



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	14,159,068	\$ 1,258,033,192
2	CLP HOLDINGS	19,772,250	\$ 1,800,263,363
3	HK & CHINA GAS	187,042,794	\$ 2,973,980,425
4	WHARF HOLDINGS	34,189,822	\$ 832,522,166
5	HSBC HOLDINGS	73,466,407	\$ 5,333,661,148
6	POWER ASSETS	13,031,363	\$ 729,104,760
8	PCCW	44,679,281	\$ 196,588,836
10	HANG LUNG GROUP	5,094,515	\$ 113,352,959
11	HANG SENG BANK	8,226,720	\$ 1,719,384,480
12	HENDERSON LAND	19,501,363	\$ 819,057,246
14	HYSAN DEV	2,224,737	\$ 92,994,007
16	SHK PPT	14,773,044	\$ 1,793,447,542
17	NEW WORLD DEV	95,595,729	\$ 1,045,817,275
19	SWIRE PACIFIC A	20,278,587	\$ 1,784,515,656
20	WHEELLOCK	1,309,578	\$ 70,586,254
23	BANK OF E ASIA	42,583,643	\$ 1,288,155,201
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	32,445,878	\$ 1,927,285,153
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	15,816,000	\$ 10,280,400
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	1,245,852	\$ 5,357,164
41	GREAT EAGLE H	160,768	\$ 6,197,606
43	C.P. POKPHAND	0	\$ 0
44	HAECO	228,000	\$ 15,800,400
45	HK&S HOTELS	3,160,773	\$ 33,377,763
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	159,098	\$ 4,788,850
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	732,504	\$ 20,217,110
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	39,049,367	\$ 1,563,927,148
69	SHANGRI-LA ASIA	16,270,989	\$ 192,973,930
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0



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81	CH OVS G OCEANS	57,439,121	\$ 157,383,192
83	SINO LAND	21,695,522	\$ 277,268,771
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	4,548,286	\$ 12,780,684
101	HANG LUNG PPT	35,999,021	\$ 572,384,434
102	SUMMIT ASCENT	33,952,161	\$ 27,161,729
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	7,601,081	\$ 18,926,692
116	CHOW SANG SANG	0	\$ 0
119	POLY PROPERTY	12,411,189	\$ 39,095,245
120	COSMOPOL INT'L	0	\$ 0
121	C.P. LOTUS	0	\$ 0
123	YUEXIU PROPERTY	98,354,965	\$ 145,565,348
127	CHINESE EST H	5,042,713	\$ 54,965,572
129	ASIA STANDARD	336,000	\$ 598,080
133	CHINA MERCHANTS	34,000	\$ 369,920
135	KUNLUN ENERGY	215,283,939	\$ 1,651,227,812
136	HENG TEN NET	1,308,047,295	\$ 353,172,770
142	FIRST PACIFIC	18,730,194	\$ 67,616,000
144	CHINA MER PORT	48,086,506	\$ 788,618,698
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	5,331,830	\$ 142,893,044
151	WANT WANT CHINA	569,084,357	\$ 3,539,704,701
152	SHENZHEN INT'L	12,960,312	\$ 182,221,987
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	4,694,000	\$ 21,029,120
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	5,766,655	\$ 81,079,169
168	TSINGTAO BREW	23,852,792	\$ 975,579,193
169	WANDA HOTEL DEV	0	\$ 0
171	SILVER GRANT	7,834,000	\$ 10,889,260
173	K. WAH INT'L	4,506,220	\$ 20,413,177
175	GEELY AUTO	125,178,000	\$ 2,120,515,320
177	JIANGSU EXPRESS	7,456,129	\$ 70,162,174
178	SA SA INT'L	47,062,389	\$ 197,191,410
179	JOHNSON ELEC H	2,085,668	\$ 47,448,947
182	CONCORD NE	38,660,494	\$ 13,144,568
189	DONGYUE GROUP	33,944,682	\$ 204,686,432
191	LAI SUN INT'L	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
194	LIU CHONG HING	0	\$ 0
196	HONGHUA GROUP	53,117,337	\$ 30,808,055
198	SMI HOLDINGS	25,534,815	\$ 58,730,075
200	MELCO INT'L DEV	19,916,034	\$ 441,140,153
207	JOY CITY PPT	0	\$ 0
215	HUTCHTEL HK	100,270,947	\$ 289,783,037
220	U-PRESID CHINA	36,970,354	\$ 292,065,797
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
232	AVIC INT'L	155,364,433	\$ 28,897,785
235	CHINA STRATEGIC	114,675,000	\$ 9,174,000
241	ALI HEALTH	220,378,191	\$ 1,760,821,746
242	SHUN TAK HOLD	9,849,144	\$ 30,335,364
245	CN MINSHENG FIN	45,070,247	\$ 18,028,099
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	1,029,283	\$ 10,910,400
256	CITYCHAMP	8,970,000	\$ 15,159,300
257	CHINA EB INT'L	94,029,423	\$ 835,921,570
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
265	ORIENT VICTORY	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	38,270,839	\$ 422,510,063
268	KINGDEE INT'L	247,422,146	\$ 2,746,385,821
270	GUANGDONG INV	7,898,024	\$ 103,622,075
272	SHUI ON LAND	119,586,601	\$ 226,018,676
273	MASON GP HOLD	44,281,647	\$ 7,085,064
285	BYD ELECTRONIC	84,540,661	\$ 779,464,894
286	CS HEALTH	1,122,000	\$ 953,700
288	WH GROUP	90,806,011	\$ 557,548,908
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	25,371,659	\$ 904,499,643
293	CATHAY PAC AIR	27,861,594	\$ 343,812,070
295	KONG SUN HOLD	0	\$ 0
297	SINOFERT	117,385,424	\$ 107,994,590
303	VTECH HOLDINGS	1,838,537	\$ 159,860,792
308	CHINA TRAVEL HK	50,171,217	\$ 151,015,363
315	SMARTONE TELE	23,286,611	\$ 186,991,486
316	OOIL	1,032,454	\$ 85,229,078
317	COMEC	9,912,818	\$ 77,716,493
321	TEXWINCA HOLD	18,312,310	\$ 64,459,331



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
322	TINGYI	108,667,530	\$ 1,760,413,986
323	MAANSHAN IRON	65,966,077	\$ 268,481,933
327	PAX GLOBAL	66,927,000	\$ 256,999,680
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	171,114,175	\$ 354,206,342
332	YUANHENG GAS	1,328,000	\$ 969,440
336	HUABAO INTL	6,573,292	\$ 31,288,870
337	GREENLAND HK	7,803,400	\$ 22,473,792
338	SHANGHAI PEICHEM	87,513,850	\$ 409,564,818
340	TONGGUAN GOLD	0	\$ 0
341	CAFE DE CORAL H	4,502,818	\$ 88,165,176
342	NEWOCEAN ENERGY	42,185,813	\$ 84,371,626
345	VITASOY INT'L	3,615,324	\$ 96,529,151
347	ANGANG STEEL	221,199,361	\$ 1,734,202,990
354	CHINASOFT INT'L	70,831,734	\$ 424,990,404
358	JIANGXI COPPER	53,718,509	\$ 519,457,982
363	SHANGHAI IND H	533,064	\$ 9,691,104
365	UNIS HOLDINGS	0	\$ 0
367	CHUANG'S INT'L	0	\$ 0
368	SINOTRANS SHIP	54,232,051	\$ 111,175,705
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	582,699,884	\$ 2,394,896,523
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	794,000	\$ 3,668,280
378	FDG KINETIC	13,796,000	\$ 1,959,032
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	112,989,787	\$ 3,434,889,525
386	SINOPEC CORP	347,524,714	\$ 2,568,207,636
388	HKEX	26,577,379	\$ 6,118,112,646
390	CHINA RAILWAY	91,357,714	\$ 622,146,032
392	BEIJING ENT	2,629,711	\$ 97,825,249
400	COGOBUY	17,652,562	\$ 53,487,263
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	78,073,358	\$ 291,994,359
412	CHINA SDHS FIN	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	156,964,947	\$ 62,001,154
425	MINTH GROUP	58,332,834	\$ 1,697,485,469
433	NORTH MINING	214,608,092	\$ 11,159,621
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	71,330,693	\$ 55,637,941



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
440	DAH SING	751,179	\$ 37,746,745
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	195,890,240	\$ 59,746,523
460	SIHUAN PHARM	230,302,753	\$ 370,787,432
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	625,000	\$ 2,893,750
480	HKR INT'L	1,548,033	\$ 7,399,598
486	RUSAL	0	\$ 0
488	LAI SUN DEV	288,212	\$ 3,804,398
489	DONGFENG GROUP	61,870,010	\$ 473,924,277
493	GOME RETAIL	1,724,339,647	\$ 1,310,498,132
494	LI & FUNG	470,772,263	\$ 1,346,408,672
496	KASEN	0	\$ 0
497	CSI PROPERTIES	9,130,000	\$ 3,697,650
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	35,309,052	\$ 134,174,398
508	DINGYI GP INV	35,879,228	\$ 25,115,460
511	TVB	15,975,032	\$ 384,199,520
512	CHINAGRANDPHARM	8,840,000	\$ 44,907,200
517	COSCO SHIP INTL	484,000	\$ 1,418,120
519	APPLIED DEV	849,454	\$ 509,672
520	XIABUXIABU	3,310,809	\$ 50,456,729
521	CWT INT'L	30,204,000	\$ 6,765,696
522	ASM PACIFIC	26,824,315	\$ 2,481,249,138
525	GUANGSHEN RAIL	7,279,900	\$ 28,682,806
526	LISI GP HOLD	0	\$ 0
535	GEMDALE PPT	91,325,100	\$ 70,320,327
538	AJISEN (CHINA)	7,474,120	\$ 25,113,043
546	FUFENG GROUP	86,924,082	\$ 355,519,495
547	DIGITAL DOMAIN	528,745,340	\$ 63,978,186
548	SHENZHENEXPRESS	3,607,891	\$ 25,760,342
551	YUE YUEN IND	20,325,733	\$ 451,231,273
552	CHINACOMSERVICE	10,628,000	\$ 55,371,880
563	SH IND URBAN	23,389,422	\$ 32,979,085
570	TRAD CHI MED	53,905,164	\$ 322,352,881
572	FUTURE WORLD FH	60,469,454	\$ 7,074,926
576	ZHEJIANGEXPRESS	11,814,765	\$ 76,441,530
579	JNCEC	12,848,000	\$ 22,355,520
580	SUN.KING ELEC	4,030,000	\$ 5,803,200
581	CHINA ORIENTAL	6,230,000	\$ 41,118,000
586	CONCH VENTURE	19,264,663	\$ 566,381,092



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588	BEIJING N STAR	6,804,000	\$ 17,418,240
590	LUK FOOK HOLD	4,110,095	\$ 112,822,108
598	SINOTRANS	33,082,941	\$ 118,106,099
603	CHINA OIL & GAS	11,927,936	\$ 7,753,158
604	SHENZHEN INVEST	232,022,126	\$ 651,982,174
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	40,682,440	\$ 128,963,335
607	FULLSHARE	1,005,128,805	\$ 3,337,027,633
613	YUGANG INT'L	0	\$ 0
622	ENERCHINA HOLD	12,606,232	\$ 5,420,680
627	FULLSUN INT'L	0	\$ 0
636	KERRY LOG NET	467,532	\$ 4,806,229
639	SHOUGANG RES	42,755,151	\$ 79,097,029
650	IDG ENERGY	0	\$ 0
656	FOSUN INTL	37,712,599	\$ 518,925,362
658	C TRANSMISSION	21,716,230	\$ 198,052,018
659	NWS HOLDINGS	3,028,102	\$ 42,393,428
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	128,273,932	\$ 446,393,283
669	TECHTRONIC IND	11,539,149	\$ 486,952,088
670	CHINA EAST AIR	81,391,351	\$ 386,608,917
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	119,083,120	\$ 21,792,211
683	KERRY PPT	6,122,694	\$ 238,478,931
686	PANDA GREEN	73,512,147	\$ 26,464,373
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	31,589,199	\$ 751,822,936
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	90,053,459	\$ 828,491,823
696	TRAVELSKY TECH	13,956,123	\$ 297,963,226
697	SHOUGANG INT'L	51,733,248	\$ 10,139,717
698	TONGDA GROUP	273,383,571	\$ 401,873,849
699	CAR INC	51,222,391	\$ 361,630,080
700	TENCENT	81,367,756	\$ 30,106,069,720
707	ATV HOLDINGS	13,724,000	\$ 3,979,960
708	EVERG HEALTH	9,226,274	\$ 120,310,613
709	GIORDANO INT'L	7,200,970	\$ 31,972,307
710	BOE VARITRONIX	3,771,845	\$ 11,353,253
715	CHINA OCEANWIDE	29,225,131	\$ 11,543,927
716	SINGAMAS CONT	9,183,944	\$ 9,183,944
717	EMPEROR CAPITAL	10,722,974	\$ 4,986,183



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721	C FIN INT INV	24,800,000	\$ 5,034,400
728	CHINA TELECOM	82,559,433	\$ 296,388,364
729	FDG EVEHICLES	517,605,000	\$ 52,795,710
732	TRULY INT'L	69,945,612	\$ 89,530,383
735	CP CLEAN ENERGY	1,670,238	\$ 6,062,964
743	ASIA CEMENT CH	316,500	\$ 2,158,530
746	L & M CHEMICAL	0	\$ 0
751	SKYWORTHDIGITAL	89,409,099	\$ 263,756,842
752	PICO FAR EAST	412,000	\$ 1,355,480
753	AIR CHINA	84,261,916	\$ 592,361,269
754	HOPSON DEV HOLD	2,564,002	\$ 17,332,654
755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	239,999,618	\$ 2,299,196,340
763	ZTE	46,498,442	\$ 603,549,777
772	CHINA LIT	20,789,245	\$ 1,405,352,962
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	7,767,960	\$ 117,762,274
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	328,902,214	\$ 129,916,375
788	CHINA TOWER	131,728,000	\$ 171,246,400
798	CEOVU	0	\$ 0
799	IGG	45,375,070	\$ 467,363,221
806	VALUE PARTNERS	55,725,052	\$ 323,205,302
807	SIICENVIRONMENT	1,226,650	\$ 2,600,498
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	6,491,451	\$ 33,690,631
813	SHIMAO PROPERTY	23,598,213	\$ 535,679,435
815	CHI SILVER GP	23,175,614	\$ 25,956,688
816	HUADIAN FUXIN	10,862,000	\$ 19,551,600
817	CHINA JINMAO	183,022,090	\$ 651,558,640
818	HI SUN TECH	8,319,033	\$ 9,317,317
819	TIANNENG POWER	38,029,841	\$ 416,046,461
823	LINK REIT	19,380,597	\$ 1,486,491,790
826	TIANGONG INT'L	10,400,400	\$ 17,160,660
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	2,420,000	\$ 8,300,600
836	CHINA RES POWER	15,912,394	\$ 234,230,440
839	CHINA EDU GROUP	14,081,000	\$ 176,012,500
845	GLORIOUS PPT H	17,848,646	\$ 7,496,431
853	MICROPORT	12,363,025	\$ 100,016,872
855	CHINA WATER	31,827,190	\$ 307,768,927



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856	VSTECs	3,306,476	\$ 14,449,300
857	PETROCHINA	163,314,532	\$ 968,455,175
860	WE SOLUTIONS	50,040,632	\$ 57,046,320
861	DC HOLDINGS	42,173,262	\$ 166,162,652
862	VISION VALUES	0	\$ 0
867	CMS	18,465,270	\$ 257,036,558
868	XINYI GLASS	69,755,377	\$ 673,139,388
874	BAIYUNSHAN PH	6,014,191	\$ 198,468,303
877	O-NET TECH GP	35,664,009	\$ 168,690,763
880	SJM HOLDINGS	110,894,181	\$ 1,027,989,058
881	ZHONGSHENG HLDG	93,535,597	\$ 1,666,804,339
882	TIANJIN DEV	3,846,000	\$ 11,691,840
883	CNOOC	110,079,431	\$ 1,404,613,540
884	CIFI HOLD GP	28,325,461	\$ 131,713,394
887	EMPEROR WATCH&J	0	\$ 0
895	DONGJIANG ENV	4,919,525	\$ 58,148,786
902	HUANENG POWER	152,471,214	\$ 806,572,722
906	COFCO PACKAGING	37,241,770	\$ 129,228,942
914	CONCH CEMENT	132,280,227	\$ 6,541,257,225
916	CHINA LONGYUAN	47,343,763	\$ 334,720,404
921	HISENSE KELON	14,935,100	\$ 98,123,607
928	LIFE HEALTHCARE	0	\$ 0
931	CHINA LNG	98,629,863	\$ 124,273,627
934	SINOPEC KANTONS	37,595,049	\$ 133,086,473
939	CCB	585,531,001	\$ 4,110,427,627
941	CHINA MOBILE	57,177,402	\$ 4,108,196,334
950	LEE'S PHARM	5,108,739	\$ 36,987,270
951	CHAOWEI POWER	48,475,245	\$ 188,083,951
952	TONGHAI FIN	1,350,000	\$ 1,120,500
956	CHINA SUNTIEN	3,168,000	\$ 7,413,120
958	HN RENEWABLES	79,308,436	\$ 234,752,971
960	LONGFOR GROUP	25,425,090	\$ 559,351,980
966	CHINA TAIPING	17,095,363	\$ 463,284,337
968	XINYI SOLAR	315,746,127	\$ 732,531,015
973	L'OCCITANE	8,681,081	\$ 117,368,215
976	CHIHO ENV	8,256,000	\$ 24,933,120
978	CHI MER LAND	3,458,000	\$ 4,495,400
981	SMIC	452,399,630	\$ 4,460,660,352
989	GROUND INTL DEV	9,370,000	\$ 13,773,900
991	DATANG POWER	42,611,017	\$ 88,630,915
992	LENOVO GROUP	971,621,082	\$ 4,265,416,550



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995	ANHUIEXPRESSWAY	727,000	\$ 3,256,960
996	CARNIVAL GROUP	184,967,422	\$ 59,189,575
998	CITIC BANK	145,525,909	\$ 727,629,545
999	I.T	688,000	\$ 3,185,440
1003	HUANXI MEDIA	4,030,000	\$ 8,019,700
1004	C SMARTERENERGY	0	\$ 0
1028	C.BANNER	10,430,000	\$ 6,362,300
1030	FUTURE LAND	60,363,000	\$ 382,701,420
1031	KINGSTON FIN	11,066,000	\$ 23,017,280
1033	SINOPEC SSC	62,843,150	\$ 65,985,308
1038	CKI HOLDINGS	30,800,677	\$ 1,780,279,131
1044	HENGAN INT'L	45,150,802	\$ 3,056,709,295
1045	APT SATELLITE	0	\$ 0
1051	G-RESOURCES	87,446,960	\$ 7,695,332
1052	YUEXIUTRANSPORT	98,517,366	\$ 581,252,459
1055	CHINA SOUTH AIR	89,494,295	\$ 474,319,764
1060	ALI PICTURES	1,399,789,337	\$ 1,259,810,403
1061	ESSEX BIO-TECH	358,000	\$ 2,373,540
1065	TIANJIN CAPITAL	11,152,000	\$ 37,693,760
1066	WEIGAO GROUP	26,451,157	\$ 158,971,454
1068	YURUN FOOD	17,090,087	\$ 17,090,087
1070	TCL ELECTRONICS	9,158,399	\$ 33,611,324
1071	HUADIAN POWER	71,878,500	\$ 234,323,910
1072	DONGFANG ELEC	7,646,659	\$ 36,933,363
1076	IMPERIAL PAC	68,800,000	\$ 5,366,400
1083	TOWNGAS CHINA	13,990,858	\$ 108,988,784
1086	GOODBABY INTL	25,168,683	\$ 103,191,600
1088	CHINA SHENHUA	25,938,368	\$ 460,665,416
1089	LEYOU TECH H	9,484,752	\$ 30,825,444
1093	CSPC PHARMA	60,100,091	\$ 1,193,587,807
1098	ROAD KING INFRA	251,000	\$ 3,684,680
1099	SINOPHARM	54,278,848	\$ 1,902,473,622
1106	SINO HAIJING	3,080,000	\$ 908,600
1107	MODERN LAND	2,012,000	\$ 2,615,600
1109	CHINA RES LAND	35,376,603	\$ 1,001,157,865
1111	CHONG HING BANK	284,000	\$ 4,169,120
1112	H&H INTL HLDG	6,307,666	\$ 340,613,964
1113	CK ASSET	10,291,226	\$ 595,347,424
1114	BRILLIANCE CHI	368,376,931	\$ 3,882,692,853
1115	TIBET WATER	20,847,055	\$ 58,788,695
1117	CH MODERN D	44,092,554	\$ 56,879,395



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1122	QINGLING MOTORS	578,000	\$ 1,242,700
1128	WYNN MACAU	59,315,401	\$ 1,224,863,031
1129	WATER INDUSTRY	12,056,000	\$ 18,927,920
1131	AGRITRADE RES	25,790,000	\$ 45,390,400
1133	HARBIN ELECTRIC	22,836,866	\$ 57,092,165
1137	HKTV	7,135,000	\$ 19,050,450
1138	COSCO SHIP ENGY	74,995,034	\$ 278,981,526
1140	OP FINANCIAL	9,712,000	\$ 29,233,120
1141	CMBC CAPITAL	529,349,319	\$ 232,913,700
1157	ZOOMLION	64,615,593	\$ 202,246,806
1166	SOLARTECH INT'L	37,980,000	\$ 39,499,200
1168	SINOLINK HOLD	35,062,000	\$ 26,647,120
1169	HAIER ELEC	34,396,440	\$ 765,320,790
1171	YANZHOU COAL	53,889,869	\$ 509,798,161
1176	ZHUGUANG HOLD	1,514,000	\$ 2,104,460
1177	SINO BIOPHARM	117,973,295	\$ 1,222,203,336
1186	CHINA RAIL CONS	73,010,672	\$ 702,362,665
1188	HYBRID KINETIC	38,061,950	\$ 3,539,761
1193	CHINA RES GAS	24,288,464	\$ 863,454,895
1196	REALORD GROUP	2,932,000	\$ 13,311,280
1199	COSCO SHIP PORT	55,384,156	\$ 426,458,001
1205	CITIC RESOURCES	43,866,837	\$ 36,409,475
1208	MMG	100,757,878	\$ 433,258,875
1211	BYD COMPANY	157,061,437	\$ 7,052,058,521
1212	LIFESTYLE INT'L	780,667	\$ 12,178,405
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	1,450,000	\$ 1,580,500
1224	C C LAND	17,541,537	\$ 33,504,336
1230	YASHILI INT'L	12,837,000	\$ 18,613,650
1233	TIMES CHINA	4,709,450	\$ 48,413,146
1234	CHINA LILANG	1,262,035	\$ 13,099,923
1238	POWERLONG	6,388,000	\$ 26,510,200
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	318,024,032	\$ 54,382,109
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	8,992,000	\$ 8,272,640
1257	CEB GREENTECH	7,231,055	\$ 60,596,241
1258	CHINFMINING	0	\$ 0
1266	XIWANG STEEL	4,671,000	\$ 8,547,930
1268	MEIDONG AUTO	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1270	LANGHAM-SS	600,000	\$ 1,872,000
1282	CHINA GOLDJOY	70,480,000	\$ 32,773,200
1288	ABC	184,161,221	\$ 692,446,191
1293	GRAND BAOXIN	14,082,794	\$ 35,066,157
1297	SINOSOFT TECH	9,430,000	\$ 27,347,000
1299	AIA	93,185,354	\$ 6,453,085,765
1300	TRIGIANT	0	\$ 0
1302	LIFETECH SCI	34,294,078	\$ 71,331,682
1308	SITC	0	\$ 0
1310	HKBN	4,976,500	\$ 61,410,010
1313	CHINARES CEMENT	56,499,078	\$ 514,141,610
1316	NEXTEER	23,108,215	\$ 281,920,223
1317	MAPLELEAF EDU	44,710,842	\$ 290,620,473
1330	DYNAGREEN ENV	15,558,823	\$ 56,011,763
1333	CHINA ZHONGWANG	134,293,351	\$ 504,943,000
1336	NCI	18,640,332	\$ 644,955,487
1337	RAZER	74,752,834	\$ 134,555,101
1339	PICC GROUP	101,372,520	\$ 367,982,248
1347	HUA HONG SEMI	12,292,400	\$ 314,685,440
1359	CHINA CINDA	200,337,336	\$ 426,718,526
1360	MEGAEXPO HLDG	2,243,323	\$ 4,105,281
1361	361 DEGREES	28,517,000	\$ 62,737,400
1363	CTEG	175,415,925	\$ 175,415,925
1368	XTEP INT'L	5,072,390	\$ 24,043,129
1375	CC SECURITIES	23,847,583	\$ 51,987,731
1378	CHINAHONGQIAO	64,485,819	\$ 452,690,449
1381	CANVEST ENV	10,476,274	\$ 43,895,588
1382	PACIFICTEXTILES	15,017,855	\$ 101,821,057
1383	SUNCITY GROUP	0	\$ 0
1385	SHANGHAI FUDAN	204,000	\$ 1,683,000
1387	RENHE COMM	73,125,024	\$ 11,553,754
1398	ICBC	252,572,324	\$ 1,459,868,033
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	8,262,000	\$ 16,771,860
1448	FU SHOU YUAN	13,941,899	\$ 93,271,304
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	92,679,761	\$ 477,300,769
1462	GOLD-FIN HLDG	9,785,973	\$ 22,507,738
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	26,363,176	\$ 164,506,218
1508	CHINA RE	128,765,100	\$ 206,024,160



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1513	LIVZON PHARMA	2,565,704	\$ 92,365,344
1515	CRPHOENIXHEALTH	19,909,802	\$ 139,169,516
1518	NC HEALTHCARE	112,500	\$ 867,375
1528	RS MACALLINE	1,243,969	\$ 11,220,600
1530	3SBIO	73,165,144	\$ 1,204,298,270
1548	GENSCRIPT BIO	54,311,541	\$ 967,831,661
1551	GRCB	0	\$ 0
1558	HEC PHARM	1,230,200	\$ 47,055,150
1569	MINSHENG EDU	0	\$ 0
1571	XIN POINT HOLD	0	\$ 0
1573	CH UNIENERGY	326,000	\$ 4,374,920
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	4,534,073	\$ 74,902,886
1585	YADEA	10,384,000	\$ 29,282,880
1599	UCD	1,869,000	\$ 6,859,230
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	11,564,426	\$ 13,530,378
1617	NANFANG COMM	0	\$ 0
1618	MCC	47,031,859	\$ 105,821,683
1622	REDCO GROUP	10,493,960	\$ 52,679,679
1628	YUZHOU PPT	30,148,947	\$ 121,500,256
1636	CMRU	2,356,000	\$ 11,285,240
1638	KAISA GROUP	0	\$ 0
1651	TSUGAMI CHINA	0	\$ 0
1658	PSBC	1,078,973,652	\$ 5,578,293,781
1660	ZHAOBANGJI PPT	0	\$ 0
1666	TONG REN TANG	14,972,613	\$ 176,676,833
1668	CHINASOUTHCITY	48,418,800	\$ 71,659,824
1673	HUAZHANG TECH	0	\$ 0
1680	MACAU LEGEND	25,494,507	\$ 33,652,749
1681	CONSUN PHARMA	6,595,333	\$ 39,571,998
1686	SUNEVISION	2,260,054	\$ 12,814,506
1696	SISRAM MED	0	\$ 0
1697	SDITC	0	\$ 0
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	3,757,091	\$ 41,328,001
1720	PUTIAN COMM	0	\$ 0
1727	HEBEI CONS	0	\$ 0
1728	ZHENGTONGAUTO	191,220,853	\$ 889,176,966
1766	CRRRC	110,201,711	\$ 795,656,353



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1776	GF SEC	28,546,147	\$ 308,298,388
1777	FANTASIA	25,565,900	\$ 31,190,398
1778	COLOUR LIFE	5,061,000	\$ 42,563,010
1788	GUOTAI JUNAN I	288,147,785	\$ 510,021,579
1789	AK MEDICAL	7,409,118	\$ 35,489,675
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	81,751,034	\$ 677,716,072
1803	BJ SPORTS & ENT	4,110,000	\$ 11,014,800
1810	XIAOMI-W	132,308,580	\$ 2,402,723,813
1811	CGN NEW ENERGY	2,996,000	\$ 3,535,280
1812	CHENMING PAPER	14,159,249	\$ 92,035,119
1813	KWG PROPERTY	35,578,029	\$ 324,471,624
1816	CGN POWER	756,515,062	\$ 1,535,725,576
1818	ZHAOJIN MINING	100,010,339	\$ 629,065,032
1828	DCH HOLDINGS	2,485,998	\$ 8,899,873
1829	CMEC	23,957,706	\$ 98,226,595
1836	STELLA HOLDINGS	9,794,392	\$ 82,077,005
1848	CALC	1,647,852	\$ 12,820,289
1862	JINGRUI HLDGS	0	\$ 0
1866	CHINA XLX FERT	0	\$ 0
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	8,258,412	\$ 148,651,416
1883	CITIC TELECOM	4,539,259	\$ 10,077,155
1888	KB LAMINATES	46,316,437	\$ 378,405,290
1898	CHINA COAL	57,088,349	\$ 184,966,251
1899	XINGDA INT'L	10,534,170	\$ 27,915,551
1908	C&D INTL GROUP	176,000	\$ 1,177,440
1910	SAMSONITE	40,643,032	\$ 1,178,647,928
1913	PRADA	5,158,443	\$ 194,473,301
1918	SUNAC	203,998,714	\$ 5,324,366,435
1919	COSCO SHIP HOLD	197,528,244	\$ 683,447,724
1928	SANDS CHINA LTD	86,085,417	\$ 3,309,984,284
1929	CHOW TAI FOOK	14,689,860	\$ 106,648,384
1958	BAIC MOTOR	73,727,842	\$ 465,959,961
1963	BCQ	6,474,209	\$ 32,306,303
1966	CHINA SCE PPT	8,205,000	\$ 26,994,450
1970	IMAX CHINA	11,790,302	\$ 274,124,522
1972	SWIREPROPERTIES	12,078,780	\$ 371,422,485
1980	TIANGE	6,404,000	\$ 33,428,880
1986	TSAKER CHEM	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1988	MINSHENG BANK	338,628,411	\$ 1,940,340,795
1997	WHARF REIC	8,759,720	\$ 481,784,600
1999	MAN WAH HLDGS	128,693,836	\$ 687,225,084
2001	NEW HIGHER EDU	8,362,708	\$ 58,204,448
2005	SSY GROUP	24,481,379	\$ 164,514,867
2006	JINJIANG HOTELS	8,210,000	\$ 19,539,800
2007	COUNTRY GARDEN	361,452,115	\$ 4,286,822,084
2008	PHOENIX TV	7,456,000	\$ 6,784,960
2009	BBMG	272,835,652	\$ 813,050,243
2014	OZNER WATER	38,496,000	\$ 73,142,400
2016	CZBANK	0	\$ 0
2018	AAC TECH	37,142,473	\$ 3,524,820,688
2020	ANTA SPORTS	28,200,674	\$ 1,191,478,477
2038	FIH	146,588,696	\$ 164,179,340
2039	CIMC	4,182,798	\$ 37,477,870
2066	SHENGJINGBANK	600,000	\$ 2,784,000
2098	ZALL SMARTCOM	23,700,651	\$ 156,661,303
2099	CHINAGOLDINTL	1,438,600	\$ 18,701,800
2111	BEST PACIFIC	10,402,000	\$ 28,709,520
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	1,165,000	\$ 5,393,950
2136	LIFESTYLE CHI	12,919,767	\$ 40,438,871
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	179,392,975	\$ 1,322,126,226
2196	FOSUN PHARMA	43,816,826	\$ 1,599,314,149
2198	CHINA SANJIANG	1,539,000	\$ 3,632,040
2199	REGINA MIRACLE	14,658,923	\$ 89,566,020
2202	CHINA VANKE	46,093,103	\$ 1,152,327,575
2208	GOLDWIND	21,990,557	\$ 202,972,841
2222	NVC LIGHTING	0	\$ 0
2232	CRYSTAL INTL	21,437,124	\$ 108,043,105
2233	WESTCHINACEMENT	16,473,103	\$ 21,744,496
2236	WISON ENGRG	868,000	\$ 1,067,640
2238	GAC GROUP	118,940,010	\$ 868,262,073
2255	HAICHANG HLDG	5,561,000	\$ 10,121,020
2268	YOUYUAN HLDGS	10,095,919	\$ 30,792,553
2269	WUXI BIO	19,612,712	\$ 1,504,295,010
2280	HC GROUP	35,375,814	\$ 168,035,117
2282	MGM CHINA	102,039,546	\$ 1,679,570,927
2283	TK GROUP HLDG	824,000	\$ 5,108,800
2298	COSMO LADY	22,698,629	\$ 90,340,543



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	374,000	\$ 2,509,540
2313	SHENZHOU INTL	3,910,341	\$ 370,309,293
2314	LEE & MAN PAPER	19,401,318	\$ 148,614,096
2318	PING AN	1,333,731,132	\$ 95,961,954,947
2319	MENGNU DAIRY	53,617,377	\$ 1,254,646,622
2322	NOBLE CENTURY	0	\$ 0
2328	PICC P&C	83,828,261	\$ 835,767,762
2329	GUORUI PPT	5,101,000	\$ 13,007,550
2331	LI NING	43,282,478	\$ 351,453,721
2333	GREATWALL MOTOR	650,230,583	\$ 3,121,106,798
2338	WEICHAI POWER	271,386,265	\$ 2,388,199,132
2342	COMBA	48,646,624	\$ 53,024,820
2343	PACIFIC BASIN	166,854,909	\$ 345,389,662
2348	DAWNRAYS PHARMA	1,036,000	\$ 2,217,040
2355	BAOYE GROUP	88,160	\$ 394,075
2356	DAHSHING BANKING	1,429,882	\$ 23,764,639
2357	AVICHINA	139,929,565	\$ 674,460,503
2362	JINCHUAN INTL	37,067,000	\$ 42,627,050
2378	PRU	0	\$ 0
2380	CHINA POWER	188,357,087	\$ 337,159,186
2382	SUNNY OPTICAL	28,551,973	\$ 3,608,969,387
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	22,956,503	\$ 182,733,764
2388	BOC HONG KONG	49,944,269	\$ 1,950,323,704
2389	BJ ENT M&H	30,672,897	\$ 11,962,430
2393	YESTAR HEALTH	665,000	\$ 1,609,300
2588	BOC AVIATION	5,221,755	\$ 264,220,803
2600	CHALCO	334,322,123	\$ 1,143,381,661
2601	CPIC	120,433,344	\$ 3,787,628,669
2607	SH PHARMA	15,643,955	\$ 323,047,671
2608	SUNSHINE 100	975,000	\$ 3,617,250
2611	GTJA	17,097,927	\$ 279,038,169
2628	CHINA LIFE	75,163,861	\$ 1,440,139,577
2638	HKELECTRIC-SS	229,055,388	\$ 1,768,307,595
2662	CAMSING INTL	3,113,920	\$ 20,396,176
2666	UNI MEDICAL	43,027,478	\$ 272,363,936
2669	CHINA OVS PPT	32,706,479	\$ 89,942,817
2678	TEXHONG TEXTILE	1,014,000	\$ 14,216,280
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	10,821,335	\$ 834,324,929



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2689	ND PAPER	75,350,153	\$ 693,974,909
2698	WEIQIAO TEXTILE	343,500	\$ 1,047,675
2727	SH ELECTRIC	282,805,588	\$ 729,638,417
2768	JIAYUAN INTL	44,932,501	\$ 651,521,265
2777	R&F PROPERTIES	52,256,824	\$ 784,897,496
2778	CHAMPION REIT	8,611,000	\$ 45,638,300
2779	CH XINHUA EDU	323,000	\$ 1,237,090
2799	CHINA HUARONG	399,238,425	\$ 778,514,929
2800	TRACKER FUND	53,142,600	\$ 1,541,135,400
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGC DRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	159,299,479	\$ 2,070,893,227
2823	ISHARES A50	112,788,045	\$ 1,436,919,693
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	5,221,530	\$ 587,944,278
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	24,447,148	\$ 67,718,600
2866	COSCO SHIP DEV	159,868,703	\$ 198,237,192
2868	BJ CAPITAL LAND	12,542,000	\$ 41,388,600
2869	GREENTOWN SER	34,318,000	\$ 262,189,520
2877	SHINEWAY PHARM	3,138,436	\$ 35,213,252



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2880	DALIAN PORT	20,346,800	\$ 22,381,480
2883	CHINA OILFIELD	116,799,626	\$ 873,661,202
2888	STANCHART	1,462,508	\$ 100,913,052
2899	ZIJIN MINING	127,495,785	\$ 374,837,608
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3101	VANGUARDDDVEUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIAASIATEC	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	53,912,425	\$ 2,143,018,894
3197	CAM MSCI AINC	0	\$ 0
3199	CSOP 5YCGBOND	0	\$ 0
3301	RONSHINECHINA	9,251,230	\$ 89,459,394
3306	JNBY	787,500	\$ 13,025,250
3308	GOLDEN EAGLE	1,155,000	\$ 10,637,550
3309	C-MER EYE	1,961,809	\$ 12,712,522
3311	CHINA STATE CON	162,515,919	\$ 1,495,146,455
3319	A-LIVING	4,991,398	\$ 74,471,658
3320	CHINARES PHARMA	39,650,374	\$ 453,600,279
3323	CNBM	136,468,613	\$ 1,132,689,488
3328	BANKCOMM	135,055,581	\$ 750,909,030
3331	VINDA INT'L	401,000	\$ 5,614,000
3333	EVERGRANDE	403,875,416	\$ 11,449,868,044
3337	ANTON OILFIELD	61,575,909	\$ 66,501,982
3339	LONKING	23,478,056	\$ 82,642,757
3358	BESTWAY GLOBAL	0	\$ 0
3360	FE HORIZON	24,455,284	\$ 179,746,337
3369	QHD PORT	1,021,000	\$ 1,878,640
3377	SINO-OCEAN GP	22,201,535	\$ 97,686,754
3378	XIAMEN PORT	1,718,000	\$ 1,924,160
3380	LOGAN PPT	68,036,823	\$ 630,701,349
3382	TIANJINPORT DEV	3,920,000	\$ 3,684,800
3383	AGILE GROUP	74,293,519	\$ 916,782,024
3393	WASION HOLDINGS	6,358,133	\$ 25,368,951
3396	LEGENDHOLDING	7,986,447	\$ 188,879,472
3606	FUYAO GLASS	33,913,136	\$ 910,567,702
3613	TONGRENTANGCM	228,000	\$ 3,547,680
3618	CQRC BANK	51,973,352	\$ 239,077,419
3633	ZHONGYU GAS	1,402,000	\$ 11,314,140
3636	POLY CULTURE	383,100	\$ 4,267,734
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	52,641,222	\$ 366,382,905
3698	HUIZHANG BANK	0	\$ 0
3709	KORADIOR	0	\$ 0
3799	DALI FOODS	42,951,545	\$ 272,312,795
3800	GCL-POLY ENERGY	1,176,336,754	\$ 694,038,685
3808	SINOTRUK	264,514,735	\$ 2,867,339,727
3813	POU SHENG INT'L	55,886,455	\$ 83,829,683
3818	CHINA DONGXIANG	48,694,902	\$ 61,355,577



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3836	HARMONY AUTO	52,850,339	\$ 172,820,609
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	57,261,058	\$ 320,089,314
3888	KINGSOFT	75,868,584	\$ 1,285,213,813
3898	CRRC TIMES ELEC	33,422,073	\$ 1,580,864,053
3899	CIMC ENRIC	14,320,000	\$ 102,101,600
3900	GREENTOWN CHINA	65,632,729	\$ 577,568,015
3908	CICC	91,085,956	\$ 1,262,451,350
3918	NAGACORP	5,113,746	\$ 43,006,604
3933	UNITED LAB	190,330,358	\$ 1,414,154,560
3948	YITAI COAL	0	\$ 0
3958	DFZQ	8,521,247	\$ 45,333,034
3968	CM BANK	107,821,221	\$ 3,288,547,241
3969	CHINA CRSC	57,501,903	\$ 322,010,657
3983	CHINA BLUECHEM	18,001,764	\$ 45,904,498
3988	BANK OF CHINA	260,889,166	\$ 944,418,781
3989	CAPITAL ENV	0	\$ 0
3993	CMOC	433,433,858	\$ 1,556,027,550
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	29,636,399	\$ 31,118,219
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	120,336,287	\$ 1,843,551,917
6060	ZA ONLINE	27,775,935	\$ 1,036,042,376
6066	CSC	10,175,049	\$ 52,706,754
6068	WISDOM EDU INTL	11,734,000	\$ 80,260,560
6088	FIT HON TENG	26,071,000	\$ 87,337,850
6098	CG SERVICES	9,110,492	\$ 116,978,717
6099	CMSC	5,262,538	\$ 51,046,619
6116	LA CHAPELLE	106,800	\$ 858,672
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	0	\$ 0
6168	CHINA U-TON	0	\$ 0
6169	YUHUA EDU	19,015,719	\$ 101,924,254
6178	EB SECURITIES	2,136,338	\$ 17,624,789



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,662,000	\$ 21,715,660
6808	SUNART RETAIL	187,613,851	\$ 1,797,340,693
6818	CEB BANK	83,849,564	\$ 282,573,031
6823	HKT-SS	14,037,892	\$ 142,344,225
6826	HAOHAI BIOTEC	19,100	\$ 1,024,715
6828	BG BLUE SKY	17,563,022	\$ 9,308,402
6837	HAITONG SEC	111,748,955	\$ 836,999,673
6858	HONMAGOLF	0	\$ 0
6868	TENFU	0	\$ 0
6869	YOFC	14,915,500	\$ 427,329,075
6881	CGS	325,286,762	\$ 1,301,147,048
6886	HTSC	42,119,774	\$ 517,230,825
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	8,278,976	\$ 6,954,340
8047	CHINAOCEAN FISH	0	\$ 0
8057	MADISON HLDG	0	\$ 0
8078	HMV DIGIT CHINA	0	\$ 0
8083	CHINA YOUZAN	150,440,000	\$ 136,900,400



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
8137	HONBRIDGE	27,476,624	\$ 26,927,092
8158	CRMI	56,940,000	\$ 5,694,000
8207	CHONGSING HLDGS	628,967,372	\$ 320,773,360
8265	CHINA TRUSTFUL	952,000	\$ 2,570,400
8279	AGTECH HOLDINGS	14,268,000	\$ 9,274,200
8293	SINGASIA HLDG	0	\$ 0
8407	CISI FIN	0	\$ 0
8469	SHENGYE CAPITAL	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9101	VANGUARDDDVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESE50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARES200-U	0	\$ 0
9181	PREMIAASIA TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP 5YCGBOND-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0
82836	ISHARES INDIA-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESES50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0
83199	CSOP 5YCGBOND-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 10/08/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
87001	HUI XIAN REIT	0	\$ 0