



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	7,236,570	\$ 668,297,240
2	CLP HOLDINGS	26,926,203	\$ 2,217,372,817
3	HK & CHINA GAS	242,736,709	\$ 4,087,686,180
4	WHARF HOLDINGS	34,839,582	\$ 897,119,237
5	HSBC HOLDINGS	48,526,949	\$ 3,763,264,895
6	POWER ASSETS	32,544,868	\$ 1,931,537,916
8	PCCW	45,794,493	\$ 223,935,071
10	HANG LUNG GROUP	3,861,864	\$ 94,808,761
11	HANG SENG BANK	7,185,409	\$ 1,448,578,454
12	HENDERSON LAND	17,238,211	\$ 874,839,208
14	HYSAN DEV	4,217,266	\$ 187,457,474
16	SHK PPT	13,251,263	\$ 1,682,910,401
17	NEW WORLD DEV	106,873,848	\$ 1,241,874,114
19	SWIRE PACIFIC A	15,185,427	\$ 1,201,926,547
20	WHEELLOCK	1,845,374	\$ 105,001,781
23	BANK OF E ASIA	41,866,423	\$ 1,433,924,988
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	34,923,449	\$ 2,427,179,706
28	TIAN AN	0	\$ 0
30	BAN LOONG HOLD	0	\$ 0
31	CHINA AEROSPACE	20,398,848	\$ 17,135,032
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	739,952	\$ 3,292,786
38	FIRST TRACTOR	12,458,863	\$ 35,258,582
41	GREAT EAGLE H	358,207	\$ 14,023,804
43	C.P. POKPHAND	0	\$ 0
44	HAECO	0	\$ 0
45	HK&S HOTELS	4,834,239	\$ 58,010,868
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	245,500	\$ 7,684,150
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	1,638,294	\$ 44,971,170
56	ALLIED PPT (HK)	1,458,000	\$ 2,318,220
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	42,288,431	\$ 1,922,009,189
69	SHANGRI-LA ASIA	18,071,647	\$ 268,183,241
71	MIRAMAR HOTEL	0	\$ 0



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75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0
81	CH OVS G OCEANS	48,816,317	\$ 175,738,741
83	SINO LAND	20,143,734	\$ 266,703,038
86	SUN HUNG KAI CO	485,000	\$ 2,395,900
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	3,072,286	\$ 9,708,424
100	CLEAR MEDIA	0	\$ 0
101	HANG LUNG PPT	42,191,798	\$ 786,455,115
102	SUMMIT ASCENT	38,883,540	\$ 36,161,692
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	6,320,081	\$ 17,380,223
116	CHOW SANG SANG	704,149	\$ 12,252,193
119	POLY PROPERTY	31,975,729	\$ 118,310,197
123	YUEXIU PROPERTY	29,600,703	\$ 52,393,244
127	CHINESE EST H	5,513,260	\$ 64,284,612
129	ASIA STANDARD	978,000	\$ 1,838,640
133	CHINA MERCHANTS	34,000	\$ 430,440
135	KUNLUN ENERGY	146,199,169	\$ 1,005,850,283
136	HENG TEN NET	1,100,283,745	\$ 346,589,380
142	FIRST PACIFIC	111,730,156	\$ 450,272,529
144	CHINA MER PORT	40,542,910	\$ 724,907,231
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD CHEM	8,560,226	\$ 261,942,916
151	WANT WANT CHINA	587,585,012	\$ 4,230,612,086
152	SHENZHEN INT'L	2,453,500	\$ 43,377,880
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	4,820,000	\$ 25,353,200
163	EMPEROR INT'L	0	\$ 0
164	CHINA BAOLI TEC	36,975,000	\$ 3,142,875
165	CHINA EB LTD	6,536,995	\$ 110,867,435
168	TSINGTAO BREW	25,347,208	\$ 1,171,041,010
169	WANDA HOTEL DEV	0	\$ 0
171	SILVER GRANT	0	\$ 0
173	K. WAH INT'L	3,807,526	\$ 18,542,652
175	GEELY AUTO	126,703,750	\$ 2,983,873,313
177	JIANGSU EXPRESS	14,876,172	\$ 173,456,166
178	SA SA INT'L	14,052,967	\$ 76,729,200
179	JOHNSON ELEC H	1,064,580	\$ 28,371,057
182	CONCORD NE	45,614,494	\$ 16,877,363



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
189	DONGYUE GROUP	18,992,396	\$ 138,644,491
191	LAI SUN INT'L	0	\$ 0
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	84,629,152	\$ 69,395,905
198	SMI HOLDINGS	22,551,815	\$ 81,637,570
200	MELCO INT'L DEV	13,663,708	\$ 391,465,234
207	JOY CITY PPT	3,442,000	\$ 4,164,820
215	HUTCHTEL HK	134,176,473	\$ 377,035,889
217	CHINA CHENGTONG	1,565,266	\$ 586,975
220	U-PRESID CHINA	11,298,203	\$ 90,385,624
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	826,000	\$ 1,239,000
232	AVIC INT'L	163,992,322	\$ 54,117,466
235	CHINA STRATEGIC	134,155,000	\$ 11,805,640
241	ALI HEALTH	352,211,106	\$ 1,553,250,977
242	SHUN TAK HOLD	3,830,117	\$ 12,907,494
245	CN MINSHENG FIN	19,660,247	\$ 4,698,799
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	843,283	\$ 10,844,619
256	CITYCHAMP	16,934,000	\$ 28,110,440
257	CHINA EB INT'L	101,287,926	\$ 1,112,141,427
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
265	ORIENT VICTORY	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	39,635,393	\$ 474,832,008
268	KINGDEE INT'L	123,976,022	\$ 954,615,369
270	GUANGDONG INV	17,862,984	\$ 225,788,118
272	SHUI ON LAND	88,653,718	\$ 187,945,882
273	MASON GP HOLD	122,102,270	\$ 22,711,022
285	BYD ELECTRONIC	84,689,264	\$ 1,053,534,444
286	CS HEALTH	0	\$ 0
288	WH GROUP	78,615,956	\$ 626,569,169
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	22,114,247	\$ 779,527,207
293	CATHAY PAC AIR	23,710,378	\$ 311,554,367
297	SINOFERT	134,116,693	\$ 135,457,860
303	VTECH HOLDINGS	2,323,362	\$ 227,573,308
308	CHINA TRAVEL HK	6,410,456	\$ 18,910,845
315	SMARTONE TELE	25,842,389	\$ 212,941,285
316	OOIL	6,735,703	\$ 490,359,178



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
317	COMEC	11,202,236	\$ 113,366,628
321	TEXWINCA HOLD	21,335,599	\$ 84,702,328
322	TINGYI	125,172,612	\$ 1,955,196,199
323	MAANSHAN IRON	84,357,203	\$ 337,428,812
327	PAX GLOBAL	79,377,800	\$ 277,822,300
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	192,513,930	\$ 500,536,218
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	7,193,183	\$ 36,613,301
337	GREENLAND HK	13,818,825	\$ 51,820,594
338	SHANGHAI PEICHEM	55,029,503	\$ 286,153,416
341	CAFE DE CORAL H	4,491,141	\$ 87,846,718
342	NEWOCEAN ENERGY	46,095,845	\$ 82,050,604
345	VITASOY INT'L	1,374,000	\$ 29,541,000
347	ANGANG STEEL	169,656,924	\$ 1,369,131,377
354	CHINASOFT INT'L	94,186,968	\$ 580,191,723
358	JIANGXI COPPER	52,432,092	\$ 595,628,565
363	SHANGHAI IND H	2,365,981	\$ 49,922,199
367	CHUANG'S INT'L	0	\$ 0
368	SINOTRANS SHIP	63,978,500	\$ 134,354,850
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	477,623,129	\$ 2,197,066,393
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	0	\$ 0
378	FDG KINETIC	19,160,000	\$ 8,047,200
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	104,351,032	\$ 3,067,920,341
386	SINOPEC CORP	348,874,604	\$ 2,836,350,531
388	HKEX	29,326,167	\$ 7,683,455,754
390	CHINA RAILWAY	94,771,667	\$ 593,270,635
392	BEIJING ENT	2,441,724	\$ 99,744,425
400	COGOBUY	16,801,642	\$ 62,502,108
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	86,457,848	\$ 340,643,921
412	CHINA SDHS FIN	0	\$ 0
413	SC HOLDINGS	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	202,720,442	\$ 90,210,597
425	MINTH GROUP	45,308,601	\$ 1,739,850,278
433	NORTH MINING	107,012,537	\$ 15,516,818
434	BOYAA	2,558,942	\$ 7,702,415



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	51,922,163	\$ 78,402,466
440	DAH SING	1,055,740	\$ 57,432,256
451	GCL NEWENERGY	192,960,000	\$ 82,008,000
460	SIHUAN PHARM	167,170,260	\$ 324,310,304
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	332,000	\$ 1,855,880
472	NEW SILKROAD	0	\$ 0
480	HKR INT'L	1,533,600	\$ 7,238,592
486	RUSAL	0	\$ 0
488	LAI SUN DEV	500,245	\$ 6,283,077
489	DONGFENG GROUP	99,193,508	\$ 877,862,546
493	GOME RETAIL	1,859,033,537	\$ 1,561,588,171
494	LI & FUNG	387,083,558	\$ 1,668,330,135
497	CSI PROPERTIES	3,000,000	\$ 1,620,000
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	30,800,134	\$ 118,272,515
508	DINGYI GP INV	5,363,293	\$ 4,290,634
511	TVB	19,985,027	\$ 513,615,194
512	CHINAGRANDPHARM	2,684,000	\$ 15,728,240
517	COSCO SHIP INTL	978,000	\$ 3,110,040
519	APPLIED DEV	849,454	\$ 535,156
520	XIABUXIABU	8,481,089	\$ 116,869,406
521	CWT INT'L	39,744,000	\$ 12,718,080
522	ASM PACIFIC	23,164,988	\$ 2,355,879,280
525	GUANGSHEN RAIL	13,379,900	\$ 62,484,133
526	LISI GP HOLD	0	\$ 0
530	GOLDIN FIN HOLD	1,568,000	\$ 6,162,240
535	GEMDALE PPT	46,852,770	\$ 43,104,548
538	AJISEN (CHINA)	342,000	\$ 1,210,680
546	FUFENG GROUP	91,458,525	\$ 412,477,948
547	DIGITAL DOMAIN	570,775,525	\$ 94,748,737
548	SHENZHENEXPRESS	5,369,681	\$ 45,481,198
551	YUE YUEN IND	16,142,890	\$ 363,215,025
552	CHINACOMSERVICE	15,841,489	\$ 81,108,424
563	SH IND URBAN	24,113,514	\$ 42,198,650
570	TRAD CHI MED	35,102,520	\$ 237,293,035
572	FUTURE WORLD FH	0	\$ 0
576	ZHEJIANGEXPRESS	12,723,520	\$ 97,843,869
579	JNCEC	12,678,000	\$ 26,243,460
580	SUN.KING ELEC	3,888,000	\$ 6,220,800



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581	CHINA ORIENTAL	18,644,600	\$ 105,155,544
586	CONCH VENTURE	15,182,052	\$ 368,164,761
588	BEIJING N STAR	4,872,000	\$ 14,128,800
590	LUK FOOK HOLD	3,262,095	\$ 109,606,392
598	SINOTRANS	8,489,541	\$ 39,136,784
603	CHINA OIL & GAS	12,287,936	\$ 7,127,003
604	SHENZHEN INVEST	117,292,114	\$ 376,507,686
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	17,687,175	\$ 58,721,421
607	FULLSHARE	919,549,083	\$ 3,494,286,515
613	YUGANG INT'L	0	\$ 0
627	FULLSUN INT'L	0	\$ 0
633	CH ALL ACCESS	640,000	\$ 1,260,800
636	KERRY LOG NET	1,758,563	\$ 20,997,242
639	SHOUGANG RES	63,020,151	\$ 137,383,929
650	IDG ENERGY	0	\$ 0
656	FOSUN INTL	44,262,303	\$ 756,000,135
658	C TRANSMISSION	26,415,361	\$ 306,418,188
659	NWS HOLDINGS	11,056,020	\$ 163,850,216
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	122,250,904	\$ 559,909,140
669	TECHTRONIC IND	15,772,509	\$ 755,503,181
670	CHINA EAST AIR	54,849,636	\$ 342,261,729
672	ZHONGAN REALEST	14,022,000	\$ 7,151,220
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	140,451,768	\$ 28,792,612
683	KERRY PPT	6,902,596	\$ 267,130,465
686	PANDA GREEN	147,222,767	\$ 113,361,531
687	HKICIM GROUP	780,000	\$ 1,739,400
688	CHINA OVERSEAS	32,214,949	\$ 871,414,370
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	42,521,838	\$ 476,244,586
696	TRAVELSKY TECH	19,278,109	\$ 462,674,616
697	SHOUGANG INT'L	36,664,231	\$ 8,102,795
698	TONGDA GROUP	397,023,019	\$ 714,641,434
699	CAR INC	55,330,961	\$ 410,002,421
700	TENCENT	87,609,339	\$ 35,814,697,783
707	ATV HOLDINGS	29,470,000	\$ 8,841,000
708	EVERG HEALTH	10,805,000	\$ 42,679,750
709	GIORDANO INT'L	7,916,970	\$ 40,059,868
710	BOE VARITRONIX	1,531,033	\$ 5,879,167



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715	CHINA OCEANWIDE	23,065,253	\$ 9,226,101
716	SINGAMAS CONT	11,519,753	\$ 14,745,284
717	EMPEROR CAPITAL	12,492,974	\$ 7,620,714
718	TAI UNITED HOLD	4,015,000	\$ 2,770,350
719	SHANDONG XINHUA	0	\$ 0
721	C FIN INT INV	22,990,000	\$ 5,448,630
728	CHINA TELECOM	132,954,173	\$ 497,248,607
729	FDG EVEHICLES	459,814,431	\$ 108,056,391
732	TRULY INT'L	127,848,111	\$ 239,075,968
733	HOPEFLUENT	0	\$ 0
735	CP CLEAN ENERGY	901,497	\$ 4,128,856
737	HOPEWELL INFR	4,080,071	\$ 19,502,739
743	ASIA CEMENT CH	0	\$ 0
746	L & M CHEMICAL	0	\$ 0
750	SINGYES SOLAR	48,531,223	\$ 139,769,922
751	SKYWORTHDIGITAL	108,824,772	\$ 386,327,941
752	PICO FAR EAST	412,000	\$ 1,306,040
753	AIR CHINA	60,076,602	\$ 607,975,212
754	HOPSON DEV HOLD	3,763,822	\$ 30,449,320
762	CHINA UNICOM	267,599,883	\$ 2,916,838,725
763	ZTE	65,828,981	\$ 1,685,221,914
772	CHINA LIT	20,154,185	\$ 1,413,816,078
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	8,072,014	\$ 159,825,877
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	155,691,589	\$ 56,827,430
798	CEOVU	3,728,000	\$ 2,460,480
799	IGG	54,626,381	\$ 690,477,456
806	VALUE PARTNERS	45,818,391	\$ 329,892,415
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	6,135,764	\$ 37,366,803
813	SHIMAO PROPERTY	8,813,920	\$ 189,499,280
815	CHI SILVER GP	22,463,047	\$ 36,390,136
816	HUADIAN FUXIN	11,976,000	\$ 26,347,200
817	CHINA JINMAO	175,430,150	\$ 803,470,087
818	HI SUN TECH	6,974,181	\$ 8,229,534
819	TIANNENG POWER	45,331,531	\$ 524,939,129
823	LINK REIT	27,444,846	\$ 1,856,643,832
826	TIANGONG INT'L	0	\$ 0
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	7,569,000	\$ 28,232,370



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836	CHINA RES POWER	22,175,118	\$ 334,400,779
838	EVA HOLDINGS	0	\$ 0
839	CHINA EDU GROUP	0	\$ 0
845	GLORIOUS PPT H	21,605,646	\$ 14,259,726
853	MICROPORT	10,556,949	\$ 108,947,714
855	CHINA WATER	40,263,590	\$ 314,861,274
856	VSTECs	2,106,042	\$ 8,803,256
857	PETROCHINA	254,916,823	\$ 1,537,148,443
860	WE SOLUTIONS	60,842,539	\$ 106,474,443
861	DC HOLDINGS	55,154,983	\$ 266,398,568
862	VISION VALUES	0	\$ 0
867	CMS	19,710,030	\$ 358,328,345
868	XINYI GLASS	88,716,768	\$ 977,658,783
874	BAIYUNSHAN PH	2,652,771	\$ 86,347,696
877	O-NET TECH GP	42,782,214	\$ 216,905,825
878	SOUNDWILL HOLD	94,500	\$ 1,353,240
880	SJM HOLDINGS	101,436,180	\$ 913,939,982
881	ZHONGSHENG HLDG	66,706,055	\$ 1,594,274,715
882	TIANJIN DEV	5,603,500	\$ 19,836,390
883	CNOOC	120,643,347	\$ 1,672,116,789
884	CIFI HOLD GP	63,485,983	\$ 406,945,151
885	RENTIAN TECH	10,340,000	\$ 3,877,500
887	EMPEROR WATCH&J	4,950,000	\$ 2,673,000
895	DONGJIANG ENV	3,276,525	\$ 39,121,709
902	HUANENG POWER	198,266,264	\$ 1,050,811,199
906	COFCO PACKAGING	12,435,148	\$ 59,937,413
914	CONCH CEMENT	97,410,598	\$ 4,656,226,584
916	CHINA LONGYUAN	49,869,439	\$ 348,088,684
921	HISENSE KELON	10,356,000	\$ 85,540,560
931	CHINA LNG	83,747,658	\$ 109,709,432
932	SHUNTEN INTL	41,160,000	\$ 43,218,000
934	SINOPEC KANTONS	18,512,906	\$ 71,274,688
939	CCB	1,419,097,784	\$ 11,636,601,829
941	CHINA MOBILE	49,384,741	\$ 3,647,063,123
950	LEE'S PHARM	7,883,604	\$ 86,246,628
951	CHAOWEI POWER	76,400,781	\$ 341,511,491
952	TONGHAI FIN	1,350,000	\$ 1,431,000
956	CHINA SUNTIEN	3,731,000	\$ 9,849,840
958	HN RENEWABLES	96,994,764	\$ 318,142,826
960	LONGFOR PPT	19,724,562	\$ 463,527,207
966	CHINA TAIPING	23,163,740	\$ 660,166,590



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968	XINYI SOLAR	125,241,368	\$ 413,296,514
973	L'OCCITANE	8,259,000	\$ 119,259,960
976	CHIHO ENV	12,356,000	\$ 46,582,120
978	CHI MER LAND	15,360,000	\$ 23,808,000
981	SMIC	514,128,992	\$ 5,429,202,156
989	GROUND INTL DEV	4,860,000	\$ 7,727,400
991	DATANG POWER	67,509,470	\$ 174,174,433
992	LENOVO GROUP	1,244,846,352	\$ 4,742,864,601
993	HUARONG INT FIN	0	\$ 0
995	ANHUIEXPRESSWAY	953,000	\$ 5,603,640
996	CARNIVAL GROUP	188,129,156	\$ 64,904,559
998	CITIC BANK	201,479,241	\$ 1,152,461,259
999	I.T	0	\$ 0
1003	HUANXI MEDIA	3,060,000	\$ 5,936,400
1004	C SMARTERENERGY	0	\$ 0
1028	C.BANNER	5,877,000	\$ 14,222,340
1030	FUTURE LAND	34,022,000	\$ 214,338,600
1031	KINGSTON FIN	182,291,140	\$ 725,518,737
1033	SINOPEC SSC	97,680,163	\$ 121,123,402
1038	CKI HOLDINGS	28,720,687	\$ 1,807,967,247
1039	FORTUNET E-COMM	0	\$ 0
1044	HENGAN INT'L	49,307,511	\$ 3,414,545,137
1045	APT SATELLITE	668,614	\$ 2,460,500
1051	G-RESOURCES	56,793,106	\$ 3,464,379
1052	YUEXIUTRANSPORT	77,572,550	\$ 463,108,124
1055	CHINA SOUTH AIR	77,280,268	\$ 631,379,790
1060	ALI PICTURES	1,152,045,999	\$ 1,071,402,779
1061	ESSEX BIO-TECH	316,000	\$ 2,335,240
1065	TIANJIN CAPITAL	14,408,000	\$ 62,530,720
1066	WEIGAO GROUP	22,272,855	\$ 116,041,575
1068	YURUN FOOD	18,993,000	\$ 22,791,600
1070	TCL MULTIMEDIA	16,949,617	\$ 64,578,041
1071	HUADIAN POWER	60,006,179	\$ 195,620,144
1072	DONGFANG ELEC	6,162,654	\$ 36,791,044
1076	IMPERIAL PAC	173,760,855	\$ 15,985,999
1083	TOWNGAS CHINA	8,395,603	\$ 61,119,990
1086	GOODBABY INTL	8,545,304	\$ 44,008,316
1088	CHINA SHENHUA	19,097,746	\$ 390,548,906
1089	LEYOU TECH H	9,010,000	\$ 17,569,500
1093	CSPC PHARMA	21,126,587	\$ 445,770,986
1097	I-CABLE COMM	13,756,871	\$ 2,338,668



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1098	ROAD KING INFRA	1,533,000	\$ 23,976,120
1099	SINOPHARM	45,195,593	\$ 1,509,532,806
1106	SINO HAIJING	0	\$ 0
1107	MODERN LAND	2,002,000	\$ 2,882,880
1109	CHINA RES LAND	44,511,854	\$ 1,293,069,359
1111	CHONG HING BANK	0	\$ 0
1112	H&H INTL HLDG	7,982,222	\$ 471,749,320
1113	CK ASSET	8,303,746	\$ 566,315,477
1114	BRILLIANCE CHI	277,655,410	\$ 4,059,322,094
1115	TIBET WATER	37,321,570	\$ 126,893,338
1117	CH MODERN D	48,993,162	\$ 73,979,675
1122	QINGLING MOTORS	592,000	\$ 1,539,200
1128	WYNN MACAU	36,600,060	\$ 1,094,341,794
1129	WATER INDUSTRY	9,226,000	\$ 14,761,600
1131	AGRITRADE RES	15,735,000	\$ 26,277,450
1133	HARBIN ELECTRIC	15,039,974	\$ 36,246,337
1137	HKTV	6,691,000	\$ 20,608,280
1138	COSCO SHIP ENGY	45,944,320	\$ 185,155,610
1140	OP FINANCIAL	16,800,145	\$ 52,752,455
1141	CMBC CAPITAL	394,524,075	\$ 213,043,001
1157	ZOOMLION	94,354,339	\$ 322,691,839
1166	SOLARTECH INT'L	24,120,000	\$ 36,421,200
1168	SINOLINK HOLD	47,586,000	\$ 45,206,700
1169	HAIER ELEC	42,698,404	\$ 1,163,531,509
1171	YANZHOU COAL	72,359,159	\$ 795,950,749
1176	ZHUGUANG HOLD	0	\$ 0
1177	SINO BIOPHARM	82,463,634	\$ 1,520,629,411
1186	CHINA RAIL CONS	70,051,303	\$ 633,964,292
1188	HYBRID KINETIC	52,333,950	\$ 6,018,404
1193	CHINA RES GAS	31,670,695	\$ 899,447,738
1196	REALORD GROUP	3,230,000	\$ 14,922,600
1199	COSCO SHIP PORT	55,351,090	\$ 395,206,783
1200	MIDLAND HOLDING	0	\$ 0
1205	CITIC RESOURCES	39,148,837	\$ 34,842,465
1207	SRE GROUP	0	\$ 0
1208	MMG	46,205,428	\$ 285,087,491
1211	BYD COMPANY	119,805,312	\$ 6,463,496,582
1212	LIFESTYLE INT'L	529,000	\$ 8,210,080
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1224	C C LAND	5,277,033	\$ 9,498,659
1230	YASHILI INT'L	13,817,000	\$ 24,179,750
1231	NEWTON RES	0	\$ 0
1233	TIMES CHINA	4,422,000	\$ 51,295,200
1234	CHINA LILANG	1,071,000	\$ 10,656,450
1238	POWERLONG	6,428,882	\$ 27,258,460
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	276,278,589	\$ 66,583,140
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	9,032,000	\$ 9,122,320
1257	CEB GREENTECH	4,221,107	\$ 32,080,413
1258	CHINFMINING	0	\$ 0
1266	XIWANG STEEL	0	\$ 0
1270	LANGHAM-SS	600,000	\$ 1,974,000
1272	DATANG ENVIRO	0	\$ 0
1281	LT SMART ENERGY	0	\$ 0
1282	CHINA GOLDJOY	83,516,000	\$ 44,263,480
1288	ABC	244,669,750	\$ 1,088,780,388
1293	GRAND BAOXIN	22,867,031	\$ 80,034,609
1297	SINOSOFT TECH	14,624,400	\$ 46,505,592
1299	AIA	78,525,399	\$ 5,716,649,047
1302	LIFETECH SCI	53,930,673	\$ 153,702,418
1308	SITC	3,341,673	\$ 28,704,971
1310	HKBN	4,859,500	\$ 52,774,170
1313	CHINARES CEMENT	52,396,000	\$ 440,126,400
1316	NEXTEER	27,397,927	\$ 320,555,746
1317	MAPLELEAF EDU	17,664,937	\$ 211,979,244
1330	DYNAGREEN ENV	15,755,735	\$ 61,289,809
1332	CHINA TOUYUN	2,340,000	\$ 547,560
1333	CHINA ZHONGWANG	104,569,251	\$ 453,830,549
1336	NCI	23,549,662	\$ 896,064,639
1337	RAZER	4,526,000	\$ 11,269,740
1339	PICC GROUP	60,565,831	\$ 226,516,208
1347	HUA HONG SEMI	9,908,000	\$ 183,298,000
1359	CHINA CINDA	169,303,223	\$ 479,128,121
1360	MEGAEXPO HLDG	1,998,323	\$ 3,776,830
1361	361 DEGREES	22,395,000	\$ 55,315,650
1363	CTEG	184,204,143	\$ 209,992,723
1366	JIANGNAN GP	21,397,984	\$ 9,843,073
1368	XTEP INT'L	7,284,551	\$ 34,892,999
1371	CHINA LOTSYN	33,420,000	\$ 4,678,800



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1375	CC SECURITIES	18,852,583	\$ 57,123,326
1378	CHINAHONGQIAO	64,576,320	\$ 557,293,642
1381	CANVEST ENV	11,053,000	\$ 47,417,370
1382	PACIFICTEXTILES	14,594,917	\$ 98,369,741
1385	SHANGHAI FUDAN	0	\$ 0
1387	RENHE COMM	122,658,576	\$ 18,521,445
1398	ICBC	452,146,917	\$ 3,097,206,381
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	12,666,251	\$ 32,932,253
1432	CHINA SHENGMU	47,179,000	\$ 39,630,360
1448	FU SHOU YUAN	8,531,224	\$ 70,553,222
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	70,405,957	\$ 492,841,699
1462	GOLD-FIN HLDG	8,365,973	\$ 29,699,204
1469	GET NICE FIN	0	\$ 0
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	25,356,163	\$ 178,253,826
1499	LEAP HLDGS GP	0	\$ 0
1508	CHINA RE	48,912,271	\$ 84,618,229
1513	LIVZON PHARMA	2,124,570	\$ 129,704,999
1515	CRPHOENIXHEALTH	48,982,851	\$ 519,218,221
1518	NC HEALTHCARE	0	\$ 0
1528	RS MACALLINE	3,209,625	\$ 34,407,180
1530	3SBIO	75,845,339	\$ 1,447,129,068
1548	GENSCRIPT BIO	24,460,418	\$ 661,654,307
1551	GRCB	0	\$ 0
1558	HEC PHARM	3,109,551	\$ 112,410,269
1566	CHINA ANIMATION	13,479,625	\$ 34,777,433
1569	MINSHENG EDU	3,352,000	\$ 5,162,080
1571	XIN POINT HOLD	0	\$ 0
1573	CH UNIENERGY	0	\$ 0
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	2,727,987	\$ 29,462,260
1585	YADEA	9,511,103	\$ 24,443,535
1589	CNLP	8,274,141	\$ 19,444,231
1599	UCD	306,000	\$ 1,208,700
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	577,000	\$ 2,313,770
1610	COFCO MEAT	11,236,426	\$ 14,045,533
1617	NANFANG COMM	0	\$ 0
1618	MCC	24,116,422	\$ 60,049,891



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1622	REDCO GROUP	10,542,800	\$ 47,653,456
1628	YUZHOU PPT	7,531,240	\$ 43,831,817
1636	CMRU	2,356,000	\$ 11,685,760
1658	PSBC	799,033,227	\$ 4,282,818,097
1666	TONG REN TANG	5,012,367	\$ 62,654,588
1668	CHINASOUTHCITY	92,320,708	\$ 157,868,411
1673	HUAZHANG TECH	0	\$ 0
1680	MACAU LEGEND	45,333,000	\$ 63,919,530
1681	CONSUN PHARMA	4,222,940	\$ 37,204,101
1686	SUNEVISION	2,665,083	\$ 12,845,700
1696	SISRAM MED	0	\$ 0
1697	SDITC	0	\$ 0
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	2,428,000	\$ 22,070,520
1727	HEBEI CONS	0	\$ 0
1728	ZHENGTONGAUTO	141,624,940	\$ 916,313,362
1766	CRRC	183,854,273	\$ 1,303,526,796
1776	GF SEC	17,910,184	\$ 248,951,558
1777	FANTASIA	58,431,000	\$ 88,230,810
1778	COLOUR LIFE	1,845,000	\$ 12,195,450
1788	GUOTAI JUNAN I	175,934,154	\$ 402,889,213
1789	AK MEDICAL	0	\$ 0
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	68,853,985	\$ 594,898,430
1803	BJ SPORTS & ENT	1,342,500	\$ 3,812,700
1811	CGN NEW ENERGY	3,030,000	\$ 3,333,000
1812	CHENMING PAPER	8,481,500	\$ 106,527,640
1813	KWG PROPERTY	24,184,050	\$ 268,442,955
1816	CGN POWER	972,419,924	\$ 2,100,427,036
1818	ZHAOJIN MINING	73,427,777	\$ 443,503,773
1828	DCH HOLDINGS	3,580,998	\$ 15,076,002
1829	CMEC	17,805,441	\$ 77,097,560
1836	STELLA HOLDINGS	7,987,169	\$ 78,434,000
1848	CALC	3,308,500	\$ 28,221,505
1866	CHINA XLX FERT	0	\$ 0
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	10,979,592	\$ 232,218,371
1883	CITIC TELECOM	3,810,259	\$ 8,877,903
1886	HUIYUAN JUICE	8,771,000	\$ 17,717,420
1888	KB LAMINATES	23,964,000	\$ 259,290,480



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1898	CHINA COAL	44,388,193	\$ 156,246,439
1899	XINGDA INT'L	12,167,983	\$ 33,705,313
1908	C&D INTL GROUP	0	\$ 0
1910	SAMSONITE	23,064,795	\$ 825,719,661
1913	PRADA	11,525,127	\$ 537,070,918
1918	SUNAC	176,655,014	\$ 6,165,259,989
1919	COSCO SHIP HOLD	144,073,396	\$ 563,326,978
1928	SANDS CHINA LTD	61,267,200	\$ 2,901,001,920
1929	CHOW TAI FOOK	15,315,509	\$ 160,506,534
1958	BAIC MOTOR	33,537,773	\$ 257,570,097
1963	BCQ	6,483,600	\$ 37,410,372
1966	CHINA SCE PPT	14,955,413	\$ 60,868,531
1970	IMAX CHINA	8,858,876	\$ 249,820,303
1972	SWIREPROPERTIES	15,215,614	\$ 457,989,981
1980	TIANGE	6,415,000	\$ 45,161,600
1982	NAMESON HLDGS	0	\$ 0
1986	TSAKER CHEM	0	\$ 0
1988	MINSHENG BANK	274,776,039	\$ 2,030,594,928
1997	WHARF REIC	9,993,392	\$ 618,590,965
1999	MAN WAH HLDGS	113,952,368	\$ 739,550,868
2001	NEW HIGHER EDU	5,174,000	\$ 35,338,420
2005	SSY GROUP	5,636,257	\$ 45,315,506
2006	JINJIANG HOTELS	13,966,000	\$ 45,668,820
2007	COUNTRY GARDEN	278,153,175	\$ 4,561,712,070
2008	PHOENIX TV	7,614,000	\$ 6,167,340
2009	BBMG	229,922,284	\$ 802,428,771
2014	OZNER WATER	40,279,000	\$ 79,349,630
2016	CZBANK	0	\$ 0
2018	AAC TECH	17,511,175	\$ 2,131,109,998
2020	ANTA SPORTS	29,446,735	\$ 1,375,162,525
2038	FIH	113,458,646	\$ 142,957,894
2039	CIMC	2,467,776	\$ 30,057,512
2066	SHENGJINGBANK	1,442,000	\$ 7,613,760
2098	ZALL GROUP	5,411,000	\$ 55,083,980
2099	CHINAGOLDINTL	821,600	\$ 13,474,240
2111	BEST PACIFIC	10,392,000	\$ 31,072,080
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	4,464,000	\$ 26,694,720
2136	LIFESTYLE CHI	554,500	\$ 2,212,455
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	142,135,335	\$ 1,256,476,361



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2196	FOSUN PHARMA	26,130,575	\$ 1,190,247,691
2198	CHINA SANJIANG	1,342,000	\$ 4,227,300
2199	REGINA MIRACLE	17,170,794	\$ 118,306,771
2200	HOSA INT'L	6,622,000	\$ 19,733,560
2202	CHINA VANKE	16,409,537	\$ 511,157,078
2208	GOLDWIND	30,319,927	\$ 429,936,565
2232	CRYSTAL INTL	0	\$ 0
2233	WESTCHINACEMENT	23,663,335	\$ 36,441,536
2236	WISON ENGRG	1,708,000	\$ 2,357,040
2238	GAC GROUP	84,209,193	\$ 1,197,454,724
2255	HAICHANG HLDG	6,900,000	\$ 13,731,000
2268	YOUYUAN HLDGS	8,542,000	\$ 38,695,260
2269	WUXI BIO	4,366,470	\$ 335,781,543
2277	HUARONG INV	0	\$ 0
2280	HC INTL	37,569,175	\$ 202,122,162
2282	MGM CHINA	78,027,147	\$ 1,786,821,666
2283	TK GROUP HLDG	0	\$ 0
2298	COSMO LADY	27,616,686	\$ 135,321,761
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	746,000	\$ 7,407,780
2313	SHENZHOU INTL	4,188,599	\$ 376,136,190
2314	LEE & MAN PAPER	11,253,620	\$ 103,758,376
2318	PING AN	1,408,676,126	\$ 109,735,870,215
2319	MENGNU DAIRY	66,237,574	\$ 1,824,845,164
2322	NOBLE CENTURY	0	\$ 0
2323	CHINA HKBRIDGE	0	\$ 0
2326	NPE HOLDINGS	149,790,000	\$ 14,979,000
2328	PICC P&C	42,021,726	\$ 610,155,462
2329	GUORUI PPT	0	\$ 0
2331	LI NING	33,980,381	\$ 307,522,448
2333	GREATWALL MOTOR	513,077,369	\$ 4,135,403,594
2338	WEICHAJ POWER	230,398,953	\$ 2,165,750,158
2342	COMBA	54,865,387	\$ 82,846,734
2343	PACIFIC BASIN	176,960,876	\$ 369,848,231
2348	DAWNRAJ PHARMA	796,000	\$ 3,486,480
2355	BAOYE GROUP	0	\$ 0
2356	DAHSING BANKING	1,394,518	\$ 25,714,912
2357	AVICHINA	188,579,879	\$ 965,528,980
2362	JINCHUAN INTL	31,042,559	\$ 49,047,243
2378	PRU	0	\$ 0
2380	CHINA POWER	167,627,660	\$ 358,723,192



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2382	SUNNY OPTICAL	24,615,713	\$ 3,502,815,960
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	4,925,134	\$ 38,169,789
2388	BOC HONG KONG	41,378,626	\$ 1,682,041,147
2389	BJ ENT M&H	37,252,018	\$ 14,714,547
2393	YESTAR HEALTH	1,680,000	\$ 4,569,600
2588	BOC AVIATION	6,495,200	\$ 316,316,240
2600	CHALCO	431,243,815	\$ 1,906,097,662
2601	CPIC	124,810,155	\$ 4,561,811,165
2607	SH PHARMA	17,079,734	\$ 378,316,108
2608	SUNSHINE 100	0	\$ 0
2611	GTJA	5,074,853	\$ 96,422,207
2628	CHINA LIFE	67,004,174	\$ 1,510,944,124
2638	HKELECTRIC-SS	267,474,904	\$ 1,952,566,799
2662	CAMSING INTL	3,226,000	\$ 18,452,720
2666	UNI MEDICAL	42,587,373	\$ 305,777,338
2669	CHINA OVS PPT	49,406,636	\$ 125,492,855
2678	TEXHONG TEXTILE	499,500	\$ 5,794,200
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	14,459,745	\$ 1,088,818,799
2689	ND PAPER	97,851,765	\$ 1,295,557,369
2698	WEIQIAO TEXTILE	147,500	\$ 584,100
2727	SH ELECTRIC	298,845,069	\$ 854,696,897
2768	JIAYUAN INTL	16,676,000	\$ 255,142,800
2777	R&F PROPERTIES	42,372,234	\$ 802,530,112
2778	CHAMPION REIT	8,599,000	\$ 47,122,520
2799	CHINA HUARONG	223,026,915	\$ 613,324,016
2800	TRACKER FUND	12,021,500	\$ 376,272,950
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	175,073,402	\$ 2,668,118,646
2823	ISHARES A50	120,321,950	\$ 1,787,984,177
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2828	HSCEI ETF	8,137,650	\$ 1,012,323,660
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	1,795,500	\$ 7,864,290
2866	COSCO SHIP DEV	109,153,969	\$ 158,273,255
2868	BJ CAPITAL LAND	10,331,800	\$ 43,600,196
2869	GREENTOWN SER	14,470,000	\$ 101,000,600
2877	SHINEWAY PHARM	5,161,000	\$ 89,285,300
2880	DALIAN PORT	32,203,800	\$ 42,509,016
2883	CHINA OILFIELD	125,938,156	\$ 1,013,802,156
2888	STANCHART	2,616,608	\$ 212,337,739
2899	ZIJIN MINING	235,653,820	\$ 829,501,446
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3021	CSOP MSCI T50	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0
3101	VANGUARDDVEUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARES DAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI A I	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3150	HGI CSI500	0	\$ 0
3155	ISHARESES50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3162	ISHARESMSCAI	0	\$ 0
3165	BMO EU QLT Y HDG	0	\$ 0
3167	CSOPNEWCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	51,252,527	\$ 2,511,373,823
3197	CAM MSCI AINC	0	\$ 0
3199	CSOP 5Y CGBOND	0	\$ 0
3301	RONSHINECHINA	7,340,000	\$ 85,584,400
3306	JNBY	266,500	\$ 5,148,780
3308	GOLDEN EAGLE	6,013,035	\$ 54,357,836
3309	C-MER EYE	0	\$ 0
3311	CHINA STATE CON	155,423,231	\$ 1,496,725,715
3319	A-LIVING	0	\$ 0
3320	CHINARES PHARMA	39,486,780	\$ 420,929,075
3323	CNBM	121,446,784	\$ 1,076,018,506
3326	PERFECT GROUP	324,000	\$ 252,720
3328	BANKCOMM	133,000,791	\$ 837,904,983
3329	BOCOM INTL	640,000	\$ 1,324,800
3331	VINDA INT'L	1,026,000	\$ 14,487,120
3333	EVERGRANDE	273,777,528	\$ 6,844,438,200
3336	JU TENG INTL	8,552,000	\$ 12,913,520
3337	ANTON OILFIELD	69,648,670	\$ 82,881,917
3339	LONKING	23,406,300	\$ 87,773,625
3360	FE HORIZON	31,338,000	\$ 256,971,600
3369	QHD PORT	2,093,400	\$ 4,438,008
3377	SINO-OCEAN GP	62,449,712	\$ 352,840,873
3378	XIAMEN PORT	1,222,000	\$ 1,674,140
3380	LOGAN PPT	11,302,582	\$ 132,466,261



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3382	TIANJINPORT DEV	3,196,000	\$ 3,515,600
3383	AGILE GROUP	45,612,524	\$ 718,853,378
3393	WASION GROUP	12,253,922	\$ 58,083,590
3396	LEGENDHOLDING	4,005,500	\$ 102,340,525
3606	FUYAO GLASS	33,010,061	\$ 977,097,806
3618	CQRC BANK	54,326,524	\$ 302,598,739
3633	ZHONGYU GAS	0	\$ 0
3636	POLY CULTURE	253,000	\$ 3,248,520
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	38,628,595	\$ 327,184,200
3688	TOP SPRING	0	\$ 0
3698	HUIZHANG BANK	0	\$ 0
3708	YAT SING HLDG	3,525,000	\$ 1,392,375
3709	KORADIOR	939,000	\$ 8,760,870
3799	DALI FOODS	28,673,500	\$ 169,173,650
3800	GCL-POLY ENERGY	816,526,666	\$ 775,700,333
3808	SINOTRUK	113,272,037	\$ 1,110,065,963
3813	POU SHENG INT'L	67,966,270	\$ 93,113,790
3818	CHINA DONGXIANG	56,406,460	\$ 77,840,915
3836	HARMONY AUTO	61,726,665	\$ 264,190,126
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	46,219,000	\$ 294,877,220
3888	KINGSOFT	46,053,693	\$ 1,165,158,433
3898	CRRR TIMES ELEC	34,440,533	\$ 1,418,949,960
3899	CIMC ENRIC	20,517,846	\$ 174,196,513
3900	GREENTOWN CHINA	65,650,973	\$ 754,986,190
3908	CICC	53,551,791	\$ 903,954,232
3918	NAGACORP	16,880,817	\$ 130,488,715
3933	UNITED LAB	196,302,090	\$ 1,833,461,521
3948	YITAI COAL	170,255	\$ 1,607,207
3958	DFZQ	5,506,715	\$ 37,390,595
3968	CM BANK	124,154,017	\$ 4,345,390,595
3969	CHINA CRSC	21,245,258	\$ 133,845,125
3983	CHINA BLUECHEM	33,311,794	\$ 75,617,772
3988	BANK OF CHINA	358,974,144	\$ 1,518,460,629
3989	CAPITAL ENV	2,870,000	\$ 746,200
3993	CMOC	365,351,142	\$ 2,078,847,998
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	4,856,000	\$ 3,981,920
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	100,885,407	\$ 1,914,805,025
6060	ZA ONLINE	18,920,967	\$ 1,024,570,363
6066	CSC	11,876,933	\$ 78,625,296
6068	WISDOM EDU INTL	14,194,000	\$ 84,312,360
6088	FIT HON TENG	21,847,976	\$ 65,543,928
6099	CMSC	3,133,200	\$ 36,345,120
6116	LA CHAPELLE	0	\$ 0
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6168	CHINA U-TON	0	\$ 0
6169	YUHUA EDU	25,830,878	\$ 127,604,537
6178	EB SECURITIES	2,057,030	\$ 19,006,957
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	4,174,552	\$ 25,381,276
6808	SUNART RETAIL	162,862,956	\$ 1,498,339,195
6818	CEB BANK	118,294,005	\$ 471,993,080
6823	HKT-SS	19,797,722	\$ 207,480,127
6826	HAOHAI BIOTEC	128,000	\$ 6,777,600
6828	BG BLUE SKY	31,259,022	\$ 16,254,691
6837	HAITONG SEC	97,935,627	\$ 1,036,158,934
6868	TENFU	0	\$ 0
6869	YOFC	9,633,500	\$ 325,612,300
6881	CGS	264,647,799	\$ 1,331,178,429
6886	HTSC	42,610,472	\$ 670,688,829
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7202	FL2CSOP NIFTY50	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7222	XL2 MR S&P500	0	\$ 0
7225	FL2 MR TOPIX	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7315	FI MR TOPIX	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7335	FICSOP NIFTY50	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	6,350,976	\$ 5,715,878
8047	CHINAOCEAN FISH	0	\$ 0
8057	MADISON HLDG	860,000	\$ 1,539,400
8078	HMV DIGIT CHINA	4,100,000	\$ 963,500
8083	INNOVATIONPAY	118,304,715	\$ 87,545,489
8137	HONBRIDGE	28,298,624	\$ 39,335,087
8138	TONGRENTANGCM	1,650,000	\$ 24,453,000
8158	CRMI	90,900,000	\$ 13,635,000
8207	CHONGSING HLDGS	176,094,759	\$ 176,094,759
8265	CHINA TRUSTFUL	1,516,000	\$ 4,608,640
8279	AGTECH HOLDINGS	9,848,000	\$ 7,681,440
8293	SINGASIA HLDG	0	\$ 0
8407	CISI FIN	0	\$ 0
8469	SHENGYE CAPITAL	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARES MSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9101	VANGUARD DVEUR-U	0	\$ 0
9115	ISHARES HSI-U	0	\$ 0
9126	VANGUARD JAPAN-U	0	\$ 0
9140	VANGUARD SP500-U	0	\$ 0
9146	ISHARES DAX-U	0	\$ 0
9155	ISHARES ESE50-U	0	\$ 0
9167	CSOP NEW CHINA-U	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP 5YCGBOND-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
80737	HOPEWELL INFR-R	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 11/05/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI A I-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESE50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83162	ISHARESMSCIAI-R	0	\$ 0
83167	CSOPNEWCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0