



## Aggregated Reportable Short Positions of Specified Shares as of 27/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	17,979,257	\$ 1,793,430,886
2	CLP HOLDINGS	30,788,099	\$ 2,453,811,490
3	HK & CHINA GAS	217,205,727	\$ 3,223,332,989
4	WHARF HOLDINGS	11,572,428	\$ 819,327,902
5	HSBC HOLDINGS	50,875,247	\$ 3,922,481,544
6	POWER ASSETS	15,791,420	\$ 1,068,289,563
8	PCCW	53,022,454	\$ 229,587,226
10	HANG LUNG GROUP	2,972,960	\$ 82,648,288
11	HANG SENG BANK	9,254,765	\$ 1,727,864,626
12	HENDERSON LAND	8,422,170	\$ 430,793,996
14	HYSAN DEV	5,097,786	\$ 192,696,311
16	SHK PPT	8,256,253	\$ 1,061,754,136
17	NEW WORLD DEV	85,147,594	\$ 994,523,898
19	SWIRE PACIFIC A	8,787,160	\$ 677,050,678
20	WHEELLOCK	2,377,558	\$ 130,409,056
23	BANK OF E ASIA	49,008,367	\$ 1,705,491,172
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	43,449,552	\$ 2,239,824,406
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	22,638,490	\$ 22,412,105
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	6,677,899	\$ 25,242,458
39	CH BEIDAHUANG	15,928,000	\$ 6,052,640
41	GREAT EAGLE H	158,707	\$ 6,745,048
43	C.P. POKPHAND	0	\$ 0
44	HAECO	36,008	\$ 1,886,819
45	HK&S HOTELS	5,442,747	\$ 67,054,643
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	654,000	\$ 20,372,100
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	816,000	\$ 25,704,000
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	2,774,000	\$ 901,550
66	MTR CORPORATION	63,809,950	\$ 2,881,019,243
69	SHANGRI-LA ASIA	15,459,378	\$ 227,252,857
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	6,091,344	\$ 27,898,356
83	SINO LAND	24,178,467	\$ 338,498,538
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	145,000	\$ 1,299,200
95	LVGEM CHINA	3,122,286	\$ 7,337,372
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	17,420,144	\$ 318,091,829
102	SUMMIT ASCENT	71,985,540	\$ 77,024,528
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	6,083,900	\$ 19,103,446
116	CHOW SANG SANG	416,000	\$ 7,030,400
119	POLY PROPERTY	66,742,949	\$ 255,625,495
123	YUEXIU PROPERTY	16,197,609	\$ 24,620,366
127	CHINESE EST H	1,183,500	\$ 15,977,250
129	ASIA STANDARD	1,996,000	\$ 4,211,560
133	CHINA MERCHANTS	34,000	\$ 461,720
135	KUNLUN ENERGY	274,422,642	\$ 2,003,285,287
136	HENGTEN NET	921,997,611	\$ 396,458,973
142	FIRST PACIFIC	10,135,007	\$ 60,810,042
144	CHINA MER PORT	23,218,076	\$ 574,647,381
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	4,281,590	\$ 193,956,027
151	WANT WANT CHINA	732,942,913	\$ 4,588,222,635
152	SHENZHEN INT'L	8,136,532	\$ 121,397,057
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
164	CHINA BAOLI TEC	65,650,000	\$ 9,125,350
165	CHINA EB LTD	17,956,509	\$ 330,040,635
168	TSINGTAO BREW	18,064,751	\$ 573,555,844
171	SILVER GRANT	1,446,000	\$ 1,633,980
173	K. WAH INT'L	936,784	\$ 4,262,367
175	GEELY AUTO	99,941,690	\$ 2,423,585,983
177	JIANGSU EXPRESS	13,691,696	\$ 164,300,352
178	SA SA INT'L	71,082,619	\$ 201,874,638
179	JOHNSON ELEC H	859,876	\$ 26,570,168
182	CONCORD NE	31,548,388	\$ 12,461,613
186	GRANDE HOLDINGS	0	\$ 0
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 27/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	37,268,162	\$ 26,460,395
198	SMI HOLDINGS	28,409,400	\$ 113,637,600
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	9,630,260	\$ 197,420,330
207	JOY CITY PPT	5,943,150	\$ 8,260,979
215	HUTCHTEL HK	79,451,505	\$ 224,847,759
217	CHINA CHENGTONG	1,690,040	\$ 946,422
218	SHENWANHONGYUAN	8,871,805	\$ 25,284,644
220	U-PRESID CHINA	19,881,000	\$ 134,594,370
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	2,936,000	\$ 3,435,120
232	AVIC INT'L	49,690,000	\$ 25,838,800
235	CHINA STRATEGIC	159,574,430	\$ 18,670,208
241	ALI HEALTH	353,595,915	\$ 1,403,775,783
242	SHUN TAK HOLD	7,449,666	\$ 24,807,388
245	CN MINSHENG FIN	33,817,895	\$ 14,203,516
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	500,786	\$ 3,810,981
256	CITYCHAMP	20,526,000	\$ 39,615,180
257	CHINA EB INT'L	188,545,909	\$ 2,089,088,672
258	TOMSON GROUP	761,046	\$ 2,800,649
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	37,116,103	\$ 424,608,218
268	KINGDEE INT'L	186,524,329	\$ 781,536,939
270	GUANGDONG INV	17,196,385	\$ 192,943,440
272	SHUI ON LAND	62,438,142	\$ 122,378,758
273	MASON GP HOLD	134,196,001	\$ 15,969,324
275	MASTER GLORY GP	66,770,000	\$ 6,610,230
285	BYD ELECTRONIC	69,967,269	\$ 1,420,335,561
288	WH GROUP	131,339,714	\$ 1,031,016,755
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	28,638,001	\$ 632,899,822
293	CATHAY PAC AIR	77,994,883	\$ 1,042,011,637
297	SINOFERT	105,716,528	\$ 143,774,478
303	VTECH HOLDINGS	1,649,355	\$ 181,923,857
308	CHINA TRAVEL HK	19,709,072	\$ 56,959,218
315	SMARTONE TELE	40,682,378	\$ 394,212,243
316	OOIL	4,964,058	\$ 372,056,147
317	COMEC	12,700,742	\$ 172,984,106



## Aggregated Reportable Short Positions of Specified Shares as of 27/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
321	TEXWINCA HOLD	16,494,581	\$ 78,514,206
322	TINGYI	164,908,579	\$ 1,932,728,546
323	MAANSHAN IRON	104,313,378	\$ 381,786,963
327	PAX GLOBAL	104,876,883	\$ 418,458,763
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	120,426,443	\$ 564,800,018
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	2,904,647	\$ 14,610,374
337	GREENLAND HK	4,411,003	\$ 15,394,400
338	SHANGHAI PECEM	42,967,931	\$ 204,527,352
340	CHINA MINING	0	\$ 0
341	CAFE DE CORAL H	1,697,515	\$ 40,400,857
342	NEWOCEAN ENERGY	43,990,958	\$ 88,861,735
345	VITASOY INT'L	3,924,479	\$ 71,190,049
347	ANGANG STEEL	59,903,913	\$ 409,742,765
354	CHINASOFT INT'L	110,972,823	\$ 499,377,704
358	JIANGXI COPPER	56,000,592	\$ 708,967,495
363	SHANGHAI IND H	1,082,000	\$ 26,617,200
368	SINOTRANS SHIP	41,594,500	\$ 94,835,460
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	165,299,193	\$ 1,092,627,666
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	11,826,000	\$ 13,599,900
382	WELLING HOLDING	786,400	\$ 1,242,512
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	74,264,025	\$ 1,752,630,990
386	SINOPEC CORP	209,723,462	\$ 1,184,937,560
388	HKEX	26,614,293	\$ 5,833,853,026
390	CHINA RAILWAY	63,723,657	\$ 421,850,609
392	BEIJING ENT	6,142,532	\$ 289,927,510
400	COGOBUY	24,112,000	\$ 114,049,760
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	72,639,011	\$ 334,139,451
412	CHINA SDHS FIN	0	\$ 0
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	781,000	\$ 6,911,850
419	HUAYI TENCENT	207,695,788	\$ 98,655,499
425	MINTH GROUP	11,474,029	\$ 481,909,218
433	NORTH MINING	123,873,008	\$ 19,448,062
434	BOYAA	2,927,194	\$ 9,542,652



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	47,741,354	\$ 142,746,648
440	DAH SING	509,340	\$ 27,020,487
451	GCL NEWENERGY	145,401,969	\$ 85,787,162
460	SIHUAN PHARM	175,817,361	\$ 495,804,958
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	3,468,312	\$ 16,855,996
480	HKR INT'L	1,456,800	\$ 7,254,864
486	RUSAL	3,248,000	\$ 17,052,000
488	LAI SUN DEV	508,057	\$ 7,224,571
489	DONGFENG GROUP	74,823,083	\$ 776,663,602
493	GOME RETAIL	1,949,960,969	\$ 1,969,460,579
494	LI & FUNG	292,137,427	\$ 1,156,864,211
497	CSI PROPERTIES	3,000,000	\$ 1,200,000
506	CHINA FOODS	33,349,903	\$ 163,414,525
508	DINGYI GP INV	12,468,315	\$ 5,735,425
511	TVB	11,989,368	\$ 341,696,988
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,692,000	\$ 5,532,840
520	XIABUXIABU	0	\$ 0
521	HNA HOLDING	52,034,000	\$ 22,114,450
522	ASM PACIFIC	30,405,494	\$ 3,466,226,316
525	GUANGSHEN RAIL	67,506,900	\$ 309,856,671
526	LISI GP HOLD	1,988,000	\$ 2,306,080
530	GOLDIN FIN HOLD	7,380,000	\$ 29,446,200
535	GEMDALE PPT	44,754,000	\$ 34,013,040
538	AJISEN (CHINA)	271,846	\$ 978,646
546	FUFENG GROUP	45,231,000	\$ 264,601,350
547	DIGITAL DOMAIN	291,885,639	\$ 64,798,612
548	SHENZHENEXPRESS	2,034,000	\$ 16,638,120
551	YUE YUEN IND	6,859,645	\$ 207,161,279
552	CHINACOMSERVICE	8,094,000	\$ 37,151,460
563	SH IND URBAN	22,665,557	\$ 37,851,480
570	TRAD CHI MED	63,911,052	\$ 278,652,187
576	ZHEJIANGEXPRESS	11,995,540	\$ 117,076,470
579	JNCEC	9,333,058	\$ 20,252,736
580	SUN.KING ELEC	0	\$ 0
581	CHINA ORIENTAL	7,648,000	\$ 40,916,800
586	CONCH VENTURE	22,936,360	\$ 365,605,578
588	BEIJING N STAR	2,062,000	\$ 6,103,520
590	LUK FOOK HOLD	2,818,095	\$ 89,615,421



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
598	SINOTRANS	11,802,580	\$ 44,141,649
603	CHINA OIL & GAS	8,520,000	\$ 4,515,600
604	SHENZHEN INVEST	27,172,750	\$ 95,648,080
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	1,469,000	\$ 5,582,200
607	FULLSHARE	814,379,947	\$ 2,638,591,028
622	ENERCHINA HOLD	136,837,069	\$ 22,030,768
626	PUBLIC FIN HOLD	0	\$ 0
633	CH ALL ACCESS	1,106,000	\$ 2,532,740
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	29,777,000	\$ 49,132,050
651	CHI OCEAN IND	316,590,000	\$ 20,578,350
656	FOSUN INTL	108,891,374	\$ 2,103,781,346
658	C TRANSMISSION	21,027,478	\$ 180,415,761
659	NWS HOLDINGS	4,759,934	\$ 73,874,176
665	HAITONG INT'L	172,203,333	\$ 778,359,065
669	TECHTRONIC IND	5,929,929	\$ 261,213,372
670	CHINA EAST AIR	93,683,972	\$ 381,293,766
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	102,111,506	\$ 22,158,197
683	KERRY PPT	15,787,512	\$ 547,826,666
686	PANDA GREEN	118,875,472	\$ 142,650,566
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	37,466,333	\$ 961,011,441
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	10,230,938	\$ 126,659,012
696	TRAVELSKY TECH	6,403,422	\$ 126,147,413
697	SHOUGANG INT'L	22,489,040	\$ 5,149,990
698	TONGDA GROUP	670,200,756	\$ 1,400,719,580
699	CAR INC	72,983,821	\$ 516,725,453
700	TENCENT	113,638,814	\$ 39,523,579,509
702	SINO OIL & GAS	79,867,515	\$ 12,459,332
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	7,136,000	\$ 31,969,280
715	CHINA OCEANWIDE	30,387,253	\$ 19,751,714
716	SINGAMAS CONT	12,498,000	\$ 20,621,700
717	EMPEROR CAPITAL	23,576,207	\$ 15,796,059
718	TAI UNITED HOLD	1,360,000	\$ 1,822,400
721	C FIN INT INV	57,903,584	\$ 13,491,535
728	CHINA TELECOM	53,216,922	\$ 210,739,011
729	FDG EVEHICLES	452,363,897	\$ 162,851,003



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732	TRULY INT'L	126,125,047	\$ 387,203,894
735	CP CLEAN ENERGY	1,405,403	\$ 6,759,988
737	HOPEWELL INFR	4,123,500	\$ 21,112,320
750	SINGYES SOLAR	68,070,992	\$ 190,598,778
751	SKYWORTHDIGITAL	118,352,684	\$ 426,069,662
752	PICO FAR EAST	412,000	\$ 1,355,480
753	AIR CHINA	41,544,471	\$ 296,627,523
754	HOPSON DEV HOLD	1,390,000	\$ 10,883,700
762	CHINA UNICOM	273,741,571	\$ 3,120,653,909
763	ZTE	38,748,738	\$ 959,031,266
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	3,582,505	\$ 93,145,130
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	136,889,160	\$ 119,093,569
798	CEOVU	0	\$ 0
799	IGG	94,706,760	\$ 1,022,833,008
806	VALUE PARTNERS	79,779,722	\$ 620,686,237
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,741,000	\$ 18,309,880
813	SHIMAO PROPERTY	7,847,982	\$ 131,846,098
815	CHI SILVER GP	17,122,084	\$ 46,743,289
816	HUADIAN FUXIN	61,662,163	\$ 122,707,704
817	CHINA JINMAO	142,671,282	\$ 510,763,190
818	HI SUN TECH	12,178,181	\$ 21,433,599
819	TIANNENG POWER	32,613,550	\$ 238,078,915
823	LINK REIT	18,327,319	\$ 1,219,683,079
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	5,886,000	\$ 21,601,620
836	CHINA RES POWER	23,249,760	\$ 341,306,477
838	EVA HOLDINGS	378,000	\$ 404,460
845	GLORIOUS PPT H	26,547,000	\$ 22,299,480
853	MICROPORT	11,235,684	\$ 89,323,688
855	CHINA WATER	52,184,312	\$ 292,232,147
856	VSTECs	350,000	\$ 1,155,000
857	PETROCHINA	345,453,762	\$ 1,720,359,735
860	O LUXE HOLD	19,569,765	\$ 34,051,391
861	DC HOLDINGS	64,334,244	\$ 303,657,632
867	CMS	36,684,072	\$ 530,451,681
868	XINYI GLASS	38,624,344	\$ 296,248,718
874	BAIYUNSHAN PH	1,602,400	\$ 39,098,560
877	O-NET TECH GP	42,082,009	\$ 228,505,309



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	100,367,040	\$ 674,466,509
881	ZHONGSHENG HLDG	32,329,648	\$ 532,792,599
882	TIANJIN DEV	2,054,000	\$ 8,318,700
883	CNOOC	115,927,184	\$ 1,170,864,558
884	CIFI HOLD GP	113,245,760	\$ 486,956,768
885	RENTIAN TECH	16,850,000	\$ 6,318,750
887	EMPEROR WATCH&J	31,220,000	\$ 12,331,900
895	DONGJIANG ENV	3,776,950	\$ 47,136,336
902	HUANENG POWER	150,902,517	\$ 787,711,139
906	COFCO PACKAGING	5,773,338	\$ 30,540,958
914	CONCH CEMENT	85,262,946	\$ 2,813,677,218
915	DAOHE GLOBAL	2,393,000	\$ 5,886,780
916	CHINA LONGYUAN	137,691,812	\$ 811,004,773
921	HISENSE KELON	5,659,000	\$ 57,721,800
931	CHINA LNG	71,239,238	\$ 92,611,009
932	RM GROUP	7,936,000	\$ 52,853,760
933	BRIGHTOIL	43,844,654	\$ 65,766,981
934	SINOPEC KANTONS	11,422,600	\$ 58,255,260
939	CCB	1,011,563,741	\$ 7,070,830,550
941	CHINA MOBILE	32,709,137	\$ 2,590,563,650
950	LEE'S PHARM	650,577	\$ 4,248,268
951	CHAOWEI POWER	71,839,576	\$ 307,473,385
956	CHINA SUNTIEN	21,748,000	\$ 49,585,440
958	HN RENEWABLES	176,268,176	\$ 475,924,075
960	LONGFOR PPT	11,845,437	\$ 219,614,402
963	BLOOMAGE BIOTEC	1,390,850	\$ 22,587,404
966	CHINA TAIPING	53,727,546	\$ 1,388,857,064
968	XINYI SOLAR	147,859,562	\$ 402,178,009
973	L'OCCITANE	866,749	\$ 13,001,235
976	CHIHO ENV	12,553,399	\$ 51,092,334
978	CHI MER LAND	19,266,000	\$ 28,899,000
980	LIANHUA	6,822,709	\$ 22,446,713
981	SMIC	550,486,779	\$ 6,077,374,040
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	20,867,798	\$ 54,464,953
992	LENOVO GROUP	1,063,110,780	\$ 4,922,202,911
993	HUARONG INT FIN	837,000	\$ 2,259,900
995	ANHUIEXPRESSWAY	468,000	\$ 2,845,440
996	CARNIVAL GROUP	182,607,957	\$ 72,130,143
998	CITIC BANK	218,116,806	\$ 1,116,758,047





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999	I.T	0	\$ 0
1003	HUANXI MEDIA	2,695,520	\$ 6,550,114
1004	C SMARTERENERGY	0	\$ 0
1019	CONVOY	283,158,000	\$ 53,516,862
1028	C.BANNER	1,147,000	\$ 2,924,850
1030	FUTURE LAND	18,032,875	\$ 63,836,378
1031	KINGSTON FIN	124,974,952	\$ 709,857,727
1033	SINOPEC SSC	108,229,545	\$ 147,192,181
1038	CKI HOLDINGS	45,730,024	\$ 3,141,652,649
1039	FORTUNET E-COMM	0	\$ 0
1044	HENGAN INT'L	38,763,188	\$ 2,893,671,984
1045	APT SATELLITE	1,588,614	\$ 5,925,530
1051	G-RESOURCES	37,680,106	\$ 3,881,051
1052	YUEXIUTRANSPORT	30,442,651	\$ 173,218,684
1055	CHINA SOUTH AIR	123,486,908	\$ 714,989,197
1060	ALI PICTURES	1,214,344,385	\$ 1,554,360,813
1061	ESSEX BIO-TECH	0	\$ 0
1065	TIANJIN CAPITAL	14,914,000	\$ 75,166,560
1066	WEIGAO GROUP	14,752,416	\$ 81,875,909
1068	YURUN FOOD	12,892,000	\$ 11,989,560
1070	TCL MULTIMEDIA	22,150,055	\$ 91,479,727
1071	HUADIAN POWER	51,409,098	\$ 167,079,569
1072	DONGFANG ELEC	3,664,110	\$ 28,616,699
1076	IMPERIAL PAC	217,900,855	\$ 28,109,210
1083	TOWNGAS CHINA	10,443,844	\$ 64,647,394
1086	GOODBABY INTL	5,774,000	\$ 23,846,620
1088	CHINA SHENHUA	21,851,685	\$ 408,189,476
1089	LEYOU TECH H	24,161,101	\$ 46,630,925
1090	DA MING INT'L	0	\$ 0
1093	CSPC PHARMA	19,680,000	\$ 262,531,200
1098	ROAD KING INFRA	724,000	\$ 9,223,760
1099	SINOPHARM	30,878,265	\$ 1,076,107,535
1103	DASHENG AGR FIN	49,376,009	\$ 32,094,406
1106	SINO HAIJING	0	\$ 0
1108	LUOYANG GLASS	3,383,826	\$ 16,005,497
1109	CHINA RES LAND	64,261,608	\$ 1,532,639,351
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	7,539,500	\$ 275,945,700
1113	CK ASSET	1,133,000	\$ 73,418,400
1114	BRILLIANCE CHI	111,615,569	\$ 2,260,215,272
1115	TIBET WATER	88,818,264	\$ 271,783,888



## Aggregated Reportable Short Positions of Specified Shares as of 27/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1117	CH MODERN D	52,565,162	\$ 84,629,911
1122	QINGLING MOTORS	480,000	\$ 1,272,000
1128	WYNN MACAU	57,467,945	\$ 1,113,728,774
1129	WATER INDUSTRY	7,044,000	\$ 11,481,720
1133	HARBIN ELECTRIC	5,457,001	\$ 20,027,194
1136	TCC INT'L HOLD	0	\$ 0
1138	COSCO SHIP ENGY	10,136,627	\$ 46,729,850
1140	OP FIN INV	488,000	\$ 1,068,720
1141	CMBC CAPITAL	293,829,075	\$ 193,927,190
1157	ZOOMLION	112,030,660	\$ 421,235,282
1165	SFCE	62,520,328	\$ 31,260,164
1168	SINOLINK HOLD	94,112,000	\$ 109,169,920
1169	HAIER ELEC	3,420,340	\$ 69,774,936
1171	YANZHOU COAL	69,847,506	\$ 536,428,846
1176	ZHUGUANG HOLD	3,218,000	\$ 3,346,720
1177	SINO BIOPHARM	65,810,089	\$ 567,282,967
1185	CHINA ENERGINE	3,911,555	\$ 1,838,431
1186	CHINA RAIL CONS	97,633,843	\$ 969,504,061
1193	CHINA RES GAS	25,052,050	\$ 721,499,040
1196	REALORD GROUP	5,186,000	\$ 26,500,460
1199	COSCO SHIP PORT	36,343,577	\$ 331,816,858
1205	CITIC RESOURCES	52,005,837	\$ 44,204,961
1206	TECHNOVATOR	13,642,000	\$ 32,058,700
1208	MMG	74,943,244	\$ 276,540,570
1211	BYD COMPANY	114,435,171	\$ 7,918,913,833
1212	LIFESTYLE INT'L	0	\$ 0
1219	TENWOW INT'L	2,053,273	\$ 3,511,097
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	5,733,033	\$ 9,860,817
1230	YASHILI INT'L	26,881,000	\$ 47,579,370
1231	NEWTON RES	0	\$ 0
1233	TIMES PPT	15,830,690	\$ 125,537,372
1234	CHINA LILANG	448,000	\$ 2,755,200
1238	POWERLONG	1,672,000	\$ 6,403,760
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	12,831,428	\$ 2,899,903
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	15,248,000	\$ 22,414,560
1270	LANGHAM-SS	600,000	\$ 2,016,000
1272	DATANG ENVIRO	0	\$ 0
1278	CHINANEWTON	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 27/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1282	CHINA GOLDJOY	120,205,987	\$ 70,921,532
1288	ABC	65,656,026	\$ 242,270,736
1293	GRAND BAOXIN	22,511,188	\$ 96,798,108
1297	SINOSOFT TECH	19,681,362	\$ 48,612,964
1299	AIA	56,097,232	\$ 3,365,833,920
1300	TRIGIANT	1,121,647	\$ 1,188,946
1302	LIFETECH SCI	85,872,438	\$ 165,733,805
1308	SITC	16,892,920	\$ 124,838,679
1310	HKBN	18,444,089	\$ 146,261,626
1313	CHINARES CEMENT	24,408,000	\$ 126,433,440
1315	VISION FAME	46,008,000	\$ 18,403,200
1316	NEXTEER	8,205,000	\$ 124,059,600
1317	MAPLELEAF EDU	24,663,650	\$ 202,735,203
1330	DYNAGREEN ENV	1,865,000	\$ 8,690,900
1332	CHINA TOUYUN	5,670,000	\$ 2,438,100
1333	CHINA ZHONGWANG	113,940,458	\$ 501,338,015
1336	NCI	19,930,623	\$ 1,004,503,399
1339	PICC GROUP	33,474,292	\$ 123,854,880
1347	HUA HONG SEMI	2,371,000	\$ 32,672,380
1349	FUDANZHANGJIANG	1,153,000	\$ 4,980,960
1358	PW MEDTECH	3,285,000	\$ 5,518,800
1359	CHINA CINDA	209,019,022	\$ 641,688,398
1360	MEGAEXPO HLDG	5,338,323	\$ 9,555,598
1361	361 DEGREES	17,584,000	\$ 52,224,480
1363	CTEG	242,463,057	\$ 298,229,560
1366	JIANGNAN GP	35,513,984	\$ 24,504,649
1368	XTEP INT'L	52,968,100	\$ 137,717,060
1375	CC SECURITIES	17,680,166	\$ 65,593,416
1378	CHINAHONGQIAO	66,739,766	\$ 470,515,350
1381	CANVEST ENV	17,861,000	\$ 77,516,740
1382	PACIFICTEXTILES	14,307,586	\$ 117,465,281
1385	SHANGHAI FUDAN	0	\$ 0
1387	RENHE COMM	416,278,653	\$ 74,513,879
1398	ICBC	680,433,305	\$ 4,347,968,819
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	15,982,112	\$ 40,274,922
1432	CHINA SHENGMU	36,892,000	\$ 48,328,520
1448	FU SHOU YUAN	3,182,000	\$ 17,501,000
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	20,986,500	\$ 153,201,450
1462	GOLD-FIN HLDG	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 27/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1478	Q TECH	5,654,941	\$ 94,550,614
1508	CHINA RE	15,775,096	\$ 27,448,667
1509	HARMONICARE	3,406,945	\$ 10,254,904
1513	LIVZON PHARMA	440,707	\$ 23,048,976
1515	CRPHOENIXHEALTH	40,431,981	\$ 419,683,963
1518	NC HEALTHCARE	0	\$ 0
1520	RUNWAY GLOBAL	0	\$ 0
1528	RS MACALLINE	1,681,400	\$ 16,074,184
1530	3SBIO	80,342,638	\$ 1,136,044,901
1548	GENSCRIPT BIO	11,400,000	\$ 108,186,000
1558	HEC PHARM	130,800	\$ 2,929,920
1559	KWAN ON HLDGS	0	\$ 0
1566	CHINA ANIMATION	9,111,000	\$ 27,424,110
1569	MINSHENG EDU	1,202,000	\$ 2,055,420
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	245,000	\$ 1,746,850
1585	YADEA	9,212,000	\$ 24,964,520
1589	CNLP	13,425,000	\$ 35,307,750
1599	UCD	380,000	\$ 1,748,000
1600	TIAN LUN GAS	600,000	\$ 3,378,000
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	18,811,426	\$ 29,157,710
1616	SILVERMAN HL	1,076,228	\$ 828,696
1618	MCC	47,935,750	\$ 124,153,593
1622	REDCO PPT	1,240,000	\$ 4,662,400
1628	YUZHOU PPT	11,456,186	\$ 44,679,125
1632	FOODWISE HLDG	384,000	\$ 3,828,480
1635	DZUG	0	\$ 0
1636	CMRU	2,436,000	\$ 7,308,000
1658	PSBC	91,893,751	\$ 425,468,067
1661	WISDOM SPORTS	34,279,000	\$ 37,706,900
1666	TONG REN TANG	18,316,499	\$ 191,224,250
1668	CHINASOUTHCITY	145,904,018	\$ 329,743,081
1680	MACAU LEGEND	63,255,561	\$ 79,069,451
1681	CONSUN PHARMA	668,000	\$ 4,869,720
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	51,867,861	\$ 394,195,744
1766	CRRC	222,996,864	\$ 1,685,856,292
1776	GF SEC	19,454,423	\$ 330,725,191



## Aggregated Reportable Short Positions of Specified Shares as of 27/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1777	FANTASIA	5,577,000	\$ 6,190,470
1778	COLOUR LIFE	9,760,800	\$ 46,266,192
1786	CRCCE	13,082,000	\$ 33,228,280
1788	GUOTAI JUNAN I	223,462,498	\$ 558,656,245
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	51,259,695	\$ 503,882,802
1803	BJ SPORTS & ENT	675,000	\$ 1,485,000
1811	CGN NEW ENERGY	12,922,000	\$ 14,989,520
1812	CHENMING PAPER	8,073,275	\$ 114,317,574
1813	KWG PROPERTY	45,124,252	\$ 349,712,953
1816	CGN POWER	600,713,710	\$ 1,369,627,259
1818	ZHAOJIN MINING	58,185,436	\$ 375,877,917
1828	DCH HOLDINGS	4,191,000	\$ 15,758,160
1829	CMEC	9,765,951	\$ 47,853,160
1836	STELLA HOLDINGS	4,739,641	\$ 58,013,206
1848	CALC	4,074,214	\$ 34,141,913
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	7,433,000	\$ 176,162,100
1883	CITIC TELECOM	1,653,259	\$ 3,719,833
1886	HUIYUAN JUICE	10,635,500	\$ 25,312,490
1888	KB LAMINATES	9,925,500	\$ 126,450,870
1893	SINOMA	2,653,700	\$ 14,356,517
1898	CHINA COAL	234,596,569	\$ 851,585,545
1899	XINGDA INT'L	20,927,356	\$ 62,572,794
1910	SAMSONITE	19,327,861	\$ 629,121,876
1913	PRADA	20,419,895	\$ 564,610,097
1918	SUNAC	140,028,567	\$ 5,426,106,971
1919	COSCO SHIP HOLD	170,288,590	\$ 764,595,769
1928	SANDS CHINA LTD	98,923,432	\$ 3,610,705,268
1929	CHOW TAI FOOK	13,589,916	\$ 112,388,605
1958	BAIC MOTOR	62,024,796	\$ 565,045,892
1963	BCQ	0	\$ 0
1966	CHINA SCE PPT	11,051,000	\$ 39,341,560
1970	IMAX CHINA	17,149,360	\$ 408,154,768
1972	SWIREPROPERTIES	12,056,818	\$ 325,534,086
1980	TIANGE	6,004,000	\$ 35,063,360
1988	MINSHENG BANK	345,367,525	\$ 2,593,710,113
1999	MAN WAH HLDGS	45,700,776	\$ 325,389,525
2005	SSY GROUP	3,408,000	\$ 12,848,160
2006	JINJIANG HOTELS	15,500,498	\$ 45,881,474



Aggregated Reportable Short Positions of Specified Shares as of 27/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2007	COUNTRY GARDEN	300,519,501	\$ 3,696,389,862
2008	PHOENIX TV	7,832,000	\$ 8,928,480
2009	BBMG	158,290,363	\$ 620,498,223
2014	OZNER WATER	38,179,000	\$ 94,683,920
2016	CZBANK	0	\$ 0
2018	AAC TECH	30,518,140	\$ 4,199,296,064
2020	ANTA SPORTS	37,930,316	\$ 1,283,941,197
2038	FIH	52,079,360	\$ 126,552,845
2039	CIMC	2,632,300	\$ 40,958,588
2066	SHENGJINGBANK	2,135,000	\$ 13,151,600
2098	ZALL GROUP	0	\$ 0
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	14,088,000	\$ 62,691,600
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	6,208,000	\$ 32,467,840
2136	LIFESTYLE CHI	354,500	\$ 921,700
2186	LUYE PHARMA	199,334,265	\$ 1,048,498,234
2196	FOSUN PHARMA	20,012,605	\$ 775,488,444
2198	CHINA SANJIANG	1,452,000	\$ 4,806,120
2199	REGINA MIRACLE	17,228,662	\$ 124,218,653
2200	HOSA INT'L	5,732,000	\$ 13,240,920
2202	CHINA VANKE	16,282,651	\$ 441,259,842
2208	GOLDWIND	37,763,461	\$ 391,229,456
2226	HONWORLD GP	298,500	\$ 1,137,285
2233	WESTCHINACEMENT	49,286,000	\$ 60,621,780
2238	GAC GROUP	37,489,849	\$ 743,048,807
2255	HAICHANG HLDG	5,243,000	\$ 9,699,550
2277	HUARONG INV	0	\$ 0
2280	HC INTL	24,472,874	\$ 150,018,718
2282	MGM CHINA	138,084,145	\$ 2,355,715,514
2298	COSMO LADY	63,305,664	\$ 206,376,465
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHOU INTL	8,986,379	\$ 596,246,247
2314	LEE & MAN PAPER	2,854,433	\$ 26,831,670
2318	PING AN	1,411,998,728	\$ 97,074,912,550
2319	MENGNU DAIRY	37,671,590	\$ 800,521,288
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	149,676,152	\$ 23,648,832
2328	PICC P&C	40,947,581	\$ 626,497,989
2329	GUORUI PPT	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 27/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2331	LI NING	33,741,402	\$ 218,981,699
2333	GREATWALL MOTOR	421,022,358	\$ 4,328,109,840
2338	WEICHAI POWER	158,608,738	\$ 1,425,892,555
2342	COMBA	25,727,592	\$ 36,790,457
2343	PACIFIC BASIN	222,988,877	\$ 414,759,311
2348	DAWNRAYS PHARMA	833,800	\$ 3,927,198
2349	CH CITY INFRA	0	\$ 0
2355	BAOYE GROUP	0	\$ 0
2356	DAHSING BANKING	1,431,362	\$ 25,134,717
2357	AVICHINA	148,792,418	\$ 679,981,350
2362	JINCHUAN INTL	19,411,364	\$ 21,934,841
2369	COOLPAD GROUP	75,028,148	\$ 54,020,267
2378	PRU	0	\$ 0
2380	CHINA POWER	274,335,154	\$ 683,094,533
2382	SUNNY OPTICAL	20,085,595	\$ 2,205,398,331
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	32,501,330	\$ 212,558,698
2388	BOC HONG KONG	37,045,029	\$ 1,420,676,862
2389	BJ ENT M&H	38,478,803	\$ 15,776,309
2393	YESTAR HEALTH	6,195,468	\$ 19,825,498
2588	BOC AVIATION	584,269	\$ 24,539,298
2600	CHALCO	532,888,396	\$ 3,383,841,315
2601	CPIC	14,345,703	\$ 551,592,280
2607	SH PHARMA	9,804,449	\$ 200,500,982
2608	SUNSHINE 100	0	\$ 0
2628	CHINA LIFE	71,270,145	\$ 1,828,079,219
2638	HKELECTRIC-SS	272,343,636	\$ 1,952,703,870
2666	UNI MEDICAL	31,286,870	\$ 250,920,697
2669	CHINA OVS PPT	48,830,588	\$ 92,289,811
2678	TEXHONG TEXTILE	4,759,000	\$ 51,492,380
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	47,735,527	\$ 2,697,057,276
2689	ND PAPER	61,039,072	\$ 875,300,292
2722	CHONGQING M&E	0	\$ 0
2727	SH ELECTRIC	179,127,315	\$ 653,814,700
2768	JIAYUAN INTL	3,734,000	\$ 21,246,460
2777	R&F PROPERTIES	22,387,852	\$ 390,444,139
2778	CHAMPION REIT	5,328,000	\$ 30,103,200
2799	CHINA HUARONG	174,175,482	\$ 649,674,548
2800	TRACKER FUND	6,825,500	\$ 200,328,425
2801	ISHARES CHINA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 27/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2817	WISE HKLMCONS	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	87,136,333	\$ 1,310,530,448
2823	ISHARES A50	185,496,206	\$ 2,697,114,835
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	5,152,550	\$ 607,485,645
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2839	WISE HKLMREAL	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	68,231,330	\$ 122,134,081
2868	BJ CAPITAL LAND	5,218,000	\$ 21,967,780
2869	GREENTOWN SER	11,661,801	\$ 61,574,309
2877	SHINEWAY PHARM	4,182,000	\$ 30,444,960
2880	DALIAN PORT	43,597,288	\$ 59,728,285
2883	CHINA OILFIELD	150,764,463	\$ 1,022,183,059
2888	STANCHART	4,497,202	\$ 351,456,336
2899	ZIJIN MINING	243,881,902	\$ 660,919,954
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0





Aggregated Reportable Short Positions of Specified Shares as of 27/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 27/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHAREMSCIAI	0	\$ 0
3165	BMO EU QLTQ HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	33,294,994	\$ 1,613,142,459
3199	CSOP 5YCGBOND	0	\$ 0
3301	RONSHINECHINA	2,834,000	\$ 25,789,400
3308	GOLDEN EAGLE	23,657,077	\$ 222,376,524
3311	CHINA STATE CON	56,898,896	\$ 634,991,679
3320	CHINARES PHARMA	8,344,864	\$ 79,943,797
3323	CNBM	270,479,625	\$ 1,785,165,525
3328	BANKCOMM	120,075,785	\$ 725,257,741
3331	VINDA INT'L	1,036,117	\$ 16,722,928
3333	EVERGRANDE	213,968,860	\$ 6,547,447,116
3336	JU TENG INTL	8,054,920	\$ 23,439,817



## Aggregated Reportable Short Positions of Specified Shares as of 27/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3337	ANTON OILFIELD	70,175,257	\$ 63,157,731
3339	LONKING	35,298,700	\$ 123,192,463
3344	ADDCHANCE	0	\$ 0
3360	FE HORIZON	23,195,540	\$ 181,621,078
3369	QHD PORT	2,757,500	\$ 7,638,275
3377	SINO-OCEAN GP	35,427,184	\$ 182,095,726
3378	XIAMEN PORT	2,448,648	\$ 3,746,431
3380	LOGAN PPT	46,051,452	\$ 345,385,890
3382	TIANJINPORT DEV	0	\$ 0
3383	AGILE GROUP	82,454,329	\$ 956,470,216
3389	HENGDELI	14,668,475	\$ 5,794,048
3393	WASION GROUP	24,649,789	\$ 101,064,135
3396	LEGENDHOLDING	2,919,300	\$ 59,553,720
3606	FUYAO GLASS	29,296,730	\$ 905,268,957
3618	CQRC BANK	49,286,087	\$ 260,230,539
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	484,300	\$ 8,969,236
3669	YONGDA AUTO	12,836,612	\$ 127,210,825
3698	HUIZHANG BANK	5,300,671	\$ 20,831,637
3708	YAT SING HLDG	415,000	\$ 1,037,500
3709	KORADIOR	1,371,000	\$ 8,157,450
3799	DALI FOODS	48,870,500	\$ 292,245,590
3800	GCL-POLY ENERGY	1,858,355,091	\$ 2,490,195,822
3808	SINOTRUK	62,742,500	\$ 642,483,200
3813	POU SHENG INT'L	97,188,798	\$ 138,008,093
3818	CHINA DONGXIANG	39,353,000	\$ 56,668,320
3836	HARMONY AUTO	33,790,975	\$ 154,086,846
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	20,898,000	\$ 91,324,260
3886	TOWN HEALTH	104,853,754	\$ 80,737,391
3888	KINGSOFT	74,906,803	\$ 1,450,195,706
3898	CRRC TIMES ELEC	40,341,529	\$ 1,837,556,646
3899	CIMC ENRIC	27,025,846	\$ 142,426,208
3900	GREENTOWN CHINA	78,062,832	\$ 780,628,320
3908	CICC	10,832,591	\$ 174,188,063
3918	NAGACORP	20,306,512	\$ 111,685,816
3933	UNITED LAB	173,965,284	\$ 1,210,798,377
3958	DFZQ	2,545,600	\$ 20,695,728
3968	CM BANK	138,773,023	\$ 4,378,288,876
3969	CHINA CRSC	1,802,000	\$ 10,830,020
3983	CHINA BLUECHEM	20,287,629	\$ 45,850,042



Aggregated Reportable Short Positions of Specified Shares as of 27/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3988	BANK OF CHINA	76,006,530	\$ 308,586,512
3993	CMOC	171,215,715	\$ 866,351,518
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	0	\$ 0
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	98,986,077	\$ 1,740,175,234
6066	CSC	6,657,000	\$ 48,063,540
6068	WISDOM EDU INTL	760,000	\$ 3,283,200
6099	CMSC	1,576,200	\$ 20,868,888
6116	LA CHAPELLE	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6169	YUHUA EDU	10,400,000	\$ 40,456,000
6178	EB SECURITIES	5,112,800	\$ 55,218,240
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	2,964,000	\$ 16,302,000
6808	SUNART RETAIL	173,991,105	\$ 1,336,251,686
6818	CEB BANK	115,776,270	\$ 434,161,013
6823	HKT-SS	57,296,815	\$ 547,184,583
6826	HAOHAI BIOTEC	0	\$ 0
6828	BG BLUE SKY	34,056,000	\$ 18,390,240
6837	HAITONG SEC	74,691,042	\$ 941,107,129
6839	YUNNAN WATER	3,848,000	\$ 12,429,040
6863	HUIZHAN DAIRY	362,165,431	\$ 152,109,481
6869	YOFC	5,152,000	\$ 137,816,000
6881	CGS	116,752,027	\$ 804,421,466
6886	HTSC	41,484,352	\$ 728,465,221
6889	DYNAM JAPAN	371,200	\$ 4,513,792
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 27/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8005	YUXING INFOTECH	2,946,000	\$ 2,592,480
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	9,197,451	\$ 6,162,292
8057	MADISON HLDG	1,120,000	\$ 1,948,800
8078	HMV DIGIT CHINA	4,660,000	\$ 1,151,020
8083	INNOVATIONPAY	52,036,866	\$ 23,676,774
8095	BEIDA JADE BIRD	907,000	\$ 1,750,510
8102	LI BAO GE GP	250,000	\$ 1,622,500
8137	HONBRIDGE	12,826,000	\$ 22,317,240
8138	TONGRENTANGCM	456,000	\$ 4,906,560
8158	CRMI	74,340,000	\$ 17,692,920
8207	CHONGSING HLDGS	51,124,000	\$ 50,612,760
8237	LINK HOLDINGS	0	\$ 0
8265	CHINA TRUSTFUL	1,340,000	\$ 4,154,000
8279	AGTECH HOLDINGS	8,836,000	\$ 11,928,600
8407	CISI FIN	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 27/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESE50-U	0	\$ 0
9170	ISHARESKS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	8,775,800	\$ 130,496,146
82823	ISHARES A50-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSEFA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 27/10/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHAREMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0