



## Aggregated Reportable Short Positions of Specified Shares as of 10/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	15,937,833	\$ 1,561,110,742
2	CLP HOLDINGS	30,875,799	\$ 2,449,994,651
3	HK & CHINA GAS	235,072,957	\$ 3,479,079,764
4	WHARF HOLDINGS	16,799,035	\$ 1,301,085,261
5	HSBC HOLDINGS	56,271,824	\$ 4,273,845,033
6	POWER ASSETS	16,308,317	\$ 1,095,103,487
8	PCCW	51,047,720	\$ 219,505,196
10	HANG LUNG GROUP	4,711,960	\$ 124,631,342
11	HANG SENG BANK	9,362,854	\$ 1,738,681,988
12	HENDERSON LAND	12,537,261	\$ 666,982,285
14	HYSAN DEV	5,024,397	\$ 205,246,617
16	SHK PPT	8,545,547	\$ 1,110,921,110
17	NEW WORLD DEV	87,092,389	\$ 1,010,271,712
19	SWIRE PACIFIC A	9,110,490	\$ 694,674,863
20	WHEELLOCK	2,767,558	\$ 156,782,161
23	BANK OF E ASIA	48,672,594	\$ 1,635,399,158
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	45,333,295	\$ 2,588,531,145
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	23,690,490	\$ 23,216,680
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	7,052,871	\$ 26,095,623
39	CH BEIDAHUANG	6,896,000	\$ 2,792,880
41	GREAT EAGLE H	309,707	\$ 13,147,062
43	C.P. POKPHAND	0	\$ 0
44	HAECO	35,608	\$ 1,726,988
45	HK&S HOTELS	5,761,908	\$ 71,562,897
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	577,000	\$ 17,771,600
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	576,500	\$ 17,150,875
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	4,844,000	\$ 1,501,640
66	MTR CORPORATION	67,321,770	\$ 3,029,479,650
69	SHANGRI-LA ASIA	24,928,874	\$ 418,805,083
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	9,535,544	\$ 38,714,309
83	SINO LAND	20,457,468	\$ 287,222,851
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	3,122,286	\$ 7,805,715
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	17,147,144	\$ 301,789,734
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	5,634,000	\$ 17,521,740
116	CHOW SANG SANG	455,000	\$ 7,826,000
119	POLY PROPERTY	62,411,450	\$ 222,184,762
123	YUEXIU PROPERTY	14,935,561	\$ 21,955,275
127	CHINESE EST H	1,897,500	\$ 25,957,800
129	ASIA STANDARD	1,638,000	\$ 3,407,040
133	CHINA MERCHANTS	34,000	\$ 453,560
135	KUNLUN ENERGY	261,379,417	\$ 1,861,021,449
136	HENG TEN NET	928,413,611	\$ 343,513,036
142	FIRST PACIFIC	10,820,697	\$ 63,409,284
144	CHINA MER PORT	18,060,064	\$ 410,866,456
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	2,614,190	\$ 119,468,483
151	WANT WANT CHINA	722,218,696	\$ 4,860,531,824
152	SHENZHEN INT'L	6,937,032	\$ 101,558,148
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
164	CHINA BAOLI TEC	64,275,000	\$ 6,298,950
165	CHINA EB LTD	18,658,509	\$ 344,436,076
168	TSINGTAO BREW	19,268,693	\$ 649,354,954
171	SILVER GRANT	1,386,000	\$ 1,621,620
173	K. WAH INT'L	1,050,409	\$ 4,726,841
175	GEELY AUTO	99,236,158	\$ 2,604,949,148
177	JIANGSU EXPRESS	10,104,088	\$ 115,590,767
178	SA SA INT'L	70,352,373	\$ 204,021,882
179	JOHNSON ELEC H	652,000	\$ 20,733,600
182	CONCORD NE	30,228,388	\$ 11,184,504
186	GRANDE HOLDINGS	0	\$ 0
189	DONGYUE GROUP	543,000	\$ 2,921,340
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0



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196	HONGHUA GROUP	34,957,000	\$ 25,169,040
198	SMI HOLDINGS	30,259,800	\$ 125,880,768
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	9,881,436	\$ 223,320,454
207	JOY CITY PPT	5,877,150	\$ 7,875,381
215	HUTCHTEL HK	83,835,505	\$ 240,607,899
217	CHINA CHENGTONG	1,690,040	\$ 929,522
218	SHENWANHONGYUAN	9,021,805	\$ 25,621,926
220	U-PRESID CHINA	18,223,000	\$ 118,631,730
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	3,310,000	\$ 3,905,800
232	AVIC INT'L	64,036,000	\$ 35,860,160
235	CHINA STRATEGIC	161,479,430	\$ 18,570,134
241	ALI HEALTH	359,257,915	\$ 1,516,068,401
242	SHUN TAK HOLD	4,319,666	\$ 13,909,325
245	CN MINSHENG FIN	22,470,247	\$ 9,437,504
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	500,786	\$ 4,191,579
256	CITYCHAMP	20,850,000	\$ 39,615,000
257	CHINA EB INT'L	180,162,341	\$ 1,945,753,283
258	TOMSON GROUP	761,046	\$ 2,732,155
259	YEEBO (INT'L H)	248,000	\$ 925,040
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	29,568,157	\$ 338,259,716
268	KINGDEE INT'L	176,705,229	\$ 768,667,746
270	GUANGDONG INV	17,735,237	\$ 201,472,292
272	SHUI ON LAND	60,522,564	\$ 118,624,225
273	MASON GP HOLD	133,696,001	\$ 18,583,744
285	BYD ELECTRONIC	85,130,924	\$ 1,953,754,706
288	WH GROUP	129,157,650	\$ 1,012,595,976
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	26,673,905	\$ 617,500,901
293	CATHAY PAC AIR	73,432,766	\$ 906,160,332
297	SINOFERT	98,020,528	\$ 122,525,660
303	VTECH HOLDINGS	1,936,288	\$ 221,317,718
308	CHINA TRAVEL HK	15,225,072	\$ 42,325,700
315	SMARTONE TELE	38,029,447	\$ 354,814,741
316	OOIL	4,943,812	\$ 370,785,900
317	COMEC	12,358,891	\$ 161,901,472



## Aggregated Reportable Short Positions of Specified Shares as of 10/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
321	TEXWINCA HOLD	19,528,617	\$ 91,393,928
322	TINGYI	151,208,374	\$ 1,868,935,503
323	MAANSHAN IRON	95,924,848	\$ 358,758,932
327	PAX GLOBAL	101,834,461	\$ 405,301,155
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	130,089,279	\$ 554,180,329
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	2,823,611	\$ 14,259,236
337	GREENLAND HK	4,237,603	\$ 14,323,098
338	SHANGHAI PECEM	39,231,931	\$ 180,466,883
341	CAFE DE CORAL H	1,889,028	\$ 44,958,866
342	NEWOCEAN ENERGY	45,096,372	\$ 89,290,817
345	VITASOY INT'L	3,973,913	\$ 75,345,390
347	ANGANG STEEL	61,611,656	\$ 446,684,506
354	CHINASOFT INT'L	117,820,623	\$ 529,014,597
358	JIANGXI COPPER	59,844,479	\$ 738,480,871
363	SHANGHAI IND H	614,000	\$ 14,214,100
368	SINOTRANS SHIP	35,401,500	\$ 71,157,015
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	165,932,693	\$ 1,073,584,524
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	11,838,000	\$ 11,719,620
382	WELLING HOLDING	786,400	\$ 1,564,936
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	68,684,762	\$ 1,669,039,717
386	SINOPEC CORP	133,971,199	\$ 775,693,242
388	HKEX	26,064,865	\$ 5,984,493,004
390	CHINA RAILWAY	92,901,056	\$ 562,980,399
392	BEIJING ENT	3,481,433	\$ 159,797,775
400	COGOBUY	22,216,000	\$ 103,526,560
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	76,059,610	\$ 347,592,418
412	CHINA SDHS FIN	0	\$ 0
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	781,000	\$ 7,029,000
419	HUAYI TENCENT	267,405,788	\$ 108,299,344
425	MINTH GROUP	12,571,528	\$ 582,690,323
433	NORTH MINING	123,549,718	\$ 18,038,259
434	BOYAA	2,628,194	\$ 8,804,450
435	SUNLIGHT REIT	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
439	KUANGCHI	40,022,163	\$ 110,861,392
440	DAH SING	677,340	\$ 34,747,542
451	GCL NEWENERGY	144,747,969	\$ 81,058,863
460	SIHUAN PHARM	168,079,464	\$ 460,537,731
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	3,026,312	\$ 15,373,665
480	HKR INT'L	1,456,800	\$ 7,284,000
486	RUSAL	8,077,000	\$ 44,100,420
488	LAI SUN DEV	692,700	\$ 9,517,698
489	DONGFENG GROUP	78,096,756	\$ 805,958,522
493	GOME RETAIL	1,898,443,932	\$ 1,879,459,493
494	LI & FUNG	276,748,289	\$ 999,061,323
497	CSI PROPERTIES	3,000,000	\$ 1,215,000
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	32,778,555	\$ 173,726,342
511	TVB	13,893,682	\$ 402,222,094
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,780,000	\$ 5,660,400
520	XIABUXIABU	822,500	\$ 9,985,150
521	HNA HOLDING	57,464,000	\$ 22,985,600
522	ASM PACIFIC	25,006,027	\$ 3,123,252,772
525	GUANGSHEN RAIL	65,360,900	\$ 298,045,704
526	LISI GP HOLD	2,588,000	\$ 2,872,680
530	GOLDIN FIN HOLD	7,276,000	\$ 29,176,760
535	GEMDALE PPT	28,430,000	\$ 22,175,400
538	AJISEN (CHINA)	259,000	\$ 1,023,050
546	FUFENG GROUP	43,100,000	\$ 234,033,000
547	DIGITAL DOMAIN	329,780,000	\$ 66,945,340
548	SHENZHENEXPRESS	2,912,100	\$ 23,588,010
551	YUE YUEN IND	5,212,645	\$ 163,937,685
552	CHINACOMSERVICE	11,281,338	\$ 54,150,422
563	SH IND URBAN	23,675,557	\$ 40,011,691
570	TRAD CHI MED	65,741,052	\$ 296,492,145
576	ZHEJIANGEXPRESS	12,838,925	\$ 118,118,110
579	JNCEC	8,834,000	\$ 18,993,100
580	SUN.KING ELEC	0	\$ 0
581	CHINA ORIENTAL	12,650,000	\$ 76,912,000
586	CONCH VENTURE	22,591,860	\$ 358,758,737
588	BEIJING N STAR	2,082,000	\$ 6,058,620
590	LUK FOOK HOLD	2,785,000	\$ 88,145,250
598	SINOTRANS	14,146,541	\$ 51,776,340



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
603	CHINA OIL & GAS	8,520,000	\$ 4,515,600
604	SHENZHEN INVEST	32,462,788	\$ 110,698,107
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	1,774,000	\$ 6,670,240
607	FULLSHARE	738,414,846	\$ 2,436,768,992
622	ENERCHINA HOLD	23,853,733	\$ 18,844,449
626	PUBLIC FIN HOLD	0	\$ 0
633	CH ALL ACCESS	1,084,000	\$ 2,547,400
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	34,731,000	\$ 57,653,460
656	FOSUN INTL	89,829,993	\$ 1,647,482,072
658	C TRANSMISSION	21,845,478	\$ 198,138,485
659	NWS HOLDINGS	3,442,031	\$ 55,210,177
665	HAITONG INT'L	180,050,170	\$ 824,629,779
669	TECHTRONIC IND	5,460,458	\$ 251,181,068
670	CHINA EAST AIR	130,792,929	\$ 512,708,282
672	ZHONGAN REALEST	2,108,000	\$ 2,550,680
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	102,661,506	\$ 23,612,146
683	KERRY PPT	15,564,025	\$ 543,962,674
686	PANDA GREEN	116,630,719	\$ 131,792,712
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	39,948,354	\$ 980,732,091
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	11,141,450	\$ 141,273,586
696	TRAVELSKY TECH	5,891,422	\$ 117,474,955
698	TONGDA GROUP	570,694,940	\$ 1,249,821,919
699	CAR INC	70,900,884	\$ 486,380,064
700	TENCENT	115,475,435	\$ 44,504,232,649
702	SINO OIL & GAS	75,967,515	\$ 11,547,062
707	CO-PROSPERITY	0	\$ 0
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	7,136,000	\$ 31,327,040
710	BOE VARITRONIX	0	\$ 0
715	CHINA OCEANWIDE	35,050,227	\$ 21,731,141
716	SINGAMAS CONT	11,212,000	\$ 19,284,640
717	EMPEROR CAPITAL	23,330,207	\$ 15,164,635
718	TAI UNITED HOLD	1,240,000	\$ 1,475,600
721	C FIN INT INV	54,893,584	\$ 12,570,631
728	CHINA TELECOM	105,465,626	\$ 410,261,285
729	FDG EVEHICLES	501,003,897	\$ 182,866,422



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732	TRULY INT'L	155,078,733	\$ 597,053,122
735	CP CLEAN ENERGY	1,200,403	\$ 5,557,866
737	HOPEWELL INFR	2,786,000	\$ 13,289,220
743	ASIA CEMENT CH	0	\$ 0
750	SINGYES SOLAR	67,665,277	\$ 184,726,206
751	SKYWORTHDIGITAL	140,032,074	\$ 513,917,712
752	PICO FAR EAST	412,000	\$ 1,400,800
753	AIR CHINA	38,087,614	\$ 273,849,945
754	HOPSON DEV HOLD	1,626,000	\$ 12,390,120
762	CHINA UNICOM	351,329,024	\$ 4,194,868,547
763	ZTE	64,303,092	\$ 1,845,498,740
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	5,187,665	\$ 135,138,673
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	150,030,913	\$ 132,027,203
798	CEOVU	0	\$ 0
799	IGG	96,120,862	\$ 995,812,130
806	VALUE PARTNERS	83,231,722	\$ 673,344,631
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	3,043,000	\$ 19,870,790
813	SHIMAO PROPERTY	9,925,959	\$ 160,204,978
815	CHI SILVER GP	15,902,114	\$ 41,663,539
816	HUADIAN FUXIN	51,998,163	\$ 103,996,326
817	CHINA JINMAO	138,381,282	\$ 496,788,802
818	HI SUN TECH	12,010,181	\$ 21,258,020
819	TIANNENG POWER	31,679,531	\$ 235,378,915
820	HSBCDRAGON FUND	0	\$ 0
823	LINK REIT	18,614,404	\$ 1,281,601,715
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	6,810,000	\$ 24,107,400
836	CHINA RES POWER	15,919,559	\$ 245,161,209
838	EVA HOLDINGS	378,000	\$ 434,700
845	GLORIOUS PPT H	26,556,000	\$ 22,307,040
853	MICROPORT	11,560,697	\$ 99,190,780
855	CHINA WATER	53,963,890	\$ 328,640,090
856	VSTECs	849,250	\$ 3,218,658
857	PETROCHINA	364,943,742	\$ 2,032,736,643
860	O LUXE HOLD	23,205,765	\$ 39,681,858
861	DC HOLDINGS	65,691,858	\$ 296,270,280
862	VISION VALUES	0	\$ 0
867	CMS	37,461,382	\$ 596,385,201



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868	XINYI GLASS	46,748,344	\$ 368,376,951
874	BAIYUNSHAN PH	1,962,400	\$ 52,297,960
877	O-NET TECH GP	38,247,311	\$ 212,655,049
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	116,069,424	\$ 750,969,173
881	ZHONGSHENG HLDG	30,714,398	\$ 543,644,845
882	TIANJIN DEV	2,428,000	\$ 9,590,600
883	CNOOC	91,512,142	\$ 1,015,784,776
884	CIFI HOLD GP	103,482,193	\$ 428,416,279
895	DONGJIANG ENV	4,177,954	\$ 50,720,362
902	HUANENG POWER	136,260,429	\$ 753,520,172
906	COFCO PACKAGING	9,498,338	\$ 57,464,945
914	CONCH CEMENT	88,206,734	\$ 2,787,332,794
915	DAOHE GLOBAL	2,890,000	\$ 6,647,000
916	CHINA LONGYUAN	146,877,186	\$ 837,199,960
921	HISENSE KELON	7,051,000	\$ 67,971,640
931	CHINA LNG	70,099,238	\$ 87,624,048
932	RM GROUP	6,204,000	\$ 43,117,800
933	BRIGHTOIL	43,844,654	\$ 65,766,981
934	SINOPEC KANTONS	10,752,100	\$ 54,298,105
939	CCB	1,016,102,931	\$ 6,939,983,019
941	CHINA MOBILE	29,084,400	\$ 2,335,477,320
950	LEE'S PHARM	617,577	\$ 4,378,621
951	CHAOWEI POWER	79,370,336	\$ 326,212,081
952	OCEANWIDE FIN	0	\$ 0
956	CHINA SUNTIEN	21,163,000	\$ 42,537,630
958	HN RENEWABLES	174,851,390	\$ 437,128,475
960	LONGFOR PPT	12,570,705	\$ 237,334,910
966	CHINA TAIPING	59,560,547	\$ 1,730,233,890
968	XINYI SOLAR	144,497,193	\$ 390,142,421
973	L'OCCITANE	2,779,350	\$ 41,634,663
976	CHIHO ENV	12,184,049	\$ 44,228,098
978	CHI MER LAND	19,040,000	\$ 27,036,800
980	LIANHUA	5,394,709	\$ 17,317,016
981	SMIC	542,380,083	\$ 7,506,540,349
988	LOUDONG GN RES	0	\$ 0
989	GROUND INTL DEV	915,000	\$ 1,573,800
991	DATANG POWER	10,366,917	\$ 29,649,383
992	LENOVO GROUP	1,025,823,639	\$ 4,667,497,557
993	HUARONG INT FIN	850,000	\$ 2,286,500
995	ANHUIEXPRESSWAY	634,300	\$ 4,097,578





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996	CARNIVAL GROUP	130,977,957	\$ 51,736,293
998	CITIC BANK	272,460,745	\$ 1,370,477,547
999	I.T	0	\$ 0
1003	HUANXI MEDIA	1,889,326	\$ 4,194,304
1004	C SMARTERENERGY	0	\$ 0
1028	C.BANNER	1,122,000	\$ 2,939,640
1030	FUTURE LAND	31,208,810	\$ 131,077,002
1031	KINGSTON FIN	125,473,923	\$ 879,572,200
1033	SINOPEC SSC	93,918,454	\$ 125,850,728
1038	CKI HOLDINGS	47,065,067	\$ 3,200,424,556
1044	HENGAN INT'L	36,347,624	\$ 2,867,827,534
1045	APT SATELLITE	1,562,614	\$ 5,516,027
1051	G-RESOURCES	38,514,106	\$ 3,889,925
1052	YUEXIUTRANSPORT	30,108,651	\$ 173,425,830
1055	CHINA SOUTH AIR	131,024,904	\$ 774,357,183
1060	ALI PICTURES	1,222,483,988	\$ 1,540,329,825
1061	ESSEX BIO-TECH	0	\$ 0
1065	TIANJIN CAPITAL	17,586,000	\$ 85,643,820
1066	WEIGAO GROUP	13,244,416	\$ 76,817,613
1068	YURUN FOOD	12,916,000	\$ 12,399,360
1070	TCL MULTIMEDIA	22,201,055	\$ 98,128,663
1071	HUADIAN POWER	48,833,498	\$ 163,103,883
1072	DONGFANG ELEC	4,429,910	\$ 35,306,383
1076	IMPERIAL PAC	221,000,855	\$ 26,741,103
1083	TOWNGAS CHINA	10,557,405	\$ 67,567,392
1086	GOODBABY INTL	5,673,000	\$ 24,847,740
1088	CHINA SHENHUA	18,617,267	\$ 362,664,361
1089	LEYOU TECH H	19,355,440	\$ 38,130,217
1090	DA MING INT'L	0	\$ 0
1093	CSPC PHARMA	34,452,000	\$ 538,140,240
1098	ROAD KING INFRA	799,000	\$ 10,195,240
1099	SINOPHARM	40,404,006	\$ 1,327,271,597
1103	DASHENG AGR FIN	51,168,009	\$ 31,212,485
1106	SINO HAIJING	0	\$ 0
1107	MODERN LAND	0	\$ 0
1108	LUOYANG GLASS	3,151,826	\$ 13,868,034
1109	CHINA RES LAND	58,728,755	\$ 1,327,269,863
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	7,094,500	\$ 286,972,525
1113	CK ASSET	1,714,314	\$ 112,973,293
1114	BRILLIANCE CHI	111,152,963	\$ 2,328,654,575



Aggregated Reportable Short Positions of Specified Shares as of 10/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1115	TIBET WATER	49,234,527	\$ 186,106,512
1117	CH MODERN D	55,319,162	\$ 86,851,084
1122	QINGLING MOTORS	480,000	\$ 1,233,600
1128	WYNN MACAU	53,805,992	\$ 1,162,209,427
1129	WATER INDUSTRY	7,784,984	\$ 13,156,623
1131	AGRITRADE RES	0	\$ 0
1133	HARBIN ELECTRIC	5,243,001	\$ 18,560,224
1136	TCC INT'L HOLD	0	\$ 0
1138	COSCO SHIP ENGY	14,295,041	\$ 62,755,230
1140	OP FIN INV	440,000	\$ 959,200
1141	CMBC CAPITAL	298,629,075	\$ 197,095,190
1157	ZOOMLION	121,787,060	\$ 443,304,898
1166	SOLARTECH INT'L	3,700,000	\$ 2,553,000
1168	SINOLINK HOLD	97,874,000	\$ 110,597,620
1169	HAIER ELEC	20,999,858	\$ 476,696,777
1171	YANZHOU COAL	72,464,137	\$ 569,568,117
1176	ZHUGUANG HOLD	3,072,000	\$ 3,225,600
1177	SINO BIOPHARM	73,628,590	\$ 840,838,498
1186	CHINA RAIL CONS	99,815,181	\$ 948,244,220
1193	CHINA RES GAS	26,513,250	\$ 766,232,925
1196	REALORD GROUP	4,966,000	\$ 28,405,520
1199	COSCO SHIP PORT	36,680,497	\$ 316,919,494
1205	CITIC RESOURCES	50,989,837	\$ 44,871,057
1206	TECHNOVATOR	13,058,000	\$ 33,297,900
1208	MMG	73,251,244	\$ 281,284,777
1211	BYD COMPANY	120,188,412	\$ 8,635,537,402
1212	LIFESTYLE INT'L	0	\$ 0
1219	TENWOW INT'L	2,376,273	\$ 4,419,868
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	5,767,033	\$ 9,746,286
1230	YASHILI INT'L	25,929,000	\$ 42,004,980
1231	NEWTON RES	0	\$ 0
1233	TIMES PPT	14,603,690	\$ 113,470,671
1234	CHINA LILANG	448,000	\$ 2,804,480
1238	POWERLONG	1,473,000	\$ 5,553,210
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	14,067,420	\$ 3,868,541
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	12,824,000	\$ 16,927,680
1257	CEB GREENTECH	0	\$ 0
1258	CHINFMINING	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 10/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1270	LANGHAM-SS	600,000	\$ 2,040,000
1272	DATANG ENVIRO	0	\$ 0
1281	LT SMART ENERGY	0	\$ 0
1282	CHINA GOLDJOY	96,752,000	\$ 63,856,320
1288	ABC	107,037,415	\$ 389,616,191
1293	GRAND BAOXIN	25,239,895	\$ 111,812,735
1297	SINOSOFT TECH	19,624,722	\$ 47,884,322
1299	AIA	53,302,606	\$ 3,283,440,530
1300	TRIGIANT	1,121,647	\$ 1,155,296
1302	LIFETECH SCI	81,447,929	\$ 152,307,627
1308	SITC	16,934,498	\$ 127,347,425
1310	HKBN	12,148,117	\$ 117,472,291
1313	CHINARES CEMENT	16,684,000	\$ 82,252,120
1315	VISION FAME	52,648,000	\$ 19,743,000
1316	NEXTEER	4,071,000	\$ 63,344,760
1317	MAPLELEAF EDU	21,059,650	\$ 190,589,833
1330	DYNAGREEN ENV	1,879,000	\$ 8,079,700
1332	CHINA TOUYUN	5,670,000	\$ 2,239,650
1333	CHINA ZHONGWANG	109,944,668	\$ 433,181,992
1336	NCI	11,699,097	\$ 630,581,328
1339	PICC GROUP	54,392,904	\$ 227,906,268
1347	HUA HONG SEMI	1,889,000	\$ 29,959,540
1359	CHINA CINDA	188,375,123	\$ 563,241,618
1360	MEGAEXPO HLDG	5,668,323	\$ 10,599,764
1361	361 DEGREES	16,251,000	\$ 48,427,980
1363	CTEG	242,175,138	\$ 312,405,928
1368	XTEP INT'L	47,103,600	\$ 134,245,260
1375	CC SECURITIES	15,388,819	\$ 54,476,419
1378	CHINAHONGQIAO	33,842,100	\$ 364,140,996
1381	CANVEST ENV	17,811,117	\$ 77,656,470
1382	PACIFICTEXTILES	13,188,586	\$ 107,750,748
1385	SHANGHAI FUDAN	0	\$ 0
1387	RENHE COMM	392,516,153	\$ 71,830,456
1398	ICBC	639,237,436	\$ 3,963,272,103
1415	COWELL	12,426,000	\$ 46,100,460
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	16,748,112	\$ 42,707,686
1432	CHINA SHENGMU	36,900,000	\$ 47,601,000
1448	FU SHOU YUAN	2,240,124	\$ 13,373,540
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	21,033,000	\$ 157,116,510



Aggregated Reportable Short Positions of Specified Shares as of 10/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1462	GOLD-FIN HLDG	0	\$ 0
1478	Q TECH	7,715,000	\$ 141,184,500
1508	CHINA RE	19,804,096	\$ 34,459,127
1509	HARMONICARE	3,467,000	\$ 10,088,970
1513	LIVZON PHARMA	498,757	\$ 31,047,623
1515	CRPHOENIXHEALTH	37,226,382	\$ 374,497,403
1518	NC HEALTHCARE	0	\$ 0
1520	CEFC FIN INV	0	\$ 0
1528	RS MACALLINE	1,598,000	\$ 15,101,100
1530	3SBIO	88,061,661	\$ 1,329,731,081
1548	GENSCRIPT BIO	14,542,000	\$ 141,057,400
1551	GRCB	0	\$ 0
1558	HEC PHARM	119,600	\$ 3,013,920
1566	CHINA ANIMATION	9,827,760	\$ 28,009,116
1569	MINSHENG EDU	1,166,000	\$ 1,970,540
1573	CH UNIENERGY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	346,000	\$ 2,439,300
1585	YADEA	6,428,000	\$ 17,419,880
1589	CNLP	13,547,367	\$ 33,055,575
1599	UCD	292,000	\$ 1,270,200
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	18,543,426	\$ 29,484,047
1618	MCC	50,107,227	\$ 127,272,357
1622	REDCO PPT	5,026,000	\$ 19,500,880
1628	YUZHOU PPT	6,806,186	\$ 25,182,888
1636	CMRU	2,436,000	\$ 7,308,000
1658	PSBC	71,574,595	\$ 329,243,137
1666	TONG REN TANG	21,505,419	\$ 243,011,235
1668	CHINASOUTHCITY	177,622,018	\$ 358,796,476
1680	MACAU LEGEND	63,183,561	\$ 81,506,794
1681	CONSUN PHARMA	603,000	\$ 4,257,180
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	56,242,500	\$ 553,988,625
1766	CRRC	208,014,681	\$ 1,528,907,905
1776	GF SEC	16,410,373	\$ 274,709,644
1777	FANTASIA	5,847,000	\$ 6,548,640
1778	COLOUR LIFE	9,867,800	\$ 47,760,152
1786	CRCCE	14,174,500	\$ 35,577,995



Aggregated Reportable Short Positions of Specified Shares as of 10/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1788	GUOTAI JUNAN I	228,516,013	\$ 591,856,474
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	76,567,429	\$ 705,186,021
1803	BJ SPORTS & ENT	667,500	\$ 1,481,850
1811	CGN NEW ENERGY	10,764,000	\$ 12,486,240
1812	CHENMING PAPER	6,913,000	\$ 94,569,840
1813	KWG PROPERTY	45,874,058	\$ 340,844,251
1816	CGN POWER	597,420,305	\$ 1,338,221,483
1818	ZHAOJIN MINING	60,831,214	\$ 392,361,330
1828	DCH HOLDINGS	5,289,000	\$ 20,203,980
1829	CMEC	8,397,392	\$ 40,895,299
1836	STELLA HOLDINGS	5,087,141	\$ 61,554,406
1848	CALC	4,459,214	\$ 36,699,331
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	7,461,000	\$ 187,644,150
1883	CITIC TELECOM	1,607,259	\$ 3,664,551
1886	HUIYUAN JUICE	7,678,500	\$ 18,428,400
1888	KB LAMINATES	10,607,500	\$ 144,898,450
1893	SINOMA	3,693,700	\$ 20,019,854
1898	CHINA COAL	208,629,153	\$ 744,806,076
1899	XINGDA INT'L	19,930,158	\$ 57,398,855
1910	SAMSONITE	19,489,771	\$ 643,162,443
1913	PRADA	22,950,167	\$ 597,851,850
1918	SUNAC	166,094,871	\$ 6,128,900,740
1919	COSCO SHIP HOLD	178,660,771	\$ 711,069,869
1928	SANDS CHINA LTD	94,044,621	\$ 3,536,077,750
1929	CHOW TAI FOOK	10,427,700	\$ 85,194,309
1958	BAIC MOTOR	46,308,238	\$ 420,478,801
1963	BCQ	402,000	\$ 2,536,620
1966	CHINA SCE PPT	12,518,000	\$ 42,686,380
1970	IMAX CHINA	16,915,560	\$ 392,440,992
1972	SWIREPROPERTIES	10,558,426	\$ 287,717,109
1980	TIANGE	6,994,000	\$ 42,243,760
1988	MINSHENG BANK	363,501,499	\$ 2,715,356,198
1999	MAN WAH HLDGS	37,013,726	\$ 277,602,945
2001	NEW HIGHER EDU	0	\$ 0
2005	SSY GROUP	4,952,000	\$ 19,312,800
2006	JINJIANG HOTELS	11,904,871	\$ 35,119,369
2007	COUNTRY GARDEN	347,271,446	\$ 4,264,493,357
2008	PHOENIX TV	7,808,000	\$ 8,588,800



Aggregated Reportable Short Positions of Specified Shares as of 10/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2009	BBMG	191,665,263	\$ 720,661,389
2014	OZNER WATER	39,282,000	\$ 96,240,900
2016	CZBANK	0	\$ 0
2018	AAC TECH	29,286,644	\$ 4,961,157,494
2020	ANTA SPORTS	35,683,332	\$ 1,284,599,952
2038	FIH	62,330,183	\$ 143,359,421
2039	CIMC	2,231,400	\$ 35,791,656
2066	SHENGJINGBANK	2,135,000	\$ 13,066,200
2098	ZALL GROUP	5,449,000	\$ 36,508,300
2099	CHINAGOLDINTL	615,800	\$ 7,820,660
2111	BEST PACIFIC	13,736,840	\$ 70,057,884
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	2,317,044	\$ 12,396,185
2136	LIFESTYLE CHI	354,500	\$ 918,155
2186	LUYE PHARMA	195,743,765	\$ 1,072,675,832
2196	FOSUN PHARMA	20,999,705	\$ 862,037,890
2198	CHINA SANJIANG	1,143,000	\$ 3,851,910
2199	REGINA MIRACLE	16,659,662	\$ 130,111,960
2200	HOSA INT'L	5,732,000	\$ 13,355,560
2202	CHINA VANKE	17,866,903	\$ 502,953,319
2208	GOLDWIND	48,269,075	\$ 523,236,773
2233	WESTCHINACEMENT	46,132,000	\$ 54,435,760
2238	GAC GROUP	32,195,372	\$ 661,614,895
2255	HAICHANG HLDG	5,110,000	\$ 9,606,800
2268	YOUYUAN HLDGS	2,014,000	\$ 8,297,680
2269	WUXI BIO	767,842	\$ 34,744,851
2277	HUARONG INV	0	\$ 0
2280	HC INTL	26,872,670	\$ 177,090,895
2282	MGM CHINA	134,864,105	\$ 2,629,850,048
2298	COSMO LADY	64,850,664	\$ 199,740,045
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHOU INTL	11,284,611	\$ 839,575,058
2314	LEE & MAN PAPER	1,584,000	\$ 14,952,960
2318	PING AN	1,419,173,447	\$ 107,573,347,283
2319	MENGNIU DAIRY	36,551,397	\$ 756,613,918
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	140,438,242	\$ 22,189,242
2328	PICC P&C	24,020,614	\$ 399,703,017
2329	GUORUI PPT	0	\$ 0
2331	LI NING	37,577,828	\$ 258,535,457



Aggregated Reportable Short Positions of Specified Shares as of 10/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2333	GREATWALL MOTOR	491,885,409	\$ 4,554,858,887
2338	WEICHAI POWER	173,496,092	\$ 1,714,141,389
2342	COMBA	29,404,688	\$ 42,048,704
2343	PACIFIC BASIN	237,873,734	\$ 413,900,297
2345	SHANGHAI PRIME	212,000	\$ 328,600
2348	DAWNRAYS PHARMA	868,800	\$ 3,926,976
2355	BAOYE GROUP	0	\$ 0
2356	DAHSHING BANKING	1,264,132	\$ 21,743,070
2357	AVICHINA	151,562,018	\$ 666,872,879
2362	JINCHUAN INTL	24,159,832	\$ 27,300,610
2369	COOLPAD GROUP	75,028,148	\$ 54,020,267
2378	PRU	0	\$ 0
2380	CHINA POWER	286,377,154	\$ 710,215,342
2382	SUNNY OPTICAL	18,525,496	\$ 2,604,684,738
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	43,393,677	\$ 286,398,268
2388	BOC HONG KONG	38,729,486	\$ 1,436,863,931
2389	BJ ENT M&H	35,067,363	\$ 14,202,282
2393	YESTAR HEALTH	4,995,000	\$ 16,283,700
2588	BOC AVIATION	589,245	\$ 24,217,970
2600	CHALCO	544,615,386	\$ 3,164,215,393
2601	CPIC	6,995,807	\$ 280,531,861
2607	SH PHARMA	21,946,249	\$ 427,512,931
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	0	\$ 0
2628	CHINA LIFE	61,050,953	\$ 1,691,111,398
2638	HKELECTRIC-SS	274,371,122	\$ 1,969,984,656
2662	CAMSING INTL	364,204	\$ 1,784,600
2666	UNI MEDICAL	33,441,773	\$ 268,203,019
2669	CHINA OVS PPT	42,235,588	\$ 78,135,838
2678	TEXHONG TEXTILE	4,037,423	\$ 43,604,168
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	50,302,869	\$ 2,892,414,968
2689	ND PAPER	61,821,532	\$ 874,156,462
2722	CHONGQING M&E	0	\$ 0
2727	SH ELECTRIC	209,959,180	\$ 713,861,212
2768	JIAYUAN INTL	3,986,000	\$ 22,919,500
2777	R&F PROPERTIES	24,756,648	\$ 428,290,010
2778	CHAMPION REIT	6,581,000	\$ 36,919,410
2799	CHINA HUARONG	168,773,482	\$ 619,398,679
2800	TRACKER FUND	6,958,500	\$ 204,231,975



Aggregated Reportable Short Positions of Specified Shares as of 10/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	82,074,070	\$ 1,267,223,641
2823	ISHARES A50	192,517,206	\$ 2,883,907,746
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	5,745,820	\$ 682,603,416
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	74,158,098	\$ 125,327,186
2868	BJ CAPITAL LAND	4,634,000	\$ 18,536,000
2869	GREENTOWN SER	10,740,000	\$ 57,781,200
2877	SHINEWAY PHARM	4,707,000	\$ 34,361,100
2880	DALIAN PORT	43,819,288	\$ 65,290,739
2883	CHINA OILFIELD	144,262,016	\$ 1,115,145,384
2888	STANCHART	7,322,954	\$ 548,123,107
2899	ZIJIN MINING	223,619,629	\$ 601,536,802
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0





Aggregated Reportable Short Positions of Specified Shares as of 10/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 10/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	33,385,988	\$ 1,649,267,807
3199	CSOP 5YCVBOND	0	\$ 0
3301	RONSHINECHINA	2,819,000	\$ 24,976,340
3308	GOLDEN EAGLE	7,000,542	\$ 66,645,160
3311	CHINA STATE CON	64,911,501	\$ 698,447,751
3320	CHINARES PHARMA	5,891,864	\$ 54,381,905
3323	CNBM	285,190,813	\$ 1,893,666,998
3328	BANKCOMM	184,901,061	\$ 1,076,124,175
3329	BOCOM INTL	0	\$ 0
3331	VINDA INT'L	720,000	\$ 11,304,000
3333	EVERGRANDE	228,883,292	\$ 7,175,491,204
3336	JU TENG INTL	5,574,920	\$ 14,662,040



Aggregated Reportable Short Positions of Specified Shares as of 10/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3337	ANTON OILFIELD	63,777,257	\$ 55,486,214
3339	LONKING	50,051,100	\$ 166,670,163
3360	FE HORIZON	24,254,540	\$ 176,087,960
3369	QHD PORT	3,653,000	\$ 9,497,800
3377	SINO-OCEAN GP	37,985,031	\$ 188,025,903
3378	XIAMEN PORT	2,391,964	\$ 3,611,866
3380	LOGAN PPT	45,502,192	\$ 322,155,519
3382	TIANJINPORT DEV	0	\$ 0
3383	AGILE GROUP	84,921,770	\$ 983,394,097
3393	WASION GROUP	23,021,789	\$ 91,166,284
3396	LEGENDHOLDING	2,976,700	\$ 63,106,040
3606	FUYAO GLASS	27,971,530	\$ 886,697,501
3618	CQRC BANK	48,803,087	\$ 262,560,608
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	591,200	\$ 10,511,536
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	9,421,500	\$ 98,737,320
3698	HUIZHANG BANK	5,108,000	\$ 20,278,760
3708	YAT SING HLDG	780,000	\$ 2,332,200
3799	DALI FOODS	2,986,000	\$ 18,095,160
3800	GCL-POLY ENERGY	1,890,488,891	\$ 2,722,304,003
3808	SINOTRUK	84,914,500	\$ 831,312,955
3813	POU SHENG INT'L	94,277,986	\$ 141,416,979
3818	CHINA DONGXIANG	40,805,000	\$ 57,127,000
3836	HARMONY AUTO	38,011,361	\$ 187,396,010
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	19,597,000	\$ 83,875,160
3886	TOWN HEALTH	106,803,754	\$ 76,898,703
3888	KINGSOFT	91,396,944	\$ 1,969,604,143
3898	CRRC TIMES ELEC	39,411,229	\$ 1,759,711,375
3899	CIMC ENRIC	25,463,846	\$ 132,666,638
3900	GREENTOWN CHINA	82,417,524	\$ 789,559,880
3908	CICC	15,280,592	\$ 260,686,900
3918	NAGACORP	20,676,512	\$ 113,720,816
3933	UNITED LAB	167,615,428	\$ 1,126,375,676
3948	YITAI COAL	0	\$ 0
3958	DFZQ	3,456,400	\$ 28,618,992
3968	CM BANK	125,160,549	\$ 3,836,170,827
3969	CHINA CRSC	1,711,000	\$ 10,539,760
3983	CHINA BLUECHEM	20,441,629	\$ 49,264,326
3988	BANK OF CHINA	169,298,548	\$ 648,413,439



Aggregated Reportable Short Positions of Specified Shares as of 10/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3989	CAPITAL ENV	12,007,395	\$ 4,322,662
3993	CMOC	193,956,968	\$ 950,389,143
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	3,150,000	\$ 1,984,500
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	95,513,551	\$ 1,679,128,227
6060	ZA ONLINE	2,557,500	\$ 195,137,250
6066	CSC	8,070,000	\$ 56,651,400
6068	WISDOM EDU INTL	1,126,000	\$ 5,089,520
6099	CMSC	1,478,950	\$ 19,285,508
6116	LA CHAPELLE	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6169	YUHUA EDU	1,580,000	\$ 6,114,600
6178	EB SECURITIES	4,315,400	\$ 46,002,164
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	2,971,000	\$ 15,746,300
6808	SUNART RETAIL	163,078,683	\$ 1,402,476,674
6818	CEB BANK	118,760,270	\$ 435,850,191
6823	HKT-SS	50,014,132	\$ 478,635,243
6826	HAOHAI BIOTEC	24,000	\$ 910,800
6828	BG BLUE SKY	35,864,000	\$ 18,290,640
6837	HAITONG SEC	83,893,029	\$ 1,021,817,093
6858	HONMAGOLF	0	\$ 0
6863	HUIZHAN DAIRY	362,165,431	\$ 152,109,481
6869	YOFC	6,821,000	\$ 229,526,650
6881	CGS	137,799,733	\$ 937,038,184
6886	HTSC	41,858,428	\$ 710,756,107
6889	DYNAM JAPAN	364,400	\$ 4,445,680
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 10/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCIE	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCIE	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	7,346,000	\$ 4,921,820
8057	MADISON HLDG	1,100,000	\$ 1,925,000
8078	HMV DIGIT CHINA	4,660,000	\$ 1,155,680
8083	INNOVATIONPAY	48,332,866	\$ 20,541,468
8102	LI BAO GE GP	0	\$ 0
8137	HONBRIDGE	13,196,000	\$ 22,961,040
8138	TONGRENTANGCM	460,000	\$ 5,170,400
8158	CRMI	83,825,000	\$ 15,926,750
8207	CHONGSING HLDGS	63,116,000	\$ 65,009,480
8237	LINK HOLDINGS	0	\$ 0
8265	CHINA TRUSTFUL	1,404,000	\$ 4,226,040
8279	AGTECH HOLDINGS	8,848,000	\$ 11,325,440
8370	ZHI SHENG GP	0	\$ 0
8407	CISI FIN	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 10/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESESE50-U	0	\$ 0
9170	ISHARESKS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSEFA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 10/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHAREMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0