON FIN	131,563,923	\$ 523,624,414
1033	SINOPEC SSC	115,653,545	\$ 157,288,821
1038	CKI HOLDINGS	43,529,241	\$ 2,925,164,995
1039	FORTUNET E-COMM	0	\$ 0
1044	HENGAN INT'L	50,424,670	\$ 3,645,703,641
1045	APT SATELLITE	1,685,614	\$ 6,152,491
1051	G-RESOURCES	35,856,106	\$ 3,693,179
1052	YUEXIUTRANSPORT	29,632,564	\$ 173,943,151
1055	CHINA SOUTH AIR	125,198,352	\$ 673,567,134
1060	ALI PICTURES	1,211,097,748	\$ 1,513,872,185
1061	ESSEX BIO-TECH	0	\$ 0
1065	TIANJIN CAPITAL	15,802,000	\$ 77,745,840
1066	WEIGAO GROUP	21,716,416	\$ 120,091,780
1068	YURUN FOOD	10,725,841	\$ 9,975,032
1070	TCL MULTIMEDIA	23,611,055	\$ 87,360,904
1071	HUADIAN POWER	49,981,407	\$ 157,941,246
1072	DONGFANG ELEC	3,899,310	\$ 30,063,680
1076	IMPERIAL PAC	218,280,855	\$ 25,320,579
1083	TOWNGAS CHINA	10,679,906	\$ 58,525,885
1086	GOODBABY INTL	8,435,000	\$ 36,354,850
1088	CHINA SHENHUA	28,090,314	\$ 515,738,165
1089	LEYOU TECH H	25,961,101	\$ 48,547,259
1090	DA MING INT'L	0	\$ 0
1093	CSPC PHARMA	40,635,481	\$ 531,512,091
1098	ROAD KING INFRA	1,091,000	\$ 13,724,780
1099	SINOPHARM	27,873,567	\$ 958,850,705
1103	DASHENG AGR FIN	44,859,999	\$ 27,813,199
1106	SINO HAIJING	0	\$ 0
1108	LUOYANG GLASS	2,961,978	\$ 13,269,661
1109	CHINA RES LAND	48,202,980	\$ 1,152,051,222
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	11,906,000	\$ 454,213,900
1113	CK ASSET	1,327,454	\$ 85,819,901
1114	BRILLIANCE CHI	117,714,262	\$ 2,448,456,650
1115	TIBET WATER	126,163,000	\$ 382,273,890



Aggregated Reportable Short Positions of Specified Shares as of 29/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1117	CH MODERN D	52,512,783	\$ 89,271,731
1122	QINGLING MOTORS	468,000	\$ 1,193,400
1128	WYNN MACAU	73,869,197	\$ 1,554,946,597
1129	WATER INDUSTRY	7,357,896	\$ 11,993,370
1133	HARBIN ELECTRIC	6,179,000	\$ 22,985,880
1136	TCC INT'L HOLD	0	\$ 0
1138	COSCO SHIP ENGY	11,503,000	\$ 49,807,990
1140	OP FIN INV	912,000	\$ 2,161,440
1141	CMBC CAPITAL	220,709,075	\$ 147,875,080
1157	ZOOMLION	123,586,422	\$ 425,137,292
1165	SFCE	59,293,553	\$ 25,496,228
1168	SINOLINK HOLD	26,000,000	\$ 33,020,000
1169	HAIER ELEC	3,050,340	\$ 58,078,474
1171	YANZHOU COAL	66,405,123	\$ 510,655,396
1176	ZHUGUANG HOLD	3,704,000	\$ 4,444,800
1177	SINO BIOPHARM	81,880,901	\$ 676,336,242
1185	CHINA ENERGINE	0	\$ 0
1186	CHINA RAIL CONS	101,791,521	\$ 1,007,736,058
1193	CHINA RES GAS	25,029,324	\$ 680,797,613
1196	REALORD GROUP	5,418,000	\$ 22,809,780
1199	COSCO SHIP PORT	36,161,652	\$ 314,244,756
1205	CITIC RESOURCES	52,519,837	\$ 47,267,853
1206	TECHNOVATOR	13,302,000	\$ 31,791,780
1208	MMG	71,505,097	\$ 248,837,738
1211	BYD COMPANY	114,913,642	\$ 8,325,493,363
1212	LIFESTYLE INT'L	0	\$ 0
1219	TENWOW INT'L	715,273	\$ 1,409,088
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	4,990,033	\$ 8,782,458
1230	YASHILI INT'L	27,369,000	\$ 45,432,540
1231	NEWTON RES	0	\$ 0
1233	TIMES PPT	13,873,000	\$ 111,261,460
1234	CHINA LILANG	244,000	\$ 1,588,440
1238	POWERLONG	2,858,908	\$ 11,378,454
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	43,138,848	\$ 8,153,242
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	17,544,000	\$ 26,491,440
1270	LANGHAM-SS	0	\$ 0
1272	DATANG ENVIRO	0	\$ 0
1278	CHINANEWTON	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 29/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1282	CHINA GOLDJOY	143,121,470	\$ 83,010,453
1288	ABC	66,511,336	\$ 232,789,676
1293	GRAND BAOXIN	12,623,102	\$ 56,677,728
1297	SINOSOFT TECH	21,917,400	\$ 49,533,324
1299	AIA	48,936,228	\$ 2,818,726,733
1300	TRIGIANT	1,139,647	\$ 1,253,612
1302	LIFETECH SCI	84,214,696	\$ 154,955,041
1308	SITC	17,011,108	\$ 120,438,645
1310	HKBN	19,656,668	\$ 160,398,411
1313	CHINARES CEMENT	24,762,000	\$ 118,857,600
1315	VISION FAME	80,984,000	\$ 42,111,680
1316	NEXTEER	13,100,000	\$ 175,278,000
1317	MAPLELEAF EDU	37,684,000	\$ 328,981,320
1330	DYNAGREEN ENV	1,961,000	\$ 8,314,640
1332	CHINA TOUYUN	5,539,675	\$ 2,160,473
1333	CHINA ZHONGWANG	105,346,651	\$ 420,333,137
1336	NCI	14,939,574	\$ 659,582,192
1339	PICC GROUP	26,211,494	\$ 91,478,114
1347	HUA HONG SEMI	4,100,000	\$ 43,296,000
1349	FUDANZHANGJIANG	1,843,000	\$ 7,353,570
1358	PW MEDTECH	2,432,000	\$ 4,061,440
1359	CHINA CINDA	174,008,399	\$ 501,144,189
1360	MEGAEXPO HLDG	5,598,323	\$ 11,756,478
1361	361 DEGREES	19,527,000	\$ 66,977,610
1363	CTEG	228,447,113	\$ 255,860,767
1366	JIANGNAN GP	37,743,984	\$ 17,550,953
1368	XTEP INT'L	53,368,600	\$ 143,561,534
1375	CC SECURITIES	18,796,224	\$ 68,042,331
1378	CHINAHONGQIAO	67,039,766	\$ 472,630,350
1381	CANVEST ENV	15,901,000	\$ 68,056,280
1382	PACIFICTEXTILES	13,356,761	\$ 107,121,223
1385	SHANGHAI FUDAN	104,000	\$ 586,560
1387	RENHE COMM	541,530,653	\$ 97,475,518
1398	ICBC	508,205,231	\$ 2,947,590,340
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	18,410,112	\$ 44,368,370
1432	CHINA SHENGMU	36,764,000	\$ 51,101,960
1448	FU SHOU YUAN	4,124,000	\$ 21,197,360
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	21,638,000	\$ 163,583,280
1462	GOLD-FIN HLDG	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 29/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1478	Q TECH	12,099,000	\$ 170,837,880
1508	CHINA RE	22,980,000	\$ 39,755,400
1509	HARMONICARE	4,018,945	\$ 12,297,972
1513	LIVZON PHARMA	220,957	\$ 9,987,256
1515	CRPHOENIXHEALTH	51,364,500	\$ 500,290,230
1518	NC HEALTHCARE	0	\$ 0
1520	RUNWAY GLOBAL	0	\$ 0
1528	RS MACALLINE	2,710,400	\$ 26,290,880
1530	3SBIO	81,005,138	\$ 1,014,184,328
1548	GENSCRIPT BIO	9,192,000	\$ 68,572,320
1558	HEC PHARM	0	\$ 0
1559	KWAN ON HLDGS	0	\$ 0
1566	CHINA ANIMATION	9,111,000	\$ 27,515,220
1569	MINSHENG EDU	2,160,000	\$ 3,952,800
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	257,000	\$ 1,603,680
1585	YADEA	9,590,000	\$ 27,906,900
1589	CNLP	14,808,000	\$ 36,427,680
1599	UCD	85,000	\$ 395,250
1600	TIAN LUN GAS	600,000	\$ 3,396,000
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	18,412,426	\$ 27,986,888
1616	SILVERMAN HL	1,668,228	\$ 1,167,760
1618	MCC	53,186,860	\$ 136,690,230
1622	REDCO PPT	3,626,800	\$ 13,346,624
1628	YUZHOU PPT	9,643,000	\$ 40,693,460
1632	FOODWISE HLDG	380,000	\$ 3,898,800
1635	DZUG	0	\$ 0
1636	CMRU	2,976,000	\$ 9,017,280
1658	PSBC	122,241,462	\$ 548,864,164
1661	WISDOM SPORTS	33,758,000	\$ 42,872,660
1666	TONG REN TANG	14,562,531	\$ 145,479,685
1668	CHINASOUTHCITY	111,552,018	\$ 248,761,000
1680	MACAU LEGEND	59,727,561	\$ 76,451,278
1681	CONSUN PHARMA	410,000	\$ 2,697,800
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	46,586,983	\$ 395,057,616
1766	CRRC	273,610,720	\$ 1,898,858,397
1776	GF SEC	27,104,782	\$ 460,239,198



Aggregated Reportable Short Positions of Specified Shares as of 29/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1777	FANTASIA	3,051,000	\$ 3,386,610
1778	COLOUR LIFE	9,451,800	\$ 47,920,626
1786	CRCCE	12,892,000	\$ 33,132,440
1788	GUOTAI JUNAN I	215,599,013	\$ 536,841,542
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	59,515,383	\$ 580,274,984
1803	BJ SPORTS & ENT	662,500	\$ 1,523,750
1811	CGN NEW ENERGY	12,396,000	\$ 13,263,720
1812	CHENMING PAPER	8,912,000	\$ 131,897,600
1813	KWG PROPERTY	48,594,895	\$ 405,767,373
1816	CGN POWER	537,220,558	\$ 1,160,396,405
1818	ZHAOJIN MINING	57,785,532	\$ 381,384,511
1828	DCH HOLDINGS	3,682,487	\$ 14,214,400
1829	CMEC	9,587,951	\$ 46,789,201
1836	STELLA HOLDINGS	2,616,479	\$ 35,741,103
1848	CALC	4,417,591	\$ 35,782,487
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	7,758,951	\$ 174,188,450
1883	CITIC TELECOM	2,017,259	\$ 4,538,833
1886	HUIYUAN JUICE	13,161,500	\$ 31,719,215
1888	KB LAMINATES	6,673,500	\$ 84,219,570
1893	SINOMA	3,920,000	\$ 17,248,000
1898	CHINA COAL	206,897,404	\$ 763,451,421
1899	XINGDA INT'L	21,404,104	\$ 62,714,025
1910	SAMSONITE	18,791,399	\$ 629,511,867
1913	PRADA	16,201,153	\$ 440,671,362
1918	SUNAC	175,413,907	\$ 6,271,047,175
1919	COSCO SHIP HOLD	143,811,285	\$ 602,569,284
1928	SANDS CHINA LTD	112,449,081	\$ 4,571,055,143
1929	CHOW TAI FOOK	18,916,965	\$ 177,251,962
1958	BAIC MOTOR	81,417,988	\$ 603,307,291
1963	BCQ	1,201,000	\$ 7,638,360
1966	CHINA SCE PPT	3,627,800	\$ 13,821,918
1970	IMAX CHINA	19,355,804	\$ 456,796,974
1972	SWIREPROPERTIES	10,808,601	\$ 286,427,927
1980	TIANGE	4,863,673	\$ 27,674,299
1988	MINSHENG BANK	375,247,096	\$ 2,686,769,207
1999	MAN WAH HLDGS	54,224,363	\$ 379,570,541
2005	SSY GROUP	2,182,000	\$ 7,789,740
2006	JINJIANG HOTELS	23,388,498	\$ 68,294,414



Aggregated Reportable Short Positions of Specified Shares as of 29/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2007	COUNTRY GARDEN	270,851,121	\$ 3,363,970,923
2008	PHOENIX TV	9,522,000	\$ 10,855,080
2009	BBMG	142,234,128	\$ 563,247,147
2014	OZNER WATER	38,112,000	\$ 76,605,120
2016	CZBANK	0	\$ 0
2018	AAC TECH	29,724,258	\$ 3,899,822,650
2020	ANTA SPORTS	46,464,775	\$ 1,526,367,859
2038	FIH	59,721,598	\$ 147,512,347
2039	CIMC	2,785,900	\$ 41,119,884
2066	SHENGJINGBANK	2,133,500	\$ 13,142,360
2098	ZALL GROUP	0	\$ 0
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	13,944,000	\$ 61,353,600
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	19,406,044	\$ 103,434,215
2136	LIFESTYLE CHI	356,500	\$ 980,375
2186	LUYE PHARMA	216,583,400	\$ 987,620,304
2196	FOSUN PHARMA	17,925,105	\$ 580,773,402
2198	CHINA SANJIANG	2,613,000	\$ 6,872,190
2199	REGINA MIRACLE	18,582,826	\$ 125,991,560
2200	HOSA INT'L	6,384,090	\$ 14,938,771
2202	CHINA VANKE	15,228,160	\$ 391,363,712
2208	GOLDWIND	40,095,870	\$ 391,335,691
2226	HONWORLD GP	228,500	\$ 854,590
2233	WESTCHINACEMENT	57,130,000	\$ 72,555,100
2238	GAC GROUP	25,732,900	\$ 465,250,832
2255	HAICHANG HLDG	7,263,000	\$ 14,453,370
2277	HUARONG INV	0	\$ 0
2280	HC INTL	26,080,615	\$ 164,307,875
2282	MGM CHINA	117,209,216	\$ 2,194,156,524
2298	COSMO LADY	63,972,664	\$ 196,396,078
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHOU INTL	7,160,115	\$ 438,199,038
2314	LEE & MAN PAPER	3,877,433	\$ 38,774,330
2318	PING AN	1,211,903,026	\$ 72,653,586,409
2319	MENGNU DAIRY	50,534,693	\$ 1,104,183,042
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	148,106,152	\$ 24,141,303
2328	PICC P&C	42,489,306	\$ 585,502,637
2329	GUORUI PPT	988,000	\$ 2,637,960



Aggregated Reportable Short Positions of Specified Shares as of 29/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2331	LI NING	34,187,445	\$ 237,944,617
2333	GREATWALL MOTOR	457,389,269	\$ 4,390,936,982
2338	WEICHAI POWER	176,441,478	\$ 1,510,339,052
2342	COMBA	15,960,609	\$ 23,781,307
2343	PACIFIC BASIN	220,412,921	\$ 387,926,741
2348	DAWNRAYS PHARMA	818,800	\$ 3,684,600
2349	CH CITY INFRA	0	\$ 0
2355	BAOYE GROUP	0	\$ 0
2356	DAHSING BANKING	0	\$ 0
2357	AVICHINA	141,215,418	\$ 666,536,773
2362	JINCHUAN INTL	11,126,364	\$ 12,350,264
2369	COOLPAD GROUP	75,028,148	\$ 54,020,267
2378	PRU	0	\$ 0
2380	CHINA POWER	204,367,863	\$ 525,225,408
2382	SUNNY OPTICAL	19,640,918	\$ 2,439,402,016
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	17,576,481	\$ 121,101,954
2388	BOC HONG KONG	38,309,245	\$ 1,453,835,848
2389	BJ ENT M&H	35,586,803	\$ 15,302,325
2393	YESTAR HEALTH	8,115,468	\$ 27,998,365
2588	BOC AVIATION	206,400	\$ 8,751,360
2600	CHALCO	554,902,090	\$ 3,889,863,651
2601	CPIC	4,662,582	\$ 156,895,884
2607	SH PHARMA	8,260,149	\$ 157,603,643
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	63,114,298	\$ 1,467,407,429
2638	HKELECTRIC-SS	266,308,279	\$ 1,896,114,946
2666	UNI MEDICAL	21,456,044	\$ 138,820,605
2669	CHINA OVS PPT	54,625,865	\$ 102,150,368
2678	TEXHONG TEXTILE	6,152,154	\$ 65,335,875
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	41,341,483	\$ 2,339,927,938
2689	ND PAPER	75,689,340	\$ 1,162,588,262
2722	CHONGQING M&E	0	\$ 0
2727	SH ELECTRIC	229,469,969	\$ 789,376,693
2768	JIAYUAN INTL	3,320,000	\$ 17,762,000
2777	R&F PROPERTIES	48,765,123	\$ 882,648,726
2778	CHAMPION REIT	0	\$ 0
2799	CHINA HUARONG	194,751,482	\$ 679,682,672
2800	TRACKER FUND	5,314,000	\$ 150,917,600
2801	ISHARES CHINA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 29/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	76,449,370	\$ 1,076,407,130
2823	ISHARES A50	205,650,512	\$ 2,809,185,994
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	3,122,800	\$ 344,757,120
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	80,166,979	\$ 141,895,553
2868	BJ CAPITAL LAND	5,054,000	\$ 22,035,440
2869	GREENTOWN SER	14,308,000	\$ 68,535,320
2877	SHINEWAY PHARM	4,027,000	\$ 27,987,650
2880	DALIAN PORT	43,931,288	\$ 59,307,239
2883	CHINA OILFIELD	139,920,539	\$ 1,000,431,854
2888	STANCHART	4,615,569	\$ 355,168,035
2899	ZIJIN MINING	229,759,689	\$ 615,755,967
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 29/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 29/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	36,088,292	\$ 1,661,865,847
3199	CSOP 5YCVBOND	0	\$ 0
3301	RONSHINECHINA	3,180,000	\$ 28,620,000
3308	GOLDEN EAGLE	24,241,754	\$ 222,781,719
3311	CHINA STATE CON	59,729,794	\$ 679,725,056
3320	CHINARES PHARMA	12,924,864	\$ 119,942,738
3323	CNBM	249,009,551	\$ 1,347,141,671
3328	BANKCOMM	124,015,061	\$ 706,885,848
3331	VINDA INT'L	662,117	\$ 9,719,878
3333	EVERGRANDE	209,658,827	\$ 5,713,203,036
3336	JU TENG INTL	10,516,831	\$ 32,391,839
3337	ANTON OILFIELD	73,509,602	\$ 56,602,394
3339	LONKING	31,436,700	\$ 103,426,743



Aggregated Reportable Short Positions of Specified Shares as of 29/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3344	ADDCHANCE	0	\$ 0
3360	FE HORIZON	27,788,340	\$ 203,966,416
3369	QHD PORT	2,630,000	\$ 7,022,100
3377	SINO-OCEAN GP	27,108,696	\$ 141,236,306
3378	XIAMEN PORT	2,448,648	\$ 3,623,999
3380	LOGAN PPT	53,133,401	\$ 428,255,212
3382	TIANJINPORT DEV	1,944,000	\$ 2,410,560
3383	AGILE GROUP	81,921,167	\$ 933,901,304
3389	HENGDELI	23,908,475	\$ 10,161,102
3393	WASION GROUP	36,418,789	\$ 144,218,404
3396	LEGENDHOLDING	2,681,900	\$ 52,189,774
3606	FUYAO GLASS	27,107,056	\$ 768,485,038
3618	CQRC BANK	52,219,387	\$ 258,485,966
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	423,900	\$ 7,918,452
3669	YONGDA AUTO	12,332,500	\$ 129,984,550
3698	HUIZHANG BANK	5,200,671	\$ 20,022,583
3708	YAT SING HLDG	520,000	\$ 2,017,600
3709	KORADIOR	1,385,000	\$ 8,559,300
3799	DALI FOODS	58,103,000	\$ 325,376,800
3800	GCL-POLY ENERGY	1,837,803,137	\$ 1,966,449,357
3808	SINOTRUK	60,346,647	\$ 652,950,721
3813	POU SHENG INT'L	98,693,332	\$ 141,131,465
3818	CHINA DONGXIANG	40,580,000	\$ 55,188,800
3836	HARMONY AUTO	26,266,745	\$ 119,251,022
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	20,275,000	\$ 88,399,000
3886	TOWN HEALTH	104,166,141	\$ 72,916,299
3888	KINGSOFT	62,474,493	\$ 1,137,035,773
3898	CRRC TIMES ELEC	39,007,577	\$ 1,706,581,494
3899	CIMC ENRIC	28,053,846	\$ 143,355,153
3900	GREENTOWN CHINA	67,223,467	\$ 631,900,590
3908	CICC	11,729,391	\$ 186,497,317
3918	NAGACORP	20,231,441	\$ 95,897,030
3933	UNITED LAB	159,502,196	\$ 928,302,781
3958	DFZQ	2,506,000	\$ 20,474,020
3968	CM BANK	151,056,770	\$ 4,146,508,337
3969	CHINA CRSC	803,000	\$ 4,705,580
3983	CHINA BLUECHEM	22,345,454	\$ 56,757,453
3988	BANK OF CHINA	109,419,699	\$ 421,265,841
3993	CMOC	177,877,968	\$ 834,247,670



Aggregated Reportable Short Positions of Specified Shares as of 29/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	0	\$ 0
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	81,015,455	\$ 1,391,845,517
6066	CSC	5,344,511	\$ 38,480,479
6068	WISDOM EDU INTL	0	\$ 0
6099	CMSC	1,142,800	\$ 14,787,832
6116	LA CHAPELLE	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6169	YUHUA EDU	13,858,000	\$ 53,630,460
6178	EB SECURITIES	5,158,400	\$ 54,782,208
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	2,212,000	\$ 11,015,760
6808	SUNART RETAIL	190,541,498	\$ 1,381,425,861
6818	CEB BANK	131,529,357	\$ 474,820,979
6823	HKT-SS	54,185,613	\$ 514,221,467
6826	HAOHAI BIOTEC	9,100	\$ 318,500
6828	BG BLUE SKY	30,856,000	\$ 16,045,120
6837	HAITONG SEC	77,387,042	\$ 976,624,470
6839	YUNNAN WATER	4,067,000	\$ 13,705,790
6863	HUIZHAN DAIRY	362,165,431	\$ 152,109,481
6869	YOFC	4,077,900	\$ 121,521,420
6881	CGS	126,443,384	\$ 866,137,180
6886	HTSC	43,645,752	\$ 755,071,510
6889	DYNAM JAPAN	443,200	\$ 5,274,080
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 29/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	3,496,000	\$ 2,342,320
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	9,234,451	\$ 6,833,494
8057	MADISON HLDG	1,128,000	\$ 2,199,600
8078	HMV DIGIT CHINA	4,660,000	\$ 1,165,000
8083	INNOVATIONPAY	55,940,866	\$ 27,411,024
8095	BEIDA JADE BIRD	837,000	\$ 1,255,500
8102	LI BAO GE GP	250,000	\$ 1,717,500
8137	HONBRIDGE	2,218,000	\$ 2,262,360
8138	TONGRENTANGCM	469,000	\$ 4,746,280
8158	CRMI	52,335,000	\$ 10,571,670
8207	CHONGSING HLDGS	75,024,000	\$ 79,525,440
8237	LINK HOLDINGS	0	\$ 0
8265	CHINA TRUSTFUL	860,000	\$ 2,795,000
8279	AGTECH HOLDINGS	8,844,000	\$ 13,885,080
8407	CISI FIN	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 29/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9155	ISHARESES50-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 29/09/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHAREMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0