



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	17,506,573	\$ 1,748,906,643
2	CLP HOLDINGS	30,022,551	\$ 2,400,302,952
3	HK & CHINA GAS	218,070,974	\$ 3,249,257,513
4	WHARF HOLDINGS	8,703,703	\$ 630,148,097
5	HSBC HOLDINGS	43,227,730	\$ 3,337,180,756
6	POWER ASSETS	15,942,349	\$ 1,089,659,554
8	PCCW	53,424,161	\$ 225,984,201
10	HANG LUNG GROUP	2,304,540	\$ 66,140,298
11	HANG SENG BANK	10,053,102	\$ 1,920,142,482
12	HENDERSON LAND	10,508,466	\$ 551,694,465
14	HYSAN DEV	4,578,662	\$ 171,699,825
16	SHK PPT	5,782,011	\$ 767,851,061
17	NEW WORLD DEV	88,506,234	\$ 1,072,695,556
19	SWIRE PACIFIC A	8,221,586	\$ 623,607,298
20	WHEELLOCK	3,059,517	\$ 173,780,566
23	BANK OF E ASIA	48,602,412	\$ 1,701,084,420
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	46,480,136	\$ 2,465,771,215
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	20,916,490	\$ 21,334,820
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	5,827,992	\$ 22,787,449
39	CH BEIDAHUANG	19,296,000	\$ 7,428,960
41	GREAT EAGLE H	158,707	\$ 6,570,470
43	C.P. POKPHAND	0	\$ 0
44	HAECO	35,608	\$ 1,844,494
45	HK&S HOTELS	5,768,781	\$ 73,840,397
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	1,210,678	\$ 38,741,696
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	874,500	\$ 27,503,025
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	63,568,848	\$ 2,860,598,160
69	SHANGRI-LA ASIA	15,486,891	\$ 227,967,036
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	4,206,100	\$ 20,399,585
83	SINO LAND	23,915,994	\$ 337,215,515
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	3,122,286	\$ 7,399,818
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	17,296,356	\$ 329,668,545
102	SUMMIT ASCENT	72,179,540	\$ 75,066,722
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	6,026,000	\$ 19,042,160
116	CHOW SANG SANG	370,000	\$ 6,327,000
119	POLY PROPERTY	69,044,949	\$ 269,965,751
123	YUEXIU PROPERTY	17,055,609	\$ 26,436,194
127	CHINESE EST H	592,000	\$ 8,169,600
129	ASIA STANDARD	2,070,000	\$ 4,491,900
133	CHINA MERCHANTS	34,000	\$ 467,160
135	KUNLUN ENERGY	282,570,894	\$ 2,079,721,780
136	HENGTEN NET	881,494,824	\$ 361,412,878
142	FIRST PACIFIC	9,278,722	\$ 57,899,225
144	CHINA MER PORT	22,785,104	\$ 550,260,262
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	3,245,867	\$ 142,331,268
151	WANT WANT CHINA	731,771,228	\$ 4,273,543,972
152	SHENZHEN INT'L	9,527,167	\$ 148,242,719
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
164	CHINA BAOLI TEC	64,234,496	\$ 9,763,643
165	CHINA EB LTD	24,528,639	\$ 449,364,666
168	TSINGTAO BREW	17,464,875	\$ 572,847,900
171	SILVER GRANT	1,324,000	\$ 1,588,800
173	K. WAH INT'L	0	\$ 0
175	GEELY AUTO	103,520,854	\$ 2,789,887,015
177	JIANGSU EXPRESS	15,139,696	\$ 188,035,024
178	SA SA INT'L	66,144,488	\$ 194,464,795
179	JOHNSON ELEC H	1,320,833	\$ 40,549,573
182	CONCORD NE	33,608,388	\$ 12,771,187
186	GRANDE HOLDINGS	0	\$ 0
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	36,412,462	\$ 24,760,474
198	SMI HOLDINGS	30,843,069	\$ 132,625,197
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	10,256,193	\$ 220,508,150
207	JOY CITY PPT	15,509,695	\$ 22,489,058
215	HUTCHTEL HK	75,425,505	\$ 216,471,199
217	CHINA CHENGTONG	1,690,040	\$ 997,124
218	SHENWANHONGYUAN	8,776,805	\$ 25,628,271
220	U-PRESID CHINA	20,140,000	\$ 150,043,000
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	2,540,000	\$ 3,022,600
232	AVIC INT'L	51,542,000	\$ 29,894,360
235	CHINA STRATEGIC	161,854,430	\$ 19,584,386
241	ALI HEALTH	337,733,915	\$ 1,415,105,104
242	SHUN TAK HOLD	9,969,666	\$ 34,096,258
245	CN MINSHENG FIN	33,885,990	\$ 14,740,406
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	510,786	\$ 3,979,023
256	CITYCHAMP	20,302,000	\$ 38,167,760
257	CHINA EB INT'L	149,470,090	\$ 1,569,435,945
258	TOMSON GROUP	761,614	\$ 2,970,295
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	34,858,770	\$ 407,847,609
268	KINGDEE INT'L	182,266,023	\$ 670,738,965
270	GUANGDONG INV	17,860,897	\$ 202,542,572
272	SHUI ON LAND	57,137,142	\$ 116,559,770
273	MASON GP HOLD	134,296,001	\$ 15,444,040
275	MASTER GLORY GP	69,430,000	\$ 6,943,000
285	BYD ELECTRONIC	56,914,236	\$ 1,437,084,459
288	WH GROUP	116,445,870	\$ 922,251,290
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	27,750,896	\$ 603,581,988
293	CATHAY PAC AIR	75,685,285	\$ 965,744,237
297	SINOFERT	116,000,960	\$ 171,681,421
303	VTECH HOLDINGS	1,708,621	\$ 194,099,346
308	CHINA TRAVEL HK	31,339,374	\$ 94,331,516
315	SMARTONE TELE	42,566,064	\$ 410,762,518
316	OOIL	5,790,558	\$ 425,606,013
317	COMEC	13,510,742	\$ 195,095,114



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
321	TEXWINCA HOLD	17,850,581	\$ 88,181,870
322	TINGYI	165,123,729	\$ 2,031,021,867
323	MAANSHAN IRON	85,728,014	\$ 335,196,535
327	PAX GLOBAL	92,305,883	\$ 389,530,826
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	109,079,522	\$ 465,769,559
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	1,401,649	\$ 6,713,899
337	GREENLAND HK	2,962,400	\$ 9,598,176
338	SHANGHAI PECEM	43,628,552	\$ 213,779,905
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	1,710,000	\$ 41,040,000
342	NEWOCEAN ENERGY	43,582,120	\$ 92,829,916
345	VITASOY INT'L	3,988,479	\$ 69,399,535
347	ANGANG STEEL	63,260,155	\$ 449,147,101
354	CHINASOFT INT'L	104,775,190	\$ 483,013,626
358	JIANGXI COPPER	58,494,670	\$ 733,523,162
363	SHANGHAI IND H	1,490,000	\$ 37,473,500
368	SINOTRANS SHIP	52,155,028	\$ 123,085,866
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	166,175,470	\$ 1,050,228,970
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	11,554,000	\$ 15,251,280
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	79,133,226	\$ 1,962,504,005
386	SINOPEC CORP	184,072,966	\$ 1,065,782,473
388	HKEX	28,999,250	\$ 6,368,235,300
390	CHINA RAILWAY	66,117,990	\$ 446,957,612
392	BEIJING ENT	6,635,032	\$ 303,552,714
400	COGOBUY	26,078,000	\$ 125,695,960
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	67,835,011	\$ 318,146,202
412	CHINA INNO FIN	4,170,000	\$ 1,959,900
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	781,000	\$ 6,318,290
419	HUAYI TENCENT	177,533,928	\$ 87,879,294
425	MINTH GROUP	12,089,785	\$ 514,420,352
433	NORTH MINING	129,964,966	\$ 20,404,500
434	BOYAA	3,148,194	\$ 10,294,594



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	53,264,354	\$ 151,803,409
440	DAH SING	545,495	\$ 30,111,324
451	GCL NEWENERGY	109,040,000	\$ 63,243,200
460	SIHUAN PHARM	198,689,444	\$ 568,251,810
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	3,794,312	\$ 18,971,560
480	HKR INT'L	2,208,000	\$ 11,304,960
486	RUSAL	0	\$ 0
488	LAI SUN DEV	428,700	\$ 6,353,334
489	DONGFENG GROUP	88,733,762	\$ 970,747,356
493	GOME RETAIL	1,897,976,773	\$ 1,689,199,328
494	LI & FUNG	300,609,993	\$ 1,241,519,271
497	CSI PROPERTIES	3,000,000	\$ 1,155,000
506	CHINA FOODS	27,870,316	\$ 121,793,281
508	DINGYI GP INV	12,918,315	\$ 6,459,158
511	TVB	9,809,702	\$ 264,371,469
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,346,000	\$ 4,414,880
520	XIABUXIABU	0	\$ 0
521	HNA HOLDING	54,424,000	\$ 19,864,760
522	ASM PACIFIC	29,782,047	\$ 3,496,412,318
525	GUANGSHEN RAIL	61,965,271	\$ 283,800,941
526	LISI GP HOLD	1,448,000	\$ 1,781,040
530	GOLDIN FIN HOLD	11,904,000	\$ 44,878,080
535	GEMDALE PPT	70,593,212	\$ 64,239,823
538	AJISEN (CHINA)	754,846	\$ 2,604,219
546	FUFENG GROUP	41,011,984	\$ 212,852,197
547	DIGITAL DOMAIN	194,005,639	\$ 56,261,635
548	SHENZHENEXPRESS	2,260,990	\$ 18,291,409
551	YUE YUEN IND	6,878,393	\$ 212,886,263
552	CHINACOMSERVICE	9,000,000	\$ 42,660,000
563	SH IND URBAN	21,654,000	\$ 37,028,340
570	TRAD CHI MED	65,735,052	\$ 294,493,033
576	ZHEJIANGEXPRESS	12,323,508	\$ 124,220,961
579	JNCEC	10,760,000	\$ 24,102,400
580	SUN.KING ELEC	0	\$ 0
581	CHINA ORIENTAL	7,724,000	\$ 43,331,640
586	CONCH VENTURE	24,359,360	\$ 370,749,459
588	BEIJING N STAR	2,102,000	\$ 6,284,980
590	LUK FOOK HOLD	2,704,345	\$ 85,592,519



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
598	SINOTRANS	10,803,541	\$ 43,214,164
603	CHINA OIL & GAS	8,980,000	\$ 5,118,600
604	SHENZHEN INVEST	20,160,638	\$ 73,787,935
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	1,208,000	\$ 4,469,600
607	FULLSHARE	837,424,947	\$ 2,780,250,824
622	ENERCHINA HOLD	126,992,938	\$ 22,223,764
626	PUBLIC FIN HOLD	0	\$ 0
633	CH ALL ACCESS	1,106,000	\$ 2,521,680
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	42,053,000	\$ 77,377,520
651	CHI OCEAN IND	319,723,124	\$ 22,700,342
656	FOSUN INTL	122,050,676	\$ 2,199,353,182
658	C TRANSMISSION	22,028,982	\$ 193,414,462
659	NWS HOLDINGS	3,939,210	\$ 60,112,345
665	HAITONG INT'L	169,898,687	\$ 788,329,908
669	TECHTRONIC IND	5,648,047	\$ 243,148,423
670	CHINA EAST AIR	98,381,972	\$ 390,576,429
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	101,961,506	\$ 24,062,915
683	KERRY PPT	14,600,945	\$ 486,211,469
686	PANDA GREEN	114,402,033	\$ 129,274,297
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	33,755,516	\$ 872,580,089
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	8,993,155	\$ 114,932,521
696	TRAVELSKY TECH	6,958,424	\$ 134,993,426
697	SHOUGANG INT'L	25,219,920	\$ 5,901,461
698	TONGDA GROUP	674,599,294	\$ 1,497,610,433
699	CAR INC	75,080,821	\$ 537,578,678
700	TENCENT	105,885,851	\$ 36,975,339,169
702	SINO OIL & GAS	82,202,515	\$ 12,248,175
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	7,136,000	\$ 34,110,080
715	CHINA OCEANWIDE	33,192,227	\$ 21,574,948
716	SINGAMAS CONT	10,764,000	\$ 20,236,320
717	EMPEROR CAPITAL	21,911,007	\$ 14,461,265
718	TAI UNITED HOLD	1,505,000	\$ 2,001,650
721	C FIN INT INV	59,153,584	\$ 13,487,017
728	CHINA TELECOM	70,105,706	\$ 290,938,680
729	FDG EVEHICLES	412,328,897	\$ 150,500,047



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732	TRULY INT'L	141,703,047	\$ 510,130,969
735	CP CLEAN ENERGY	1,142,403	\$ 5,369,294
737	HOPEWELL INFR	4,910,000	\$ 25,286,500
750	SINGYES SOLAR	61,596,720	\$ 164,463,242
751	SKYWORTHDIGITAL	90,624,730	\$ 357,061,436
752	PICO FAR EAST	0	\$ 0
753	AIR CHINA	57,437,823	\$ 387,130,927
754	HOPSON DEV HOLD	0	\$ 0
762	CHINA UNICOM	277,560,051	\$ 3,108,672,571
763	ZTE	31,057,124	\$ 903,762,308
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	3,930,505	\$ 109,268,039
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	72,925,744	\$ 64,174,655
798	CEOVU	0	\$ 0
799	IGG	92,243,617	\$ 1,018,369,532
806	VALUE PARTNERS	91,336,323	\$ 764,485,024
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,720,000	\$ 18,414,400
813	SHIMAO PROPERTY	8,834,875	\$ 156,377,288
815	CHI SILVER GP	22,376,482	\$ 51,018,379
816	HUADIAN FUXIN	87,220,918	\$ 167,464,163
817	CHINA JINMAO	161,288,531	\$ 645,154,124
818	HI SUN TECH	12,034,181	\$ 22,744,602
819	TIANNENG POWER	33,181,550	\$ 262,134,245
823	LINK REIT	20,180,538	\$ 1,284,491,244
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	7,248,191	\$ 26,310,933
836	CHINA RES POWER	36,149,452	\$ 513,322,218
838	EVA HOLDINGS	378,000	\$ 396,900
845	GLORIOUS PPT H	23,895,000	\$ 20,549,700
853	MICROPORT	10,932,987	\$ 88,447,865
855	CHINA WATER	48,783,394	\$ 293,676,032
856	VSTECs	350,000	\$ 1,109,500
857	PETROCHINA	337,638,931	\$ 1,684,818,266
860	O LUXE HOLD	17,389,765	\$ 29,562,601
861	DC HOLDINGS	63,107,244	\$ 304,807,989
867	CMS	36,161,374	\$ 520,000,558
868	XINYI GLASS	41,001,192	\$ 314,479,143
874	BAIYUNSHAN PH	1,313,372	\$ 29,222,527
877	O-NET TECH GP	44,832,009	\$ 266,750,454



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878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	95,329,252	\$ 653,958,669
881	ZHONGSHENG HLDG	28,934,795	\$ 498,835,866
882	TIANJIN DEV	2,082,000	\$ 8,411,280
883	CNOOC	132,770,884	\$ 1,338,330,511
884	CIFI HOLD GP	111,007,834	\$ 490,654,626
885	RENTIAN TECH	16,860,000	\$ 6,406,800
887	EMPEROR WATCH&J	31,450,000	\$ 12,894,500
895	DONGJIANG ENV	3,456,950	\$ 43,350,153
902	HUANENG POWER	158,775,841	\$ 797,054,722
906	COFCO PACKAGING	3,041,338	\$ 15,237,103
914	CONCH CEMENT	81,229,731	\$ 2,522,183,148
915	DAOHE GLOBAL	2,393,000	\$ 6,054,290
916	CHINA LONGYUAN	125,569,529	\$ 778,531,080
921	HISENSE KELON	3,468,000	\$ 35,096,160
931	CHINA LNG	73,597,238	\$ 97,148,354
932	RM GROUP	3,732,000	\$ 26,385,240
933	BRIGHTOIL	43,844,654	\$ 65,766,981
934	SINOPEC KANTONS	14,862,591	\$ 79,366,236
939	CCB	989,301,683	\$ 6,885,539,714
941	CHINA MOBILE	34,355,036	\$ 2,693,434,822
950	LEE'S PHARM	692,077	\$ 4,491,580
951	CHAOWEI POWER	69,217,576	\$ 305,249,510
956	CHINA SUNTIEN	21,113,000	\$ 44,337,300
958	HN RENEWABLES	175,284,029	\$ 483,783,920
960	LONGFOR PPT	10,870,820	\$ 211,111,324
963	BLOOMAGE BIOTEC	1,413,350	\$ 22,585,333
966	CHINA TAIPING	35,037,317	\$ 826,880,681
968	XINYI SOLAR	168,388,316	\$ 471,487,285
973	L'OCCITANE	0	\$ 0
976	CHIHO ENV	13,589,399	\$ 62,918,917
978	CHI MER LAND	19,087,000	\$ 28,821,370
980	LIANHUA	6,939,709	\$ 21,998,878
981	SMIC	542,537,051	\$ 5,457,922,733
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	23,750,913	\$ 60,327,319
992	LENOVO GROUP	1,057,343,715	\$ 4,726,326,406
993	HUARONG INT FIN	821,000	\$ 2,380,900
995	ANHUIEXPRESSWAY	226,000	\$ 1,356,000
996	CARNIVAL GROUP	172,040,459	\$ 69,676,386
998	CITIC BANK	229,685,110	\$ 1,185,175,168



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999	I.T	0	\$ 0
1003	HUANXI MEDIA	3,415,520	\$ 7,958,162
1004	C SMARTERENERGY	0	\$ 0
1019	CONVOY	285,390,000	\$ 56,507,220
1028	C.BANNER	1,147,000	\$ 3,234,540
1030	FUTURE LAND	19,976,875	\$ 75,912,125
1031	KINGSTON FIN	124,537,923	\$ 627,671,132
1033	SINOPEC SSC	113,379,545	\$ 151,928,590
1038	CKI HOLDINGS	44,241,709	\$ 3,023,920,810
1039	FORTUNET E-COMM	0	\$ 0
1044	HENGAN INT'L	41,079,488	\$ 3,091,231,472
1045	APT SATELLITE	1,632,614	\$ 6,073,324
1051	G-RESOURCES	26,235,106	\$ 2,833,391
1052	YUEXIUTRANSPORT	29,232,651	\$ 169,841,702
1055	CHINA SOUTH AIR	131,008,208	\$ 728,405,636
1060	ALI PICTURES	1,224,966,868	\$ 1,604,706,597
1061	ESSEX BIO-TECH	0	\$ 0
1065	TIANJIN CAPITAL	14,664,000	\$ 77,132,640
1066	WEIGAO GROUP	16,702,371	\$ 95,036,491
1068	YURUN FOOD	11,211,841	\$ 10,427,012
1070	TCL MULTIMEDIA	23,564,055	\$ 86,715,722
1071	HUADIAN POWER	54,344,862	\$ 177,164,250
1072	DONGFANG ELEC	3,707,310	\$ 29,213,603
1076	IMPERIAL PAC	218,140,855	\$ 29,449,015
1083	TOWNGAS CHINA	9,634,906	\$ 53,955,474
1086	GOODBABY INTL	7,911,000	\$ 34,017,300
1088	CHINA SHENHUA	25,069,502	\$ 485,345,559
1089	LEYOU TECH H	25,086,101	\$ 47,914,453
1090	DA MING INT'L	0	\$ 0
1093	CSPC PHARMA	26,249,561	\$ 338,094,346
1098	ROAD KING INFRA	887,000	\$ 11,566,480
1099	SINOPHARM	31,517,249	\$ 1,110,983,027
1103	DASHENG AGR FIN	40,923,999	\$ 26,191,359
1106	SINO HAIJING	0	\$ 0
1108	LUOYANG GLASS	3,393,978	\$ 15,646,239
1109	CHINA RES LAND	56,476,700	\$ 1,352,616,965
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	9,441,000	\$ 367,726,950
1113	CK ASSET	1,138,104	\$ 73,749,139
1114	BRILLIANCE CHI	109,980,279	\$ 2,342,579,943
1115	TIBET WATER	96,561,914	\$ 291,616,980



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1117	CH MODERN D	54,089,783	\$ 89,248,142
1122	QINGLING MOTORS	0	\$ 0
1128	WYNN MACAU	63,580,281	\$ 1,271,605,620
1129	WATER INDUSTRY	7,622,880	\$ 12,349,066
1133	HARBIN ELECTRIC	5,401,167	\$ 20,794,493
1136	TCC INT'L HOLD	0	\$ 0
1138	COSCO SHIP ENGY	7,796,000	\$ 34,302,400
1140	OP FIN INV	488,000	\$ 1,117,520
1141	CMBC CAPITAL	266,459,075	\$ 165,204,627
1157	ZOOMLION	94,965,060	\$ 376,061,638
1165	SFCE	59,975,553	\$ 29,388,021
1168	SINOLINK HOLD	71,332,000	\$ 100,578,120
1169	HAIER ELEC	2,879,340	\$ 59,026,470
1171	YANZHOU COAL	65,637,626	\$ 515,911,740
1176	ZHUGUANG HOLD	3,526,000	\$ 4,054,900
1177	SINO BIOPHARM	75,202,289	\$ 652,755,869
1185	CHINA ENERGINE	3,189,555	\$ 1,594,778
1186	CHINA RAIL CONS	100,488,016	\$ 1,033,016,804
1193	CHINA RES GAS	26,775,908	\$ 765,790,969
1196	REALORD GROUP	5,274,000	\$ 22,045,320
1199	COSCO SHIP PORT	37,982,921	\$ 339,187,485
1205	CITIC RESOURCES	48,261,837	\$ 43,435,653
1206	TECHNOVATOR	13,160,000	\$ 31,452,400
1208	MMG	73,941,611	\$ 261,013,887
1211	BYD COMPANY	116,892,612	\$ 9,176,070,042
1212	LIFESTYLE INT'L	0	\$ 0
1219	TENWOW INT'L	798,273	\$ 1,516,719
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	6,204,033	\$ 10,857,058
1230	YASHILI INT'L	28,689,000	\$ 49,631,970
1231	NEWTON RES	0	\$ 0
1233	TIMES PPT	16,210,690	\$ 131,630,803
1234	CHINA LILANG	296,000	\$ 1,793,760
1238	POWERLONG	2,981,000	\$ 12,043,240
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	13,971,428	\$ 2,961,943
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	16,896,000	\$ 22,471,680
1270	LANGHAM-SS	0	\$ 0
1272	DATANG ENVIRO	0	\$ 0
1278	CHINANEWTON	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1282	CHINA GOLDJOY	138,481,987	\$ 78,934,733
1288	ABC	92,326,310	\$ 337,914,295
1293	GRAND BAOXIN	15,205,188	\$ 69,335,657
1297	SINOSOFT TECH	22,029,562	\$ 56,395,679
1299	AIA	45,385,858	\$ 2,775,345,217
1300	TRIGIANT	1,121,647	\$ 1,233,812
1302	LIFETECH SCI	87,386,438	\$ 171,277,418
1308	SITC	16,457,920	\$ 114,547,123
1310	HKBN	18,817,335	\$ 153,925,800
1313	CHINARES CEMENT	25,766,000	\$ 127,799,360
1315	VISION FAME	66,998,000	\$ 29,479,120
1316	NEXTEER	13,482,000	\$ 223,261,920
1317	MAPLELEAF EDU	27,203,650	\$ 239,664,157
1330	DYNAGREEN ENV	2,223,000	\$ 10,314,720
1332	CHINA TOUYUN	5,679,675	\$ 2,073,081
1333	CHINA ZHONGWANG	108,661,451	\$ 442,252,106
1336	NCI	16,714,162	\$ 800,608,360
1339	PICC GROUP	30,840,494	\$ 112,259,398
1347	HUA HONG SEMI	3,154,000	\$ 34,820,160
1349	FUDANZHANGJIANG	1,336,000	\$ 5,584,480
1358	PW MEDTECH	2,048,000	\$ 3,952,640
1359	CHINA CINDA	182,911,564	\$ 563,367,617
1360	MEGAEXPO HLDG	4,583,323	\$ 9,212,479
1361	361 DEGREES	22,777,060	\$ 72,431,051
1363	CTEG	191,585,113	\$ 214,575,327
1366	JIANGNAN GP	37,801,984	\$ 21,925,151
1368	XTEP INT'L	54,826,600	\$ 143,645,692
1375	CC SECURITIES	17,669,166	\$ 66,082,681
1378	CHINAHONGQIAO	67,039,766	\$ 472,630,350
1381	CANVEST ENV	16,152,000	\$ 68,807,520
1382	PACIFICTEXTILES	14,263,761	\$ 120,528,780
1385	SHANGHAI FUDAN	0	\$ 0
1387	RENHE COMM	450,572,653	\$ 81,553,650
1398	ICBC	780,057,741	\$ 4,968,967,810
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	16,882,112	\$ 43,555,849
1432	CHINA SHENGMU	36,892,000	\$ 49,804,200
1448	FU SHOU YUAN	3,923,000	\$ 21,027,280
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	18,622,000	\$ 147,300,020
1462	GOLD-FIN HLDG	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1478	Q TECH	11,899,000	\$ 188,718,140
1508	CHINA RE	16,736,096	\$ 29,622,890
1509	HARMONICARE	3,798,945	\$ 11,510,803
1513	LIVZON PHARMA	490,807	\$ 24,515,810
1515	CRPHOENIXHEALTH	39,342,981	\$ 410,740,722
1518	NC HEALTHCARE	0	\$ 0
1520	RUNWAY GLOBAL	0	\$ 0
1528	RS MACALLINE	2,877,600	\$ 31,768,704
1530	3SBIO	81,475,838	\$ 1,099,923,813
1548	GENSCRIPT BIO	10,678,000	\$ 110,410,520
1558	HEC PHARM	0	\$ 0
1559	KWAN ON HLDGS	0	\$ 0
1566	CHINA ANIMATION	9,083,000	\$ 27,430,660
1569	MINSHENG EDU	1,966,000	\$ 3,715,740
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	245,000	\$ 1,643,950
1585	YADEA	9,616,000	\$ 26,828,640
1589	CNLP	13,649,000	\$ 37,125,280
1599	UCD	194,000	\$ 923,440
1600	TIAN LUN GAS	600,000	\$ 3,408,000
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	18,629,426	\$ 29,620,787
1616	SILVERMAN HL	1,646,228	\$ 1,185,284
1618	MCC	52,845,750	\$ 140,041,238
1622	REDCO PPT	3,416,000	\$ 12,707,520
1628	YUZHOU PPT	10,177,000	\$ 42,946,940
1632	FOODWISE HLDG	376,000	\$ 3,760,000
1635	DZUG	0	\$ 0
1636	CMRU	2,396,000	\$ 7,259,880
1658	PSBC	95,141,462	\$ 443,359,213
1661	WISDOM SPORTS	33,285,000	\$ 40,607,700
1666	TONG REN TANG	15,493,000	\$ 164,225,800
1668	CHINASOUTHCITY	130,412,018	\$ 281,689,959
1680	MACAU LEGEND	60,731,561	\$ 77,129,082
1681	CONSUN PHARMA	744,000	\$ 4,679,760
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	46,960,264	\$ 418,885,555
1766	CRRC	240,072,226	\$ 1,812,545,306
1776	GF SEC	26,167,279	\$ 462,114,147



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1777	FANTASIA	6,033,000	\$ 6,937,950
1778	COLOUR LIFE	9,243,800	\$ 46,219,000
1786	CRCCE	11,405,500	\$ 31,022,960
1788	GUOTAI JUNAN I	209,954,013	\$ 539,581,813
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	53,804,525	\$ 542,349,612
1803	BJ SPORTS & ENT	680,000	\$ 1,496,000
1811	CGN NEW ENERGY	12,200,000	\$ 13,176,000
1812	CHENMING PAPER	10,575,500	\$ 157,151,930
1813	KWG PROPERTY	49,322,449	\$ 409,376,327
1816	CGN POWER	562,717,216	\$ 1,243,605,047
1818	ZHAOJIN MINING	56,602,032	\$ 380,931,675
1828	DCH HOLDINGS	4,127,000	\$ 15,765,140
1829	CMEC	13,404,951	\$ 70,241,943
1836	STELLA HOLDINGS	1,958,585	\$ 26,715,099
1848	CALC	3,942,805	\$ 33,040,706
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	7,392,000	\$ 172,233,600
1883	CITIC TELECOM	1,605,259	\$ 3,708,148
1886	HUIYUAN JUICE	12,258,000	\$ 30,154,680
1888	KB LAMINATES	9,215,000	\$ 120,900,800
1893	SINOMA	3,478,000	\$ 15,720,560
1898	CHINA COAL	207,044,105	\$ 782,626,717
1899	XINGDA INT'L	21,393,748	\$ 66,534,556
1910	SAMSONITE	18,093,417	\$ 624,222,887
1913	PRADA	19,664,176	\$ 583,042,818
1918	SUNAC	161,551,239	\$ 6,138,947,082
1919	COSCO SHIP HOLD	189,342,337	\$ 776,303,582
1928	SANDS CHINA LTD	104,359,543	\$ 3,955,226,680
1929	CHOW TAI FOOK	15,076,333	\$ 132,822,494
1958	BAIC MOTOR	73,655,691	\$ 611,342,235
1963	BCQ	375,000	\$ 2,381,250
1966	CHINA SCE PPT	5,862,000	\$ 21,865,260
1970	IMAX CHINA	18,638,904	\$ 449,197,586
1972	SWIREPROPERTIES	11,846,746	\$ 322,823,829
1980	TIANGE	3,209,000	\$ 18,612,200
1988	MINSHENG BANK	358,209,225	\$ 2,704,479,649
1999	MAN WAH HLDGS	49,576,058	\$ 363,392,505
2005	SSY GROUP	2,359,519	\$ 8,918,982
2006	JINJIANG HOTELS	19,340,498	\$ 58,795,114



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2007	COUNTRY GARDEN	267,511,398	\$ 3,450,897,034
2008	PHOENIX TV	8,154,000	\$ 9,377,100
2009	BBMG	154,342,988	\$ 611,198,232
2014	OZNER WATER	38,098,000	\$ 83,434,620
2016	CZBANK	0	\$ 0
2018	AAC TECH	29,794,395	\$ 4,171,215,300
2020	ANTA SPORTS	46,040,095	\$ 1,526,229,149
2038	FIH	61,290,360	\$ 148,935,575
2039	CIMC	5,022,696	\$ 78,354,058
2066	SHENGJINGBANK	2,133,500	\$ 13,185,030
2098	ZALL GROUP	0	\$ 0
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	14,194,000	\$ 62,311,660
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	12,605,044	\$ 69,075,641
2136	LIFESTYLE CHI	354,500	\$ 960,695
2186	LUYE PHARMA	213,585,854	\$ 997,445,938
2196	FOSUN PHARMA	20,043,215	\$ 730,575,187
2198	CHINA SANJIANG	2,430,000	\$ 7,630,200
2199	REGINA MIRACLE	18,048,662	\$ 135,003,992
2200	HOSA INT'L	5,732,000	\$ 13,699,480
2202	CHINA VANKE	15,653,529	\$ 422,645,283
2208	GOLDWIND	37,260,111	\$ 374,091,514
2226	HONWORLD GP	275,500	\$ 1,082,715
2233	WESTCHINACEMENT	52,212,000	\$ 66,831,360
2238	GAC GROUP	28,544,757	\$ 551,484,705
2255	HAICHANG HLDG	6,309,000	\$ 12,428,730
2277	HUARONG INV	0	\$ 0
2280	HC INTL	28,201,274	\$ 177,668,026
2282	MGM CHINA	130,099,597	\$ 2,287,150,915
2298	COSMO LADY	65,631,958	\$ 217,241,781
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHOU INTL	8,171,726	\$ 522,173,291
2314	LEE & MAN PAPER	2,270,349	\$ 22,930,525
2318	PING AN	1,275,006,800	\$ 81,536,684,860
2319	MENGNU DAIRY	47,034,284	\$ 1,020,643,963
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	149,956,152	\$ 24,442,853
2328	PICC P&C	42,601,165	\$ 643,277,592
2329	GUORUI PPT	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2331	LI NING	31,118,435	\$ 214,406,017
2333	GREATWALL MOTOR	394,410,676	\$ 4,456,840,639
2338	WEICHAI POWER	175,016,583	\$ 1,645,155,880
2342	COMBA	20,876,563	\$ 31,314,845
2343	PACIFIC BASIN	235,058,521	\$ 434,858,264
2348	DAWNRAYS PHARMA	1,151,800	\$ 5,275,244
2349	CH CITY INFRA	0	\$ 0
2355	BAOYE GROUP	0	\$ 0
2356	DAHSING BANKING	1,024,576	\$ 17,827,622
2357	AVICHINA	146,875,561	\$ 710,877,715
2362	JINCHUAN INTL	14,539,364	\$ 15,993,300
2369	COOLPAD GROUP	75,028,148	\$ 54,020,267
2378	PRU	0	\$ 0
2380	CHINA POWER	252,106,383	\$ 630,265,958
2382	SUNNY OPTICAL	18,195,595	\$ 2,218,043,031
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	26,100,677	\$ 172,786,482
2388	BOC HONG KONG	35,859,254	\$ 1,380,581,279
2389	BJ ENT M&H	37,632,803	\$ 16,182,105
2393	YESTAR HEALTH	7,200,468	\$ 24,985,624
2588	BOC AVIATION	577,945	\$ 24,447,074
2600	CHALCO	553,894,700	\$ 3,899,418,688
2601	CPIC	10,499,451	\$ 375,355,373
2607	SH PHARMA	7,538,643	\$ 157,180,707
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	64,249,016	\$ 1,548,401,286
2638	HKELECTRIC-SS	274,795,942	\$ 1,951,051,188
2666	UNI MEDICAL	21,627,044	\$ 143,387,302
2669	CHINA OVS PPT	51,550,854	\$ 97,946,623
2678	TEXHONG TEXTILE	6,050,000	\$ 65,582,000
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	47,353,835	\$ 2,782,037,806
2689	ND PAPER	71,501,949	\$ 1,134,020,911
2722	CHONGQING M&E	0	\$ 0
2727	SH ELECTRIC	215,932,803	\$ 764,402,123
2768	JIAYUAN INTL	3,556,000	\$ 19,558,000
2777	R&F PROPERTIES	33,502,360	\$ 625,824,085
2778	CHAMPION REIT	0	\$ 0
2799	CHINA HUARONG	191,469,482	\$ 718,010,558
2800	TRACKER FUND	5,324,500	\$ 156,540,300
2801	ISHARES CHINA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2802	ISHARES EM ASIA	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	83,656,220	\$ 1,223,053,936
2823	ISHARES A50	195,903,275	\$ 2,770,072,309
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	2,474,000	\$ 288,221,000
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	79,073,330	\$ 139,169,061
2868	BJ CAPITAL LAND	5,192,000	\$ 23,571,680
2869	GREENTOWN SER	15,556,000	\$ 79,646,720
2877	SHINEWAY PHARM	4,787,731	\$ 34,567,418
2880	DALIAN PORT	46,547,287	\$ 64,700,729
2883	CHINA OILFIELD	136,065,176	\$ 933,407,107
2888	STANCHART	4,448,602	\$ 346,990,956
2899	ZIJIN MINING	227,075,567	\$ 635,811,588
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARES DAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARES K200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	37,305,745	\$ 1,786,945,186
3199	CSOP 5Y CGBOND	0	\$ 0
3301	RONSHINECHINA	3,040,000	\$ 28,272,000
3308	GOLDEN EAGLE	24,272,754	\$ 233,261,166
3311	CHINA STATE CON	51,078,048	\$ 590,462,235
3320	CHINARES PHARMA	8,377,364	\$ 78,747,222
3323	CNBM	276,374,456	\$ 1,539,405,720
3328	BANKCOMM	123,714,647	\$ 748,473,614
3331	VINDA INT'L	896,117	\$ 12,886,162
3333	EVERGRANDE	203,619,924	\$ 5,986,425,766
3336	JU TENG INTL	11,459,403	\$ 34,149,021
3337	ANTON OILFIELD	72,055,602	\$ 56,923,926
3339	LONKING	32,279,700	\$ 114,592,935



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3344	ADDCHANCE	0	\$ 0
3360	FE HORIZON	25,263,340	\$ 190,485,584
3369	QHD PORT	2,630,500	\$ 7,076,045
3377	SINO-OCEAN GP	33,568,184	\$ 179,925,466
3378	XIAMEN PORT	2,448,648	\$ 3,648,486
3380	LOGAN PPT	50,579,599	\$ 407,165,772
3382	TIANJINPORT DEV	1,746,000	\$ 2,199,960
3383	AGILE GROUP	81,565,827	\$ 972,264,658
3389	HENGDELI	18,168,475	\$ 7,630,760
3393	WASION GROUP	33,411,789	\$ 134,649,510
3396	LEGENDHOLDING	2,762,400	\$ 56,352,960
3606	FUYAO GLASS	27,072,895	\$ 782,406,666
3618	CQRC BANK	49,556,387	\$ 256,206,521
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	441,900	\$ 8,413,776
3669	YONGDA AUTO	11,090,612	\$ 118,891,361
3698	HUIZHANG BANK	6,566,604	\$ 25,675,422
3708	YAT SING HLDG	695,000	\$ 2,133,650
3709	KORADIOR	1,381,000	\$ 8,396,480
3799	DALI FOODS	48,063,000	\$ 300,874,380
3800	GCL-POLY ENERGY	1,916,369,053	\$ 2,299,642,864
3808	SINOTRUK	61,606,500	\$ 744,206,520
3813	POU SHENG INT'L	96,619,332	\$ 143,962,805
3818	CHINA DONGXIANG	38,582,000	\$ 56,715,540
3836	HARMONY AUTO	23,052,359	\$ 113,417,606
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	19,717,000	\$ 86,163,290
3886	TOWN HEALTH	104,673,754	\$ 86,879,216
3888	KINGSOFT	61,614,708	\$ 1,178,073,217
3898	CRRC TIMES ELEC	36,946,795	\$ 1,738,346,705
3899	CIMC ENRIC	26,607,846	\$ 138,360,799
3900	GREENTOWN CHINA	74,151,831	\$ 737,069,200
3908	CICC	11,090,991	\$ 184,775,910
3918	NAGACORP	18,552,492	\$ 100,183,457
3933	UNITED LAB	170,505,334	\$ 1,108,284,671
3958	DFZQ	2,453,600	\$ 20,364,880
3968	CM BANK	144,899,334	\$ 4,231,060,553
3969	CHINA CRSC	781,000	\$ 4,834,390
3983	CHINA BLUECHEM	23,149,629	\$ 57,179,584
3988	BANK OF CHINA	87,465,893	\$ 354,236,867
3993	CMOC	183,696,042	\$ 841,327,872



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	0	\$ 0
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	91,334,671	\$ 1,640,370,691
6066	CSC	5,891,000	\$ 43,063,210
6068	WISDOM EDU INTL	564,000	\$ 2,650,800
6099	CMSC	1,517,200	\$ 20,633,920
6116	LA CHAPELLE	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6169	YUHUA EDU	12,268,000	\$ 48,703,960
6178	EB SECURITIES	5,281,400	\$ 57,039,120
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	2,272,000	\$ 12,132,480
6808	SUNART RETAIL	179,905,941	\$ 1,372,682,330
6818	CEB BANK	118,875,270	\$ 454,103,531
6823	HKT-SS	53,050,848	\$ 505,574,581
6826	HAOHAI BIOTEC	9,100	\$ 348,530
6828	BG BLUE SKY	30,912,000	\$ 15,765,120
6837	HAITONG SEC	75,015,875	\$ 978,207,010
6839	YUNNAN WATER	3,618,000	\$ 11,613,780
6863	HUIZHAN DAIRY	362,165,431	\$ 152,109,481
6869	YOFC	4,987,900	\$ 152,629,740
6881	CGS	123,897,267	\$ 872,236,760
6886	HTSC	45,865,417	\$ 826,494,814
6889	DYNAM JAPAN	407,000	\$ 5,046,800
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7228	FL2SAMSUNGHSCFI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCFI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	3,496,000	\$ 2,307,360
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	9,229,451	\$ 6,368,321
8057	MADISON HLDG	1,128,000	\$ 1,940,160
8078	HMV DIGIT CHINA	4,660,000	\$ 1,155,680
8083	INNOVATIONPAY	58,244,866	\$ 27,666,311
8095	BEIDA JADE BIRD	668,000	\$ 1,088,840
8102	LI BAO GE GP	250,000	\$ 1,692,500
8137	HONBRIDGE	2,396,000	\$ 4,073,200
8138	TONGRENTANGCM	501,000	\$ 5,390,760
8158	CRMI	58,945,000	\$ 12,850,010
8207	CHONGSING HLDGS	75,324,000	\$ 79,090,200
8237	LINK HOLDINGS	0	\$ 0
8265	CHINA TRUSTFUL	860,000	\$ 2,734,800
8279	AGTECH HOLDINGS	8,876,000	\$ 13,047,720
8407	CISI FIN	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9155	ISHARESES50-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 13/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHAREMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0