



## Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	13,750,530	\$ 1,348,926,993
2	CLP HOLDINGS	27,930,640	\$ 2,233,054,668
3	HK & CHINA GAS	227,845,856	\$ 3,490,598,514
4	WHARF HOLDINGS	26,796,861	\$ 723,515,247
5	HSBC HOLDINGS	20,990,069	\$ 1,678,156,017
6	POWER ASSETS	13,582,683	\$ 895,777,944
8	PCCW	30,903,128	\$ 140,300,201
10	HANG LUNG GROUP	1,978,537	\$ 56,882,939
11	HANG SENG BANK	6,972,180	\$ 1,352,602,920
12	HENDERSON LAND	12,654,562	\$ 651,709,943
14	HYSAN DEV	3,470,959	\$ 143,871,251
16	SHK PPT	12,010,168	\$ 1,566,125,907
17	NEW WORLD DEV	100,173,876	\$ 1,176,041,304
19	SWIRE PACIFIC A	12,956,717	\$ 937,418,475
20	WHEELLOCK	1,998,479	\$ 111,515,128
23	BANK OF E ASIA	47,843,297	\$ 1,619,495,603
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	29,800,882	\$ 1,868,515,301
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	23,628,604	\$ 22,210,888
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	680,971	\$ 3,200,564
38	FIRST TRACTOR	14,874,269	\$ 50,423,772
39	CH BEIDAHUANG	7,056,000	\$ 2,681,280
41	GREAT EAGLE H	337,707	\$ 13,829,102
43	C.P. POKPHAND	0	\$ 0
44	HAECO	36,808	\$ 1,842,240
45	HK&S HOTELS	5,321,746	\$ 61,732,254
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	241,500	\$ 7,728,000
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	324,000	\$ 9,347,400
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	15,132,000	\$ 4,539,600
66	MTR CORPORATION	59,980,268	\$ 2,747,096,274
69	SHANGRI-LA ASIA	21,387,469	\$ 379,413,700
71	MIRAMAR HOTEL	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	11,657,544	\$ 49,894,288
83	SINO LAND	13,722,502	\$ 189,919,428
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	2,350,286	\$ 7,003,852
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	24,625,105	\$ 470,339,506
105	ASSO INT HOTELS	0	\$ 0
107	SICHUAN EXPRESS	6,928,081	\$ 19,745,031
116	CHOW SANG SANG	471,840	\$ 8,908,339
119	POLY PROPERTY	62,985,045	\$ 256,349,133
123	YUEXIU PROPERTY	12,935,952	\$ 18,886,490
127	CHINESE EST H	2,553,145	\$ 32,169,627
129	ASIA STANDARD	1,160,000	\$ 2,204,000
133	CHINA MERCHANTS	34,000	\$ 441,320
135	KUNLUN ENERGY	238,875,382	\$ 1,944,445,609
136	HENGTEN NET	781,583,856	\$ 285,278,107
142	FIRST PACIFIC	6,471,371	\$ 34,298,266
144	CHINA MER PORT	24,216,366	\$ 495,224,685
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	16,944,462	\$ 715,903,520
151	WANT WANT CHINA	677,741,264	\$ 4,439,205,279
152	SHENZHEN INT'L	2,665,797	\$ 39,667,059
158	MELBOURNE ENT	0	\$ 0
163	EMPEROR INT'L	0	\$ 0
164	CHINA BAOLI TEC	43,600,000	\$ 5,188,400
165	CHINA EB LTD	11,824,608	\$ 206,694,148
168	TSINGTAO BREW	22,245,992	\$ 896,513,478
171	SILVER GRANT	644,000	\$ 805,000
173	K. WAH INT'L	0	\$ 0
175	GEELY AUTO	92,776,749	\$ 2,514,249,898
177	JIANGSU EXPRESS	11,342,000	\$ 134,969,800
178	SA SA INT'L	39,825,255	\$ 119,874,018
179	JOHNSON ELEC H	1,270,500	\$ 41,608,875
182	CONCORD NE	27,738,388	\$ 9,569,744
186	GRANDE HOLDINGS	0	\$ 0
189	DONGYUE GROUP	2,668,396	\$ 14,569,442
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
196	HONGHUA GROUP	36,142,042	\$ 24,215,168
198	SMI HOLDINGS	33,047,325	\$ 135,494,033
199	ITC PROPERTIES	0	\$ 0
200	MELCO INT'L DEV	8,656,453	\$ 199,098,419
207	JOY CITY PPT	6,377,150	\$ 8,035,209
215	HUTCHTEL HK	98,451,913	\$ 309,139,007
217	CHINA CHENGTONG	1,690,040	\$ 861,920
218	SHENWANHONGYUAN	8,455,000	\$ 20,799,300
220	U-PRESID CHINA	19,665,003	\$ 128,609,120
222	MIN XIN HOLD	0	\$ 0
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	1,434,000	\$ 1,878,540
232	AVIC INT'L	82,208,536	\$ 38,638,012
235	CHINA STRATEGIC	143,175,000	\$ 11,883,525
241	ALI HEALTH	395,870,849	\$ 1,563,689,854
242	SHUN TAK HOLD	1,785,666	\$ 5,446,281
245	CN MINSHENG FIN	31,080,247	\$ 10,567,284
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	500,786	\$ 6,019,448
256	CITYCHAMP	20,156,000	\$ 39,304,200
257	CHINA EB INT'L	165,035,727	\$ 1,841,798,713
258	TOMSON GROUP	761,046	\$ 2,519,062
259	YEEBO (INT'L H)	1,278,000	\$ 4,843,620
261	CCT LAND	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	37,636,526	\$ 424,540,013
268	KINGDEE INT'L	197,094,103	\$ 867,214,053
270	GUANGDONG INV	11,642,841	\$ 121,784,117
272	SHUI ON LAND	115,084,897	\$ 248,583,378
273	MASON GP HOLD	86,066,001	\$ 11,102,514
285	BYD ELECTRONIC	80,351,979	\$ 1,367,590,683
288	WH GROUP	105,463,952	\$ 930,192,057
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	28,752,824	\$ 806,516,713
293	CATHAY PAC AIR	58,648,730	\$ 710,822,608
297	SINOFERT	97,235,600	\$ 126,406,280
303	VTECH HOLDINGS	1,344,953	\$ 137,723,187
308	CHINA TRAVEL HK	13,042,072	\$ 37,952,430
315	SMARTONE TELE	30,714,193	\$ 289,327,698
316	OOIL	4,071,715	\$ 307,007,311
317	COMEC	13,092,970	\$ 159,472,375



## Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
321	TEXWINCA HOLD	21,634,617	\$ 93,028,853
322	TINGYI	126,538,652	\$ 1,923,387,510
323	MAANSHAN IRON	86,221,005	\$ 318,155,508
327	PAX GLOBAL	110,257,378	\$ 385,900,823
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	120,446,194	\$ 503,465,091
332	YUANHENG GAS	1,304,000	\$ 1,212,720
336	HUABAO INTL	3,352,361	\$ 17,231,136
337	GREENLAND HK	2,936,225	\$ 9,131,660
338	SHANGHAI PEICHEM	40,345,768	\$ 179,538,668
341	CAFE DE CORAL H	3,719,028	\$ 79,773,151
342	NEWOCEAN ENERGY	46,223,822	\$ 94,758,835
345	VITASOY INT'L	1,856,000	\$ 37,120,000
347	ANGANG STEEL	81,585,276	\$ 583,334,723
354	CHINASOFT INT'L	123,247,856	\$ 639,656,373
358	JIANGXI COPPER	62,634,243	\$ 776,664,613
363	SHANGHAI IND H	768,000	\$ 17,203,200
368	SINOTRANS SHIP	35,633,551	\$ 70,910,766
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	168,781,344	\$ 1,021,127,131
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	14,750,000	\$ 11,062,500
382	WELLING HOLDING	700,000	\$ 1,400,000
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	95,165,737	\$ 2,055,579,919
386	SINOPEC CORP	58,611,256	\$ 335,842,497
388	HKEX	21,539,228	\$ 5,165,106,874
390	CHINA RAILWAY	111,564,849	\$ 644,844,827
392	BEIJING ENT	4,385,796	\$ 203,500,934
400	COGOBUY	19,712,000	\$ 81,804,800
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	84,334,880	\$ 385,410,402
412	CHINA SDHS FIN	0	\$ 0
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	292,559,035	\$ 103,858,457
425	MINTH GROUP	10,092,931	\$ 475,881,697
433	NORTH MINING	125,210,715	\$ 20,659,768
434	BOYAA	1,527,942	\$ 4,950,532
435	SUNLIGHT REIT	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
439	KUANGCHI	34,346,163	\$ 85,178,484
440	DAH SING	745,340	\$ 37,304,267
451	GCL NEWENERGY	153,356,647	\$ 84,346,156
460	SIHUAN PHARM	143,809,677	\$ 404,105,192
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	920,000	\$ 5,244,000
480	HKR INT'L	1,524,000	\$ 7,452,360
486	RUSAL	12,545,000	\$ 68,746,600
488	LAI SUN DEV	951,940	\$ 12,660,802
489	DONGFENG GROUP	45,418,552	\$ 429,659,502
493	GOME RETAIL	1,723,864,405	\$ 1,620,432,541
494	LI & FUNG	285,011,492	\$ 1,222,699,301
497	CSI PROPERTIES	3,000,000	\$ 1,215,000
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	55,731,067	\$ 254,690,976
511	TVB	13,517,532	\$ 379,842,649
512	CHINAGRANDPHARM	0	\$ 0
517	COSCO SHIP INTL	1,630,000	\$ 4,938,900
520	XIABUXIABU	700,000	\$ 11,018,000
521	CWT INT'L	61,004,000	\$ 23,791,560
522	ASM PACIFIC	25,081,400	\$ 2,731,364,460
525	GUANGSHEN RAIL	40,450,550	\$ 212,365,388
526	LISI GP HOLD	2,836,000	\$ 3,516,640
530	GOLDIN FIN HOLD	5,820,000	\$ 23,862,000
535	GEMDALE PPT	38,070,000	\$ 30,836,700
538	AJISEN (CHINA)	463,000	\$ 1,722,360
546	FUFENG GROUP	40,555,000	\$ 206,830,500
547	DIGITAL DOMAIN	421,190,000	\$ 73,287,060
548	SHENZHENEXPRESS	2,686,200	\$ 21,301,566
551	YUE YUEN IND	2,733,208	\$ 83,909,486
552	CHINACOMSERVICE	15,940,000	\$ 83,525,600
563	SH IND URBAN	20,550,000	\$ 38,428,500
570	TRAD CHI MED	68,430,120	\$ 284,669,299
576	ZHEJIANGEXPRESS	9,704,927	\$ 83,365,323
579	JNCEC	13,570,000	\$ 28,497,000
580	SUN.KING ELEC	2,086,000	\$ 3,775,660
581	CHINA ORIENTAL	7,592,000	\$ 44,261,360
586	CONCH VENTURE	20,923,881	\$ 378,722,246
588	BEIJING N STAR	1,994,000	\$ 5,682,900
590	LUK FOOK HOLD	2,684,000	\$ 90,048,200
598	SINOTRANS	39,455,541	\$ 151,114,722



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
603	CHINA OIL & GAS	9,367,936	\$ 7,962,746
604	SHENZHEN INVEST	50,554,529	\$ 163,796,674
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	12,609,043	\$ 43,122,927
607	FULLSHARE	762,963,408	\$ 2,746,668,269
622	ENERCHINA HOLD	20,836,822	\$ 11,876,989
626	PUBLIC FIN HOLD	0	\$ 0
633	CH ALL ACCESS	0	\$ 0
636	KERRY LOG NET	0	\$ 0
639	SHOUGANG RES	26,121,000	\$ 43,883,280
656	FOSUN INTL	74,784,695	\$ 1,295,270,917
658	C TRANSMISSION	26,370,682	\$ 356,004,207
659	NWS HOLDINGS	783,036	\$ 11,040,808
665	HAITONG INT'L	163,923,950	\$ 731,100,817
669	TECHTRONIC IND	7,719,570	\$ 393,312,092
670	CHINA EAST AIR	110,005,573	\$ 623,731,599
672	ZHONGAN REALEST	26,534,792	\$ 24,412,009
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	107,418,384	\$ 29,002,964
683	KERRY PPT	11,852,107	\$ 416,601,561
686	PANDA GREEN	130,967,274	\$ 128,347,929
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	20,475,540	\$ 514,959,831
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	10,144,896	\$ 119,506,875
696	TRAVELSKY TECH	7,094,224	\$ 166,359,553
698	TONGDA GROUP	461,980,166	\$ 923,960,332
699	CAR INC	72,609,504	\$ 497,375,102
700	TENCENT	102,846,337	\$ 41,755,612,822
702	SINO OIL & GAS	70,291,327	\$ 9,840,786
707	CO-PROSPERITY	10,524,000	\$ 3,683,400
708	EVERG HEALTH	6,630,000	\$ 20,486,700
709	GIORDANO INT'L	7,706,711	\$ 32,059,918
710	BOE VARITRONIX	1,845,812	\$ 9,505,932
715	CHINA OCEANWIDE	30,819,253	\$ 17,566,974
716	SINGAMAS CONT	14,420,000	\$ 23,072,000
717	EMPEROR CAPITAL	23,512,847	\$ 13,402,323
718	TAI UNITED HOLD	0	\$ 0
721	C FIN INT INV	45,183,360	\$ 10,482,540
728	CHINA TELECOM	164,629,555	\$ 612,421,945
729	FDG EVEHICLES	565,806,986	\$ 186,716,305



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732	TRULY INT'L	134,119,754	\$ 453,324,769
735	CP CLEAN ENERGY	597,500	\$ 2,461,700
737	HOPEWELL INFR	2,045,500	\$ 10,186,590
743	ASIA CEMENT CH	0	\$ 0
750	SINGYES SOLAR	45,974,677	\$ 155,854,155
751	SKYWORTHDIGITAL	179,995,955	\$ 604,786,409
752	PICO FAR EAST	412,000	\$ 1,285,440
753	AIR CHINA	21,596,171	\$ 204,731,701
754	HOPSON DEV HOLD	2,194,000	\$ 16,806,040
762	CHINA UNICOM	270,544,804	\$ 2,856,953,130
763	ZTE	81,478,854	\$ 2,391,404,365
772	CHINA LIT	1,549,600	\$ 129,159,160
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	6,439,735	\$ 139,742,250
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	188,477,747	\$ 120,625,758
798	CEOVU	0	\$ 0
799	IGG	92,372,161	\$ 779,621,039
806	VALUE PARTNERS	75,849,149	\$ 629,547,937
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	2,702,364	\$ 16,781,680
813	SHIMAO PROPERTY	8,475,059	\$ 144,076,003
815	CHI SILVER GP	17,329,583	\$ 41,417,703
816	HUADIAN FUXIN	26,188,163	\$ 50,019,391
817	CHINA JINMAO	102,869,937	\$ 353,872,583
818	HI SUN TECH	11,422,181	\$ 17,475,937
819	TIANNENG POWER	30,761,531	\$ 249,783,632
820	HSBCDRAGON FUND	0	\$ 0
823	LINK REIT	17,512,244	\$ 1,268,762,078
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	4,222,000	\$ 15,452,520
836	CHINA RES POWER	28,052,459	\$ 408,443,803
838	EVA HOLDINGS	378,000	\$ 464,940
845	GLORIOUS PPT H	27,250,000	\$ 20,437,500
853	MICROPORT	15,977,904	\$ 120,633,175
855	CHINA WATER	35,484,067	\$ 250,872,354
856	VSTECS	840,826	\$ 4,052,781
857	PETROCHINA	182,486,543	\$ 994,551,659
860	O LUXE HOLD	27,679,765	\$ 44,010,826
861	DC HOLDINGS	70,454,733	\$ 374,114,632
862	VISION VALUES	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
867	CMS	32,975,214	\$ 600,808,399
868	XINYI GLASS	35,315,152	\$ 359,508,247
874	BAIYUNSHAN PH	1,334,400	\$ 30,757,920
877	O-NET TECH GP	32,597,009	\$ 162,985,045
878	SOUNDWILL HOLD	0	\$ 0
880	SJM HOLDINGS	112,814,317	\$ 789,700,219
881	ZHONGSHENG HLDG	29,182,943	\$ 520,623,703
882	TIANJIN DEV	3,600,000	\$ 13,356,000
883	CNOOC	68,851,330	\$ 772,511,923
884	CIFI HOLD GP	51,470,943	\$ 242,428,142
895	DONGJIANG ENV	3,668,525	\$ 44,902,746
902	HUANENG POWER	151,918,198	\$ 744,399,170
906	COFCO PACKAGING	5,243,227	\$ 32,455,575
914	CONCH CEMENT	50,827,804	\$ 1,867,921,797
915	DAOHE GLOBAL	2,695,000	\$ 5,794,250
916	CHINA LONGYUAN	123,561,626	\$ 687,002,641
921	HISENSE KELON	6,660,000	\$ 61,471,800
931	CHINA LNG	83,671,238	\$ 107,099,185
932	RM GROUP	39,884,000	\$ 46,265,440
933	BRIGHTOIL	43,844,654	\$ 65,766,981
934	SINOPEC KANTONS	9,500,906	\$ 47,979,575
939	CCB	1,057,783,004	\$ 7,616,037,629
941	CHINA MOBILE	23,650,766	\$ 1,874,323,206
950	LEE'S PHARM	568,545	\$ 3,894,533
951	CHAOWEI POWER	77,044,698	\$ 367,503,209
952	OCEANWIDE FIN	1,759,896	\$ 1,865,490
956	CHINA SUNTIEN	22,906,000	\$ 47,415,420
958	HN RENEWABLES	219,901,038	\$ 582,737,751
960	LONGFOR PPT	6,678,500	\$ 130,765,030
966	CHINA TAIPING	40,032,702	\$ 1,172,958,169
968	XINYI SOLAR	151,844,534	\$ 458,570,493
973	L'OCCITANE	8,417,853	\$ 120,543,655
976	CHIHO ENV	12,906,049	\$ 52,011,377
978	CHI MER LAND	16,445,219	\$ 23,681,115
980	LIANHUA	4,940,709	\$ 14,031,614
981	SMIC	512,754,496	\$ 6,932,440,786
988	LOUDONG GN RES	7,152,000	\$ 2,538,960
989	GROUND INTL DEV	0	\$ 0
991	DATANG POWER	72,555,672	\$ 167,603,602
992	LENOVO GROUP	1,027,389,834	\$ 4,530,789,168
993	HUARONG INT FIN	769,000	\$ 1,945,570





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995	ANHUIEXPRESSWAY	795,300	\$ 5,185,356
996	CARNIVAL GROUP	126,946,708	\$ 56,491,285
998	CITIC BANK	255,157,839	\$ 1,250,273,411
999	I.T	0	\$ 0
1003	HUANXI MEDIA	1,709,326	\$ 2,854,574
1004	C SMARTERENERGY	0	\$ 0
1028	C.BANNER	1,120,000	\$ 2,934,400
1030	FUTURE LAND	40,856,957	\$ 200,199,089
1031	KINGSTON FIN	188,937,074	\$ 1,417,028,055
1033	SINOPEC SSC	106,925,216	\$ 139,002,781
1038	CKI HOLDINGS	42,246,288	\$ 2,836,838,239
1044	HENGAN INT'L	28,475,822	\$ 2,470,277,559
1045	APT SATELLITE	1,838,614	\$ 6,269,674
1051	G-RESOURCES	42,390,106	\$ 4,111,840
1052	YUEXIUTRANSPORT	30,919,751	\$ 177,479,371
1055	CHINA SOUTH AIR	101,392,704	\$ 818,239,121
1060	ALI PICTURES	1,234,699,991	\$ 1,296,434,991
1061	ESSEX BIO-TECH	0	\$ 0
1065	TIANJIN CAPITAL	16,338,000	\$ 72,704,100
1066	WEIGAO GROUP	12,476,205	\$ 70,989,606
1068	YURUN FOOD	18,217,000	\$ 14,755,770
1070	TCL MULTIMEDIA	22,588,055	\$ 88,093,415
1071	HUADIAN POWER	50,363,277	\$ 143,031,707
1072	DONGFANG ELEC	3,000,371	\$ 19,232,378
1076	IMPERIAL PAC	227,700,855	\$ 24,591,692
1083	TOWNGAS CHINA	10,191,861	\$ 64,004,887
1086	GOODBABY INTL	4,971,000	\$ 21,325,590
1088	CHINA SHENHUA	18,042,218	\$ 365,354,915
1089	LEYOU TECH H	12,097,442	\$ 21,533,447
1090	DA MING INT'L	0	\$ 0
1093	CSPC PHARMA	20,667,000	\$ 326,125,260
1098	ROAD KING INFRA	687,000	\$ 9,260,760
1099	SINOPHARM	43,509,698	\$ 1,470,627,792
1103	DASHENG AGR FIN	60,360,009	\$ 38,630,406
1106	SINO HAIJING	0	\$ 0
1107	MODERN LAND	976,000	\$ 1,639,680
1108	LUOYANG GLASS	4,131,826	\$ 16,775,214
1109	CHINA RES LAND	50,843,201	\$ 1,169,393,623
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	7,783,045	\$ 403,940,036
1113	CK ASSET	2,357,862	\$ 161,041,975



## Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1114	BRILLIANCE CHI	113,718,994	\$ 2,376,726,975
1115	TIBET WATER	43,615,527	\$ 156,143,587
1117	CH MODERN D	48,674,162	\$ 73,497,985
1122	QINGLING MOTORS	480,000	\$ 1,195,200
1128	WYNN MACAU	40,636,771	\$ 1,005,760,082
1129	WATER INDUSTRY	6,566,000	\$ 11,096,540
1131	AGRITRADE RES	2,415,000	\$ 7,631,400
1133	HARBIN ELECTRIC	1,905,000	\$ 6,229,350
1138	COSCO SHIP ENGY	20,806,477	\$ 88,843,657
1140	OP FIN INV	0	\$ 0
1141	CMBC CAPITAL	312,959,075	\$ 165,868,310
1157	ZOOMLION	126,756,872	\$ 424,635,521
1166	SOLARTECH INT'L	14,351,628	\$ 9,472,074
1168	SINOLINK HOLD	82,610,186	\$ 85,088,492
1169	HAIER ELEC	17,101,691	\$ 365,976,187
1171	YANZHOU COAL	77,630,722	\$ 709,544,799
1176	ZHUGUANG HOLD	1,396,000	\$ 1,479,760
1177	SINO BIOPHARM	61,250,449	\$ 848,931,223
1186	CHINA RAIL CONS	89,458,379	\$ 810,492,914
1193	CHINA RES GAS	31,264,908	\$ 886,360,142
1196	REALORD GROUP	2,672,000	\$ 14,829,600
1199	COSCO SHIP PORT	38,528,435	\$ 313,236,177
1205	CITIC RESOURCES	49,747,018	\$ 38,305,204
1206	TECHNOVATOR	13,924,000	\$ 33,696,080
1208	MMG	65,804,844	\$ 255,322,795
1211	BYD COMPANY	128,537,459	\$ 8,753,400,958
1212	LIFESTYLE INT'L	605,167	\$ 6,281,633
1219	TENWOW INT'L	4,819,273	\$ 8,819,270
1221	SINO HOTELS	0	\$ 0
1224	C C LAND	5,010,723	\$ 8,668,551
1230	YASHILI INT'L	24,126,000	\$ 36,189,000
1231	NEWTON RES	0	\$ 0
1233	TIMES PPT	10,200,463	\$ 78,441,560
1234	CHINA LILANG	472,000	\$ 2,978,320
1238	POWERLONG	3,308,000	\$ 12,537,320
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	16,445,715	\$ 4,358,114
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	11,236,000	\$ 16,854,000
1257	CEB GREENTECH	3,152,204	\$ 22,695,869
1258	CHINFMINING	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1270	LANGHAM-SS	1,113,000	\$ 3,806,460
1272	DATANG ENVIRO	0	\$ 0
1281	LT SMART ENERGY	0	\$ 0
1282	CHINA GOLDJOY	80,936,000	\$ 52,608,400
1288	ABC	79,939,512	\$ 290,979,824
1293	GRAND BAOXIN	26,318,263	\$ 102,904,408
1297	SINOSOFT TECH	18,239,800	\$ 40,127,560
1299	AIA	35,920,199	\$ 2,394,081,263
1300	TRIGIANT	825,647	\$ 875,186
1302	LIFETECH SCI	91,840,639	\$ 171,741,995
1308	SITC	11,932,285	\$ 92,117,240
1310	HKBN	6,250,000	\$ 61,750,000
1313	CHINARES CEMENT	14,758,000	\$ 75,856,120
1315	VISION FAME	57,102,000	\$ 17,416,110
1316	NEXTEER	26,634,670	\$ 495,937,555
1317	MAPLELEAF EDU	25,462,000	\$ 233,231,920
1330	DYNAGREEN ENV	2,308,000	\$ 10,386,000
1332	CHINA TOUYUN	5,760,000	\$ 1,584,000
1333	CHINA ZHONGWANG	107,481,057	\$ 460,018,924
1336	NCI	20,916,042	\$ 1,116,916,643
1339	PICC GROUP	114,393,058	\$ 440,413,273
1347	HUA HONG SEMI	1,992,000	\$ 33,027,360
1359	CHINA CINDA	162,644,935	\$ 465,164,514
1360	MEGAEXPO HLDG	2,413,323	\$ 5,357,577
1361	361 DEGREES	12,742,000	\$ 34,403,400
1363	CTEG	213,605,714	\$ 309,728,285
1368	XTEP INT'L	52,358,100	\$ 159,692,205
1375	CC SECURITIES	20,318,344	\$ 66,847,352
1378	CHINAHONGQIAO	48,902,820	\$ 427,899,675
1381	CANVEST ENV	11,138,490	\$ 51,348,439
1382	PACIFICTEXTILES	15,145,586	\$ 124,951,085
1385	SHANGHAI FUDAN	0	\$ 0
1387	RENHE COMM	200,664,000	\$ 44,547,408
1398	ICBC	543,020,259	\$ 3,415,597,429
1415	COWELL	8,639,000	\$ 22,806,960
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	16,582,000	\$ 39,630,980
1432	CHINA SHENGMU	35,481,000	\$ 44,706,060
1448	FU SHOU YUAN	3,553,000	\$ 23,698,510
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	32,549,306	\$ 266,904,309



Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1462	GOLD-FIN HLDG	4,534,000	\$ 12,151,120
1478	Q TECH	10,410,333	\$ 114,513,663
1508	CHINA RE	30,196,000	\$ 53,748,880
1509	HARMONICARE	2,886,000	\$ 9,033,180
1513	LIVZON PHARMA	501,579	\$ 30,947,424
1515	CRPHOENIXHEALTH	38,962,500	\$ 384,170,250
1518	NC HEALTHCARE	0	\$ 0
1520	CEFC FIN INV	0	\$ 0
1528	RS MACALLINE	2,640,180	\$ 33,424,679
1530	3SBIO	100,269,007	\$ 1,538,126,567
1548	GENSCRIPT BIO	24,057,665	\$ 480,672,147
1551	GRCB	0	\$ 0
1558	HEC PHARM	260,400	\$ 7,121,940
1566	CHINA ANIMATION	13,007,625	\$ 39,022,875
1569	MINSHENG EDU	1,280,000	\$ 2,048,000
1573	CH UNIENERGY	246,000	\$ 2,691,240
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	0	\$ 0
1585	YADEA	12,110,000	\$ 32,454,800
1589	CNLP	13,591,000	\$ 34,385,230
1599	UCD	554,000	\$ 2,515,160
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	16,096,426	\$ 25,432,353
1618	MCC	47,007,387	\$ 108,116,990
1622	REDCO PPT	3,756,080	\$ 13,371,645
1628	YUZHOU PPT	16,434,947	\$ 68,533,729
1636	CMRU	2,436,000	\$ 11,765,880
1658	PSBC	58,765,000	\$ 238,585,900
1666	TONG REN TANG	20,645,419	\$ 233,293,235
1668	CHINASOUTHCITY	141,081,613	\$ 289,217,307
1680	MACAU LEGEND	62,163,133	\$ 72,730,866
1681	CONSUN PHARMA	1,298,223	\$ 9,191,419
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	0	\$ 0
1728	ZHENGTONGAUTO	61,974,807	\$ 490,220,723
1766	CRRC	210,703,568	\$ 1,761,481,828
1776	GF SEC	17,384,532	\$ 273,284,843
1777	FANTASIA	3,831,000	\$ 4,099,170
1778	COLOUR LIFE	10,748,800	\$ 55,893,760
1786	CRCCE	10,656,750	\$ 20,141,258



Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1788	GUOTAI JUNAN I	232,413,835	\$ 569,413,896
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	85,566,328	\$ 759,828,993
1803	BJ SPORTS & ENT	657,500	\$ 1,867,300
1811	CGN NEW ENERGY	11,992,000	\$ 13,191,200
1812	CHENMING PAPER	5,692,419	\$ 80,149,260
1813	KWG PROPERTY	44,530,192	\$ 406,560,653
1816	CGN POWER	740,433,444	\$ 1,569,718,901
1818	ZHAOJIN MINING	65,242,468	\$ 394,716,931
1828	DCH HOLDINGS	4,151,000	\$ 15,026,620
1829	CMEC	5,396,392	\$ 27,359,707
1836	STELLA HOLDINGS	6,061,085	\$ 71,520,803
1848	CALC	4,478,000	\$ 36,585,260
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	8,637,000	\$ 202,969,500
1883	CITIC TELECOM	2,535,259	\$ 5,247,986
1886	HUIYUAN JUICE	9,559,500	\$ 20,648,520
1888	KB LAMINATES	22,151,000	\$ 269,799,180
1893	SINOMA	5,013,945	\$ 29,281,439
1898	CHINA COAL	49,775,727	\$ 175,708,316
1899	XINGDA INT'L	16,567,816	\$ 45,892,850
1910	SAMSONITE	14,543,690	\$ 522,118,471
1913	PRADA	25,699,525	\$ 727,296,558
1918	SUNAC	185,826,178	\$ 6,011,476,858
1919	COSCO SHIP HOLD	138,033,769	\$ 556,276,089
1928	SANDS CHINA LTD	72,447,034	\$ 2,923,237,822
1929	CHOW TAI FOOK	6,838,410	\$ 56,074,962
1958	BAIC MOTOR	31,459,127	\$ 320,253,913
1963	BCQ	1,419,600	\$ 8,829,912
1966	CHINA SCE PPT	17,342,000	\$ 58,442,540
1970	IMAX CHINA	13,613,585	\$ 318,557,889
1972	SWIREPROPERTIES	8,243,340	\$ 207,732,168
1980	TIANGE	6,927,000	\$ 42,670,320
1988	MINSHENG BANK	271,238,042	\$ 2,123,793,869
1997	WHARF REIC	4,689,394	\$ 243,848,488
1999	MAN WAH HLDGS	48,560,926	\$ 360,807,680
2001	NEW HIGHER EDU	423,000	\$ 1,708,920
2005	SSY GROUP	9,462,344	\$ 45,513,875
2006	JINJIANG HOTELS	15,412,000	\$ 41,920,640
2007	COUNTRY GARDEN	394,153,649	\$ 5,872,889,370



## Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2008	PHOENIX TV	7,808,000	\$ 8,666,880
2009	BBMG	169,047,582	\$ 600,118,916
2014	OZNER WATER	38,368,000	\$ 95,536,320
2016	CZBANK	0	\$ 0
2018	AAC TECH	17,334,340	\$ 2,416,406,996
2020	ANTA SPORTS	26,116,267	\$ 925,821,665
2038	FIH	75,797,375	\$ 180,397,753
2039	CIMC	2,192,100	\$ 33,100,710
2066	SHENGJINGBANK	2,026,500	\$ 12,544,035
2098	ZALL GROUP	6,037,000	\$ 51,555,980
2099	CHINAGOLDINTL	0	\$ 0
2111	BEST PACIFIC	13,457,973	\$ 64,867,430
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	1,944,000	\$ 9,836,640
2136	LIFESTYLE CHI	354,500	\$ 854,345
2186	LUYE PHARMA	174,333,222	\$ 1,075,635,980
2196	FOSUN PHARMA	26,266,615	\$ 1,317,270,742
2198	CHINA SANJIANG	2,123,000	\$ 6,347,770
2199	REGINA MIRACLE	13,912,690	\$ 107,127,713
2200	HOSA INT'L	5,732,000	\$ 15,132,480
2202	CHINA VANKE	17,739,113	\$ 553,460,326
2208	GOLDWIND	51,820,664	\$ 688,178,418
2233	WESTCHINACEMENT	23,191,250	\$ 27,133,763
2238	GAC GROUP	82,143,648	\$ 1,521,300,361
2255	HAICHANG HLDG	6,695,000	\$ 11,716,250
2268	YOUYUAN HLDGS	9,247,130	\$ 31,255,299
2269	WUXI BIO	2,574,585	\$ 112,509,365
2277	HUARONG INV	0	\$ 0
2280	HC INTL	33,352,069	\$ 191,107,355
2282	MGM CHINA	96,613,423	\$ 2,284,907,454
2298	COSMO LADY	60,913,664	\$ 172,385,669
2300	AMVIG HOLDINGS	0	\$ 0
2313	SHENZHOU INTL	2,675,233	\$ 199,037,335
2314	LEE & MAN PAPER	32,636,001	\$ 301,556,649
2318	PING AN	1,417,744,877	\$ 115,333,545,744
2319	MENGNU DAIRY	33,197,773	\$ 771,848,222
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	NPE HOLDINGS	113,850,000	\$ 17,760,600
2328	PICC P&C	16,809,340	\$ 252,476,287
2329	GUORUI PPT	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2331	LI NING	45,940,786	\$ 290,805,175
2333	GREATWALL MOTOR	487,192,336	\$ 4,360,371,407
2338	WEICHAI POWER	196,687,106	\$ 1,683,641,627
2342	COMBA	40,033,493	\$ 60,050,240
2343	PACIFIC BASIN	259,273,712	\$ 438,172,573
2345	SHANGHAI PRIME	212,000	\$ 318,000
2348	DAWNRAYS PHARMA	807,000	\$ 3,445,890
2355	BAOYE GROUP	56,000	\$ 306,880
2356	DAHONG BANKING	1,348,532	\$ 22,871,103
2357	AVICHINA	146,199,935	\$ 608,191,730
2362	JINCHUAN INTL	14,120,832	\$ 16,803,790
2369	COOLPAD GROUP	61,836,148	\$ 44,522,027
2378	PRU	0	\$ 0
2380	CHINA POWER	97,042,970	\$ 198,938,089
2382	SUNNY OPTICAL	39,925,848	\$ 3,988,592,215
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	7,203,230	\$ 53,303,902
2388	BOC HONG KONG	35,076,225	\$ 1,389,018,510
2389	BJ ENT M&H	35,772,468	\$ 13,772,400
2393	YESTAR HEALTH	3,917,500	\$ 13,006,100
2588	BOC AVIATION	303,100	\$ 12,624,115
2600	CHALCO	511,360,431	\$ 2,858,504,809
2601	CPIC	99,531,695	\$ 3,737,415,147
2607	SH PHARMA	28,309,747	\$ 598,751,149
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	3,431,400	\$ 59,706,360
2628	CHINA LIFE	15,122,527	\$ 371,258,038
2638	HKELECTRIC-SS	288,981,369	\$ 2,066,216,788
2662	CAMSING INTL	1,352,000	\$ 8,896,160
2666	UNI MEDICAL	33,803,773	\$ 253,866,335
2669	CHINA OVS PPT	17,760,577	\$ 37,652,423
2678	TEXHONG TEXTILE	3,524,923	\$ 35,742,719
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	56,161,970	\$ 3,131,029,828
2689	ND PAPER	86,780,935	\$ 1,086,497,306
2722	CHONGQING M&E	0	\$ 0
2727	SH ELECTRIC	209,553,471	\$ 674,762,177
2768	JIAYUAN INTL	5,972,000	\$ 43,356,720
2777	R&F PROPERTIES	31,071,117	\$ 547,473,082
2778	CHAMPION REIT	5,781,000	\$ 33,125,130
2799	CHINA HUARONG	266,520,666	\$ 983,461,258



## Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2800	TRACKER FUND	12,412,920	\$ 373,008,246
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VG FTSE ASIAXJ	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	76,009,100	\$ 1,175,100,686
2823	ISHARES A50	168,880,760	\$ 2,536,589,015
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HS H ETF	5,739,920	\$ 678,458,544
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2835	MR KOSPI200 ETF	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	DBMSCIKOREA	0	\$ 0
2866	COSCO SHIP DEV	78,974,564	\$ 126,359,302
2868	BJ CAPITAL LAND	5,001,674	\$ 20,306,796
2869	GREENTOWN SER	13,955,117	\$ 85,405,316
2877	SHINEWAY PHARM	5,163,000	\$ 37,638,270
2880	DALIAN PORT	46,360,288	\$ 65,368,006
2883	CHINA OILFIELD	133,151,781	\$ 1,013,285,053
2888	STANCHART	5,850,271	\$ 475,042,005
2899	ZIJIN MINING	240,015,134	\$ 708,044,645
3007	DBFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X DBNIFTY50	0	\$ 0
3016	DBMSCIPHIL	0	\$ 0
3019	X DBMSCIWORLD	0	\$ 0





Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3020	X DBMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X DBMSCIRUSSIA	0	\$ 0
3036	DBMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	DBMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	DBMSCIBRAZIL	0	\$ 0
3049	X DBCSI300	0	\$ 0
3055	DBMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	DBMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	DBMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VGFTSEASIAJHDY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X DBFTSEVIET	0	\$ 0
3092	DBMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X DBMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VG FTSE DEV EUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VG FTSE JAPAN	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VG S&P 500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCIAI	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	CSOP NEW CHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3188	CAM CSI300	31,068,071	\$ 1,534,762,707
3199	CSOP 5YCGBOND	0	\$ 0
3301	RONSHINECHINA	4,000,500	\$ 34,804,350
3308	GOLDEN EAGLE	7,079,377	\$ 66,970,906
3311	CHINA STATE CON	90,589,008	\$ 991,043,748
3320	CHINARES PHARMA	63,146,834	\$ 639,045,960
3323	CNBM	303,576,439	\$ 2,121,999,309
3328	BANKCOMM	126,111,490	\$ 731,446,642
3329	BOCOM INTL	649,000	\$ 1,719,850
3331	VINDA INT'L	721,000	\$ 11,305,280
3333	EVERGRANDE	202,401,538	\$ 5,454,721,449
3336	JU TENG INTL	10,536,000	\$ 26,866,800



## Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3337	ANTON OILFIELD	60,233,809	\$ 51,801,076
3339	LONKING	65,357,900	\$ 224,177,597
3360	FE HORIZON	22,165,641	\$ 147,844,825
3369	QHD PORT	2,395,000	\$ 6,322,800
3377	SINO-OCEAN GP	38,279,808	\$ 206,328,165
3378	XIAMEN PORT	2,347,964	\$ 3,568,905
3380	LOGAN PPT	32,506,783	\$ 262,654,807
3382	TIANJINPORT DEV	1,844,000	\$ 2,120,600
3383	AGILE GROUP	96,237,925	\$ 1,141,381,791
3393	WASION GROUP	19,907,789	\$ 76,047,754
3396	LEGENDHOLDING	5,791,000	\$ 207,896,900
3606	FUYAO GLASS	26,675,053	\$ 878,942,996
3618	CQRC BANK	51,679,197	\$ 285,269,167
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	579,400	\$ 9,096,580
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	6,618,171	\$ 59,497,357
3698	HUIZHANG BANK	4,398,000	\$ 18,823,440
3708	YAT SING HLDG	765,000	\$ 2,815,200
3799	DALI FOODS	2,913,500	\$ 20,685,850
3800	GCL-POLY ENERGY	1,183,536,014	\$ 1,656,950,420
3808	SINOTRUK	119,657,500	\$ 1,052,986,000
3813	POU SHENG INT'L	122,670,938	\$ 138,618,160
3818	CHINA DONGXIANG	37,002,000	\$ 53,652,900
3836	HARMONY AUTO	41,846,715	\$ 238,526,276
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	23,886,147	\$ 102,471,571
3886	TOWN HEALTH	106,533,754	\$ 73,508,290
3888	KINGSOFT	79,298,288	\$ 2,061,755,488
3898	CRRC TIMES ELEC	33,551,652	\$ 1,706,101,504
3899	CIMC ENRIC	16,283,909	\$ 106,985,282
3900	GREENTOWN CHINA	74,509,825	\$ 749,568,840
3908	CICC	24,619,792	\$ 400,317,818
3918	NAGACORP	18,649,906	\$ 112,831,931
3933	UNITED LAB	164,911,382	\$ 1,047,187,276
3948	YITAI COAL	0	\$ 0
3958	DFZQ	3,392,800	\$ 25,072,792
3968	CM BANK	116,438,729	\$ 3,621,244,472
3969	CHINA CRSC	5,638,000	\$ 34,504,560
3983	CHINA BLUECHEM	23,622,929	\$ 58,348,635
3988	BANK OF CHINA	85,034,584	\$ 326,532,803



## Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3989	CAPITAL ENV	0	\$ 0
3993	CMOC	302,464,857	\$ 1,518,373,582
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	4,712,000	\$ 3,204,160
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	105,768,541	\$ 1,704,988,881
6060	ZA ONLINE	6,348,200	\$ 439,930,260
6066	CSC	10,464,000	\$ 72,410,880
6068	WISDOM EDU INTL	750,000	\$ 3,532,500
6099	CMSC	3,562,450	\$ 43,176,894
6116	LA CHAPELLE	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6169	YUHUA EDU	20,461,000	\$ 80,207,120
6178	EB SECURITIES	3,753,000	\$ 36,591,750
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,556,172	\$ 18,634,341
6808	SUNART RETAIL	135,736,568	\$ 1,121,184,052
6818	CEB BANK	135,033,548	\$ 492,872,450
6823	HKT-SS	43,034,064	\$ 428,619,277
6826	HAOHAI BIOTEC	15,200	\$ 570,000
6828	BG BLUE SKY	31,723,022	\$ 16,178,741
6837	HAITONG SEC	92,229,581	\$ 1,045,883,449
6858	HONMAGOLF	147,000	\$ 1,149,540
6863	HUIZHAN DAIRY	337,127,610	\$ 141,593,596
6869	YOFC	6,228,500	\$ 223,603,150
6881	CGS	140,348,801	\$ 808,409,094
6886	HTSC	48,673,313	\$ 757,356,750
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8008	SUNEVISION	0	\$ 0
8032	VIVA CHINA	7,184,976	\$ 5,604,281
8057	MADISON HLDG	0	\$ 0
8078	HMV DIGIT CHINA	7,940,000	\$ 1,953,240
8083	INNOVATIONPAY	47,924,866	\$ 20,607,692
8102	LI BAO GE GP	0	\$ 0
8137	HONBRIDGE	18,784,000	\$ 32,684,160
8138	TONGRENTANGCM	433,000	\$ 4,598,460
8158	CRMI	95,165,000	\$ 15,702,225
8207	CHONGSING HLDGS	103,280,000	\$ 106,378,400
8237	LINK HOLDINGS	0	\$ 0
8265	CHINA TRUSTFUL	1,520,000	\$ 5,137,600
8279	AGTECH HOLDINGS	8,880,000	\$ 10,744,800
8370	ZHI SHENG GP	0	\$ 0
8407	CISI FIN	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9155	ISHARESES50-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HS H ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 29/12/2017

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHAREMSCIAI-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83170	ISHARESKS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0