



Aggregated Reportable Short Positions of Specified Shares as of 17/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	15,512,529	\$ 1,524,105,974
2	CLP HOLDINGS	31,097,648	\$ 2,467,598,369
3	HK & CHINA GAS	240,040,553	\$ 3,552,600,184
4	WHARF HOLDINGS	21,349,497	\$ 544,412,174
5	HSBC HOLDINGS	45,670,818	\$ 3,436,729,055
6	POWER ASSETS	18,097,834	\$ 1,203,505,961
8	PCCW	50,595,720	\$ 219,079,468
10	HANG LUNG GROUP	2,904,960	\$ 79,014,912
11	HANG SENG BANK	9,071,417	\$ 1,685,469,279
12	HENDERSON LAND	11,997,004	\$ 623,844,208
14	HYSAN DEV	5,412,766	\$ 213,262,980
16	SHK PPT	9,273,345	\$ 1,168,441,470
17	NEW WORLD DEV	90,230,240	\$ 1,028,624,736
19	SWIRE PACIFIC A	9,617,638	\$ 717,956,677
20	WHEELLOCK	2,815,558	\$ 152,040,132
23	BANK OF E ASIA	49,200,026	\$ 1,606,380,849
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	45,355,399	\$ 2,526,295,724
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	24,224,490	\$ 22,771,021
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	0	\$ 0
38	FIRST TRACTOR	8,788,871	\$ 30,409,494
39	CH BEIDAHUANG	6,896,000	\$ 2,792,880
41	GREAT EAGLE H	325,707	\$ 13,712,265
43	C.P. POKPHAND	0	\$ 0
44	HAECO	35,608	\$ 1,707,404
45	HK&S HOTELS	5,513,149	\$ 63,290,951
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	523,500	\$ 15,992,925
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	508,000	\$ 15,011,400
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	5,838,000	\$ 1,838,970
66	MTR CORPORATION	67,293,370	\$ 3,051,754,330
69	SHANGRI-LA ASIA	27,317,689	\$ 490,079,341
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	13,433,544	\$ 53,734,176
83	SINO LAND	19,823,378	\$ 273,562,616
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	3,142,286	\$ 7,572,909
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	18,089,359	\$ 315,478,421
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	5,680,000	\$ 17,096,800
116	CHOW SANG SANG	455,000	\$ 7,853,300
119	POLY PROPERTY	58,816,450	\$ 201,740,424
123	YUEXIU PROPERTY	14,835,561	\$ 21,659,919
127	CHINESE EST H	1,962,500	\$ 25,983,500
129	ASIA STANDARD	1,674,000	\$ 3,163,860
133	CHINA MERCHANTS	34,000	\$ 461,720
135	KUNLUN ENERGY	252,779,005	\$ 1,673,397,013
136	HENG TEN NET	942,889,611	\$ 325,296,916
142	FIRST PACIFIC	8,228,665	\$ 49,371,990
144	CHINA MER PORT	20,266,254	\$ 415,458,207
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	2,161,690	\$ 96,843,712
151	WANT WANT CHINA	694,296,364	\$ 4,311,580,420
152	SHENZHEN INT'L	6,419,566	\$ 94,496,012
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
164	CHINA BAOLI TEC	67,225,000	\$ 7,865,325
165	CHINA EB LTD	14,794,825	\$ 262,460,196
168	TSINGTAO BREW	24,951,987	\$ 817,177,574
171	SILVER GRANT	1,394,000	\$ 1,700,680
173	K. WAH INT'L	1,038,409	\$ 4,496,311
175	GEELY AUTO	90,069,042	\$ 2,472,395,203
177	JIANGSU EXPRESS	6,636,667	\$ 71,941,470
178	SA SA INT'L	71,348,173	\$ 200,488,366
179	JOHNSON ELEC H	1,159,000	\$ 38,536,750
182	CONCORD NE	33,958,388	\$ 11,885,436
186	GRANDE HOLDINGS	0	\$ 0
189	DONGYUE GROUP	1,058,000	\$ 5,247,680
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0



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196	HONGHUA GROUP	35,163,000	\$ 24,262,470
198	SMI HOLDINGS	30,415,800	\$ 125,617,254
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	9,135,310	\$ 208,741,834
207	JOY CITY PPT	6,159,150	\$ 7,514,163
215	HUTCHTEL HK	86,838,762	\$ 244,016,921
217	CHINA CHENGTONG	1,690,040	\$ 946,422
218	SHENWANHONGYUAN	10,076,805	\$ 25,796,621
220	U-PRESID CHINA	19,588,069	\$ 124,971,880
222	MIN XIN HOLD	148,000	\$ 812,520
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	3,340,000	\$ 4,074,800
232	AVIC INT'L	68,934,000	\$ 37,224,360
235	CHINA STRATEGIC	183,679,043	\$ 18,918,941
241	ALI HEALTH	367,085,915	\$ 1,534,419,125
242	SHUN TAK HOLD	2,063,666	\$ 6,273,545
245	CN MINSHENG FIN	24,330,247	\$ 9,245,494
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	500,786	\$ 4,421,940
256	CITYCHAMP	21,224,000	\$ 40,113,360
257	CHINA EB INT'L	176,881,871	\$ 1,889,098,382
258	TOMSON GROUP	761,046	\$ 2,481,010
259	YEEBO (INT'L H)	318,000	\$ 1,221,120
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	31,518,157	\$ 352,372,995
268	KINGDEE INT'L	194,450,543	\$ 859,471,400
270	GUANGDONG INV	16,411,237	\$ 182,821,180
272	SHUI ON LAND	66,260,056	\$ 125,894,106
273	MASON GP HOLD	133,536,001	\$ 18,427,968
285	BYD ELECTRONIC	86,399,924	\$ 1,827,358,393
288	WH GROUP	131,296,399	\$ 1,010,982,272
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	20,605,557	\$ 447,140,587
293	CATHAY PAC AIR	76,796,444	\$ 915,413,612
297	SINOFERT	100,324,528	\$ 116,376,452
303	VTECH HOLDINGS	1,681,088	\$ 188,281,856
308	CHINA TRAVEL HK	14,387,072	\$ 37,694,129
315	SMARTONE TELE	36,691,715	\$ 335,362,275
316	OOIL	5,459,522	\$ 406,734,389
317	COMEC	12,620,236	\$ 158,257,759



Aggregated Reportable Short Positions of Specified Shares as of 17/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
321	TEXWINCA HOLD	20,208,617	\$ 91,949,207
322	TINGYI	135,049,328	\$ 1,804,259,022
323	MAANSHAN IRON	104,302,989	\$ 371,318,641
327	PAX GLOBAL	92,776,378	\$ 333,994,961
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	137,729,985	\$ 561,938,339
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	1,650,613	\$ 8,154,028
337	GREENLAND HK	5,123,400	\$ 17,317,092
338	SHANGHAI PECEM	42,811,790	\$ 192,653,055
341	CAFE DE CORAL H	1,953,028	\$ 45,310,250
342	NEWOCEAN ENERGY	45,238,372	\$ 90,024,360
345	VITASOY INT'L	3,995,913	\$ 77,920,304
347	ANGANG STEEL	67,091,656	\$ 460,248,760
354	CHINASOFT INT'L	121,080,623	\$ 590,873,440
358	JIANGXI COPPER	67,933,140	\$ 792,100,412
363	SHANGHAI IND H	367,000	\$ 8,220,800
368	SINOTRANS SHIP	34,041,000	\$ 64,337,490
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	162,717,607	\$ 1,010,476,339
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	11,838,000	\$ 11,482,860
382	WELLING HOLDING	0	\$ 0
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	70,792,025	\$ 1,568,043,354
386	SINOPEC CORP	167,653,810	\$ 918,742,879
388	HKEX	25,184,044	\$ 5,888,029,487
390	CHINA RAILWAY	91,908,056	\$ 539,500,289
392	BEIJING ENT	3,330,433	\$ 148,703,833
400	COGOBUY	20,894,000	\$ 91,933,600
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	79,441,756	\$ 402,769,703
412	CHINA SDHS FIN	0	\$ 0
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	781,000	\$ 7,114,910
419	HUAYI TENCENT	278,405,788	\$ 105,794,199
425	MINTH GROUP	11,766,029	\$ 541,237,334
433	NORTH MINING	123,047,111	\$ 17,595,737
434	BOYAA	2,528,194	\$ 8,343,040
435	SUNLIGHT REIT	0	\$ 0



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439	KUANGCHI	35,780,163	\$ 95,533,035
440	DAH SING	654,540	\$ 32,825,181
451	GCL NEWENERGY	146,300,738	\$ 87,780,443
460	SIHUAN PHARM	160,387,626	\$ 412,196,199
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	2,890,312	\$ 15,145,235
480	HKR INT'L	1,456,800	\$ 7,109,184
486	RUSAL	8,873,000	\$ 48,890,230
488	LAI SUN DEV	739,500	\$ 9,790,980
489	DONGFENG GROUP	71,699,362	\$ 716,276,626
493	GOME RETAIL	1,840,988,055	\$ 1,730,528,772
494	LI & FUNG	275,409,089	\$ 1,007,997,266
497	CSI PROPERTIES	3,000,000	\$ 1,215,000
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	34,202,224	\$ 178,193,587
511	TVB	13,898,482	\$ 394,716,889
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,816,000	\$ 5,411,680
520	XIABUXIABU	945,500	\$ 11,818,750
521	HNA HOLDING	59,324,000	\$ 23,432,980
522	ASM PACIFIC	25,669,717	\$ 3,221,549,484
525	GUANGSHEN RAIL	61,926,900	\$ 258,235,173
526	LISI GP HOLD	3,020,000	\$ 3,805,200
530	GOLDIN FIN HOLD	7,230,000	\$ 29,353,800
535	GEMDALE PPT	29,086,000	\$ 23,268,800
538	AJISEN (CHINA)	333,000	\$ 1,332,000
546	FUFENG GROUP	42,618,000	\$ 213,516,180
547	DIGITAL DOMAIN	358,040,000	\$ 78,768,800
548	SHENZHENEXPRESS	3,186,000	\$ 25,073,820
551	YUE YUEN IND	3,881,244	\$ 110,421,392
552	CHINACOMSERVICE	12,652,000	\$ 59,590,920
563	SH IND URBAN	23,195,557	\$ 38,040,713
570	TRAD CHI MED	65,329,052	\$ 282,221,505
576	ZHEJIANGEXPRESS	11,985,060	\$ 112,539,713
579	JNCEC	10,662,000	\$ 22,496,820
580	SUN.KING ELEC	714,000	\$ 1,285,200
581	CHINA ORIENTAL	9,122,000	\$ 53,819,800
586	CONCH VENTURE	22,836,860	\$ 375,894,716
588	BEIJING N STAR	1,902,000	\$ 5,477,760
590	LUK FOOK HOLD	2,808,000	\$ 90,979,200
598	SINOTRANS	22,244,541	\$ 79,857,902



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603	CHINA OIL & GAS	8,520,000	\$ 4,600,800
604	SHENZHEN INVEST	33,950,788	\$ 113,395,632
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	1,609,000	\$ 5,583,230
607	FULLSHARE	717,213,166	\$ 2,352,459,184
622	ENERCHINA HOLD	25,027,002	\$ 19,521,062
626	PUBLIC FIN HOLD	0	\$ 0
633	CH ALL ACCESS	980,000	\$ 2,283,400
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	34,453,000	\$ 50,645,910
656	FOSUN INTL	85,420,493	\$ 1,457,273,611
658	C TRANSMISSION	20,955,478	\$ 190,904,405
659	NWS HOLDINGS	3,345,223	\$ 53,657,377
665	HAITONG INT'L	183,383,200	\$ 810,553,744
669	TECHTRONIC IND	5,328,958	\$ 251,260,370
670	CHINA EAST AIR	142,793,294	\$ 622,578,762
672	ZHONGAN REALEST	4,268,000	\$ 4,652,120
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	102,650,000	\$ 22,788,300
683	KERRY PPT	14,623,661	\$ 493,548,559
686	PANDA GREEN	117,461,393	\$ 128,032,918
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	32,112,646	\$ 765,886,607
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	12,121,450	\$ 145,942,258
696	TRAVELSKY TECH	6,214,422	\$ 121,802,671
698	TONGDA GROUP	535,534,940	\$ 1,167,466,169
699	CAR INC	71,375,884	\$ 488,924,805
700	TENCENT	118,801,375	\$ 47,924,474,675
702	SINO OIL & GAS	74,647,515	\$ 11,122,480
707	CO-PROSPERITY	3,996,000	\$ 1,558,440
708	EVERG HEALTH	0	\$ 0
709	GIORDANO INT'L	7,136,000	\$ 29,828,480
710	BOE VARITRONIX	485,000	\$ 3,007,000
715	CHINA OCEANWIDE	34,960,227	\$ 21,675,341
716	SINGAMAS CONT	11,848,000	\$ 19,312,240
717	EMPEROR CAPITAL	23,318,207	\$ 14,690,470
718	TAI UNITED HOLD	1,240,000	\$ 1,438,400
721	C FIN INT INV	54,123,584	\$ 12,340,177
728	CHINA TELECOM	155,688,366	\$ 599,400,209
729	FDG EVEHICLES	506,733,897	\$ 182,424,203



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732	TRULY INT'L	151,953,716	\$ 571,345,972
735	CP CLEAN ENERGY	1,103,403	\$ 4,932,211
737	HOPEWELL INFR	2,756,000	\$ 13,008,320
743	ASIA CEMENT CH	0	\$ 0
750	SINGYES SOLAR	68,595,277	\$ 187,951,059
751	SKYWORTHDIGITAL	150,048,074	\$ 544,674,509
752	PICO FAR EAST	412,000	\$ 1,400,800
753	AIR CHINA	39,828,614	\$ 325,798,063
754	HOPSON DEV HOLD	1,706,000	\$ 12,539,100
762	CHINA UNICOM	346,458,899	\$ 4,039,710,762
763	ZTE	65,349,372	\$ 1,829,782,416
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	5,493,165	\$ 140,075,708
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	174,048,889	\$ 134,017,645
798	CEOVU	0	\$ 0
799	IGG	100,006,302	\$ 948,059,743
806	VALUE PARTNERS	87,031,906	\$ 702,347,481
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	3,140,000	\$ 19,907,600
813	SHIMAO PROPERTY	10,077,086	\$ 155,993,291
815	CHI SILVER GP	14,445,720	\$ 33,514,070
816	HUADIAN FUXIN	47,968,163	\$ 90,659,828
817	CHINA JINMAO	133,984,094	\$ 464,924,806
818	HI SUN TECH	12,004,181	\$ 19,206,690
819	TIANNENG POWER	31,329,531	\$ 224,006,147
820	HSBCDRAGON FUND	0	\$ 0
823	LINK REIT	19,429,204	\$ 1,344,500,917
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	6,145,000	\$ 20,462,850
836	CHINA RES POWER	18,099,559	\$ 274,027,323
838	EVA HOLDINGS	378,000	\$ 408,240
845	GLORIOUS PPT H	26,223,000	\$ 20,978,400
853	MICROPORT	12,486,650	\$ 113,628,515
855	CHINA WATER	54,039,890	\$ 324,239,340
856	VSTECs	979,250	\$ 3,574,263
857	PETROCHINA	348,820,616	\$ 1,813,867,203
860	O LUXE HOLD	25,209,765	\$ 41,596,112
861	DC HOLDINGS	66,636,608	\$ 289,202,879
862	VISION VALUES	0	\$ 0
867	CMS	35,051,272	\$ 544,696,767



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868	XINYI GLASS	43,508,475	\$ 335,885,427
874	BAIYUNSHAN PH	1,588,400	\$ 36,453,780
877	O-NET TECH GP	37,006,036	\$ 193,171,508
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	120,115,055	\$ 748,316,793
881	ZHONGSHENG HLDG	27,266,973	\$ 471,173,293
882	TIANJIN DEV	2,820,000	\$ 10,546,800
883	CNOOC	75,542,113	\$ 800,746,398
884	CIFI HOLD GP	102,098,193	\$ 408,392,772
895	DONGJIANG ENV	4,742,624	\$ 54,729,881
902	HUANENG POWER	135,405,431	\$ 729,835,273
906	COFCO PACKAGING	8,763,338	\$ 53,894,529
914	CONCH CEMENT	78,949,760	\$ 2,767,189,088
915	DAOHE GLOBAL	3,028,000	\$ 6,631,320
916	CHINA LONGYUAN	149,337,786	\$ 809,410,800
921	HISENSE KELON	7,987,000	\$ 73,160,920
931	CHINA LNG	71,891,238	\$ 89,145,135
932	RM GROUP	6,708,000	\$ 48,700,080
933	BRIGHTOIL	43,844,654	\$ 65,766,981
934	SINOPEC KANTONS	10,034,000	\$ 46,959,120
939	CCB	1,072,504,931	\$ 7,303,758,580
941	CHINA MOBILE	25,346,191	\$ 1,980,804,827
950	LEE'S PHARM	611,077	\$ 4,246,985
951	CHAOWEI POWER	82,443,336	\$ 320,704,577
952	OCEANWIDE FIN	0	\$ 0
956	CHINA SUNTIEN	20,673,000	\$ 40,932,540
958	HN RENEWABLES	172,767,390	\$ 425,007,779
960	LONGFOR PPT	13,685,682	\$ 263,586,235
966	CHINA TAIPING	57,644,643	\$ 1,683,223,576
968	XINYI SOLAR	145,799,593	\$ 393,658,901
973	L'OCCITANE	3,323,600	\$ 49,721,056
976	CHIHO ENV	12,670,049	\$ 45,232,075
978	CHI MER LAND	17,838,000	\$ 24,973,200
980	LIANHUA	5,451,709	\$ 16,355,127
981	SMIC	496,286,072	\$ 5,498,849,678
988	LOUDONG GN RES	0	\$ 0
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	8,570,645	\$ 22,969,329
992	LENOVO GROUP	1,037,499,639	\$ 4,606,498,397
993	HUARONG INT FIN	853,000	\$ 2,320,160
995	ANHUIEXPRESSWAY	445,300	\$ 2,894,450



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996	CARNIVAL GROUP	130,787,957	\$ 51,661,243
998	CITIC BANK	279,310,675	\$ 1,402,139,589
999	I.T	0	\$ 0
1003	HUANXI MEDIA	1,809,326	\$ 3,890,051
1004	C SMARTERENERGY	0	\$ 0
1028	C.BANNER	1,120,000	\$ 2,990,400
1030	FUTURE LAND	32,484,000	\$ 160,146,120
1031	KINGSTON FIN	129,871,923	\$ 1,005,208,684
1033	SINOPEC SSC	95,160,454	\$ 119,902,172
1038	CKI HOLDINGS	46,528,656	\$ 3,173,254,339
1044	HENGAN INT'L	33,276,329	\$ 2,524,009,555
1045	APT SATELLITE	1,570,614	\$ 5,607,092
1051	G-RESOURCES	39,228,106	\$ 4,001,267
1052	YUEXIUTRANSPORT	30,168,651	\$ 171,357,938
1055	CHINA SOUTH AIR	146,310,904	\$ 971,504,403
1060	ALI PICTURES	1,163,620,417	\$ 1,291,618,663
1061	ESSEX BIO-TECH	0	\$ 0
1065	TIANJIN CAPITAL	18,292,000	\$ 81,399,400
1066	WEIGAO GROUP	12,380,416	\$ 70,444,567
1068	YURUN FOOD	14,935,000	\$ 13,441,500
1070	TCL MULTIMEDIA	22,201,055	\$ 105,455,011
1071	HUADIAN POWER	47,875,498	\$ 151,765,329
1072	DONGFANG ELEC	4,541,910	\$ 31,793,370
1076	IMPERIAL PAC	221,640,855	\$ 25,931,980
1083	TOWNGAS CHINA	10,172,916	\$ 63,580,725
1086	GOODBABY INTL	5,505,000	\$ 23,671,500
1088	CHINA SHENHUA	36,956,037	\$ 705,121,186
1089	LEYOU TECH H	16,068,151	\$ 32,136,302
1090	DA MING INT'L	0	\$ 0
1093	CSPC PHARMA	31,072,000	\$ 497,773,440
1098	ROAD KING INFRA	583,000	\$ 7,462,400
1099	SINOPHARM	39,096,182	\$ 1,231,529,733
1103	DASHENG AGR FIN	52,496,009	\$ 30,972,645
1106	SINO HAIJING	0	\$ 0
1107	MODERN LAND	658,000	\$ 1,052,800
1108	LUOYANG GLASS	3,539,826	\$ 14,477,888
1109	CHINA RES LAND	59,303,866	\$ 1,304,685,052
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	6,870,500	\$ 299,897,325
1113	CK ASSET	1,876,357	\$ 122,150,841
1114	BRILLIANCE CHI	121,016,705	\$ 2,698,672,522



Aggregated Reportable Short Positions of Specified Shares as of 17/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1115	TIBET WATER	49,302,527	\$ 173,544,895
1117	CH MODERN D	55,876,162	\$ 83,814,243
1122	QINGLING MOTORS	480,000	\$ 1,200,000
1128	WYNN MACAU	49,461,777	\$ 1,070,847,472
1129	WATER INDUSTRY	7,780,984	\$ 13,383,292
1131	AGRITRADE RES	0	\$ 0
1133	HARBIN ELECTRIC	5,571,001	\$ 18,328,593
1136	TCC INT'L HOLD	0	\$ 0
1138	COSCO SHIP ENGY	18,625,702	\$ 78,414,205
1140	OP FIN INV	388,000	\$ 830,320
1141	CMBC CAPITAL	299,789,075	\$ 197,860,790
1157	ZOOMLION	125,304,300	\$ 421,022,448
1166	SOLARTECH INT'L	6,480,000	\$ 3,888,000
1168	SINOLINK HOLD	95,456,000	\$ 100,228,800
1169	HAIER ELEC	23,656,109	\$ 532,262,453
1171	YANZHOU COAL	81,032,876	\$ 575,333,420
1176	ZHUGUANG HOLD	2,872,000	\$ 3,159,200
1177	SINO BIOPHARM	63,590,589	\$ 718,573,656
1186	CHINA RAIL CONS	96,002,280	\$ 874,580,771
1193	CHINA RES GAS	28,265,950	\$ 784,380,113
1196	REALORD GROUP	4,368,000	\$ 25,072,320
1199	COSCO SHIP PORT	35,763,216	\$ 280,741,246
1205	CITIC RESOURCES	52,647,837	\$ 43,171,226
1206	TECHNOVATOR	13,530,000	\$ 32,336,700
1208	MMG	73,311,244	\$ 262,454,254
1211	BYD COMPANY	123,633,823	\$ 8,493,643,640
1212	LIFESTYLE INT'L	0	\$ 0
1219	TENWOW INT'L	4,053,273	\$ 7,417,490
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	5,669,033	\$ 9,240,524
1230	YASHILI INT'L	25,775,000	\$ 39,951,250
1231	NEWTON RES	0	\$ 0
1233	TIMES PPT	14,569,690	\$ 107,670,009
1234	CHINA LILANG	448,000	\$ 2,885,120
1238	POWERLONG	2,304,000	\$ 8,294,400
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	14,947,420	\$ 4,110,541
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	12,208,000	\$ 17,701,600
1257	CEB GREENTECH	0	\$ 0
1258	CHINFMINING	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 17/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1270	LANGHAM-SS	1,073,158	\$ 3,702,395
1272	DATANG ENVIRO	0	\$ 0
1281	LT SMART ENERGY	0	\$ 0
1282	CHINA GOLDJOY	86,816,000	\$ 59,903,040
1288	ABC	124,372,485	\$ 450,228,396
1293	GRAND BAOXIN	24,701,895	\$ 107,700,262
1297	SINOSOFT TECH	19,683,972	\$ 48,225,731
1299	AIA	63,632,244	\$ 4,193,364,880
1300	TRIGIANT	1,545,647	\$ 1,545,647
1302	LIFETECH SCI	84,040,214	\$ 152,112,787
1308	SITC	17,204,498	\$ 129,033,735
1310	HKBN	10,164,265	\$ 98,593,371
1313	CHINARES CEMENT	11,310,000	\$ 57,228,600
1315	VISION FAME	52,944,000	\$ 20,648,160
1316	NEXTEER	4,757,000	\$ 83,342,640
1317	MAPLELEAF EDU	21,111,650	\$ 191,482,666
1330	DYNAGREEN ENV	1,691,000	\$ 7,288,210
1332	CHINA TOUYUN	5,840,000	\$ 2,248,400
1333	CHINA ZHONGWANG	107,829,468	\$ 387,107,790
1336	NCI	14,560,137	\$ 779,695,336
1339	PICC GROUP	43,430,966	\$ 176,329,722
1347	HUA HONG SEMI	1,017,000	\$ 15,031,260
1359	CHINA CINDA	165,050,188	\$ 480,296,047
1360	MEGAEXPO HLDG	5,788,323	\$ 10,824,164
1361	361 DEGREES	17,625,000	\$ 51,288,750
1363	CTEG	256,569,094	\$ 333,539,822
1368	XTEP INT'L	44,977,600	\$ 128,635,936
1375	CC SECURITIES	15,853,819	\$ 52,793,217
1378	CHINAHONGQIAO	34,092,100	\$ 328,647,844
1381	CANVEST ENV	17,677,117	\$ 78,839,942
1382	PACIFICTEXTILES	13,026,586	\$ 99,913,915
1385	SHANGHAI FUDAN	0	\$ 0
1387	RENHE COMM	334,086,153	\$ 90,203,261
1398	ICBC	617,670,373	\$ 3,823,379,609
1415	COWELL	12,749,000	\$ 43,346,600
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	17,142,112	\$ 43,026,701
1432	CHINA SHENGMU	36,919,000	\$ 46,148,750
1448	FU SHOU YUAN	5,018,124	\$ 31,513,819
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	21,051,000	\$ 156,619,440



Aggregated Reportable Short Positions of Specified Shares as of 17/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1462	GOLD-FIN HLDG	0	\$ 0
1478	Q TECH	12,852,000	\$ 212,829,120
1508	CHINA RE	24,907,956	\$ 42,592,605
1509	HARMONICARE	3,441,000	\$ 10,323,000
1513	LIVZON PHARMA	473,007	\$ 28,120,266
1515	CRPHOENIXHEALTH	37,623,731	\$ 362,692,767
1518	NC HEALTHCARE	0	\$ 0
1520	CEFC FIN INV	0	\$ 0
1528	RS MACALLINE	2,107,000	\$ 20,501,110
1530	3SBIO	89,684,865	\$ 1,381,146,921
1548	GENSCRIPT BIO	18,754,000	\$ 167,098,140
1551	GRCB	0	\$ 0
1558	HEC PHARM	117,400	\$ 2,882,170
1566	CHINA ANIMATION	11,786,310	\$ 32,058,763
1569	MINSHENG EDU	1,166,000	\$ 2,063,820
1573	CH UNIENERGY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	346,000	\$ 2,269,760
1585	YADEA	6,568,000	\$ 17,536,560
1589	CNLP	13,872,000	\$ 33,431,520
1599	UCD	358,000	\$ 1,661,120
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	17,845,426	\$ 28,731,136
1618	MCC	52,809,730	\$ 125,159,060
1622	REDCO PPT	4,196,000	\$ 17,119,680
1628	YUZHOU PPT	8,732,186	\$ 32,483,732
1636	CMRU	2,436,000	\$ 7,308,000
1658	PSBC	77,827,000	\$ 345,551,880
1666	TONG REN TANG	23,054,419	\$ 262,359,288
1668	CHINASOUTHCITY	172,567,606	\$ 319,250,071
1680	MACAU LEGEND	64,012,561	\$ 81,295,952
1681	CONSUN PHARMA	650,000	\$ 4,738,500
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	57,694,000	\$ 522,130,700
1766	CRRC	191,856,531	\$ 1,371,774,197
1776	GF SEC	17,736,343	\$ 285,200,395
1777	FANTASIA	5,928,000	\$ 6,283,680
1778	COLOUR LIFE	9,971,800	\$ 48,462,948
1786	CRCCE	14,616,000	\$ 32,886,000



Aggregated Reportable Short Positions of Specified Shares as of 17/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1788	GUOTAI JUNAN I	234,949,013	\$ 587,372,533
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	78,793,319	\$ 693,381,207
1803	BJ SPORTS & ENT	657,500	\$ 1,459,650
1811	CGN NEW ENERGY	12,292,000	\$ 13,644,120
1812	CHENMING PAPER	6,761,175	\$ 82,486,335
1813	KWG PROPERTY	46,618,304	\$ 346,840,182
1816	CGN POWER	624,286,663	\$ 1,348,459,192
1818	ZHAOJIN MINING	63,079,204	\$ 400,552,945
1828	DCH HOLDINGS	6,102,437	\$ 22,517,993
1829	CMEC	7,656,392	\$ 35,831,915
1836	STELLA HOLDINGS	5,219,085	\$ 63,255,310
1848	CALC	5,222,214	\$ 42,091,045
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	8,861,000	\$ 217,094,500
1883	CITIC TELECOM	1,630,259	\$ 3,635,478
1886	HUIYUAN JUICE	8,533,000	\$ 20,137,880
1888	KB LAMINATES	10,158,500	\$ 132,263,670
1893	SINOMA	5,026,700	\$ 30,009,399
1898	CHINA COAL	185,870,153	\$ 648,686,834
1899	XINGDA INT'L	21,024,888	\$ 57,818,442
1910	SAMSONITE	17,083,591	\$ 579,987,914
1913	PRADA	22,615,495	\$ 583,479,771
1918	SUNAC	163,278,587	\$ 5,788,225,909
1919	COSCO SHIP HOLD	179,582,082	\$ 660,862,062
1928	SANDS CHINA LTD	94,487,331	\$ 3,425,165,749
1929	CHOW TAI FOOK	11,772,061	\$ 97,354,944
1958	BAIC MOTOR	39,816,030	\$ 356,353,469
1963	BCQ	1,037,500	\$ 6,391,000
1966	CHINA SCE PPT	15,207,000	\$ 51,551,730
1970	IMAX CHINA	16,711,051	\$ 378,505,305
1972	SWIREPROPERTIES	9,164,648	\$ 246,070,799
1980	TIANGE	7,163,000	\$ 44,912,010
1988	MINSHENG BANK	364,469,999	\$ 2,737,169,692
1999	MAN WAH HLDGS	33,788,661	\$ 250,036,091
2001	NEW HIGHER EDU	0	\$ 0
2005	SSY GROUP	4,972,000	\$ 20,683,520
2006	JINJIANG HOTELS	11,174,000	\$ 31,063,720
2007	COUNTRY GARDEN	353,331,161	\$ 4,586,238,470
2008	PHOENIX TV	7,808,000	\$ 8,198,400



Aggregated Reportable Short Positions of Specified Shares as of 17/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2009	BBMG	191,257,263	\$ 686,613,574
2014	OZNER WATER	39,368,000	\$ 94,483,200
2016	CZBANK	0	\$ 0
2018	AAC TECH	26,719,774	\$ 4,630,536,834
2020	ANTA SPORTS	33,120,332	\$ 1,190,675,935
2038	FIH	63,421,183	\$ 149,673,992
2039	CIMC	2,198,400	\$ 32,272,512
2066	SHENGJINGBANK	2,135,000	\$ 13,023,500
2098	ZALL GROUP	5,685,000	\$ 40,704,600
2099	CHINAGOLDINTL	431,500	\$ 5,514,570
2111	BEST PACIFIC	13,808,840	\$ 68,629,935
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	3,424,000	\$ 17,428,160
2136	LIFESTYLE CHI	354,500	\$ 914,610
2186	LUYE PHARMA	202,622,765	\$ 1,106,320,297
2196	FOSUN PHARMA	20,302,205	\$ 835,435,736
2198	CHINA SANJIANG	716,000	\$ 2,276,880
2199	REGINA MIRACLE	16,046,662	\$ 119,708,099
2200	HOSA INT'L	5,732,000	\$ 13,527,520
2202	CHINA VANKE	16,820,399	\$ 472,653,212
2208	GOLDWIND	46,141,797	\$ 541,704,697
2233	WESTCHINACEMENT	44,042,000	\$ 51,969,560
2238	GAC GROUP	33,358,714	\$ 690,525,380
2255	HAICHANG HLDG	5,958,000	\$ 11,022,300
2268	YOUYUAN HLDGS	5,462,000	\$ 20,264,020
2269	WUXI BIO	1,361,342	\$ 59,762,914
2277	HUARONG INV	0	\$ 0
2280	HC INTL	27,829,670	\$ 170,039,284
2282	MGM CHINA	131,539,984	\$ 2,457,166,901
2298	COSMO LADY	64,685,664	\$ 195,997,562
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHOU INTL	11,953,219	\$ 904,261,017
2314	LEE & MAN PAPER	6,488,858	\$ 57,491,282
2318	PING AN	1,436,955,960	\$ 113,303,977,446
2319	MENGNU DAIRY	32,334,397	\$ 662,855,139
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	BEP INT'L HOLD	126,690,000	\$ 19,890,330
2328	PICC P&C	17,585,494	\$ 275,740,546
2329	GUORUI PPT	0	\$ 0
2331	LI NING	40,732,368	\$ 261,094,479



Aggregated Reportable Short Positions of Specified Shares as of 17/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2333	GREATWALL MOTOR	494,612,061	\$ 4,392,155,102
2338	WEICHAI POWER	178,737,629	\$ 1,631,874,553
2342	COMBA	33,160,688	\$ 50,404,246
2343	PACIFIC BASIN	252,756,726	\$ 409,465,896
2345	SHANGHAI PRIME	212,000	\$ 309,520
2348	DAWNRAYS PHARMA	865,800	\$ 3,835,494
2355	BAOYE GROUP	0	\$ 0
2356	DAHSHING BANKING	685,332	\$ 11,787,710
2357	AVICHINA	151,873,429	\$ 631,793,465
2362	JINCHUAN INTL	25,880,832	\$ 28,727,724
2369	COOLPAD GROUP	70,344,148	\$ 50,647,787
2378	PRU	0	\$ 0
2380	CHINA POWER	268,147,180	\$ 600,649,683
2382	SUNNY OPTICAL	18,685,496	\$ 2,653,340,432
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	41,562,177	\$ 263,919,824
2388	BOC HONG KONG	39,945,986	\$ 1,483,993,380
2389	BJ ENT M&H	34,817,363	\$ 13,230,598
2393	YESTAR HEALTH	4,707,500	\$ 15,817,200
2588	BOC AVIATION	606,645	\$ 25,266,764
2600	CHALCO	542,425,386	\$ 2,901,975,815
2601	CPIC	100,020,532	\$ 3,895,799,721
2607	SH PHARMA	32,543,749	\$ 631,348,731
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	0	\$ 0
2628	CHINA LIFE	52,936,928	\$ 1,421,356,517
2638	HKELECTRIC-SS	270,120,122	\$ 1,939,462,476
2662	CAMSING INTL	440,204	\$ 2,134,989
2666	UNI MEDICAL	34,040,273	\$ 256,323,256
2669	CHINA OVS PPT	31,500,588	\$ 63,631,188
2678	TEXHONG TEXTILE	3,895,923	\$ 40,673,436
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	52,510,943	\$ 3,014,128,128
2689	ND PAPER	68,567,359	\$ 877,662,195
2722	CHONGQING M&E	0	\$ 0
2727	SH ELECTRIC	217,596,832	\$ 685,430,021
2768	JIAYUAN INTL	3,094,000	\$ 20,853,560
2777	R&F PROPERTIES	26,203,248	\$ 451,743,996
2778	CHAMPION REIT	6,333,000	\$ 36,034,770
2799	CHINA HUARONG	187,356,482	\$ 685,724,724
2800	TRACKER FUND	6,958,500	\$ 204,231,975



Aggregated Reportable Short Positions of Specified Shares as of 17/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	85,848,870	\$ 1,351,261,214
2823	ISHARES A50	187,151,106	\$ 2,855,925,878
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	X WISECSI300ETF	0	\$ 0
2828	HS H ETF	5,424,820	\$ 636,873,868
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	83,792,897	\$ 135,744,493
2868	BJ CAPITAL LAND	4,542,000	\$ 17,622,960
2869	GREENTOWN SER	12,343,117	\$ 72,577,528
2877	SHINEWAY PHARM	4,821,000	\$ 34,470,150
2880	DALIAN PORT	45,053,288	\$ 67,129,399
2883	CHINA OILFIELD	137,404,895	\$ 1,007,177,880
2888	STANCHART	7,032,186	\$ 522,139,811
2899	ZIJIN MINING	219,587,130	\$ 564,338,924
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0
3020	X DBMSCIUSA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 17/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3054	MR ASIA CON ETF	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 17/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3153	MR A HEALTH ETF	0	\$ 0
3155	ISHARESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHAREMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	35,829,339	\$ 1,768,177,880
3199	CSOP 5YCVBOND	0	\$ 0
3301	RONSHINECHINA	3,133,758	\$ 26,793,631
3308	GOLDEN EAGLE	7,034,542	\$ 66,476,422
3311	CHINA STATE CON	68,571,165	\$ 714,511,539
3320	CHINARES PHARMA	5,617,500	\$ 57,298,500
3323	CNBM	286,787,920	\$ 2,087,816,058
3328	BANKCOMM	170,870,061	\$ 994,463,755
3329	BOCOM INTL	0	\$ 0
3331	VINDA INT'L	726,000	\$ 11,412,720
3333	EVERGRANDE	227,845,468	\$ 6,675,872,212
3336	JU TENG INTL	4,352,920	\$ 10,621,125



Aggregated Reportable Short Positions of Specified Shares as of 17/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3337	ANTON OILFIELD	69,491,257	\$ 55,593,006
3339	LONKING	47,033,100	\$ 136,866,321
3360	FE HORIZON	23,442,679	\$ 169,724,996
3369	QHD PORT	6,382,251	\$ 16,785,320
3377	SINO-OCEAN GP	33,955,543	\$ 164,684,384
3378	XIAMEN PORT	2,391,964	\$ 3,468,348
3380	LOGAN PPT	43,484,192	\$ 300,475,767
3382	TIANJINPORT DEV	0	\$ 0
3383	AGILE GROUP	76,564,022	\$ 901,924,179
3393	WASION GROUP	22,493,789	\$ 85,476,398
3396	LEGENDHOLDING	3,509,800	\$ 71,950,900
3606	FUYAO GLASS	27,710,330	\$ 864,562,296
3618	CQRC BANK	48,444,087	\$ 257,238,102
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	604,024	\$ 10,256,328
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	8,194,103	\$ 81,777,148
3698	HUIZHANG BANK	5,204,000	\$ 20,555,800
3708	YAT SING HLDG	775,000	\$ 1,968,500
3799	DALI FOODS	0	\$ 0
3800	GCL-POLY ENERGY	1,892,147,691	\$ 2,667,928,244
3808	SINOTRUK	89,143,000	\$ 772,869,810
3813	POU SHENG INT'L	104,046,938	\$ 115,492,101
3818	CHINA DONGXIANG	40,974,000	\$ 56,953,860
3836	HARMONY AUTO	39,048,861	\$ 185,872,578
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	19,682,000	\$ 85,616,700
3886	TOWN HEALTH	106,657,754	\$ 73,593,850
3888	KINGSOFT	96,496,584	\$ 2,011,953,776
3898	CRRC TIMES ELEC	37,542,350	\$ 1,653,740,518
3899	CIMC ENRIC	28,845,846	\$ 139,902,353
3900	GREENTOWN CHINA	87,012,565	\$ 780,502,708
3908	CICC	20,136,592	\$ 352,793,092
3918	NAGACORP	21,708,512	\$ 115,489,284
3933	UNITED LAB	167,719,114	\$ 1,063,339,183
3948	YITAI COAL	0	\$ 0
3958	DFZQ	3,682,800	\$ 29,757,024
3968	CM BANK	122,383,079	\$ 3,830,590,373
3969	CHINA CRSC	2,910,000	\$ 17,256,300
3983	CHINA BLUECHEM	24,003,629	\$ 55,208,347
3988	BANK OF CHINA	174,825,015	\$ 660,838,557



Aggregated Reportable Short Positions of Specified Shares as of 17/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3989	CAPITAL ENV	12,007,395	\$ 3,842,366
3993	CMOC	241,471,168	\$ 1,115,596,796
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	3,150,000	\$ 2,047,500
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
4362	ISHARES KOR-TR	0	\$ 0
4363	ISHARES TWN-TR	0	\$ 0
6030	CITIC SEC	92,829,713	\$ 1,561,395,773
6060	ZA ONLINE	5,170,700	\$ 388,061,035
6066	CSC	9,232,000	\$ 63,516,160
6068	WISDOM EDU INTL	1,416,000	\$ 6,980,880
6099	CMSC	1,585,950	\$ 19,792,656
6116	LA CHAPELLE	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6169	YUHUA EDU	3,564,000	\$ 14,149,080
6178	EB SECURITIES	4,335,800	\$ 45,265,752
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,434,000	\$ 16,345,840
6808	SUNART RETAIL	163,080,683	\$ 1,402,493,874
6818	CEB BANK	116,113,510	\$ 424,975,447
6823	HKT-SS	49,368,132	\$ 473,934,067
6826	HAOHAI BIOTEC	27,700	\$ 1,023,515
6828	BG BLUE SKY	42,932,000	\$ 21,895,320
6837	HAITONG SEC	84,420,629	\$ 984,344,534
6858	HONMAGOLF	0	\$ 0
6863	HUIZHAN DAIRY	362,165,431	\$ 152,109,481
6869	YOFC	7,145,500	\$ 266,884,425
6881	CGS	141,210,027	\$ 903,744,173
6886	HTSC	41,850,728	\$ 676,307,764
6889	DYNAM JAPAN	357,800	\$ 4,300,756
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 17/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCFI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCFI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	7,346,000	\$ 5,068,740
8057	MADISON HLDG	1,012,000	\$ 1,781,120
8078	HMV DIGIT CHINA	4,660,000	\$ 1,160,340
8083	INNOVATIONPAY	48,948,866	\$ 19,824,291
8102	LI BAO GE GP	0	\$ 0
8137	HONBRIDGE	12,878,000	\$ 23,309,180
8138	TONGRENTANGCM	460,000	\$ 5,124,400
8158	CRMI	87,765,000	\$ 17,904,060
8207	CHONGSING HLDGS	63,212,000	\$ 64,476,240
8237	LINK HOLDINGS	0	\$ 0
8265	CHINA TRUSTFUL	1,404,000	\$ 4,366,440
8279	AGTECH HOLDINGS	8,888,000	\$ 11,821,040
8370	ZHI SHENG GP	0	\$ 0
8407	CISI FIN	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 17/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESE50-U	0	\$ 0
9170	ISHARESKS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSEFA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 17/11/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHAREMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0