



## Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1	CKH HOLDINGS	17,657,019	\$ 1,609,437,282
2	CLP HOLDINGS	23,329,064	\$ 2,220,926,893
3	HK & CHINA GAS	173,160,035	\$ 2,753,244,557
4	WHARF HOLDINGS	39,253,749	\$ 857,694,416
5	HSBC HOLDINGS	81,803,424	\$ 5,554,452,490
6	POWER ASSETS	15,348,234	\$ 871,779,691
8	PCCW	58,254,634	\$ 244,669,463
10	HANG LUNG GROUP	5,509,431	\$ 116,524,466
11	HANG SENG BANK	9,050,113	\$ 1,884,233,527
12	HENDERSON LAND	18,602,139	\$ 753,386,630
14	HYSAN DEV	2,060,737	\$ 83,768,959
16	SHK PPT	15,719,022	\$ 1,842,269,378
17	NEW WORLD DEV	84,682,044	\$ 860,369,567
19	SWIRE PACIFIC A	19,622,456	\$ 1,718,927,146
20	WHEELLOCK	1,735,037	\$ 85,016,813
23	BANK OF E ASIA	28,165,116	\$ 818,196,620
25	CHEVALIER INT'L	0	\$ 0
26	CHINA MOTOR BUS	0	\$ 0
27	GALAXY ENT	50,372,597	\$ 2,511,073,960
28	TIAN AN	0	\$ 0
31	CHINA AEROSPACE	9,393,034	\$ 5,729,751
32	CROSS-HAR(HOLD)	0	\$ 0
34	KOWLOON DEV	0	\$ 0
35	FE CONSORT INTL	1,400,833	\$ 5,631,349
41	GREAT EAGLE H	387,768	\$ 14,618,854
43	C.P. POKPHAND	0	\$ 0
44	HAECO	212,400	\$ 14,729,940
45	HK&S HOTELS	3,252,413	\$ 36,036,736
50	HK FERRY (HOLD)	0	\$ 0
51	HARBOUR CENTRE	0	\$ 0
52	FAIRWOOD HOLD	223,490	\$ 6,213,022
53	GUOCO GROUP	0	\$ 0
54	HOPEWELL HOLD	586,000	\$ 15,206,700
56	ALLIED PPT (HK)	0	\$ 0
62	TRANSPORT INT'L	0	\$ 0
64	GET NICE	0	\$ 0
66	MTR CORPORATION	41,410,005	\$ 1,726,797,209
69	SHANGRI-LA ASIA	18,756,266	\$ 217,197,560
71	MIRAMAR HOTEL	0	\$ 0
75	Y.T. REALTY	0	\$ 0
78	REGAL INT'L	0	\$ 0



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
81	CH OVS G OCEANS	47,590,424	\$ 118,976,060
83	SINO LAND	36,377,305	\$ 485,273,249
86	SUN HUNG KAI CO	0	\$ 0
88	TAI CHEUNG HOLD	0	\$ 0
95	LVGEM CHINA	4,412,286	\$ 10,501,241
101	HANG LUNG PPT	29,226,307	\$ 445,408,919
102	SUMMIT ASCENT	33,611,070	\$ 27,224,967
105	ASSO INT HOTELS	0	\$ 0
106	LANDSEA GREEN	0	\$ 0
107	SICHUAN EXPRESS	5,474,081	\$ 12,754,609
116	CHOW SANG SANG	138,000	\$ 2,216,280
119	POLY PROPERTY	10,355,189	\$ 28,580,322
120	COSMOPOL INT'L	0	\$ 0
121	C.P. LOTUS	0	\$ 0
123	YUEXIU PROPERTY	73,133,877	\$ 100,924,750
127	CHINESE EST H	5,381,713	\$ 49,457,942
129	ASIA STANDARD	610,000	\$ 1,006,500
133	CHINA MERCHANTS	34,000	\$ 357,000
135	KUNLUN ENERGY	287,653,168	\$ 2,539,977,473
136	HENG TEN NET	1,242,475,295	\$ 372,742,589
142	FIRST PACIFIC	32,517,650	\$ 126,168,482
144	CHINA MER PORT	46,054,942	\$ 703,719,514
147	IB SETTLEMENT	0	\$ 0
148	KINGBOARD HLDG	6,638,094	\$ 159,646,161
151	WANT WANT CHINA	571,200,232	\$ 3,729,937,515
152	SHENZHEN INT'L	12,535,519	\$ 179,007,211
158	MELBOURNE ENT	0	\$ 0
161	AVIC IHL	4,520,000	\$ 19,074,400
163	EMPEROR INT'L	0	\$ 0
165	CHINA EB LTD	5,321,630	\$ 72,693,466
168	TSINGTAO BREW	26,734,568	\$ 906,301,855
169	WANDA HOTEL DEV	0	\$ 0
171	SILVER GRANT	14,832,000	\$ 28,180,800
173	K. WAH INT'L	12,870,891	\$ 49,424,221
175	GEELY AUTO	119,841,270	\$ 1,833,571,431
177	JIANGSU EXPRESS	6,168,400	\$ 58,414,748
178	SA SA INT'L	28,319,476	\$ 110,162,762
179	JOHNSON ELEC H	1,821,553	\$ 39,618,778
182	CONCORD NE	38,330,494	\$ 12,649,063
189	DONGYUE GROUP	14,089,166	\$ 72,277,422
191	LAI SUN INT'L	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
194	LIU CHONG HING	88,000	\$ 1,027,840
196	HONGHUA GROUP	47,742,337	\$ 26,735,709
198	SMI HOLDINGS	27,473,239	\$ 64,287,379
200	MELCO INT'L DEV	19,984,004	\$ 324,540,225
207	JOY CITY PPT	0	\$ 0
215	HUTCHTEL HK	97,052,947	\$ 273,689,311
220	U-PRESID CHINA	55,487,285	\$ 436,684,933
225	POKFULAM	0	\$ 0
230	MINMETALS LAND	0	\$ 0
232	AVIC INT'L	176,679,313	\$ 41,166,280
235	CHINA STRATEGIC	113,920,000	\$ 8,544,000
241	ALI HEALTH	219,790,345	\$ 1,681,396,139
242	SHUN TAK HOLD	11,642,434	\$ 32,831,664
245	CN MINSHENG FIN	35,045,743	\$ 11,740,324
247	TST PROPERTIES	0	\$ 0
251	SEA HOLDINGS	772,000	\$ 8,476,560
256	CITYCHAMP	6,342,000	\$ 10,654,560
257	CHINA EB INT'L	217,155,561	\$ 1,365,908,479
258	TOMSON GROUP	0	\$ 0
261	CCT LAND	0	\$ 0
265	ORIENT VICTORY	0	\$ 0
266	TIAN TECK LAND	0	\$ 0
267	CITIC	35,354,864	\$ 396,681,574
268	KINGDEE INT'L	251,608,825	\$ 2,121,062,395
270	GUANGDONG INV	8,933,507	\$ 121,138,355
272	SHUI ON LAND	99,684,441	\$ 175,444,616
273	MASON GP HOLD	40,981,647	\$ 5,655,467
285	BYD ELECTRONIC	96,886,063	\$ 946,576,836
286	CS HEALTH	1,962,000	\$ 1,530,360
288	WH GROUP	84,369,605	\$ 464,876,524
289	WING ON CO	0	\$ 0
291	CHINA RES BEER	30,619,919	\$ 927,783,546
293	CATHAY PAC AIR	27,455,274	\$ 309,146,385
295	KONG SUN HOLD	0	\$ 0
297	SINOFERT	117,530,997	\$ 109,303,827
303	VTECH HOLDINGS	1,620,217	\$ 140,229,781
308	CHINA TRAVEL HK	41,311,398	\$ 102,039,153
315	SMARTONE TELE	16,729,125	\$ 144,874,223
316	OOIL	302,436	\$ 15,424,236
317	COMEC	7,511,005	\$ 44,314,930
321	TEXWINCA HOLD	17,712,309	\$ 62,524,451



## Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
322	TINGYI	113,840,839	\$ 1,557,342,678
323	MAANSHAN IRON	67,021,114	\$ 243,956,855
327	PAX GLOBAL	59,644,125	\$ 224,261,910
329	OCI INTL	0	\$ 0
330	ESPRIT HOLDINGS	155,681,537	\$ 277,113,136
332	YUANHENG GAS	0	\$ 0
336	HUABAO INTL	6,865,292	\$ 30,207,285
337	GREENLAND HK	8,173,225	\$ 20,106,134
338	SHANGHAI PECEM	80,770,665	\$ 355,390,926
340	TONGGUAN GOLD	0	\$ 0
341	CAFE DE CORAL H	4,393,907	\$ 79,002,448
342	NEWOCEAN ENERGY	38,708,972	\$ 89,030,636
345	VITASOY INT'L	2,065,584	\$ 51,536,321
347	ANGANG STEEL	206,255,864	\$ 1,435,540,813
354	CHINASOFT INT'L	85,511,534	\$ 432,688,362
358	JIANGXI COPPER	56,856,644	\$ 493,515,670
363	SHANGHAI IND H	0	\$ 0
365	UNIS HOLDINGS	0	\$ 0
367	CHUANG'S INT'L	0	\$ 0
368	SINOTRANS SHIP	50,859,500	\$ 93,072,885
369	WING TAI PPT	0	\$ 0
371	BJ ENT WATER	534,278,879	\$ 2,121,087,150
373	ALLIED GROUP	0	\$ 0
376	YUNFENG FIN	492,000	\$ 1,845,000
378	FDG KINETIC	15,858,000	\$ 2,013,966
383	CHINA MED&HCARE	0	\$ 0
384	CHINA GAS HOLD	87,578,643	\$ 2,136,918,889
386	SINOPEC CORP	396,297,820	\$ 2,988,085,563
388	HKEX	23,067,062	\$ 5,097,820,702
390	CHINA RAILWAY	102,989,764	\$ 729,167,529
392	BEIJING ENT	4,857,066	\$ 190,154,134
400	COGOBUY	20,973,562	\$ 56,418,882
405	YUEXIU REIT	0	\$ 0
410	SOHO CHINA	114,066,194	\$ 344,479,906
412	CHINA SDHS FIN	0	\$ 0
416	BANKOFJINZHOU	0	\$ 0
419	HUAYI TENCENT	129,928,194	\$ 40,927,381
425	MINTH GROUP	76,689,551	\$ 2,327,527,873
433	NORTH MINING	237,603,290	\$ 11,642,561
435	SUNLIGHT REIT	0	\$ 0
439	KUANGCHI	62,762,867	\$ 43,934,007



## Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
440	DAH SING	483,200	\$ 23,266,080
445	CIMC-TIANDA	0	\$ 0
451	GCL NEWENERGY	196,596,240	\$ 52,098,004
460	SIHUAN PHARM	154,413,267	\$ 243,972,962
467	UNITEDENERGY GP	0	\$ 0
468	GAPACK	650,000	\$ 2,990,000
480	HKR INT'L	2,068,676	\$ 8,026,463
486	RUSAL	0	\$ 0
488	LAI SUN DEV	630,226	\$ 7,071,136
489	DONGFENG GROUP	43,932,651	\$ 348,825,249
493	GOME RETAIL	1,671,626,047	\$ 1,270,435,796
494	LI & FUNG	460,440,100	\$ 920,880,200
496	KASEN	0	\$ 0
497	CSI PROPERTIES	9,530,000	\$ 3,383,150
500	FRONTIER SER	0	\$ 0
506	CHINA FOODS	29,580,252	\$ 112,404,958
508	DINGYI GP INV	39,321,430	\$ 23,986,072
511	TVB	14,182,916	\$ 329,043,651
512	CHINAGRANDPHARM	1,824,000	\$ 8,937,600
517	COSCO SHIP INTL	484,000	\$ 1,277,760
519	APPLIED DEV	849,454	\$ 586,123
520	XIABUXIABU	7,541,700	\$ 90,047,898
521	CWT INT'L	16,354,000	\$ 3,270,800
522	ASM PACIFIC	20,593,532	\$ 1,624,829,675
525	GUANGSHEN RAIL	6,512,900	\$ 21,362,312
526	LISI GP HOLD	0	\$ 0
535	GEMDALE PPT	105,176,000	\$ 74,674,960
538	AJISEN (CHINA)	9,100,120	\$ 30,121,397
546	FUFENG GROUP	90,653,436	\$ 329,978,507
547	DIGITAL DOMAIN	536,915,340	\$ 54,765,365
548	SHENZHENEXPRESS	2,100,000	\$ 15,120,000
551	YUE YUEN IND	29,837,732	\$ 634,051,805
552	CHINACOMSERVICE	14,470,000	\$ 100,421,800
563	SH IND URBAN	22,158,000	\$ 29,248,560
570	TRAD CHI MED	56,567,819	\$ 292,455,624
572	FUTURE WORLD FH	51,706,699	\$ 5,067,257
576	ZHEJIANGEXPRESS	10,807,982	\$ 62,037,817
579	JNCEC	20,699,058	\$ 31,462,568
580	SUN.KING ELEC	3,398,000	\$ 4,485,360
581	CHINA ORIENTAL	5,152,000	\$ 30,345,280
586	CONCH VENTURE	24,022,161	\$ 602,956,241



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
588	BEIJING N STAR	6,518,000	\$ 15,252,120
590	LUK FOOK HOLD	4,708,095	\$ 130,178,827
598	SINOTRANS	23,137,350	\$ 69,412,050
603	CHINA OIL & GAS	11,747,936	\$ 6,931,282
604	SHENZHEN INVEST	257,284,358	\$ 604,618,241
605	C FIN SERVICES	0	\$ 0
606	CHINA AGRI	39,011,440	\$ 113,523,290
607	FULLSHARE	959,053,496	\$ 3,433,411,516
613	YUGANG INT'L	0	\$ 0
622	ENERCHINA HOLD	9,760,861	\$ 4,245,975
627	FULLSUN INT'L	0	\$ 0
636	KERRY LOG NET	570,032	\$ 6,965,791
639	SHOUGANG RES	39,419,363	\$ 60,311,625
650	IDG ENERGY INV	0	\$ 0
656	FOSUN INTL	23,756,430	\$ 321,662,062
658	C TRANSMISSION	19,993,910	\$ 176,146,347
659	NWS HOLDINGS	884,102	\$ 12,324,382
662	ASIA FINANCIAL	0	\$ 0
665	HAITONG INT'L	138,722,924	\$ 362,066,832
669	TECHTRONIC IND	8,849,655	\$ 440,712,819
670	CHINA EAST AIR	91,996,437	\$ 431,463,290
678	GENTING HK	0	\$ 0
680	NAN HAI CORP	102,333,120	\$ 18,522,295
683	KERRY PPT	5,184,741	\$ 143,098,852
686	PANDA GREEN	80,787,993	\$ 27,871,858
687	HKICIM GROUP	0	\$ 0
688	CHINA OVERSEAS	43,074,635	\$ 1,040,252,435
693	TAN CHONG INT'L	0	\$ 0
694	BEIJING AIRPORT	77,696,535	\$ 674,405,924
696	TRAVELSKY TECH	16,013,876	\$ 302,021,701
697	SHOUGANG INT'L	45,741,248	\$ 8,736,578
698	TONGDA GROUP	194,307,283	\$ 196,250,356
699	CAR INC	72,502,491	\$ 458,940,768
700	TENCENT	82,491,824	\$ 27,222,301,920
707	ATV HOLDINGS	15,416,000	\$ 4,316,480
708	EVERG HEALTH	8,596,274	\$ 91,808,206
709	GIORDANO INT'L	7,280,970	\$ 29,342,309
710	BOE VARITRONIX	3,465,845	\$ 8,248,711
715	CHINA OCEANWIDE	25,559,878	\$ 10,990,748
716	SINGAMAS CONT	10,037,137	\$ 10,840,108
717	EMPEROR CAPITAL	11,292,974	\$ 4,234,865



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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
721	C FIN INT INV	22,334,658	\$ 4,310,589
728	CHINA TELECOM	89,547,731	\$ 341,176,855
729	FDG EVEHICLES	498,215,623	\$ 46,832,269
732	TRULY INT'L	54,469,612	\$ 65,908,231
735	CP CLEAN ENERGY	823,000	\$ 2,255,020
743	ASIA CEMENT CH	472,500	\$ 3,614,625
746	L & M CHEMICAL	0	\$ 0
751	SKYWORTHDIGITAL	71,150,894	\$ 162,224,038
752	PICO FAR EAST	412,000	\$ 1,281,320
753	AIR CHINA	73,567,105	\$ 501,727,656
754	HOPSON DEV HOLD	2,204,002	\$ 14,788,853
755	SHANGHAI ZENDAI	0	\$ 0
762	CHINA UNICOM	179,923,554	\$ 1,709,273,763
763	ZTE	55,642,342	\$ 777,879,941
772	CHINA LIT	20,538,048	\$ 963,234,451
775	CKLIFE SCIENCES	0	\$ 0
777	NETDRAGON	9,084,225	\$ 144,439,178
778	FORTUNE REIT	0	\$ 0
787	GLOBAL BRANDS	366,486,258	\$ 144,762,072
788	CHINA TOWER	594,119,227	\$ 689,178,303
798	CEOVU	0	\$ 0
799	IGG	27,168,470	\$ 258,100,465
806	VALUE PARTNERS	43,189,100	\$ 249,632,998
807	SIICENVIRONMENT	0	\$ 0
808	PROSPERITY REIT	0	\$ 0
811	XINHUA WINSHARE	6,171,764	\$ 32,216,608
813	SHIMAO PROPERTY	22,045,563	\$ 464,059,101
815	CHI SILVER GP	24,845,956	\$ 25,094,416
816	HUADIAN FUXIN	11,064,745	\$ 17,039,707
817	CHINA JINMAO	178,811,709	\$ 631,205,333
818	HI SUN TECH	9,027,193	\$ 9,478,553
819	TIANNENG POWER	47,384,076	\$ 327,897,806
823	LINK REIT	21,662,993	\$ 1,665,884,162
826	TIANGONG INT'L	21,888,400	\$ 39,836,888
831	CR ASIA	0	\$ 0
832	CENTRAL CHINA	2,682,000	\$ 8,367,840
836	CHINA RES POWER	11,780,822	\$ 158,569,864
839	CHINA EDU GROUP	19,217,000	\$ 182,177,160
845	GLORIOUS PPT H	16,237,646	\$ 6,576,247
853	MICROPORT	6,815,796	\$ 74,292,176
855	CHINA WATER	28,289,645	\$ 250,363,358



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856	VSTECs	1,216,476	\$ 4,780,751
857	PETROCHINA	155,243,730	\$ 926,805,068
860	WE SOLUTIONS	53,669,916	\$ 58,500,208
861	DC HOLDINGS	36,044,262	\$ 144,537,491
862	VISION VALUES	0	\$ 0
867	CMS	14,721,757	\$ 155,756,189
868	XINYI GLASS	56,892,273	\$ 545,027,975
874	BAIYUNSHAN PH	2,000,400	\$ 52,910,580
877	O-NET TECH GP	28,345,508	\$ 125,287,145
880	SJM HOLDINGS	147,657,091	\$ 1,063,131,055
881	ZHONGSHENG HLDG	87,121,814	\$ 1,503,722,510
882	TIANJIN DEV	3,542,000	\$ 10,094,700
883	CNOOC	170,775,875	\$ 2,496,743,293
884	CIFI HOLD GP	30,476,583	\$ 115,506,250
887	EMPEROR WATCH&J	0	\$ 0
895	DONGJIANG ENV	4,201,530	\$ 38,023,847
902	HUANENG POWER	118,890,429	\$ 605,152,284
906	COFCO PACKAGING	48,021,156	\$ 144,063,468
914	CONCH CEMENT	143,087,941	\$ 6,152,781,463
916	CHINA LONGYUAN	62,008,542	\$ 391,273,900
921	HISENSE KELON	13,998,506	\$ 93,370,035
928	LIFE HEALTHCARE	0	\$ 0
931	CHINA LNG	97,723,863	\$ 114,336,920
934	SINOPEC KANTONS	29,823,049	\$ 100,801,906
939	CCB	497,574,746	\$ 3,288,969,071
941	CHINA MOBILE	65,202,254	\$ 5,014,053,333
950	LEE'S PHARM	5,220,239	\$ 35,758,637
951	CHAOWEI POWER	41,497,426	\$ 131,961,815
952	TONGHAI FIN	0	\$ 0
956	CHINA SUNTIEN	1,435,000	\$ 2,984,800
958	HN RENEWABLES	60,371,071	\$ 136,438,620
960	LONGFOR GROUP	20,834,719	\$ 445,862,987
966	CHINA TAIPING	18,690,702	\$ 476,612,901
968	XINYI SOLAR	337,532,933	\$ 749,323,111
973	L'OCCITANE	8,208,849	\$ 116,565,656
976	CHIHO ENV	7,410,000	\$ 20,599,800
978	CHI MER LAND	3,604,000	\$ 4,216,680
981	SMIC	456,165,029	\$ 3,973,197,403
989	GROUND INTL DEV	7,900,000	\$ 9,401,000
991	DATANG POWER	51,855,017	\$ 100,598,733
992	LENOVO GROUP	748,255,787	\$ 3,980,720,787





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Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
995	ANHUIEXPRESSWAY	1,114,737	\$ 5,105,495
996	CARNIVAL GROUP	214,207,422	\$ 59,978,078
998	CITIC BANK	128,166,831	\$ 598,539,101
999	I.T	302,000	\$ 1,186,860
1003	HUANXI MEDIA	3,380,000	\$ 6,084,000
1004	C SMARTERENERGY	2,179,495	\$ 1,896,161
1028	C.BANNER	8,012,000	\$ 3,725,580
1030	FUTURE LAND	54,180,994	\$ 295,286,417
1031	KINGSTON FIN	14,759,790	\$ 30,552,765
1033	SINOPEC SSC	62,137,460	\$ 60,894,711
1038	CKI HOLDINGS	26,424,647	\$ 1,656,825,367
1044	HENGAN INT'L	53,997,648	\$ 3,769,035,830
1045	APT SATELLITE	0	\$ 0
1051	G-RESOURCES	103,221,315	\$ 7,328,713
1052	YUEXIUTRANSPORT	34,241,863	\$ 205,451,178
1055	CHINA SOUTH AIR	107,661,213	\$ 512,467,374
1060	ALI PICTURES	1,348,699,337	\$ 1,254,290,383
1061	ESSEX BIO-TECH	125,000	\$ 775,000
1065	TIANJIN CAPITAL	8,718,000	\$ 27,200,160
1066	WEIGAO GROUP	25,135,524	\$ 193,292,180
1068	YURUN FOOD	17,068,498	\$ 13,654,798
1070	TCL ELECTRONICS	5,977,399	\$ 22,116,376
1071	HUADIAN POWER	55,004,624	\$ 160,063,456
1072	DONGFANG ELEC	8,402,611	\$ 37,811,750
1076	IMPERIAL PAC	68,800,000	\$ 4,609,600
1083	TOWNGAS CHINA	13,204,334	\$ 95,071,205
1086	GOODBABY INTL	30,458,105	\$ 98,075,098
1088	CHINA SHENHUA	17,197,310	\$ 291,322,431
1089	LEYOU TECH H	10,620,000	\$ 24,426,000
1093	CSPC PHARMA	67,315,901	\$ 1,133,599,773
1098	ROAD KING INFRA	228,000	\$ 2,918,400
1099	SINOPHARM	40,022,445	\$ 1,512,848,421
1106	SINO HAIJING	0	\$ 0
1107	MODERN LAND	1,842,000	\$ 2,118,300
1109	CHINA RES LAND	39,253,438	\$ 1,077,506,873
1111	CHONG HING BANK	1,051,000	\$ 14,945,220
1112	H&H INTL HLDG	5,696,350	\$ 256,335,750
1113	CK ASSET	18,684,623	\$ 1,110,800,837
1114	BRILLIANCE CHI	351,162,498	\$ 4,115,624,477
1115	TIBET WATER	23,230,141	\$ 52,035,516
1117	CH MODERN D	35,307,626	\$ 40,250,694



## Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1122	QINGLING MOTORS	578,000	\$ 1,202,240
1128	WYNN MACAU	68,968,121	\$ 1,326,946,648
1129	WATER INDUSTRY	14,332,000	\$ 20,638,080
1131	AGRITRADE RES	55,610,000	\$ 96,205,300
1133	HARBIN ELECTRIC	23,787,532	\$ 53,997,698
1137	HKTV	6,533,000	\$ 16,528,490
1138	COSCO SHIP ENGY	90,768,234	\$ 343,103,925
1140	OP FINANCIAL	7,984,000	\$ 21,876,160
1141	CMBC CAPITAL	520,369,319	\$ 213,351,421
1157	ZOOMLION	53,155,859	\$ 150,962,640
1166	SOLARTECH INT'L	29,800,000	\$ 29,800,000
1168	SINOLINK HOLD	33,132,000	\$ 20,873,160
1169	HAIER ELEC	21,945,223	\$ 430,565,275
1171	YANZHOU COAL	49,328,430	\$ 412,878,959
1176	ZHUGUANG HOLD	1,766,000	\$ 2,737,300
1177	SINO BIOPHARM	215,201,897	\$ 1,598,950,095
1186	CHINA RAIL CONS	73,300,141	\$ 708,079,362
1188	HYBRID KINETIC	38,061,950	\$ 2,588,213
1193	CHINA RES GAS	33,770,656	\$ 1,225,874,813
1196	REALORD GROUP	2,942,000	\$ 13,739,140
1199	COSCO SHIP PORT	44,187,863	\$ 336,269,637
1205	CITIC RESOURCES	44,532,837	\$ 33,844,956
1208	MMG	95,037,170	\$ 358,290,131
1211	BYD COMPANY	147,966,430	\$ 7,265,151,713
1212	LIFESTYLE INT'L	5,695,670	\$ 87,029,838
1216	ZYBANK	0	\$ 0
1221	SINO HOTELS	0	\$ 0
1223	SYMPHONY HOLD	1,450,000	\$ 1,551,500
1224	C C LAND	35,723,000	\$ 68,588,160
1230	YASHILI INT'L	11,730,924	\$ 17,713,695
1233	TIMES CHINA	3,711,953	\$ 30,920,568
1234	CHINA LILANG	825,235	\$ 6,346,057
1238	POWERLONG	5,422,000	\$ 19,898,740
1243	WANG ON PPT	0	\$ 0
1250	BE CLEAN ENERGY	257,524,032	\$ 34,508,220
1252	CHINA TIANRUI	0	\$ 0
1253	GREENLAND BROAD	8,827,734	\$ 6,885,633
1257	CEB GREENTECH	5,059,067	\$ 33,339,252
1258	CHINFMINING	0	\$ 0
1266	XIWANG STEEL	5,292,000	\$ 8,414,280
1268	MEIDONG AUTO	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1270	LANGHAM-SS	600,000	\$ 1,728,000
1282	CHINA GOLDJOY	42,068,000	\$ 17,668,560
1288	ABC	263,897,919	\$ 965,866,384
1293	GRAND BAOXIN	12,702,294	\$ 25,785,657
1297	SINOSOFT TECH	7,497,930	\$ 17,695,115
1299	AIA	106,812,212	\$ 6,921,431,338
1300	TRIGIANT	0	\$ 0
1302	LIFETECH SCI	34,058,273	\$ 61,986,057
1308	SITC	0	\$ 0
1310	HKBN	4,172,500	\$ 51,655,550
1313	CHINARES CEMENT	44,047,543	\$ 384,094,575
1316	NEXTEER	21,695,997	\$ 247,768,286
1317	MAPLELEAF EDU	36,484,040	\$ 133,896,427
1330	DYNAGREEN ENV	19,422,517	\$ 57,879,101
1333	CHINA ZHONGWANG	118,796,151	\$ 428,854,105
1336	NCI	14,660,433	\$ 509,450,047
1337	RAZER	83,978,998	\$ 158,720,306
1339	PICC GROUP	96,564,830	\$ 312,870,049
1347	HUA HONG SEMI	17,380,209	\$ 281,906,990
1359	CHINA CINDA	309,217,618	\$ 587,513,474
1360	MEGAEXPO HLDG	2,243,323	\$ 4,845,578
1361	361 DEGREES	24,508,000	\$ 49,016,000
1363	CTEG	179,197,774	\$ 161,277,997
1368	XTEP INT'L	9,317,190	\$ 44,908,856
1375	CC SECURITIES	21,539,583	\$ 43,079,166
1378	CHINAHONGQIAO	66,574,839	\$ 385,468,318
1381	CANVEST ENV	19,155,252	\$ 78,728,086
1382	PACIFICTEXTILES	12,810,355	\$ 77,246,441
1383	SUNCITY GROUP	7,690,000	\$ 9,997,000
1385	SHANGHAI FUDAN	280,000	\$ 2,251,200
1387	RENHE COMM	38,478,000	\$ 8,465,160
1398	ICBC	326,237,261	\$ 1,791,042,563
1426	SPRING REIT	0	\$ 0
1428	BRIGHT SMART	7,644,000	\$ 11,848,200
1448	FU SHOU YUAN	16,277,899	\$ 99,783,521
1456	GUOLIAN SEC	0	\$ 0
1458	ZHOU HEI YA	75,419,818	\$ 351,456,352
1462	GOLD-FIN HLDG	9,264,476	\$ 18,621,597
1476	HENGTOU SEC	0	\$ 0
1478	Q TECH	30,562,000	\$ 158,616,780
1508	CHINA RE	133,404,669	\$ 201,441,050



Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1513	LIVZON PHARMA	1,452,254	\$ 38,194,280
1515	CRPHOENIXHEALTH	21,910,056	\$ 153,370,392
1518	NC HEALTHCARE	112,500	\$ 819,000
1528	RS MACALLINE	2,994,385	\$ 22,637,551
1530	3SBIO	67,951,281	\$ 902,393,012
1548	GENSCRIPT BIO	69,135,556	\$ 1,055,008,585
1551	GRCB	0	\$ 0
1558	HEC PHARM	3,477,800	\$ 110,072,370
1569	MINSHENG EDU	1,308,000	\$ 2,158,200
1571	XIN POINT HOLD	0	\$ 0
1573	CH UNIENERGY	496,000	\$ 6,160,320
1578	BANK OF TIANJIN	0	\$ 0
1579	YIHAI INTL	10,277,000	\$ 184,986,000
1585	YADEA	11,396,000	\$ 28,945,840
1599	UCD	1,972,000	\$ 6,448,440
1606	CDB LEASING	0	\$ 0
1608	VPOWER GROUP	0	\$ 0
1610	COFCO MEAT	10,265,426	\$ 11,702,586
1617	NANFANG COMM	0	\$ 0
1618	MCC	43,512,936	\$ 87,025,872
1622	REDCO GROUP	11,279,957	\$ 42,299,839
1628	YUZHOU PPT	34,088,746	\$ 115,219,961
1636	CMRU	2,280,000	\$ 10,191,600
1638	KAISA GROUP	14,516,745	\$ 38,179,039
1651	TSUGAMI CHINA	0	\$ 0
1658	PSBC	1,061,139,096	\$ 4,966,130,969
1660	ZHAOBANGJI PPT	952,000	\$ 11,385,920
1666	TONG REN TANG	13,325,513	\$ 151,910,848
1668	CHINASOUTHCITY	26,012,800	\$ 33,556,512
1673	HUAZHANG TECH	0	\$ 0
1680	MACAU LEGEND	20,907,507	\$ 27,179,759
1681	CONSUN PHARMA	5,414,435	\$ 35,193,828
1686	SUNEVISION	2,257,217	\$ 11,850,389
1696	SISRAM MED	0	\$ 0
1697	SDITC	0	\$ 0
1700	SPRINGLAND	0	\$ 0
1717	AUSNUTRIA	7,365,091	\$ 62,382,321
1720	PUTIAN COMM	552,000	\$ 1,391,040
1727	HEBEI CONS	641,500	\$ 2,764,865
1728	ZHENGTONGAUTO	217,565,806	\$ 959,465,204
1766	CRRC	68,995,650	\$ 455,371,290



## Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1776	GF SEC	37,179,765	\$ 358,041,137
1777	FANTASIA	13,054,500	\$ 12,662,865
1778	COLOUR LIFE	8,519,605	\$ 41,064,496
1788	GUOTAI JUNAN I	306,020,231	\$ 397,826,300
1789	AK MEDICAL	5,079,118	\$ 26,512,996
1798	DATANG RENEW	0	\$ 0
1799	XINTE ENERGY	0	\$ 0
1800	CHINA COMM CONS	54,107,159	\$ 403,098,335
1803	BJ SPORTS & ENT	3,922,500	\$ 10,159,275
1810	XIAOMI-W	172,429,128	\$ 2,876,117,855
1811	CGN NEW ENERGY	1,968,000	\$ 2,578,080
1812	CHENMING PAPER	11,228,500	\$ 52,886,235
1813	KWG GROUP	43,252,188	\$ 328,716,629
1816	CGN POWER	751,718,298	\$ 1,375,644,485
1818	ZHAOJIN MINING	95,016,801	\$ 576,751,982
1828	DCH HOLDINGS	1,127,000	\$ 3,606,400
1829	CMEC	17,333,326	\$ 64,479,973
1836	STELLA HOLDINGS	12,331,502	\$ 97,912,126
1848	CALC	813,500	\$ 6,646,295
1862	JINGRUI HLDGS	890,000	\$ 2,225,000
1866	CHINA XLX FERT	0	\$ 0
1881	REGAL REIT	0	\$ 0
1882	HAITIAN INT'L	8,455,207	\$ 134,437,791
1883	CITIC TELECOM	2,427,259	\$ 6,189,510
1888	KB LAMINATES	34,471,735	\$ 227,513,451
1898	CHINA COAL	47,934,035	\$ 151,950,891
1899	XINGDA INT'L	13,913,170	\$ 30,748,106
1908	C&D INTL GROUP	467,000	\$ 3,096,210
1910	SAMSONITE	40,244,935	\$ 1,231,495,011
1913	PRADA	8,914,655	\$ 299,086,675
1918	SUNAC	205,699,204	\$ 5,121,910,180
1919	COSCO SHIP HOLD	176,160,144	\$ 535,526,838
1928	SANDS CHINA LTD	110,982,278	\$ 3,906,576,186
1929	CHOW TAI FOOK	0	\$ 0
1958	BAIC MOTOR	74,087,559	\$ 414,149,455
1963	BCQ	5,559,709	\$ 25,741,453
1966	CHINA SCE GROUP	3,284,000	\$ 10,574,480
1970	IMAX CHINA	7,129,522	\$ 141,164,536
1972	SWIREPROPERTIES	17,364,630	\$ 525,280,058
1980	TIANGE	6,848,000	\$ 34,582,400
1986	TSAKER CHEM	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
1988	MINSHENG BANK	292,353,088	\$ 1,605,018,453
1997	WHARF REIC	13,364,831	\$ 715,018,459
1999	MAN WAH HLDGS	90,449,501	\$ 443,202,555
2001	NEW HIGHER EDU	15,433,108	\$ 75,776,560
2005	SSY GROUP	25,608,861	\$ 179,005,938
2006	JINJIANG HOTELS	9,180,000	\$ 20,287,800
2007	COUNTRY GARDEN	267,363,495	\$ 2,935,651,175
2008	PHOENIX TV	7,405,947	\$ 5,110,103
2009	BBMG	232,892,656	\$ 591,547,346
2014	OZNER WATER	39,580,000	\$ 71,244,000
2016	CZBANK	0	\$ 0
2018	AAC TECH	55,641,746	\$ 4,584,879,870
2020	ANTA SPORTS	28,777,775	\$ 1,024,488,790
2038	FIH	139,416,696	\$ 140,810,863
2039	CIMC	5,580,398	\$ 45,368,636
2066	SHENGJINGBANK	600,000	\$ 2,364,000
2098	ZALL SMARTCOM	19,022,651	\$ 117,750,210
2099	CHINAGOLDINTL	1,231,100	\$ 13,665,210
2111	BEST PACIFIC	10,772,871	\$ 25,854,890
2118	TIAN SHAN DEV	0	\$ 0
2128	CHINA LESSO	4,677,000	\$ 20,111,100
2136	LIFESTYLE CHI	10,702,267	\$ 34,354,277
2139	BANK OF GANSU	0	\$ 0
2186	LUYE PHARMA	208,807,067	\$ 1,373,950,501
2196	FOSUN PHARMA	38,617,907	\$ 1,106,403,036
2198	CHINA SANJIANG	1,504,000	\$ 3,353,920
2199	REGINA MIRACLE	13,868,684	\$ 78,912,812
2202	CHINA VANKE	47,276,806	\$ 1,215,013,914
2208	GOLDWIND	15,235,782	\$ 121,733,898
2222	NVC LIGHTING	0	\$ 0
2232	CRYSTAL INTL	20,056,000	\$ 99,277,200
2233	WESTCHINACEMENT	16,903,256	\$ 22,481,330
2236	WISON ENGRG	868,000	\$ 894,040
2238	GAC GROUP	129,104,379	\$ 1,007,014,156
2255	HAICHANG HLDG	4,979,000	\$ 8,563,880
2268	YOUYUAN HLDGS	11,432,039	\$ 29,037,379
2269	WUXI BIO	27,977,185	\$ 1,986,380,135
2280	HC GROUP	30,503,992	\$ 165,941,716
2282	MGM CHINA	86,351,428	\$ 1,081,119,879
2283	TK GROUP HLDG	744,000	\$ 3,794,400
2298	COSMO LADY	20,272,664	\$ 71,359,777



## Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2299	BILLION IND	0	\$ 0
2303	HENGXING GOLD	396,000	\$ 2,423,520
2313	SHENZHOU INTL	9,697,128	\$ 913,954,314
2314	LEE & MAN PAPER	35,882,581	\$ 273,784,093
2318	PING AN	1,344,786,819	\$ 101,060,729,448
2319	MENGNU DAIRY	41,395,734	\$ 945,892,522
2322	NOBLE CENTURY	0	\$ 0
2328	PICC P&C	68,789,931	\$ 586,090,212
2329	GUORUI PPT	4,097,000	\$ 9,259,220
2331	LI NING	53,297,414	\$ 373,081,898
2333	GREATWALL MOTOR	690,887,741	\$ 3,108,994,835
2338	WEICHAI POWER	269,622,780	\$ 2,475,137,120
2342	COMBA	45,915,629	\$ 59,231,161
2343	PACIFIC BASIN	162,218,359	\$ 301,726,148
2348	DAWNRAYS PHARMA	631,000	\$ 1,198,900
2355	BAOYE GROUP	0	\$ 0
2356	DAHSHING BANKING	1,215,482	\$ 18,645,494
2357	AVICHINA	133,415,418	\$ 631,054,927
2362	JINCHUAN INTL	39,688,000	\$ 42,466,160
2378	PRU	0	\$ 0
2380	CHINA POWER	211,913,426	\$ 353,895,421
2382	SUNNY OPTICAL	33,498,556	\$ 3,215,861,376
2383	TOM GROUP	0	\$ 0
2386	SINOPEC SEG	13,655,312	\$ 110,471,474
2388	BOC HONG KONG	43,764,365	\$ 1,599,587,541
2389	BJ ENT M&H	28,374,897	\$ 10,214,963
2393	YESTAR HEALTH	0	\$ 0
2588	BOC AVIATION	4,424,834	\$ 265,932,523
2600	CHALCO	358,624,299	\$ 1,172,701,458
2601	CPIC	113,959,605	\$ 3,168,077,019
2607	SH PHARMA	18,136,639	\$ 354,389,926
2608	SUNSHINE 100	1,048,000	\$ 4,076,720
2611	GTJA	16,041,111	\$ 262,753,398
2628	CHINA LIFE	82,945,254	\$ 1,405,092,603
2638	HKELECTRIC-SS	181,307,843	\$ 1,428,705,803
2662	CAMSING INTL	2,720,000	\$ 17,408,000
2666	UNI MEDICAL	47,982,445	\$ 275,419,234
2669	CHINA OVS PPT	21,514,638	\$ 48,407,936
2678	TEXHONG TEXTILE	691,000	\$ 8,609,860
2686	AAG ENERGY	0	\$ 0
2688	ENN ENERGY	9,716,590	\$ 713,197,706



Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2689	ND PAPER	59,498,937	\$ 537,870,390
2698	WEIQIAO TEXTILE	276,000	\$ 747,960
2727	SH ELECTRIC	249,836,340	\$ 629,587,577
2768	JIAYUAN INTL	42,521,748	\$ 608,060,996
2777	R&F PROPERTIES	46,728,635	\$ 680,368,926
2778	CHAMPION REIT	10,888,000	\$ 60,319,520
2779	CH XINHUA EDU	2,190,000	\$ 5,037,000
2799	CHINA HUARONG	479,908,305	\$ 681,469,793
2800	TRACKER FUND	24,886,600	\$ 700,557,790
2801	ISHARES CHINA	0	\$ 0
2802	ISHARES EM ASIA	0	\$ 0
2803	PREMIA BEDROCK	0	\$ 0
2805	VANGUARDASXJP	0	\$ 0
2808	EFUND CG BOND	0	\$ 0
2810	PREMIAEMASEAN	0	\$ 0
2811	HT CSI300 ETF	0	\$ 0
2812	SAMUNGC DRAGON	0	\$ 0
2813	CAM CTPB BOND	0	\$ 0
2819	ABF HK IDX ETF	0	\$ 0
2821	ABF PAIF	0	\$ 0
2822	CSOP A50 ETF	158,539,358	\$ 2,000,766,698
2823	ISHARES A50	92,372,124	\$ 1,138,024,568
2824	LIPPO HK&M PROP	0	\$ 0
2825	WISECSIHK100ETF	0	\$ 0
2827	WISE CSI300 ETF	0	\$ 0
2828	HSCEI ETF	7,694,077	\$ 839,423,801
2832	BOSERA FA50	0	\$ 0
2833	HS HSI ETF	0	\$ 0
2834	ISHARESND100	0	\$ 0
2836	ISHARES INDIA	0	\$ 0
2838	HS FCI50 ETF	0	\$ 0
2840	SPDR GOLD TRUST	0	\$ 0
2843	AMUNDI A50	0	\$ 0
2846	ISHARESCSI300	0	\$ 0
2847	ISHARESFS100	0	\$ 0
2848	TRMSCIKOREA	0	\$ 0
2858	YIXIN	10,351,978	\$ 24,430,668
2866	COSCO SHIP DEV	155,984,390	\$ 162,223,766
2868	BJ CAPITAL LAND	12,108,320	\$ 34,387,629
2869	GREENTOWN SER	53,568,000	\$ 318,193,920
2877	SHINEWAY PHARM	1,942,211	\$ 20,393,216





Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
2880	DALIAN PORT	16,692,799	\$ 16,358,943
2883	CHINA OILFIELD	116,367,344	\$ 913,483,650
2888	STANCHART	495,040	\$ 31,410,288
2899	ZIJIN MINING	143,244,333	\$ 383,894,812
3007	TRFXIC50	0	\$ 0
3008	C-SHS CSI 300	0	\$ 0
3010	ISHARES AXJ	0	\$ 0
3012	AMUNDI HK35	0	\$ 0
3015	X TRNIFTY50	0	\$ 0
3016	TRMSCIPHIL	0	\$ 0
3019	X TRMSCIWORLD	0	\$ 0
3020	X TRMSCIUSA	0	\$ 0
3024	WISE SSE50ETF	0	\$ 0
3027	X TRMSCIRUSSIA	0	\$ 0
3036	TRMSCITAIWAN	0	\$ 0
3040	MR MSCI CN ETF	0	\$ 0
3041	VALUE KOREA ETF	0	\$ 0
3043	TRMSCIPACEXJ	0	\$ 0
3046	VALUE CHINA ETF	0	\$ 0
3048	TRMSCIBRAZIL	0	\$ 0
3049	X TRCSI300	0	\$ 0
3053	CSOP HKD MM	0	\$ 0
3055	TRMSCICHINA	0	\$ 0
3056	MR GL CON ETF	0	\$ 0
3060	VALUE TW ETF	0	\$ 0
3065	TRMSCISG	0	\$ 0
3070	PING AN HKDIV	0	\$ 0
3073	SPDR GREATCHINA	0	\$ 0
3074	ISHARESMSCITW	0	\$ 0
3080	PING AN CGB	0	\$ 0
3081	VALUEGOLD ETF	0	\$ 0
3082	TRMSCIMALAY	0	\$ 0
3084	VALUE JAPAN ETF	0	\$ 0
3085	VANGUARDAXJHY	0	\$ 0
3086	BMO NASDAQ100	0	\$ 0
3087	X TRFTSEVIET	0	\$ 0
3092	TRMSCITHAI	0	\$ 0
3095	VALUE A SHARE	0	\$ 0
3097	F MR OIL ETF	0	\$ 0
3099	X TRMSCIINDO	0	\$ 0
3100	EFUND CSI100	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3101	VANGUARDDDVEUR	0	\$ 0
3102	XIE GARY ETF	0	\$ 0
3107	C-SHS CSI STA	0	\$ 0
3110	MR HS HDIVY ETF	0	\$ 0
3115	ISHARESHSI	0	\$ 0
3116	XIE GOLD MINERS	0	\$ 0
3118	HGI MSCI CN A	0	\$ 0
3120	EFUND CES 120	0	\$ 0
3121	BMO AP REAL EST	0	\$ 0
3122	CSOP CNSTBOND	0	\$ 0
3126	VANGUARDJAPAN	0	\$ 0
3127	MR CSI300 ETF	0	\$ 0
3128	HS AINDTOPETF	0	\$ 0
3129	CSOP 300SMART	0	\$ 0
3132	C-SHS CSI HLC	0	\$ 0
3135	F CSOP OIL ETF	0	\$ 0
3136	HGI MSCIA50	0	\$ 0
3137	CSOP CES A80	0	\$ 0
3140	VANGUARDSP500	0	\$ 0
3141	BMO ASIA IGBOND	0	\$ 0
3143	BMO HK BANKS	0	\$ 0
3145	BMO ASIA HIGHDV	0	\$ 0
3146	ISHARESDAX	0	\$ 0
3147	CSOP CHINEXT	0	\$ 0
3149	CSOP MSCI	0	\$ 0
3150	HGI CSI500	0	\$ 0
3155	ISHARESE50	0	\$ 0
3156	GFI MSCI A I	0	\$ 0
3157	CAM SCSMALLCAP	0	\$ 0
3160	BMO JAPAN HDG	0	\$ 0
3161	XIE CHIMER ETF	0	\$ 0
3165	BMO EU QLTY HDG	0	\$ 0
3167	ICBCCSOPCHINA	0	\$ 0
3169	VANGUARDCHINA	0	\$ 0
3170	ISHARESXS200	0	\$ 0
3171	COMSTAGE DAX	0	\$ 0
3173	PREMIA NEW ECON	0	\$ 0
3175	F SAMSUNG OIL	0	\$ 0
3177	COMSTAGE DIVDAX	0	\$ 0
3180	CAM CES A80	0	\$ 0
3181	PREMIAASIATEC	0	\$ 0



## Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3182	WISE NEW ECON50	0	\$ 0
3186	CICCKRANECNET	0	\$ 0
3188	CAM CSI300	54,468,953	\$ 2,075,267,109
3197	CAM MSCI AINC	0	\$ 0
3199	CSOP 5YCGBOND	0	\$ 0
3301	RONSHINECHINA	12,021,155	\$ 111,195,684
3306	JNBY	378,000	\$ 4,921,560
3308	GOLDEN EAGLE	1,361,000	\$ 11,391,570
3309	C-MER EYE	2,411,380	\$ 10,730,641
3311	CHINA STATE CON	175,858,455	\$ 1,315,421,243
3319	A-LIVING	4,254,981	\$ 49,698,178
3320	CHINARES PHARMA	35,109,720	\$ 433,253,945
3323	CNBM	166,855,318	\$ 1,086,228,120
3328	BANKCOMM	117,983,540	\$ 670,146,507
3331	VINDA INT'L	400,000	\$ 5,296,000
3333	EVERGRANDE	351,743,776	\$ 9,426,733,197
3337	ANTON OILFIELD	47,293,540	\$ 52,495,829
3339	LONKING	10,325,400	\$ 25,297,230
3358	BESTWAY GLOBAL	0	\$ 0
3360	FE HORIZON	27,002,905	\$ 194,150,887
3369	QHD PORT	908,500	\$ 1,626,215
3377	SINO-OCEAN GP	34,126,066	\$ 122,853,838
3378	XIAMEN PORT	1,222,000	\$ 1,283,100
3380	LOGAN PPT	66,230,244	\$ 592,760,684
3382	TIANJINPORT DEV	1,326,000	\$ 1,166,880
3383	AGILE GROUP	72,775,415	\$ 835,461,764
3393	WASION HOLDINGS	5,244,671	\$ 21,817,831
3396	LEGENDHOLDING	6,463,447	\$ 161,263,003
3606	FUYAO GLASS	22,411,128	\$ 605,100,456
3613	TONGRENTANGCM	433,000	\$ 6,876,040
3618	CQRC BANK	72,838,172	\$ 299,364,887
3633	ZHONGYU GAS	1,820,000	\$ 14,214,200
3636	POLY CULTURE	331,514	\$ 3,341,661
3639	YIDA CHINA	0	\$ 0
3669	YONGDA AUTO	60,345,235	\$ 382,588,790
3698	HUIZHANG BANK	0	\$ 0
3709	KORADIOR	0	\$ 0
3799	DALI FOODS	88,772,825	\$ 493,576,907
3800	GCL-POLY ENERGY	1,170,209,507	\$ 631,913,134
3808	SINOTRUK	274,963,735	\$ 4,146,453,124
3813	POU SHENG INT'L	50,252,195	\$ 75,880,814



Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
3818	CHINA DONGXIANG	46,758,502	\$ 58,915,713
3836	HARMONY AUTO	50,685,778	\$ 161,687,632
3866	BQD	0	\$ 0
3883	CHINA AOYUAN	32,777,675	\$ 175,032,785
3888	KINGSOFT	87,171,871	\$ 1,202,971,820
3898	CRRC TIMES ELEC	31,211,080	\$ 1,326,470,900
3899	CIMC ENRIC	14,463,846	\$ 111,371,614
3900	GREENTOWN CHINA	37,447,263	\$ 283,475,781
3908	CICC	96,749,042	\$ 1,397,056,166
3918	NAGACORP	2,691,817	\$ 20,377,055
3933	UNITED LAB	175,966,656	\$ 1,178,976,595
3948	YITAI COAL	0	\$ 0
3958	DFZQ	12,533,200	\$ 66,175,296
3968	CM BANK	99,493,894	\$ 2,870,398,842
3969	CHINA CRSC	64,162,788	\$ 365,727,892
3983	CHINA BLUECHEM	13,888,800	\$ 38,471,976
3988	BANK OF CHINA	166,509,260	\$ 567,796,577
3989	CAPITAL ENV	0	\$ 0
3993	CMOC	374,128,276	\$ 1,137,349,959
3996	CH ENERGY ENG	0	\$ 0
3998	BOSIDENG	28,131,399	\$ 28,975,341
4332	AMGEN-T	0	\$ 0
4333	CISCO-T	0	\$ 0
4335	INTEL-T	0	\$ 0
4336	APPL MATERIAL-T	0	\$ 0
4337	STARBUCKS-T	0	\$ 0
4338	MICROSOFT-T	0	\$ 0
6030	CITIC SEC	121,259,922	\$ 1,595,780,574
6060	ZA ONLINE	31,401,099	\$ 1,006,405,223
6066	CSC	9,338,000	\$ 40,713,680
6068	WISDOM EDU INTL	17,670,000	\$ 72,623,700
6088	FIT HON TENG	6,920,223	\$ 26,227,645
6098	CG SERVICES	48,425,411	\$ 611,128,687
6099	CMSC	6,126,400	\$ 54,218,640
6116	LA CHAPELLE	411,000	\$ 2,872,890
6122	JIUTAI RCB	0	\$ 0
6138	HARBIN BANK	0	\$ 0
6139	JINMAO HOTEL-SS	0	\$ 0
6158	ZHENRO PPT	0	\$ 0
6168	CHINA U-TON	0	\$ 0
6169	YUHUA EDU	33,024,000	\$ 118,225,920



Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
6178	EB SECURITIES	2,123,200	\$ 15,053,488
6196	BANKOFZHENGZHOU	0	\$ 0
6198	QINGDAO PORT	3,101,000	\$ 17,396,610
6808	SUNART RETAIL	155,482,052	\$ 1,380,680,622
6818	CEB BANK	88,414,115	\$ 288,230,015
6823	HKT-SS	12,815,367	\$ 128,666,285
6826	HAOHAI BIOTEC	21,300	\$ 1,122,510
6828	BG BLUE SKY	19,779,022	\$ 11,076,252
6837	HAITONG SEC	139,183,957	\$ 990,989,774
6858	HONMAGOLF	152,500	\$ 1,126,975
6868	TENFU	0	\$ 0
6869	YOFC	15,706,500	\$ 395,018,475
6881	CGS	371,177,532	\$ 1,321,392,014
6886	HTSC	51,000,956	\$ 531,429,962
6889	DYNAM JAPAN	0	\$ 0
7200	FL2 CSOP HSI	0	\$ 0
7205	FL2SAMSUNGHSI	0	\$ 0
7210	XL2 XIE CHIMER	0	\$ 0
7221	FL2CAMDXNHSI	0	\$ 0
7228	FL2SAMSUNGHSCEI	0	\$ 0
7230	FL2 MR HSCEI	0	\$ 0
7231	FL2 MR HSI	0	\$ 0
7242	FL2EFUND YT HSI	0	\$ 0
7261	FL2CAMDXNNDQ100	0	\$ 0
7267	FL2CAMDXNHSCEI	0	\$ 0
7288	FL2 CSOP HSCEI	0	\$ 0
7300	FI CSOP HSI	0	\$ 0
7302	FI EFUND YT HSI	0	\$ 0
7312	FISAMSUNGHSI	0	\$ 0
7321	FICAMDXNHSI	0	\$ 0
7322	XI MR S&P500	0	\$ 0
7328	FISAMSUNGHSCEI	0	\$ 0
7331	FICAMDXNNDQ100	0	\$ 0
7336	FI MR HSI	0	\$ 0
7341	FICAMDXNHSCEI	0	\$ 0
7362	FI MR HSCEI	0	\$ 0
7388	FI CSOP HSCEI	0	\$ 0
8032	VIVA CHINA	8,286,976	\$ 7,209,669
8047	CHINAOCEAN FISH	0	\$ 0
8057	MADISON HLDG	0	\$ 0
8078	HMV DIGIT CHINA	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
8083	CHINA YOUZAN	176,502,691	\$ 112,961,722
8137	HONBRIDGE	21,848,624	\$ 21,411,652
8158	CRMI	46,782,748	\$ 7,391,674
8207	CHONGSING HLDGS	683,869,217	\$ 314,579,840
8265	CHINA TRUSTFUL	2,044,000	\$ 4,782,960
8279	AGTECH HOLDINGS	9,328,000	\$ 5,596,800
8293	SINGASIA HLDG	0	\$ 0
8407	CISI FIN	0	\$ 0
8469	SHENGYE CAPITAL	0	\$ 0
9010	ISHARES AXJ-U	0	\$ 0
9074	ISHARESMSCITW-U	0	\$ 0
9081	VALUEGOLD ETF-U	0	\$ 0
9085	VANGUARDAXJHY-U	0	\$ 0
9101	VANGUARDDDVEUR-U	0	\$ 0
9115	ISHARESHSI-U	0	\$ 0
9126	VANGUARDJAPAN-U	0	\$ 0
9140	VANGUARDSP500-U	0	\$ 0
9146	ISHARESDAX-U	0	\$ 0
9155	ISHARESES50-U	0	\$ 0
9167	ICBCCSOPCHINA-U	0	\$ 0
9169	VANGUARDCHINA-U	0	\$ 0
9170	ISHARESXS200-U	0	\$ 0
9181	PREMIAASIA TEC-U	0	\$ 0
9186	CICCKRANECNET-U	0	\$ 0
9199	CSOP 5YCGBOND-U	0	\$ 0
9805	VANGUARDASXJP-U	0	\$ 0
9810	PREMIAEMASEAN-U	0	\$ 0
9834	ISHARESND100-U	0	\$ 0
9836	ISHARES INDIA-U	0	\$ 0
9846	ISHARESCSI300-U	0	\$ 0
9847	ISHARESFS100-U	0	\$ 0
82805	VANGUARDASXJP-R	0	\$ 0
82808	EFUND CG BOND-R	0	\$ 0
82811	HT CSI300 ETF-R	0	\$ 0
82813	CAM CTPB BOND-R	0	\$ 0
82822	CSOP A50 ETF-R	0	\$ 0
82823	ISHARES A50-R	0	\$ 0
82828	HSCEI ETF-R	0	\$ 0
82832	BOSERA FA50-R	0	\$ 0
82833	HS HSI ETF-R	0	\$ 0
82834	ISHARESND100-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
82836	ISHARES INDIA-R	0	\$ 0
82843	AMUNDI A50-R	0	\$ 0
82846	ISHARESCSI300-R	0	\$ 0
82847	ISHARESFS100-R	0	\$ 0
83008	C-SHS CSI 300-R	0	\$ 0
83010	ISHARES AXJ-R	0	\$ 0
83012	AMUNDI HK35-R	0	\$ 0
83053	CSOP HKD MM-R	0	\$ 0
83074	ISHARESMSCITW-R	0	\$ 0
83081	VALUEGOLD ETF-R	0	\$ 0
83085	VANGUARDAXJHY-R	0	\$ 0
83095	VALUE A SHARE-R	0	\$ 0
83100	EFUND CSI100-R	0	\$ 0
83101	VANGUARDDDVEUR-R	0	\$ 0
83107	C-SHS CSI STA-R	0	\$ 0
83115	ISHARESHSI-R	0	\$ 0
83118	HGI MSCI CN A-R	0	\$ 0
83120	EFUND CES 120-R	0	\$ 0
83122	CSOP CNSTBOND-R	0	\$ 0
83126	VANGUARDJAPAN-R	0	\$ 0
83127	MR CSI300 ETF-R	0	\$ 0
83128	HS AINDTOPETF-R	0	\$ 0
83129	CSOP 300SMART-R	0	\$ 0
83132	C-SHS CSI HLC-R	0	\$ 0
83136	HGI MSCIA50-R	0	\$ 0
83137	CSOP CES A80-R	0	\$ 0
83140	VANGUARDSP500-R	0	\$ 0
83146	ISHARESDAX-R	0	\$ 0
83147	CSOP CHINEXT-R	0	\$ 0
83149	CSOP MSCI-R	0	\$ 0
83150	HGI CSI500-R	0	\$ 0
83155	ISHARESE50-R	0	\$ 0
83156	GFI MSCI A I-R	0	\$ 0
83167	ICBCCSOPCHINA-R	0	\$ 0
83168	HS RMB GOLD ETF	0	\$ 0
83169	VANGUARDCHINA-R	0	\$ 0
83170	ISHARESXS200-R	0	\$ 0
83180	CAM CES A80-R	0	\$ 0
83186	CICCKRANECNET-R	0	\$ 0
83188	CAM CSI300-R	0	\$ 0
83197	CAM MSCI AINC-R	0	\$ 0



Aggregated Reportable Short Positions of Specified Shares as of 14/09/2018

Stock Code	Stock Name	Aggregated Reportable Short Positions (Shares)	Aggregated Reportable Short Positions (HK\$)
83199	CSOP 5YCGBOND-R	0	\$ 0
87001	HUI XIAN REIT	0	\$ 0